

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**V.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

## Defendants.

**Civil Action No. 19-cv-05957**

**Hon. John Z. Lee**

**Magistrate Judge Susan E. Cox**

## **NOTICE OF MOTION AND CERTIFICATE OF SERVICE**

To: See attached Service List.

Please take notice that on December 12, 2019, at 9:00 a.m., the undersigned will appear before the Honorable John Z. Lee, or any judge sitting in his stead, in Courtroom 2125, and present the *First Interim Fee Application and Motion for Court Approval of payment of Fees and Expenses of Counsel for the Receiver* [Dkt. No. 76 (the “Application”).

Dated: December 9, 2019

N. Neville Reid, Receiver

By: /s/ Ryan T. Schultz

N. Neville Reid, Esq.  
Ryan T. Schultz, Esq.  
Suj M. Pandya, Esq.  
Fox Swibel Levin & Carroll LLP  
200 West Madison, Suite 3000  
Chicago, IL 60606  
Tel: 312.224.1200  
Fax: 312.224.1201  
nreid@foxswibel.com  
rschultz@foxswibel.com  
spandya@foxswibel.com

**CERTIFICATE OF SERVICE**

I hereby certify that on December 9, 2019, I electronically filed the Motion with the Clerk of the United States District Court for the Northern District of Illinois, using the CM/ECF system. A copy of the Notice and the Motion were served via the CM/ECF system upon counsel for the parties as identified on the attached Service List.

I further certify that I served the financial institutions or interested parties as identified and set forth on the attached Service List via U.S. Mail on November 25, 2019.

By: /s/ Ryan T. Schultz

N. Neville Reid, Esq.  
Ryan T. Schultz, Esq.  
Suj M. Pandya, Esq.  
Fox Swibel Levin & Carroll LLP  
200 West Madison, Suite 3000  
Chicago, IL 60606  
Tel: 312.224.1200  
Fax: 312.224.1201  
nreid@foxswibel.com  
rschultz@foxswibel.com  
spandya@foxswibel.com

*SEC v. Northridge Holdings, Ltd., et al.*  
Case No. 19-cv-05957

**SERVICE LIST**

**Parties**

Michael D. Foster, Esq.  
Christine B. Jeon, Esq.  
Timothy J. Stockwell, Esq.  
Securities and Exchange Commission 175  
W. Jackson Blvd., Suite 1450  
Chicago, IL 60604  
fostermi@sec.gov  
jeonc@sec.gov  
stockwellt@sec.gov

*Attorneys for Plaintiff Securities and Exchange Commission*

Michael D. Monico, Esq.  
Barry A. Spevack, Esq.  
Jacqueline Sharon Jacobson, Esq.  
Monico & Spevack  
200 S. Clark St.  
Suite 700  
Chicago, IL 60603 mm@monicolaw.com  
bspevack@monicolaw.com  
jjacobson@monicolaw.com

*Attorneys for Defendants Northridge Holdings, Ltd., Southridge Holdings, Ltd.,  
Eastridge Holdings, Ltd., Brookstone Investment Group, Ltd., Unity Investment  
Group, Ltd., Amberwood Holdings, L.P., and Glenn C. Mueller*

Jill L. Nicholson, Esq.  
Andrew T. McClain, Esq.  
Foley & Lardner LLP  
321 N. Clark St., Suite 2800  
Chicago, IL 60654  
Tel: 312-832-4500  
jnicholson@foley.com  
amcclain@foley.com

*Attorneys for Federal National Mortgage Association*

Tammy L. Adkins, Esq.  
McGuireWoods LLP  
77 West Wacker Drive, Suite 4100  
Chicago, IL 60601-1818  
Tel: 312-750-5727  
Fax: 312-849-3690  
tadkins@mcguirewoods.com

*Attorneys for Barings Multifamily Capital LLC*

Shannon V. Condon, Esq.  
Gardiner Koch Weisberg & Wrona  
53 W. Jackson Blvd.  
Suite 950  
Chicago, IL 60604  
scondon@gkwwlaw.com

*Attorneys for Investor – Ms. Kathryn Cragg*

**Financial institutions/ interested parties**

Parkway Bank & Trust Co.  
c/o Judith Lerner  
4800 Harlem Avenue  
Harwood Heights, IL 60706

TD Ameritrade, Inc.  
c/o Jillian Tuck  
Regulatory Compliance Analyst  
200 S. 108th Ave.  
Omaha, NE 68154

First American Bank  
c/o Luke Petryszak  
700 Busse Road  
Elk Grove Village, IL 60007

Wells Fargo Bank  
c/o Cheré Tait  
Legal Order Processing  
MAC S4001-01E  
P.O. Box 29770  
Phoenix, AZ 85038

Charles Schwab & Co., Inc.  
c/o Philip Ho  
Corporate Compliance Department  
211 Main Street  
San Francisco, CA 94105

J.P. Morgan Chase Bank, N.A.  
c/o Legal Department  
c/o Scott J. Stilman, Esq.  
Attn: Mail Code CA2-4383  
9200 Oakdale Ave.  
7th Floor  
Chatsworth, CA 91311

Lora Fausett, Esq.  
Law Offices of Lora Matthew Fausett, P.C.  
568 Pennsylvania Avenue  
Glenn Ellyn, Illinois 60137

*Attorneys for an Investor*

Victoria Manning, Esq.  
Michael Eleneski, Esq.  
Nicholas Dolinsky, Esq.  
State of New Jersey, Office of the Attorney General  
124 Halsey Street, 5th Floor  
Newark, NJ 07101

*Attorneys for Office of the NJ Attorney General*

Louis A. Virgilio  
President  
American Realty Services Inc.  
6650 Northwest Highway 3rd Flr  
Chicago, Illinois 60631