

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD.,  
SOUTHRIDGE HOLDINGS, LTD.,  
EASTRIDGE HOLDINGS, LTD.,  
BROOKSTONE INVESTMENT GROUP, LTD.,  
GUARDIAN INVESTMENT GROUP, LTD.,  
UNITY INVESTMENT GROUP, LTD.,  
AMBERWOOD HOLDINGS L.P., and  
GLENN C. MUELLER.**

**Defendants.**

**Civil Action No. 1:19-cv-5957**

**CERTIFIED STATEMENT**

Pursuant to Paragraph 17.C of the Order Appointing Receiver, which was filed on September 12, 2019, and which was served on Barings Multifamily Capital LLC on September 13, 2019, Barings Multifamily Capital LLC provides the following information:

<b>Bank</b>	<b>Account Ending (last 4 digits)</b>	<b>Date</b>	<b>Balance</b>
Bank of America	*9063	9/13/2019	561 Deere Park Circle LP: \$48,961.13
Bank of America	*9063	9/13/2019	Timber Lake Apartments, LLC: \$131,446.48
Bank of America	*0913	9/13/2019	561 Deere Park Circle LP: \$94,801.28
Bank of America	*0913	9/13/2019	Timber Lake Apartments, LLC: \$178,414.16
Bank of America	*0921	9/13/2019	561 Deere Park Circle LP: \$17,671.86
Bank of America	*0921	9/13/2019	Timber Lake Apartments, LLC: \$67,614.02

Barings Multifamily Capital, LLC, has communicated with the Receiver and is complying with the instructions from the Receiver, in accordance with Paragraph 17.A of the Order Appointing Receiver.

BARINGS MULTIFAMILY CAPITAL LLC

DATED: September 19, 2019

By: /s/ Tammy L. Adkins

One of Its Attorneys

Tammy L. Adkins  
McGuireWoods LLP  
77 West Wacker Drive, Suite 4100  
Chicago, IL 60601-1818  
Tel: 312-750-5727  
Fax: 312-849-3690  
tadkins@mcguirewoods.com

*Attorneys for Barings Multifamily Capital*

**CERTIFICATE OF SERVICE**

I hereby certify that the foregoing **CERTIFIED STATEMENT** was electronically filed with the Clerk of the Court using the CM/ECF system on September 19, 2019, which will send notification of such filing to all counsel of record, and that copies were served via email upon the following:

**Michael D. Foster**  
U.S. Securities & Exchange Commission (IL)  
175 West Jackson Boulevard  
Suite 900  
Chicago, IL 60604  
Email: fostermi@sec.gov

**Ryan Todd Schultz**  
Fox, Swibel, Levin & Carroll, LLP  
200 West Madison Street  
Suite 3000  
Chicago, IL 60606  
Email: rschultz@fslc.com  
Counsel for the Receiver

s/ Tammy L. Adkins