## UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

	)
UNITED STATES SECURITIES	)
AND EXCHANGE COMMISSION,	) C
Plaintiff, v.	) ) ) H
NORTHRIDGE HOLDINGS, LTD., ET AL.,	) )
	) N
Defendants.	)
	)

Civil Action No. 19-cv-05957

Hon. John Z. Lee

Magistrate Judge Susan E. Cox

# NOTICE OF MOTION AND CERTIFICATE OF SERVICE

To: See attached Service List.

Please take notice that on January 23, 2020, at 9:00 a.m., the undersigned will appear before the Honorable John Z. Lee, or any judge sitting in his stead, in Courtroom 2125, and present the *RECEIVER'S MOTION FOR COURT APPROVAL OF: (A) THE RATES TO BE CHARGED BY RECEIVER'S REAL ESTATE BROKER (ELSTON PROPERTY); (B) SALES PROCEDURES (ELSTON PROPERTY); (C) THE RATES TO BE CHARGED BY RECEIVER'S REAL ESTATE BROKER (VACANT LOTS PROPERTY) AND (D) SALES PROCEDURES (VACANT LOTS PROPERTY) [Dkt. No. 96] (the "Motion").* 

Dated: January 17, 2020

N. Neville Reid, Receiver

By: /s/ Ryan T. Schultz

N. Neville Reid, Esq. Ryan T. Schultz, Esq. L. Brandon Liss, Esq. Fox Swibel Levin & Carroll LLP 200 West Madison, Suite 3000 Chicago, IL 60606 Tel: 312.224.1200 Fax: 312.224.1201 nreid@foxswibel.com rschultz@foxswibel.com bliss@foxswibel.com

#### **CERTIFICATE OF SERVICE**

I hereby certify that on January 17, 2020, I electronically filed the Motion with the Clerk of the United States District Court for the Northern District of Illinois, using the CM/ECF system. A copy of the Notice and the Motion were served via the CM/ECF system upon counsel for the parties as identified on the attached Service List.

I further certify that I served the financial institutions or interested parties as identified and set forth on the attached Service List via U.S. Mail on January 17, 2020.

By: <u>/s/ Ryan T. Schultz</u>

N. Neville Reid, Esq. Ryan T. Schultz, Esq. L. Brandon Liss, Esq. Fox Swibel Levin & Carroll LLP 200 West Madison, Suite 3000 Chicago, IL 60606 Tel: 312.224.1200 Fax: 312.224.1201 nreid@foxswibel.com rschultz@foxswibel.com bliss@foxswibel.com SEC v. Northridge Holdings, Ltd., et al. Case No. 19-cv-05957

### SERVICE LIST

#### **Parties**

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Attorneys for Investor – Ms. Kathryn Cragg

#### **Financial institutions/ interested parties**

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TD Ameritrade, Inc. c/o Jillian Tuck Regulatory Compliance Analyst 200 S. 108th Ave. Omaha, NE 68154

First American Bank c/o Luke Petryszak 700 Busse Road Elk Grove Village, IL 60007

Wells Fargo Bank c/o Cheré Tait Legal Order Processing MAC S4001-01E P.O. Box 29770 Phoenix, AZ 85038 Charles Schwab & Co., Inc. c/o Philip Ho Corporate Compliance Department 211 Main Street San Francisco, CA 94105

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