

# FOX SWIBEL

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November 26, 2019

## VIA U.S. MAIL

TO: All Presently Identified Investors of the  
Receivership Defendants

Re: **SEC v. Northridge Holdings, Ltd., et al., Case No. 19-cv-05957 (N.D. Ill.)**  
**Notice of Receivership Website**

Ladies and Gentlemen:

This letter (the “Letter”) is intended to provide additional information to current or former investors of the Receivership Defendants<sup>1</sup> (the “Investors” or “You” or “Your”) in accordance with the Receiver’s letter notice to You dated September 16, 2019 (the “September 2019 Letter”).

In the September 2019 Letter, the Receiver noticed potential Investors that, on September 5, 2019, the U.S. Securities and Exchange Commission (the “SEC”) had commenced the above-referenced action (the “Litigation”) against Glenn C. Mueller, Northridge Holdings, Ltd., and certain other Defendants party thereto.<sup>2</sup> Further, the Receiver provided you with a copy of the Receivership Order entered in the Litigation in respect of the Receivership Defendants (as more particularly identified therein and listed on Annex 1 attached to the September 2019 Letter).

Further, in the September 2019 Letter, the Receiver informed you that the Receiver would provide further notice to You if the Receiver were to establish a website or other electronic portal that would provide potential Investors (and other interested parties) with further, material information or updates regarding the Receivership or Litigation.

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<sup>1</sup> All capitalized terms not defined herein shall be given the same meaning ascribed to such terms pursuant to the September 2019 Letter or the *Order Appointing Receiver* [Dkt. No. 22] (the “Receivership Order”) entered in the above-referenced action on September 12, 2019.

<sup>2</sup> The following Defendants are identified in the SEC’s Complaint: Northridge Holdings, Ltd., Southridge Holdings, Ltd., Eastridge Holdings, Ltd., Brookstone Investment Group, Ltd., Guardian Investment Group, Ltd., Unity Investment Group, Ltd., Amberwood Holdings L.P., and Glenn C. Mueller.

### Receivership Website

**Please take notice that the Receiver has, in fact, established a website (the “Receivership Website”) that is intended to provide additional information and further notice to You of the status of (a) the Litigation and (b) the Receiver’s actions taken in connection therewith (and pursuant to and in accordance with the Receivership Order). That website is located at the following web address: <https://northridgereceiver.alixpartners.com>.**

The Receivership Website sets forth information (including, but not limited to, the following):

- A list of the Receivership Defendants presently named in – and subject to – the Receivership Order;
- List of answers regarding frequently asked questions of similarly-situated, potential Investors of the Receivership Defendants;
- A portal permitting You or other potential Investors to submit written questions to or request information from representatives of the Receivership; and
- A telephone hotline (**1-888-369-8932**) providing You with timely and efficient access to material updates in respect of the Receivership or the Litigation.

The Receiver intends to further develop the Receivership Website to provide You and other interested parties with certain other information regarding the Receivership (e.g., access to key pleadings filed in the Litigation and correspondence previously sent by the Receiver to potential Investors, etc.).

### Questions Regarding this Letter, the Receivership or Otherwise:

**Regarding questions or requests for information – the Receiver respectfully requests that you contact the following telephone hotline (1-888-369-8932)) before seeking to contact counsel for the Receiver or others.** That hotline will provide you with timely and efficient access to information that may also be available on the Receivership Website.

Very truly yours,



Suj M. Pandya, Esq.