

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION,</b>	)	
	)	<b>Civil Action No. 19-cv-05957</b>
	)	
<b>Plaintiff,</b>	)	
<b>v.</b>	)	<b>Hon. John Z. Lee</b>
	)	
<b>NORTHRIDGE HOLDINGS, LTD., ET AL.,</b>	)	
	)	<b>Magistrate Judge Susan E. Cox</b>
<b>Defendants.</b>	)	
	)	

**CERTIFICATE OF SERVICE**

I hereby certify that on November 1, 2022, I electronically filed the Receiver's Report for the Period of July 1, 2022, through September 30, 2022, with the Clerk of the United States District Court for the Northern District of Illinois, using the CM/ECF system. I further certify that I served the financial institutions or interested parties as identified and set forth on the attached Service List by email (if listed below) on November 1, 2022, and via U.S. Mail on November 2, 2022.

By: /s/ Ryan T. Schultz

N. Neville Reid, Esq.  
Ryan T. Schultz, Esq.  
L. Brandon Liss, Esq.  
Kenneth M. Thomas, Esq.  
Fox Swibel Levin & Carroll LLP  
200 West Madison, Suite 3000  
Chicago, IL 60606  
Tel: 312.224.1200  
Fax: 312.224.1201  
nreid@foxswibel.com  
rschultz@foxswibel.com  
bliss@foxswibel.com  
[kthomas@foxswibel.com](mailto:kthomas@foxswibel.com)

***SEC v. Northridge Holdings, Ltd., et al.***  
**Case No. 19-cv-05957**

**SERVICE LIST**

**Parties**

Michael D. Foster, Esq.  
Christine B. Jeon, Esq.  
Timothy J. Stockwell, Esq.  
Securities and Exchange Commission  
175 W. Jackson Blvd., Suite 1450  
Chicago, IL 60604  
fostermi@sec.gov  
jeonc@sec.gov  
stockwellt@sec.gov

*Attorneys for Plaintiff Securities and Exchange Commission*

Michael D. Monico, Esq.  
Barry A. Spevack, Esq.  
Jacqueline Sharon Jacobson, Esq.  
Monico & Spevack  
53 W. Jackson Boulevard, Suite 1315  
Chicago, Illinois 60604  
[mm@monicolaw.com](mailto:mm@monicolaw.com)  
bspevack@monicolaw.com  
jjacobson@monicolaw.com

*Attorneys for Defendants Northridge Holdings, Ltd., Southridge Holdings, Ltd.,  
Eastridge Holdings, Ltd., Brookstone Investment Group, Ltd., Unity Investment  
Group, Ltd., Amberwood Holdings, L.P., and Glenn C. Mueller*

Jill L. Nicholson, Esq.  
Andrew T. McClain, Esq.  
Foley & Lardner LLP  
321 N. Clark St., Suite 2800  
Chicago, IL 60654  
Tel: 312-832-4500  
jnicholson@foley.com  
amcclain@foley.com

*Attorneys for Federal National Mortgage Association*

Tammy L. Adkins, Esq.  
McGuireWoods LLP  
77 West Wacker Drive, Suite 4100  
Chicago, IL 60601-1818  
Tel: 312-750-5727  
Fax: 312-849-3690  
tadkins@mcguirewoods.com

*Attorneys for Barings Multifamily Capital LLC*

Shannon V. Condon, Esq.  
Gardiner Koch Weisberg & Wrona  
53 W. Jackson Blvd., Suite 950  
Chicago, IL 60604  
scondon@gkwwlaw.com

*Attorneys for Investor – Ms. Kathryn Cragg*

Blake Sercye, Esq.  
Sperling & Slater  
55 West Monroe Street, Suite 3200  
Chicago, IL 60603  
bsercye@sperling-law.com

*Attorneys for Robert and Marilyn Stefan*

James A. McGurk, Esq.  
Law Offices of James A. McGurk, P.C.  
123 North Wacker Drive, Suite 250  
Chicago, IL 60606  
james@jamesmcgurklaw.com

*Attorney for Ashvin Dave*

**Financial institutions / Interested Parties**

Parkway Bank & Trust Co.  
c/o Judith Lerner  
4800 Harlem Avenue  
Harwood Heights, IL 60706

TD Ameritrade, Inc.  
c/o Jillian Tuck  
Regulatory Compliance Analyst  
200 S. 108th Ave.  
Omaha, NE 68154

First American Bank  
c/o Luke Petryszak  
700 Busse Road  
Elk Grove Village, IL 60007

Wells Fargo Bank  
c/o Cheré Tait  
Legal Order Processing  
MAC S4001-01E  
P.O. Box 29770  
Phoenix, AZ 85038

Charles Schwab & Co., Inc.  
c/o Philip Ho  
Corporate Compliance Department  
211 Main Street  
San Francisco, CA 94105

J.P. Morgan Chase Bank, N.A.  
c/o Legal Department  
c/o Scott J. Stilman, Esq.  
Attn: Mail Code CA2-4383  
9200 Oakdale Ave., 7th Floor  
Chatsworth, CA 91311

Lora Fausett, Esq.  
Law Offices of Lora Matthew Fausett, P.C.  
568 Pennsylvania Avenue  
Glenn Ellyn, Illinois 60137

*Attorneys for an Investor*

Victoria Manning, Esq.  
Michael Eleneski, Esq.  
Nicholas Dolinsky, Esq.  
State of New Jersey, Office of the Attorney General  
124 Halsey Street, 5th Floor  
Newark, NJ 07101

*Attorneys for Office of the NJ Attorney General*

Louis A. Virgilio  
President  
American Realty Services Inc.  
6650 Northwest Highway 3rd Floor  
Chicago, Illinois 60631

Lindsay Clery  
Securities & Audit  
Corporations, Securities & Commercial Licensing Bureau  
P.O. Box 30018  
Lansing, MI 48909

Douglas M. Jacoby  
Director of Enforcement  
Office of the Secretary of State for the State of Missouri  
600 W. Main Street  
Jefferson City, MO 65102-1276

First Term Real Estate  
c/o Jamie Davis  
8028 E Santa Ana Canyon Rd.  
Anaheim, CA 92808-1108