

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**V.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

## Defendants.

**Civil Action No. 19-cv-05957**

**Hon. John Z. Lee**

**Magistrate Judge Susan E. Cox**

**RECEIVER'S COMBINED (A) FIFTH INTERIM FEE APPLICATION AND MOTION  
FOR COURT APPROVAL OF PAYMENT OF FEES AND EXPENSES OF COUNSEL  
FOR THE RECEIVER; AND (B) FOURTH INTERIM FEE APPLICATION AND  
MOTION FOR COURT APPROVAL OF PAYMENT OF FEES AND EXPENSES OF  
RECEIVER'S NON-FOX SWIBEL PROFESSIONALS**

N. Neville Reid, not individually, but solely as the Court-appointed receiver (the “Receiver”) for the Estate of Defendant Northridge Holdings, Ltd. and its related entities and affiliates as more particularly set forth in the Receivership Order (as defined herein) (collectively, the “Receivership Defendants,” and their assets as more particularly identified therein, the “Receivership Assets,” and such estate, the “Receivership Estate,” and such administration, the “Receivership”), and pursuant to the powers vested in him by the *Order Appointing Receiver* entered by the Court on September 12, 2019 [Dkt. No. 22] and as amended by the Court on February 4, 2020 [Dkt. 102] (the “Receivership Order”)<sup>1</sup> respectfully submits this combined (a) *Fifth Interim Application* for counsel for the Receiver – Fox Swibel Levin & Carroll, LLP (“Fox Swibel”), and (b) *Fourth Interim Application* for the Receiver’s non-Fox Swibel professionals — Kutchins, Robbins & Diamond Ltd. (“KRD”); AlixPartners, LLP

1 Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Receivership Order.

(“AlixPartners”); Dickler, Kahn, Slowikowski, & Zavell, Ltd. (“Dickler Kahn”)<sup>2</sup>; ALAP Limited (“ALAP”)<sup>3</sup> and Plante Moran, PLCC (“PM”)<sup>4</sup> (collectively, the “Application”) and hereby respectfully moves the Court, (the “Motion”) for an order approving payment of the fees and expenses of Fox Swibel, KRD, AlixPartners, Dickler Kahn, and ALAP. In support of the Application and Motion, the Receiver states as follows:

## I. INTRODUCTION

1. The Application covers the period from July 1, 2020 to and including September 30, 2020 (the “Application Period”).

2. Fees and expenses (i) previously requested, approved, and paid, or (ii) presently requested, are summarized as follows:

Previously Approved/Paid:

Professional	Month/Year	Fees & Costs Requested	Fees & Costs Approved	Fees & Costs Paid
Fox Swibel	September & October 2019	\$114,779.88	\$114,779.88	\$114,779.88
Fox Swibel	November & December 2019	\$68,045.66	\$68,045.66	\$68,045.66
Fox Swibel	January 2020 – March 2020	\$146,712.17	\$146,712.17	\$146,712.17
Fox Swibel	April 1, 2020 – June 30, 2020	\$182,623.01	\$182,623.01	\$182,623.01
KRD	October 2019 –	\$3,767.50	\$3,767.50	\$3,767.50

<sup>2</sup> This is the second request for compensation and reimbursement for fees and expenses on behalf of Dickler Kahn.

<sup>3</sup> This is the first request for compensation and reimbursement for fees and expenses on behalf of ALAP.

<sup>4</sup> As described more fully below, the Receiver does not seek compensation and reimbursement for fees and expenses on behalf of PM in this Application, as PM did not provide services during this Application Period. The Receiver, however, does include PM in this Application in order to comprehensively set forth the fees and expenses incurred and compensation and reimbursement to date.

	December 2019			
KRD	January 2020 – March 2020	\$3,978.71	\$3,978.71	\$3,978.71
KRD	April 1, 2020 – June 30, 2020	\$2,175.00	\$2,175.00	\$2,175.00
AlixPartners	November 2019 – December 2019	\$143,130.16	\$143,130.16	\$143,130.16
AlixPartners	January 2020-March 2020	\$598,630.51	\$598,630.51	\$598,630.51
AlixPartners	April 1, 2020 – June 30, 2020 <sup>5</sup>	\$419,742.09 <sup>6</sup>	\$419,742.09	\$419,742.09
PM	November 2019 – December 2019	\$5,487.50	\$5,487.50	\$5,487.50
PM	January 2020-March 2020	\$3,326.00	\$3,326.00	\$3,326.00
PM	April 1, 2020 – June 30, 2020	\$0.00	\$0.00	\$0.00
Dickler Kahn	April 1, 2020 – June 30, 2020	\$960.00	\$960.00	\$960.00
<b><u>Grand Total</u></b>		<b>\$1,693,358.19</b>	<b>\$1,693,358.19</b>	<b>\$1,693,358.19</b>

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<sup>5</sup> The figures set forth in the April 1, 2020-June 30, 2020 application period incorporates an additional \$100,000.00 **reduction** (over and above the hourly fee cap reduction) as agreed upon by AlixPartners. Additionally, AlixPartners agreed to a holdback of 25% of the reduced fees which it agrees will be only be paid upon approval of a final fee application at the closing of this case. [See Dkt. 194.]

<sup>6</sup> As described in the prior fee application, AlixPartners agreed to a 25% holdback of their fees on a going forward basis), which will only be paid upon approval of a final fee application at the closing of this case. The holdback amount for April 1, 2020 through June 20, 2020 is \$139,697.50.

Fees and costs requested in this Application for the Application Period:

<b>Professional</b>	<b>Month/Year</b>	<b>Fees &amp; Costs Charged</b>	<b>Proposed Holdback</b>	<b>Fees &amp; Costs Requested</b>
Fox Swibel	July 2020 – September 2020	\$215,420.97	\$0.00	\$215,420.97
KRD	July 2020 – September 2020	\$24,620.00	\$0.00	\$24,620.00
AlixPartners	July 2020 – September 2020	\$274,169.72	\$68,420.00	\$205,749.72
PM	July 2020 – September 2020	\$0.00	\$0.00	\$0.00
Dickler Kahn	July 2020 – September 2020	\$810.00	\$0.00	\$810.00
ALAP	July 2020 – September 2020	\$13,070.00	\$0.00	\$13,070.00
<b><u>Grand Total</u></b>				<b><u>\$ 459,670.69</u></b>

3. In summary, the total amount of money requested for approval and payment in the instant Application is \$459,670.69 which is broken down by professional as follows: (a) Fox Swibel: \$215,420.97; (b) KRD: \$24,620.00; (c) AlixPartners: \$205,749.72; (d) PM: \$0.00; (e) Dickler Kahn: \$810.00; and (f) ALAP: \$13,070.00.

## **II. BACKGROUND**

4. On September 5, 2019, the United States Securities and Exchange Commission (the “SEC”) filed (a) a *Complaint* [Dkt. No. 1] (the “Complaint”) against the defendants as



identified therein (collectively, the “Defendants”)<sup>7</sup> alleging violations of federal securities laws, and (b) *Plaintiff’s Emergency Motion for a Temporary Restraining Order to Prevent Violations of the Federal Securities Laws, to Appoint Receiver, and to Provide for Other Ancillary Relief* [Dkt. No. 3] (the “TRO and Receivership Motion”), requesting a temporary restraining order to prevent violations of federal securities laws, to appoint a receiver and to provide for other ancillary relief set forth therein.

5. In its Complaint, the SEC alleged that: (a) the Defendants violated (i) Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77e(a), (e)(c), and q(a)], and (ii) Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)], Rule 10b-5(a) and (c) [17 C.F.R. § 240.10b-5(a), (c)]; and (b) Northridge Holdings, Ltd. (“Northridge”) and Glenn C. Mueller (“Mr. Mueller”) violated Rule 10b-5(b) [17 C.F.R. § 240.10b-5(a), (c)].

6. The Complaint further alleges that the Defendants operated a Ponzi scheme, raising at least \$41.6 million (between May 2014 through April 2019) from more than 300 investors across 32 states by, among other things, fraudulently offering and selling promissory notes in unregistered transactions to investors, many of whom were unsophisticated and/or unaccredited and of retirement age. *See* Complaint, ¶¶ 1–8, 27–62, 63–76. Further, the Complaint alleges that Mr. Mueller and Northridge misled certain of such investors to believe certain of their promissory notes were secured or equivalently “backed” by properties owned by the Receivership Defendants when in fact they were not. *Id.* at ¶ 72.

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<sup>7</sup> The following Defendants are identified in the Complaint: Northridge Holdings, Ltd., Southridge Holdings, Ltd., Eastridge Holdings, Ltd., Brookstone Investment Group, Ltd., Guardian Investment Group, Ltd., Unity Investment Group, Ltd., Amberwood Holdings L.P., and Glenn C. Mueller.

7. On September 9, 2019, the Court held a hearing on the TRO and Receivership Motions. On September 12, 2019, the Court entered the Receivership Order. [See Dkts. 15, 19, 22.]

8. Under the Receivership Order, the Receiver is authorized to engage and employ professionals (in his discretion) to assist him in carrying out the duties and responsibilities set forth therein. Receivership Order, ¶¶ 8K, 50. In accordance therewith, the Receiver engaged Fox Swibel as counsel for the Receiver.

9. On September 12, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Counsel* [Dkt. No. 29] (the "Fox Swibel Rate Motion"). On September 24, 2019, the Court entered a minute entry Order [Dkt. No. 43] granting the Fox Swibel Rate Motion.

10. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Accountant* [Dkt. No. 58] (the "KRD Rate Motion"). On November 6, 2020, the Court entered a minute entry Order [Dkt. No. 66] granting the KRD Rate Motion.

11. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Forensic Accountant* [Dkt. No. 67] (the "AlixPartners Rate Motion"). On December 10, 2019, the Court entered a minute entry Order [Dkt. No. 79] granting the AlixPartners Rate Motion.

12. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Accountant* [Dkt. No. 70] (the "PM Rate Motion"). On January 6, 2020, the Court entered a minute entry Order [Dkt. No. 92] granting the PM Rate Motion.

13. On April 16, 2020, the Receiver filed the *Motion for Approval of Rates to be Charged by Dickler Kahn*<sup>8</sup> [Dkt. 134-135] (the “Dickler Kahn Rate Motion”). On May 22, 2020, the Court entered an Order granting the Dickler Kahn Rate Motion. [Dkt. 149.]

14. On November 2, 2020, the Receiver filed the *Motion for Approval of Rates to be Charged by ALAP* (the “ALAP Rate Motion”). [Dkt. 206.] No amounts will be disbursed to the new professional until the engagement is fully approved by the Court.

15. Pursuant to the Receivership Order, and subject to Court approval, the Receiver and his professionals are entitled to “reasonable compensation and expense reimbursement” from the Receivership Estate, in compliance with the *Billing Instructions for Receivers in Civil Actions Commenced by the U.S. Securities and Exchange Commission* (the “Billing Instructions”) promulgated by the SEC, which are binding upon the Receiver. *Id.* at ¶ 65.

### III. FOX SWIBEL FIFTH INTERIM APPLICATION

16. Pursuant to (and in accordance with) the Billing Instructions and the Receivership Order, the Receiver provides the following information regarding the Application.

17. **First**, the Application covers the period from July 1, 2020 to and including September 30, 2020.

18. **Second**, as set forth above, the Receiver retained Fox Swibel as counsel for the Receiver. On September 24, 2019, the Court entered an order granting the Fox Swibel Rate Motion. [Dkt. No. 43.]

19. **Third**, the *Certification of Fox Swibel in Support of the Application* is attached hereto as **Exhibit A** and incorporated herein by reference.

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<sup>8</sup> For clarity, the Receiver notes that this motion was a combined motion that also sought approval of a settlement agreement unrelated the matters addressed in this Application.

20. *Fourth*, the names and hourly rates of all Fox Swibel professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit B** and incorporated herein by reference.

21. *Fifth*, the Application constitutes the Receiver's fifth interim application for compensation for the fees and expenses of Fox Swibel. The Receiver's first interim application for the firm sought compensation for fees and expenses incurred from the inception of the Receivership through October 31, 2019 in the amount of \$114,779.88; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 76 and 93.] The Receiver's second interim application for Fox Swibel sought compensation for fees and expenses incurred from November 1, 2019 through December 31, 2019 in the amount of \$68,045.66; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's third interim application for Fox Swibel sought compensation for fees and expenses incurred from January 1, 2020 through March 31, 2020 in the amount of \$146,712.17; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's fourth interim application for Fox Swibel sought compensation for fees and expenses incurred from April 1, 2020 through June 30, 2020 in the amount of \$182,623.01; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. 194-195, 200, 216.]

#### **IV. NON-FOX SWIBEL PROFESSIONALS' FOURTH INTERIM APPLICATION**

22. Pursuant to (and in accordance with) the Billing Instructions and the Receivership Order, the Receiver provides the following information regarding the Application as it relates to the non-Fox Swibel professionals.

A. **KRD**

23. ***First***, the Application covers the period from July 1, 2020 to and including September 30, 2020.

24. ***Second***, the Receiver retained KRD as accountant for the Receivership to provide accounting, tax, payroll and related services for the Receivership and the Receivership Defendants. During the Application Period, KRD continued to provide accounting, tax, payroll, and related services for the Receivership and the Receivership Defendants. Additionally, KRD prepared 2019 tax filings for the Receivership Defendants, and KRD is continuing to work to defend an audit and to prepare and file federal tax returns for certain of the Receivership Defendants and related entities for tax year 2018. The Receiver also utilized KRD's services to carry out accounting obligations in connection with the Receivership Defendants' business operations and management of the Receivership Estate's property.

25. ***Third***, the *Certification of KRD in Support of the Application* is attached hereto as **Exhibit C** and incorporated herein by reference.

26. ***Fourth***, the names and hourly rates of all of KRD professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit D** and incorporated herein by reference.

27. ***Fourth***, the Application constitutes the Receiver's fourth interim application for compensation for the fees and expenses of KRD. The Receiver's first interim application for compensation for KRD covered fees and expenses incurred from October 1, 2019 through December 31, 2019 in the amount of \$3,767.50; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for KRD covered fees and expenses

incurred from January 1, 2020 through March 31, 2020 in the amount of \$3,978.71; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's third interim application for compensation for KRD covered fees and expenses incurred from April 1, 2020 through June 30, 2020 in the amount of \$2,175.00; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 194-195, 200, 216.]

**B. AlixPartners**

28. *First*, the Application covers the period from July 1, 2020 to and including September 30, 2020.

29. *Second*, the Receiver retained AlixPartners as forensic accountant for the Receivership to, among other things, determine the: (i) amount and validity of claims of the investors/creditors of the Receivership Defendants and (ii) legal and equitable rights of such parties in respect of the funds marshalled in this case. Additionally, the Receiver engaged AlixPartners to perform, among other things, the following services: (a) tracing money in/ money out at the investor level; (b) tracing funds between the Receivership Defendants (i.e., intercompany), including determining the amount of intercompany receivables and/or whether or not the Receivership Defendants' finances are hopelessly commingled; (c) assisting with discovery; (d) identifying receivable claims, "finder" claims, insider claims, potential claw-back targets, fraudulent conveyances or transfers (e.g., undisclosed insider transfers, suspicious transactions generally, etc.), professional liability targets and breach of fiduciary duty claims; (e) assisting in the design and implementation of a claims process (for investors and general creditors), including verifying claim amounts and identifying potential objections; (f) modeling potential distribution plans based on different distribution methods (e.g., pro rata, rising tide,

etc.); and (g) supporting motions filed by the Receiver (e.g., motion(s) to approve a distribution plan). During this Application Period, AlixPartners specifically focused its efforts on (1) continuing to refine analysis relating to the amount and validity of potential claims of the investors/creditors of the Receivership Defendants; (2) assisting the Receiver in designing the claims process and provided support for the Claims Procedure Motion<sup>9</sup>; (3) supporting the Receiver's efforts to collect claims of the Receivership Estate against third parties, including receivable claims, "finder" claims, "net winner" claims and insider claims; and (4) assisting with case administration.<sup>10</sup>

30. **Third**, the *Certification of AlixPartners in Support of the Application* is attached hereto as **Exhibit E** and incorporated herein by reference.

31. **Fourth**, the names and hourly rates of all of AlixPartners' professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit F** and incorporated herein by reference.

32. **Fifth**, the Application constitutes the Receiver's fourth interim application for compensation for the fees and expenses of AlixPartners. The Receiver's first interim application for compensation for AlixPartners covered fees and expenses from November 1, 2019 through December 31, 2019 in the amount of \$143,130.16; all such fees and costs were approved by the Court and subsequently paid to AlixPartners. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for AlixPartners covered fees and

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<sup>9</sup> The Claims Procedure Motion refers to the Receiver's Motion for Order (1) Fixing Claims Bar Date (2) Approving Claims Procedures and Claims Forms (3) Approving Notices and (4) Approving the Pooling of Receivership Entities' Assets for Distribution Purposes. [Dkt. 169.]

<sup>10</sup> A detailed explanation of these efforts is fully set forth in the Receiver's Report for the Period of July 1, 2020 Through September 30, 2020 [Dkt. 202].

expenses from January 1, 2020 through March 31, 2020 in the amount of \$598,630.51; all such fees and costs were approved by the Court and subsequently paid to AlixPartners. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's third interim application for compensation for AlixPartners covered fees and expenses from April 1, 2020 through June 30, 2020 in the amount of \$419,742.09<sup>11</sup>; all such fees and costs were approved by the Court and subsequently paid to AlixPartners [See Dkt. Nos. 194-195, 200, 216.]

**C. PM**

33. Although this Application does not seek compensation and reimbursement for PM as PM did not provide services during this Application Period, for comprehensiveness, the Receiver summarizes prior fees and expenses sought for PM and approved by the Court. The Receiver's first interim application for compensation for PM covered the period November 1, 2019 through December 31, 2019 in the amount of \$5,487.50; all such fees and costs were approved by the Court and subsequently paid to PM. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for the fees and expenses of PM covered the period of January 1, 2020 through March 31, 2020 in the amount of \$3,326.00; all such fees and costs were approved by the Court and subsequently paid to PM. [See Dkt. Nos. 161-163, 171, and 173.] The Receiver did not seek compensation for fees and expenses of PM during the period of April 1, 2020 through June 30, 2020, as PM did not provide any services during that Application Period.

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<sup>11</sup> This amount incorporates an additional \$100,000 reduction (over and above the hourly fee cap reduction) as agreed by AlixPartners. Additionally, AlixPartners agreed to a holdback of 25% of the reduced fees which it agrees will only be paid upon approval of a final fee application at the closing of this case.



**D. Dickler Kahn**

34. ***First***, the Application covers the period from July 1, 2020 to and including September 30, 2020.

35. ***Second***, the Receiver retained Dickler Kahn as counsel for the turnover of control of condominiums from the developer to a board of managers comprised of a majority of unit owners at the properties located at (1) 486 N. Lakeshore Drive, Palatine, IL 60067; and (2) 106 Surrey Drive, Glen Ellyn, IL 60137 (the “Surrey Property”).<sup>12</sup> During the Application Period, Dickler Kahn continued to provide legal services relating to the contractually required turnover of certain condominium associations relating with the sales of the Palatine and Surrey Properties Receivership Defendants.

36. ***Third***, the *Certification of Dickler Kahn in Support of the Application* is attached hereto **Exhibit G** and incorporated herein by reference.

37. ***Fourth***, James A. Slowikowski was the only Dickler Kahn professional or paraprofessional who billed time on this matter during the Application Period, and his hourly rate is \$300.00

38. ***Fifth***, the Application constitutes the second interim application for the fees and expenses of Dickler Kahn. The Receiver’s first interim application for compensation for Dickler Kahn covered fees and expenses from April 1, 2020 through June 30, 2020 in the amount of \$960.00; all such fees and costs were approved by the Court and subsequently paid to Dickler Kahn [*See* Dkt. Nos. 194-195, 200, 216.]

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<sup>12</sup> The Receiver’s general counsel does not practice in the area of condominium and homeowner association law thus rendering the engagement of additional counsel necessary in order to ensure the efficient and proper execution of the turnover process in accordance with all statutory requirements.

**E. ALAP**

39. ***First***, the Application covers the period from July 1, 2020 to and including September 30, 2020.

40. ***Second***, the Receiver retained ALAP as an accountant to assist KRD with the Receivership Defendants 2019 tax filings. During the Application period ALAP providing such assistance to KRD. As noted in the Receiver's Fourth Report (as defined below) and the ALAP Rate Motion, ALAP was the Receivership Defendants' prior tax accountant, and therefore had valuable knowledge as to the Receivership Defendants that was utilized to cost-effectively complete tax work in conjunction with KRD's efforts.

41. ***Third***, the *Certification of ALAP in Support of the Application* is attached hereto **Exhibit H** and incorporated herein by reference.

42. ***Fourth***, Michael A. Pazur, CPA was the only ALAP professional or paraprofessional who billed time on this matter during the Application Period, and his hourly rate is \$200.00

43. ***Fifth***, this Application constitutes the first interim application for the fees and expenses of ALAP.

**V. Case Status**

44. Pursuant to the Billing Instructions, the Receiver must provide information regarding the services performed and activities undertaken by Fox Swibel, KRD, AlixPartners, Dickler Kahn, PM, and ALAP during the Application Period. In support of the Application and Motion, the Receiver incorporates by reference the Receiver's Report for the Period of July 1, 2020 through September 30, 2020 (the "**Fourth Receiver Report**"), including the Third Amended Liquidation Plan attached to the Fourth Receiver Report. [Dkt. 202.] The Fourth Receiver

Report and Amended Liquidation Plan contain a description of the work performed by Fox Swibel, KRD, AlixPartners, Dickler Kahn, PM, and ALAP during the Application Period and attach the Receiver's Standardized Fund Accounting Report ("SFAR") for the Application Period. Moreover, the Fourth Receiver Report sets forth the relevant balances of the Operating Accounts as of September 30, 2020.

45. As of September 30, 2020, the balance in the Receivership accounts, including Operating Accounts, was approximately \$12,512,507.84.<sup>13</sup>

## **VI. BILLING ADDRESSED IN THIS APPLICATION**

46. Pursuant to the Billing Instructions, the Receiver provides the following information regarding current billing:

a. Total Compensation and Expenses Requested.

47. In connection with the legal services provided to the Receiver by Fox Swibel, the Receiver respectfully requests compensation for services rendered, along with reimbursement of expenses, totaling \$215,420.97 for the Application Period. The fees requested during the Application Period total \$204,771.50 and the expenses for the Application Period total \$10,649.47. True and correct copies of Fox Swibel's invoices for the Application Period are attached hereto as **Exhibit I**. A summary of the requested expenses and true and correct copies of invoices and other documents substantiating the requested expenses are attached hereto as group **Exhibit J**.

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<sup>13</sup> Since September 30, 2020, payment of fees and costs for professionals for the April to June 2020 period has occurred (as set forth in the chart above). Also, closing on the Timber Lake Property is currently scheduled for December 8, 2020, at which time the Receiver anticipates receiving in excess of \$28 million (i.e. available cash will be significantly higher by the time any objection period for this Application runs).

48. In connection with the accounting services provided to the Receiver by KRD, the Receiver and KRD respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$24,620.00 for the Application Period. The fees requested during the Application Period total \$24,620.00. True and correct copies of KRD's invoices for the Application Period are attached hereto as **Exhibit K**. No expenses are requested.

49. In connection with the forensic accounting services provided to the Receiver by AlixPartners, the Receiver and AlixPartners respectfully requests compensation for services rendered, along with reimbursement of expenses, totaling \$205,749.72 for the Application Period (this amount is net of the agreed upon holdback of \$68,420.00). The fees requested during the Application Period total \$205,260.00 (this amount is net of the agreed upon holdback of \$68,420.00) and the expenses for the Application Period total \$489.72.<sup>14</sup> True and correct copies of AlixPartners' invoices for the Application Period are attached hereto as **Exhibit L**.

50. In connection with the legal services provided to the Receiver by Dickler Kahn, the Receiver and Dickler Kahn respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$810.00 for the Application Period. The fees requested during the Application Period total \$810.00. A true and correct copy of Dickler Kahn's invoices for the Application Period is attached hereto as **Exhibit M**. No expenses are requested.

51. In connection with the accounting services provided to the Receiver by ALAP, the Receiver and ALAP respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$13,070.00 for the Application Period. The fees requested during the Application Period total \$13,070.00 for the Application Period. A true and correct

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<sup>14</sup> The Receiver has reviewed and confirmed the validity of all expenses requested by AlixPartners for the Application Period.

copy of ALAP's invoices for the Application Period is attached hereto as Exhibit N. No expenses are requested.

52. In total, the Receiver is requesting authority to pay a total of \$459,670.69 (\$448,531.50 in fees and \$11,139.19 in expenses) to Fox Swibel, KRD, AlixPartners, Dickler Kahn, and ALAP for services rendered and expenses incurred during the Application Period.

53. b. Source of Funds for Requested Compensation and Expenses. The Receiver requests payment of the foregoing fees and expenses from the Receiver's accounts at the Receiver's reasonable discretion. As of September 30, 2020, the cash on hand was approximately \$12,849,821.64. [See Dkt. No.202 (Fourth Receiver Report) at 8.]

c. Previous Application for Payment of Professional Fees and Expenses. With respect to Fox Swibel, this is the fourth interim fee application. In the first application, \$114,779.88 in fees and costs was sought, and \$114,779.88 in fees and costs was approved by the Court and such amount has since been paid to Fox Swibel. [See Dkt. Nos. 76 and 93.]; in the second application \$68,045.66 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel; in the third application \$146,712.17 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel; in the fourth application \$182,623.01 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 118-120, 143-147, 161-163, 171, 173, 194-195, 200, 216.] With respect to the non-Fox Swibel professionals, this is the Receiver's third interim fee application. In the first application \$3,767.50; \$143,130.16; and \$5,487.50 in fees and costs were sought for KRD, AlixPartners and PM, respectively, and all such fees and costs were approved by the Court and subsequently paid to the aforementioned professionals; in the second application \$3,978.71;

\$598,630.51; and \$3,326.00 were sought for KRD, AlixPartners and PM, respectively, and all such fees and costs were approved by the court and subsequently paid to the aforementioned professionals; in the third interim application \$2,175.00; \$419,742.09; \$960.00 in fees and costs were sought for KRD, AlixPartners, and Dickler Kahn, respectively, and all such fees and costs were approved by the Court. [See Dkt. Nos. 118-120, 143-147, 161-163, 171, 173, 194-195, 200, 216.] No fees and costs have been sought for ALAP prior to this Application.

d. Summary of Activity. A “Summary of Activity” providing the total hours billed and the amount of billing for each person who billed time during the Application Period precedes each of the professionals’ invoices (*see Exhibits I, K, and L*).<sup>15</sup>

## **VII. NO OBJECTION BY THE SEC**

50. Counsel for the SEC has indicated that the SEC has reviewed and approved the fees and costs requested herein.

## **VIII. CONCLUSION**

WHEREFORE, for the foregoing reasons, the Receiver respectfully requests that the Court approve the Application as follows:

a. finding the fees and expenses of counsel for the Receiver at Fox Swibel (as set forth in Exhibit I and Exhibit J) to be reasonable and necessary to the Receivership;

b. finding the fees of KRD as accountant for the Receiver (as set forth in Exhibit K) to be reasonable and necessary to the Receivership;

c. finding the fees and expenses of AlixPartners as forensic accountant for the Receiver (as set forth in Exhibit L) to be reasonable and necessary to the Receivership;

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<sup>15</sup> Because Dickler Kahn and ALAP each had just one professional bill time during this Application Period, Summaries of Activity related to their work are not included as exhibits.

- d. finding the fees of Dickler Kahn as counsel to the Receiver (as set forth in Exhibit M) to be reasonable and necessary to the Receivership;
- f. finding the fees of ALAP as accounting consultant to the Receiver (as set forth as Exhibit N) to be reasonable and necessary to the Receivership; and
- g. granting all other or further relief that is just or proper.

Dated: November 16, 2020

N. Neville Reid, Receiver

By: /s/ Ryan T. Schultz

N. Neville Reid  
Ryan T. Schultz  
L. Brandon Liss  
Fox Swibel Levin & Carroll LLP  
200 West Madison Street, Suite 3000  
Chicago, IL 60606  
Tel: 312.224.1200  
Fax: 312.224.1201  
nreid@foxswibel.com  
rschultz @foxswibel.com  
bliss@foxswibel.com

**EXHIBIT A**

[Certificate of Fox Swibel in Support of Fee Application]

(see attached)



**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

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**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

**Defendants.**

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**Civil Action No. 19-cv-05957**

**Hon. John Z. Lee**

**Magistrate Judge Susan E. Cox**

**CERTIFICATION OF FOX SWIBEL IN SUPPORT OF THE APPLICATION**

I, Ryan T. Schultz, Esq., counsel for the Receiver, hereby certify (the “Certification”) the following on behalf of Fox Swibel Levin & Carroll LLP (“Fox Swibel”), counsel for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.

2. I am an attorney at the law firm of Fox Swibel.

3. Fox Swibel is submitting this Certification in support of the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.

4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. Fox Swibel has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which Fox Swibel justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), Fox Swibel requests reimbursement only for the amount billed to Fox Swibel by the third-party vendor and paid by Fox Swibel to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, the Receiver has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.

  
\_\_\_\_\_  
Ryan T. Schultz, Esq.

Dated: November 16, 2020

**EXHIBIT B**

[Hourly Rates of Fox Swibel Attorneys and Paraprofessionals]

(see attached)

**Rate Schedule for Professionals and Paraprofessionals During Application Period**

<b>Professional/Paraprofessional</b>	<b>Role</b>	<b>2020 Published Rates</b>	<b>Approved Discounted Rates</b>
N. Neville Reid	Receiver and Counsel	\$550.00	\$385.00
Ryan T. Schultz	Primary Counsel to Receiver	\$465.00	\$360.00
Terrence Stein	Counsel to Receiver (Tax)	\$575.00	\$385.00
Stephanie Shellenback	Counsel to Receiver (Real Estate)	\$520.00	\$385.00
L. Brandon Liss	Counsel to Receiver (Litigation)	\$370.00	\$285.00
Kenneth M. Thomas	Counsel to Receiver (Litigation)	\$365.00	\$280.00
Scott Schonfeld	Counsel to Receiver (Real Estate)	\$360.00	\$280.00
Margy Bogdanowicz	Paralegal (Real Estate)	\$235.00	\$180.00
Eric Anderson	Paralegal (Litigation)	\$235.00	\$180.00
Danielle Gac	Paralegal (Real Estate)	\$235.00	\$180.00
Erica Navarro	Paralegal (Corporate)	\$235.00	\$180.00

**EXHIBIT C**

[Certificate of KRD in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

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**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

**Defendants.**

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) **Civil Action No. 19-cv-05957**  
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)  
) **Hon. John Z. Lee**  
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)  
) **Magistrate Judge Susan E. Cox**  
)  
)

**CERTIFICATION OF KRD IN SUPPORT OF THE APPLICATION**

I, Lois West, accountant for the Receiver, hereby certify (the “Certification”) the following on behalf Kutchins, Robbins & Diamond Ltd. (“KRD”), accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.

2. I am an accountant at KRD.

3. KRD is submitting this Certification in support of the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.

4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. KRD has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which KRD justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), KRD requests reimbursement only for the amount billed to KRD by the third-party vendor and paid by KRD to such vendor.


9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, KRD has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.



FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.

  
\_\_\_\_\_  
Lois West

Dated: November 16 2020

**EXHIBIT D**

[Hourly Rates of KRD Professionals and Paraprofessionals]

(see attached)

**Rate Schedule for Professionals and Paraprofessionals During Application Period**

<b>Professional/Paraprofessional</b>	<b>Role</b>	<b>2020 Published Rates</b>	<b>Approved Discounted Rates</b>
Lois West	Partner / Manager	\$375.00	\$275.00
Clarissa Marquez	Tax Staff	\$100.00	\$100.00
Marzena Bizon	Tax Staff	\$100.00	\$100.00
Emily Moore	Tax Staff	\$100.00	\$100.00
Casee Griffin	Tax Staff	\$175.00	\$175.00

**EXHIBIT E**

[Certificate of AlixPartners in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

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**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

**Defendants.**

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)  
) **Civil Action No. 19-cv-05957**  
)

) **Hon. John Z. Lee**  
)

) **Magistrate Judge Susan E. Cox**  
)  
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**CERTIFICATION OF ALIXPARTNERS IN SUPPORT OF THE APPLICATION**

I, Denis O'Connor, forensic accountant for the Receiver, hereby certify (the "Certification") the following on behalf AlixPartners, LLP ("AlixPartners"), forensic accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.

2. I am a forensic accountant at AlixPartners.

3. AlixPartners is submitting this Certification in support of the *Receiver's Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver's Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.

4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. AlixPartners has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which AlixPartners justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), AlixPartners requests reimbursement only for the amount billed to AlixPartners by the third-party vendor and paid by AlixPartners to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, AlixPartners has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.

  
\_\_\_\_\_  
Denis O'Connor

Dated: November 15, 2020

**EXHIBIT F**

[Hourly Rates of AlixPartners Professionals and Paraprofessionals]

(see attached)



**Rate Schedule for Professionals and Paraprofessionals During Application Period**

<b>Professional/Paraprofessional</b>	<b>Role</b>	<b>2020 Published Rates</b>	<b>Approved Discounted Rates*</b>
Denis O'Connor	Managing Director	\$800.00	\$550.00
Vineet Sehgal	Managing Director	\$800.00	\$550.00
Thomas Hofner	Director	\$700.00	\$550.00
Bill Kingsford	Director	\$700.00	\$550.00
Travis Phelan	Director	\$700.00	\$550.00
Robert Losier	Senior Vice President	\$650.00	\$550.00
Jimelle Aquino	Senior Vice President	\$650.00	\$550.00
Cindy Wan	Vice President	\$445.00	\$550.00
Derrick Irving	Paraprofessional	\$315.00	\$550.00
<b>*Approved discounted rates reflect AlixPartners' blended rate.</b>			

**EXHIBIT G**

[Certificate of Dickler Kahn in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

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**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

**Defendants.**

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**Civil Action No. 19-cv-05957**

**Hon. John Z. Lee**

**Magistrate Judge Susan E. Cox**

**CERTIFICATION OF DICKLER KAHN IN SUPPORT OF THE APPLICATION**

I, James A. Slowikowski, Esq., counsel for the Receiver, hereby certify (the “Certification”) the following on behalf Dickler Kahn Slowikowski & Zavell, Ltd. (“Dickler Kahn”), counsel for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.

2. I am an attorney at the law firm of Dickler Kahn.

3. Dickler Kahn is submitting this Certification in support of the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.

4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. Dickler Kahn has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

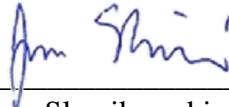
8. In seeking reimbursement for a service which Dickler Kahn justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), Dickler Kahn requests reimbursement only for the amount billed to Dickler Kahn by the third-party vendor and paid by Dickler Kahn to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, Dickler Kahn has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.

A handwritten signature in blue ink, appearing to read "Jim Slowikowski", is positioned above a horizontal line.

James A. Slowikowski

Dated: November 16, 2020

**EXHIBIT H**

[Certificate of ALAP in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION,</b>	)	
	)	
	)	<b>Civil Action No. 19-cv-05957</b>
<b>Plaintiff,</b>	)	
<b>v.</b>	)	<b>Hon. John Z. Lee</b>
	)	
<b>NORTHRIDGE HOLDINGS, LTD., ET AL.,</b>	)	
	)	<b>Magistrate Judge Susan E. Cox</b>
<b>Defendants.</b>	)	
	)	

**CERTIFICATION OF ALAP IN SUPPORT OF THE APPLICATION**

I, Michael A. Pazur, CPA, accountant for the Receiver, hereby certify (the “Certification”) the following on behalf ALAP Limited (“ALAP”), accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.
2. I am an accountant at the ALAP.
3. ALAP is submitting this Certification in support of the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.
4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. ALAP has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which ALAP justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), ALAP requests reimbursement only for the amount billed to ALAP by the third-party vendor and paid by ALAP to such vendor.


9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, ALAP has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.



FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.

  
\_\_\_\_\_  
Michael A. Pazur

Dated: November 16, 2020

**EXHIBIT I**

[Fox Swibel Invoices for July 2020 through September 2020]

(see attached)

	Billed Hours	Billed Amount
<b>Anderson, Eric B</b>		
R103 - Case Administration	10.1	1,818.00
R113 - Tax Issues	0.5	90.00
<b>Bogdanowicz, Margy</b>		
R101 - Asset Disposition	5.6	1,008.00
R116 - Asset Disposition – Timber Lake	31.6	5,688.00
R117 - Asset Disposition - Bartlett	1.4	252.00
R118 - Asset Disposition – Surrey	39.4	7,092.00
R119 - Asset Disposition – Elston	7.2	1,296.00
<b>Brenneman, Steven L</b>		
R117 - Asset Disposition - Bartlett	0.0	0.00
<b>Gac, Danielle</b>		
R118 - Asset Disposition – Surrey	0.6	108.00
<b>Liss, Brandon</b>		
R100 - Asset Analysis and Recovery	26.2	7,467.00
R101 - Asset Disposition	5.3	1,510.50
R103 - Case Administration	2.8	798.00
R104 - Claims Administration and Objections	23.7	6,754.50
R110 - Status Reports	10.4	2,964.00
R112 - Forensic Accounting	6.9	1,966.50
R113 - Tax Issues	0.1	28.50
R116 - Asset Disposition – Timber Lake	0.7	199.50
R119 - Asset Disposition – Elston	1.1	313.50
R121 - Fee Applications	18.8	0.00
<b>Navarro, Erica R</b>		
R102 - Business Operations	2.6	468.00
<b>Reid, Neville N</b>		
R100 - Asset Analysis and Recovery	29.8	11,473.00
R101 - Asset Disposition	1.2	462.00
R102 - Business Operations	4.8	1,848.00
R103 - Case Administration	11.8	3,619.00
R104 - Claims Administration and Objections	17.4	6,545.00
R110 - Status Reports	0.4	154.00
R112 - Forensic Accounting	4.4	1,694.00
R113 - Tax Issues	3.7	1,424.50
R116 - Asset Disposition – Timber Lake	40.6	15,631.00
R117 - Asset Disposition - Bartlett	0.6	231.00
R118 - Asset Disposition – Surrey	2.8	1,078.00
R119 - Asset Disposition – Elston	11.6	4,466.00
R120 - Distribution Plan	0.0	0.00
R121 - Fee Applications	2.8	0.00
<b>Schonfeld, Scott A</b>		
R100 - Asset Analysis and Recovery	2.4	744.00
R101 - Asset Disposition	3.7	1,147.00

	Billed Hours	Billed Amount
R116 - Asset Disposition – Timber Lake	2.5	775.00
<b>Schultz, Ryan T</b>		
R100 - Asset Analysis and Recovery	37.7	13,572.00
R101 - Asset Disposition	1.0	360.00
R102 - Business Operations	4.3	1,548.00
R103 - Case Administration	6.2	1,656.00
R104 - Claims Administration and Objections	19.3	6,552.00
R110 - Status Reports	5.2	1,872.00
R112 - Forensic Accounting	5.4	1,944.00
R116 - Asset Disposition – Timber Lake	28.6	10,296.00
R117 - Asset Disposition - Bartlett	1.6	576.00
R118 - Asset Disposition – Surrey	0.3	108.00
R119 - Asset Disposition – Elston	0.8	288.00
R121 - Fee Applications	7.6	0.00
<b>Shellenback, Stephanie B</b>		
R100 - Asset Analysis and Recovery	0.0	0.00
R101 - Asset Disposition	7.0	2,233.00
R116 - Asset Disposition – Timber Lake	61.3	23,600.50
R117 - Asset Disposition - Bartlett	5.0	1,925.00
R118 - Asset Disposition – Surrey	23.8	9,163.00
R119 - Asset Disposition – Elston	7.1	2,733.50
<b>Stein, Terry W</b>		
R113 - Tax Issues	20.6	7,931.00
<b>Thomas, Kenneth</b>		
R100 - Asset Analysis and Recovery	59.2	16,576.00
R103 - Case Administration	1.7	420.00
R104 - Claims Administration and Objections	33.1	9,268.00
R112 - Forensic Accounting	3.7	1,036.00
<b>Grand Total</b>	<b>676.0</b>	<b>204,771.50</b>

**FOX SWIBEL****Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000  
 Chicago, IL 60606  
 (312) 224-1200

October 26, 2020

N. Neville Reid

Invoice: 95409

File Number: 07183-001

Billed Through: 07/31/2020

**N. Neville Reid, not individually but as receiver/Northridge Receivership****PROFESSIONAL SERVICES*****Asset Analysis and Recovery***

07/06/2020	RTS	R100	Reviewed updated investor claims and third party claims spreadsheet	0.20 hrs @ 360.00/hr	72.00
07/17/2020	KT	R100	Call with B. Liss to discuss finder/net winner letters	0.10 hrs @ 280.00/hr	28.00
07/17/2020	LBL	R100	Call with K. Thomas re: demand letters (finders, net winners, net winner families)	0.10 hrs @ 285.00/hr	28.50
07/20/2020	KT	R100	Review chart and begin finders settlement letters	1.40 hrs @ 280.00/hr	392.00
07/24/2020	RTS	R100	Email to N. Reid et al. re: potential legal malpractice claims	0.10 hrs @ 360.00/hr	36.00
07/27/2020	KT	R100	Draft and revise settlement demand letters.	2.30 hrs @ 280.00/hr	644.00
07/28/2020	KT	R100	Draft and revise settlement demand letters.	4.40 hrs @ 280.00/hr	1,232.00
07/28/2020	RTS	R100	Telephone conference with E. Rice re: resolution of Tradewinds loan (.4); performed email search regarding tradewinds for information relating to investment and borrower (.8); draft settlement agreement re: Tradewinds loan (1.0)	2.20 hrs @ 360.00/hr	792.00
07/29/2020	KT	R100	Draft and revise demand letters for finders.	3.40 hrs @ 280.00/hr	952.00
07/29/2020	NNR	R100	Review settlement with Tradewinds and also review receivership order to confirm no court approval is required (.5); email to R. Schultz re: same (.1)	0.60 hrs @ 385.00/hr	231.00
07/29/2020	LBL	R100	Emails with R. Schultz re: letters to Northridge attorneys re: engagements/matters worked re: determining if claims against such attorneys	0.10 hrs @ 285.00/hr	28.50
07/30/2020	KT	R100	Draft and edit demand letters for net winners and finders.	0.90 hrs @ 280.00/hr	252.00
07/31/2020	RTS	R100	Drafted demand letter for Virgilio claims	1.50 hrs @ 360.00/hr	540.00

***Total for: Asset Analysis and***

***\$5,228.00***

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 2

### ***Asset Disposition***

07/02/2020	RTS	R101	Email to L. Gomez re: Miami condo sale status and issues (.1)	0.10 hrs @ 360.00/hr	36.00
07/20/2020	RTS	R101	Emails re: status of Miami condo and Vacant lot sales (.1)	0.10 hrs @ 360.00/hr	36.00
<b>Total for: Asset Disposition</b>					<b>\$72.00</b>

### ***Business Operations***

07/02/2020	RTS	R102	Email to 33 realty re: outstanding issues in lieu of weekly call and reviewed responses to same (.3)	0.30 hrs @ 360.00/hr	108.00
07/02/2020	NNR	R102	Resolve insurance issues related to the properties (including response to broker re: same and confer with Eric Weber re: need for any tail policy)	0.50 hrs @ 385.00/hr	192.50
07/10/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call (.5)	0.50 hrs @ 360.00/hr	180.00
07/10/2020	NNR	R102	Prepare for, participate in meeting with 33Realty (.5); call with C. Higgins re: personnel issues and payments (.2)	0.70 hrs @ 385.00/hr	269.50
07/16/2020	ERN	R102	Submit check request for filing fees; Resubmit Illinois annual report and Registered Agent name change to Secretary of State for G& C Mueller Family Partnership, Mueller Painting & Decorating L.P. and Ridgeview Group I Limited Partnership.	1.30 hrs @ 180.00/hr	234.00
07/17/2020	RTS	R102	Weekly status call with 33 Realty	0.50 hrs @ 360.00/hr	180.00
07/17/2020	NNR	R102	Status call with 33Realty and R. Schultz	0.50 hrs @ 385.00/hr	192.50
07/22/2020	ERN	R102	Research Form 202 for Northridge entities; discuss order with E. Anderson to determine what is needed.	0.60 hrs @ 180.00/hr	108.00
07/23/2020	ERN	R102	Contact Illinois Secretary of State regarding annual reports and registered agent name changes for G&C Mueller Family Limited Partnership, Mueller Painting & Decorating L.P. and Ridgeview Group I Limited Partnership,	0.40 hrs @ 180.00/hr	72.00
07/23/2020	ERN	R102	Revise the annual report for Ridgeview Group I Limited Partnership and resubmit to state; Obtain replacement checks for same.	0.30 hrs @ 180.00/hr	54.00
07/24/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call	0.50 hrs @ 360.00/hr	180.00
07/24/2020	NNR	R102	Status call with 33Realty	0.50 hrs @ 385.00/hr	192.50
07/31/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call	0.30 hrs @ 360.00/hr	108.00
<b>Total for: Business Operations</b>					<b>\$2,071.00</b>

### ***Case Administration***

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 3

**Case Administration**

07/06/2020	EBA	R103	Conference with receiver, Lois West and AXOS re Taxpayer Identification Number	0.50 hrs @ 180.00/hr	90.00
07/06/2020	KT	R103	Call with claimholder N. Radde. Call with R. Schultz to discuss procedure for claimholder calls	0.20 hrs @ 280.00/hr	N/C
07/06/2020	NNR	R103	Conference call, and resolve issues, re: tax ID number and settlement funds (with Gena S., Eric A. and Lois W.), and discussion with Ira B. re: his experience with same	0.60 hrs @ 385.00/hr	231.00
07/07/2020	NNR	R103	Discussion with Gena and T. Stein re: Tax ID numbers (.3); resolve issues re: insurance quotes and replacement policies (.10)	0.40 hrs @ 385.00/hr	154.00
07/07/2020	RTS	R103	Emails to and from R. Mitsos re: email production and privilege log status	0.10 hrs @ 360.00/hr	36.00
07/07/2020	NNR	R103	review, execute insurance documents	0.20 hrs @ 385.00/hr	77.00
07/09/2020	RTS	R103	Telephone conference with N. Reid re: status of all outstanding case matters (.8)	0.80 hrs @ 360.00/hr	288.00
07/10/2020	NNR	R103	Discussion with C. Higgins re: payroll issues and review her email re: same	0.20 hrs @ 385.00/hr	77.00
07/13/2020	LBL	R103	Emails with R. Schultz and C. Jelks re: service parties/Missouri Secretary of State	0.10 hrs @ 285.00/hr	28.50
07/14/2020	LBL	R103	Emails with C. Jelks and R. Schultz re: service list update re: Missouri Secretary of State	0.10 hrs @ 285.00/hr	28.50
07/17/2020	LBL	R103	Reviewed communications from MA Securities Division re: Case No. E-2019-0058 and emailed R. Schultz and N. Reid re: same	0.10 hrs @ 285.00/hr	28.50
07/17/2020	NNR	R103	Return investor call	0.20 hrs @ 385.00/hr	N/C
07/20/2020	RTS	R103	Email to M. Foster re: case status (.3); review and email to 33 Realty re: June invoice (N/C .2)	0.30 hrs @ 360.00/hr	108.00
07/21/2020	EBA	R103	Conferred with E. Navarro re LP corporate filings with N. Reid as Receiver	0.10 hrs @ 180.00/hr	18.00
07/21/2020	NNR	R103	Answer T. De Leo questions re: Emerson property	0.10 hrs @ 385.00/hr	38.50
07/22/2020	EBA	R103	Conferred with E. Navarro re SOS annual report/change of registered agent issues	0.40 hrs @ 180.00/hr	72.00
07/24/2020	RTS	R103	Drafted agenda for all hands status call	0.30 hrs @ 360.00/hr	108.00
07/24/2020	LBL	R103	Call with K. Thomas re: demand letters, receiver report, fee application and distribution of outstanding items re: same (.1); managed case files re: recent court filings (.1); emails with C. Jelks re: document management and deadline tracking (.1)	0.30 hrs @ 285.00/hr	85.50
07/27/2020	KT	R103	Participate in internal team update call.	0.50 hrs @ 280.00/hr	140.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 4

### ***Case Administration***

07/27/2020	EBA	R103	Conferred with Receiver re Tax ID and creation of Settlement Fund (.1) telephone call to Julia Damasco re same (.1)	0.20 hrs @ 180.00/hr	36.00
07/27/2020	NNR	R103	Status call with R. Schultz and team re: open issues, next steps, status of other issues	0.50 hrs @ 385.00/hr	192.50
07/27/2020	RTS	R103	Telephone conference with N. Reid et al. re: all hands status of all open matters (.5)	0.50 hrs @ 360.00/hr	180.00
07/27/2020	LBL	R103	Fox Swibel team status call re: outstanding action items	0.50 hrs @ 285.00/hr	142.50
07/29/2020	EBA	R103	Telephone call with K. Pritchard re TAX ID and Settlement Fund creation	0.30 hrs @ 180.00/hr	54.00
07/30/2020	EBA	R103	Conferred with K. Pritchard re Tax ID for Qualified Settlement Fund (.3); conferred with L. West re same (.5); email exchange with Receiver (.1)	0.90 hrs @ 180.00/hr	162.00
07/31/2020	NNR	R103	Status call with 33Realty and follow up email to counsel for PI case	0.40 hrs @ 385.00/hr	154.00
07/31/2020	EBA	R103	Conference call with Receiver and L. West re obtaining Tax ID number	0.20 hrs @ 180.00/hr	36.00
07/31/2020	NNR	R103	Telephone conference with R. Schultz re: status of all outstanding case matters	0.80 hrs @ 385.00/hr	308.00
07/31/2020	NNR	R103	Finalize review of report and sign the same (.2); sign payroll tax returns (.2)	0.40 hrs @ 385.00/hr	154.00
<b>Total for: Case Administration</b>					<b>\$3,027.50</b>

### ***Claims Administration and Objections***

07/02/2020	KT	R104	Review and revise claims administration motion. (1.3) Participate in receivership update call. (.7)	2.00 hrs @ 280.00/hr	560.00
07/06/2020	RTS	R104	Drafted declaration of AlixPartners in support of motion to approve claims procedures (1.3); revised motion to approve claims procedures (.5); email to AlixPartners re: same (.1)	1.90 hrs @ 360.00/hr	684.00
07/06/2020	LBL	R104	Call with K. Thomas re: claims procedures exhibits, demand letters, motion re: authority to settle claims, fee application (.1); emails with R. Schultz re: same (.1)	0.20 hrs @ 285.00/hr	57.00
07/09/2020	LBL	R104	Emails with R. Schultz and K. Thomas re: claims procedures/related exhibits (.1); reviewed AlixPartners proof of claims template (.1); call with K. Thomas re: claims procedures/related exhibits (.1)	0.30 hrs @ 285.00/hr	85.50
07/09/2020	KT	R104	Draft, review and revise exhibits to Claims Procedure Motion	5.60 hrs @ 280.00/hr	1,568.00



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 5

***Claims Administration and Objections***

07/10/2020	KT	R104	Call with R. Schultz to discuss edits to claims motion proposed order and other exhibits. (.4) Participate in team call to discuss edits re: same. (.7) Review and revise documents to reflect edits. (.8)	1.90 hrs @ 280.00/hr	532.00
07/10/2020	RTS	R104	Reviewed and revised AlixPartners declaration in support of claims procedure motion (.5); Reviewed AlixPartners comments to Claims Procedures motion (.2); reviewed draft exhibits to claim procedure motion and revised same (.5); Telephone conference with K. Thomas re: revisions to draft exhibits to claim procedure motion (.4); Telephone conference with AlixPartners re: claims procedures and supporting declaration (.7)	2.30 hrs @ 360.00/hr	828.00
07/13/2020	KT	R104	Review and revise claims administration motion, proposed order and exhibits including incorporating comments.	3.50 hrs @ 280.00/hr	980.00
07/14/2020	KT	R104	Finalize, draft and edit claims administration motion, proposed order and other exhibits including incorporating comments.	3.70 hrs @ 280.00/hr	1,036.00
07/15/2020	NNR	R104	Review declaration by AlixPartners (.2); analyze, review claims distribution motion and clarify some concepts therein (1.3)	1.50 hrs @ 385.00/hr	577.50
07/16/2020	NNR	R104	Review, edit motion to approve claims process and pooling of assets of receivership entities for distribution purposes	1.50 hrs @ 385.00/hr	577.50
07/18/2020	NNR	R104	Review, edit claims distribution motion and email to team re: same	2.30 hrs @ 385.00/hr	885.50
07/20/2020	KT	R104	Participate in team call with Alix Partners. (.2) Call with R. Schultz about the claims motion and declaration. (.1) Draft and revise claims motion and declaration. (1.9)	2.20 hrs @ 280.00/hr	616.00
07/20/2020	RTS	R104	Revised claims procedure motion and all supporting exhibits and declaration	4.70 hrs @ 360.00/hr	1,692.00
07/21/2020	NNR	R104	Review, edit revised claims procedure motion and notice of filing proof of claim (1.3); review proof claim form and compare to forms, and email to AlixPartners re: same (.4)	1.70 hrs @ 385.00/hr	654.50
07/21/2020	RTS	R104	Reviewed revised claims procedure motion (.2); Telephone conference with AlixPartners re: declaration in support of claims procedure motion (.2); revised same (.2); reviewed AlixPartners's edits to claims procedure motion exhibits and declaration (.3); emails among Fox team re: finalizing same (.3)	1.20 hrs @ 360.00/hr	432.00
07/21/2020	KT	R104	Draft and revise edits to claims procedure motion, declaration and exhibits.	1.80 hrs @ 280.00/hr	504.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 6

### ***Claims Administration and Objections***

07/22/2020	RTS	R104	Reviewed revised claims procedure motion and all exhibits and supporting declaration, revised same (1.0); email to M. Foster re: same (.1)	1.10 hrs @ 360.00/hr	396.00
07/22/2020	LBL	R104	Researched and analyzed authority for claims procedure motion re: net investor/cash in cash out methodology (1.3); emails to/from R. Schultz, N. Reid, and K. Thomas re: same (.4); call with N. Reid re: same (.1)	1.80 hrs @ 285.00/hr	513.00
07/22/2020	NNR	R104	Review/revise proof of claim form and discussion with AlixPartners re: same	0.50 hrs @ 385.00/hr	192.50
07/22/2020	NNR	R104	Search NAFER materials and investigate/review case law in support of "cash in/cash out" proposition and brief discussion with Brandon Liss re: same	0.70 hrs @ 385.00/hr	269.50
07/28/2020	NNR	R104	Review, edit claims procedure motion and convey comments to group	0.40 hrs @ 385.00/hr	154.00
07/30/2020	KT	R104	Draft and revise motion for authority to settle claims without court approval	1.50 hrs @ 280.00/hr	420.00
07/31/2020	KT	R104	Draft and revise claims settlement motion (.5) call with Alix Partners to discuss claims administration (.5)	1.00 hrs @ 280.00/hr	280.00

***Total for: Claims Administration and*** ***\$14,494.50***

### ***Status Reports***

07/22/2020	RTS	R110	Drafted second amended liquidation plan (.6)	0.60 hrs @ 360.00/hr	216.00
07/23/2020	RTS	R110	Drafting second amended liquidation plan (1.5); drafting third receiver report (1.5)	3.00 hrs @ 360.00/hr	1,080.00
07/23/2020	LBL	R110	Reviewed financial documents needed for receiver's report and emailed applicable parties requesting same	0.30 hrs @ 285.00/hr	85.50
07/24/2020	RTS	R110	Drafted and revised third receiver report and second amended liquidation plan (1.0)	1.00 hrs @ 360.00/hr	360.00
07/24/2020	LBL	R110	Emails with R. Podorovsky (Axos) and E. Weber (33 Realty) re: financials for Receiver Report (.2); reviewed and revised draft Receiver Report/Liquidation Plan (2.8)	3.00 hrs @ 285.00/hr	855.00
07/25/2020	LBL	R110	Reviewed and revised draft Receiver Report/Liquidation Plan	1.70 hrs @ 285.00/hr	484.50
07/26/2020	LBL	R110	Reviewed and revised draft receiver report/liquidation plan (.7); emails with R. Schultz and N. Reid re: same and professionals re: same/obtaining billing data for Third Receiver Report (.3)	1.00 hrs @ 285.00/hr	285.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 7

### ***Status Reports***

07/27/2020	LBL	R110	Revised draft Third Receiver Report and worked on related exhibits (1.6); emails with professionals re: exhibits/financials for same (.1); emailed R. Schultz and N. Reid re: same (.1); reviewed N. Reid comments re: same and emailed follow-up comments/questions in response and to Axos re: same (.2)	2.00 hrs @ 285.00/hr	570.00
07/27/2020	NNR	R110	Edit, revise quarterly report and SFAR	0.40 hrs @ 385.00/hr	154.00
07/27/2020	RTS	R110	Reviewed revisions to third receiver report from N. Reid and responded to questions re: same	0.20 hrs @ 360.00/hr	72.00
07/28/2020	LBL	R110	Emails with Axos and N. Reid re: follow up explanations of SFAR figures	0.10 hrs @ 285.00/hr	28.50
07/30/2020	LBL	R110	Reviewed draft receiver report/related materials to confirm remaining outstanding information/materials and emailed R. Schultz and N. Reid re: same	0.30 hrs @ 285.00/hr	85.50
07/31/2020	LBL	R110	Reviewed emails sent from AlixPartners re: scope of work performed during third reporting period and agreed discounted services (.1); revised receiver report (1.4); emails with N. Reid and R. Schultz re: revised receiver report (.2); coordinated filing of same (.1); managed case files re: filed versions and sent same to AlixPartners for posting to case website (.2)	2.00 hrs @ 285.00/hr	570.00
07/31/2020	RTS	R110	Finalizing Receiver's third report and second amended liquidation plan	0.40 hrs @ 360.00/hr	144.00

### ***Total for: Status Reports***

***\$4,990.00***

### ***Forensic Accounting***

07/02/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call and support for motion to approve claims procedures (.6)	0.60 hrs @ 360.00/hr	216.00
07/02/2020	NNR	R112	Conference with Alix Partners and RTS re: financial analysis of investor accounts, status, distribution motion and next steps and review powerpoint re: same	0.60 hrs @ 385.00/hr	231.00
07/02/2020	LBL	R112	Telephone conference with AlixPartners and Fox Swibel team re: status of AlixPartners tasks	0.70 hrs @ 285.00/hr	199.50
07/10/2020	LBL	R112	AlixPartners/Fox Swibel status telephone conference re: status of forensic accounting items, claims procedures	0.70 hrs @ 285.00/hr	199.50
07/20/2020	NNR	R112	Status call with AlixPartners	0.20 hrs @ 385.00/hr	77.00
07/20/2020	LBL	R112	Status call with Fox Swibel and AlixPartners	0.20 hrs @ 285.00/hr	57.00
07/24/2020	LBL	R112	Fox Swibel/AlixPartners status telephone conference	0.70 hrs @ 285.00/hr	199.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 8

### ***Forensic Accounting***

07/24/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call (claims process and insider claims analysis)	0.70 hrs @ 360.00/hr	252.00
07/24/2020	NNR	R112	Status call with AlixPartners re: status, update on research and next steps	0.70 hrs @ 385.00/hr	269.50
07/24/2020	KT	R112	Call with Alix Partners to discuss claims administration.	0.70 hrs @ 280.00/hr	196.00
07/31/2020	LBL	R112	Status call with Fox Swibel and AlixPartners teams	0.50 hrs @ 285.00/hr	142.50
07/31/2020	NNR	R112	Conference call with AlixPartners and team re: updates	0.50 hrs @ 385.00/hr	192.50
07/31/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call	0.50 hrs @ 360.00/hr	180.00

***Total for: Forensic Accounting*** **\$2,412.00**

### ***Tax Issues***

07/31/2020	TWS	R113	Research re: EIN for qualified settlement fund	3.00 hrs @ 385.00/hr	1,155.00
07/31/2020	NNR	R113	Address/resolve TAX ID and IRS interest disallowance issues with Lois West, Eric Anderson and Terry Stein	0.30 hrs @ 385.00/hr	115.50

***Total for: Tax Issues*** **\$1,270.50**

### ***Asset Disposition – Timber Lake***

07/01/2020	NNR	R116	Review, edit, revise sale order (including to include concept of sale to alternative bidder)	0.50 hrs @ 385.00/hr	192.50
07/01/2020	RTS	R116	Emails to and from N. Reid re: form PSA comments (.2)	0.20 hrs @ 360.00/hr	72.00
07/01/2020	SBS	R116	Attention to emails regarding form of PSA	0.40 hrs @ 385.00/hr	154.00
07/06/2020	SBS	R116	Attention to emails re: sale issues	0.10 hrs @ 385.00/hr	38.50
07/07/2020	NNR	R116	Review bids and participate in conference call with D. Cohen, R. Schultz and S. Shellenback re: next steps, strategy	1.00 hrs @ 385.00/hr	385.00
07/07/2020	RTS	R116	Emails to and from D. Cohen re: best and final round status (.1); emails among N. Reid and 33 Realty re: issues with property raised by G. Mueller (.2)	0.30 hrs @ 360.00/hr	108.00
07/07/2020	NNR	R116	Resolve issues re: potential violations of ordinance related to the property and email to monico firm, R. Schultz and 33Realty re: same	0.30 hrs @ 385.00/hr	115.50
07/08/2020	SBS	R116	Attention to email re: sale issues	0.10 hrs @ 385.00/hr	38.50
07/09/2020	SBS	R116	Attention to pre-closing inspection questions (.2); brief review of summary materials on bids (.3); call with CBRE team to review bids (.8)	1.30 hrs @ 385.00/hr	500.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 9

***Asset Disposition – Timber Lake***

07/09/2020	RTS	R116	Telephone conference with CBRE re: review of best and final offers	0.80 hrs @ 360.00/hr	288.00
07/10/2020	SBS	R116	Attention to email (.3); attention to water bill questions (.2)	0.50 hrs @ 385.00/hr	192.50
07/14/2020	SBS	R116	Brief review of bidder materials (.3); attention to specific performance remedy (.1)	0.40 hrs @ 385.00/hr	154.00
07/14/2020	NNR	R116	Review bids (.8), review markup of comments to the PSA (1.3); prepare for buyer interviews (.8)	2.90 hrs @ 385.00/hr	1,116.50
07/15/2020	SBS	R116	Reviewed buyer submission materials in advance of buyer interviews (2.3); Buyer interviews (with N. Reid, R. Schultz and D. Cohen) (3.0)	5.30 hrs @ 385.00/hr	2,040.50
07/15/2020	NNR	R116	Further review bids, draft outline re: questions and email dialogue with team re: interviews this afternoon (2.3); prepare for, conduct interview of buyers for Timberlake (3.5); follow up discussion with R. Schultz re: initial reaction (.2)	6.00 hrs @ 385.00/hr	2,310.00
07/15/2020	RTS	R116	Prepared for buyer interviews (1.0); conducted buyer interviews (3.5); telephone conference with N. Reid re: buyer interviews (.2)	4.70 hrs @ 360.00/hr	1,692.00
07/16/2020	SBS	R116	Attention to PSA comments provided by buyer bidders to assist in analysis of offers	1.20 hrs @ 385.00/hr	462.00
07/16/2020	SAS	R116 A104	Review title and survey for timber lake.	2.50 hrs @ 310.00/hr	775.00
07/17/2020	SBS	R116	Attention to review of various offer, including that from Turner Impact (1.0); call with Turner Impact team (.6); call with N. Reid, R. Schultz and D. Cohen to review offers (.3)	1.90 hrs @ 385.00/hr	731.50
07/17/2020	RTS	R116	Reviewed updated buyer offers (.1); revised offer matrix (.1); reviewed Turner buyer materials and revised offer(.1); email to D. Cohen et al. re: Turner revised offer (.1); buyer interview (Turner) (.6); Telephone conference with D. Cohen et al. re: review of all final offers (.3)	1.40 hrs @ 360.00/hr	504.00
07/17/2020	NNR	R116	Review Turner bid materials (.7); participate in buyer interview with team (.6); follow up discussion with R. Schultz, S. Shellenback, D. Cohen re: same (.3)	1.60 hrs @ 385.00/hr	616.00
07/20/2020	SBS	R116	Calls with CBRE, N. Reid and R. Schultz on offers (.9); reviewed PSA comments from contending bidders (.2)	1.10 hrs @ 385.00/hr	423.50
07/20/2020	RTS	R116	Met w N. Reid re: selection of buyer (.5); Telephone conferences with D. Cohen re: selection of buyer (.9); emails re: selection of buyer (.2)	1.60 hrs @ 360.00/hr	576.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 10

***Asset Disposition – Timber Lake***

07/20/2020	NNR	R116	Discussion with D. Cohen, R. Schultz and S. Shellenback re: strategy, next steps, evaluation of final offers	0.30 hrs @ 385.00/hr	115.50
07/20/2020	NNR	R116	Discussion with R. Schultz re: strategy on sale of Timberlake	0.10 hrs @ 385.00/hr	38.50
07/20/2020	NNR	R116	respond to D. Cohen email re: response to Manna	0.20 hrs @ 385.00/hr	77.00
07/20/2020	NNR	R116	discussion with R. Schultz, S. Shellenback and D. Cohen re: evaluation of offers	0.40 hrs @ 385.00/hr	154.00
07/21/2020	RTS	R116	Emails re: setting up kick off call with buyer	0.10 hrs @ 360.00/hr	36.00
07/21/2020	NNR	R116	Respond to various emails re: next steps for TL property and reaction of other buyers	0.20 hrs @ 385.00/hr	77.00
07/21/2020	SBS	R116	Attention to Buyer's narrative comments on PSA	0.10 hrs @ 385.00/hr	38.50
07/22/2020	RTS	R116	Telephone conference with Turner Impact and counsel re: deal kick-off call (.4); email from Turner re: initial due diligence and touring units (.1)	0.50 hrs @ 360.00/hr	180.00
07/22/2020	NNR	R116	Discussion with Turner team and S. Shellenback and R. Schultz re: kick off call for sale of TL	0.40 hrs @ 385.00/hr	154.00
07/22/2020	SBS	R116	Reviewed Turner Impact's outline of comments on PSA (.3); prepared for kick-off call with Turner Impact (.1); call with Turner Impact and its counsel (.4); reviewed due diligence requests (.7)	1.50 hrs @ 385.00/hr	577.50
07/23/2020	SBS	R116	Call with R. Storck re: due diligence	0.40 hrs @ 385.00/hr	154.00
07/24/2020	RTS	R116	Telephone conference with G. Kim re: coordination of inspection of units and other open issues (.3)	0.30 hrs @ 360.00/hr	108.00
07/25/2020	SBS	R116	Reviewed Purchaser's comments on PSA (1.0); call with N. Reid to discuss PSA (.3)	1.30 hrs @ 385.00/hr	500.50
07/26/2020	SBS	R116	Reviewed R. Schultz's comments on Purchaser's redraft of PSA	0.10 hrs @ 385.00/hr	38.50
07/26/2020	RTS	R116	Reviewed revised PSA from Turner and drafted email to S. Shellenback re: comments to same (.7)	0.70 hrs @ 360.00/hr	252.00
07/27/2020	SBS	R116	Worked on revisions to PSA (3.8); emails to 33 Realty outlining needed due diligence items (.3); internal status call with Fox Swibel team (.1)	4.20 hrs @ 385.00/hr	1,617.00
07/27/2020	RTS	R116	Reviewed revised PSA with Turner	0.10 hrs @ 360.00/hr	36.00
07/27/2020	NNR	R116	Review, edit PSA and ancillary documents (1.2), and email to S. Shellenback and R. Schultz re: same (.1)	1.30 hrs @ 385.00/hr	500.50
07/28/2020	NNR	R116	Discussion with S. Shellenback re: PSA issues	0.30 hrs @ 385.00/hr	115.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 11

***Asset Disposition – Timber Lake***

07/28/2020	SBS	R116	Finalized revisions to PSA (.6); email to Purchaser's counsel re: PSA (.1); inquiry to 33 Realty re: status of real estate tax appeal (.1); call with N. Reid re: PSA (.3)	1.10 hrs @ 385.00/hr	423.50
07/29/2020	NNR	R116	Discussion with Dan Cohen re: summary of marketing process for sale of TLA	0.20 hrs @ 385.00/hr	77.00
07/29/2020	SBS	R116	Reviewed FSLC files for due diligence delivery materials (1.0); Emails with 33 Realty re: due diligence deliveries (.2)	1.20 hrs @ 385.00/hr	462.00
07/30/2020	MB	R116 A111	Continue preparing tax bills for due diligence documents	1.10 hrs @ 180.00/hr	198.00
07/30/2020	NNR	R116	Conference call with buyer's counsel and S. Shellenback re: Section 20 changes to the agreement (.4); edit, revise draft language addressing Purchaser's successor liability claims, and discussion with B. Gloneck re: potential insurance coverage (1.0)	1.40 hrs @ 385.00/hr	539.00
07/30/2020	MB	R116 A111	Call city of West Chicago re: deed certification (.1); pull the same from city website and forward to S. Shellenback (.1)	0.20 hrs @ 180.00/hr	36.00
07/30/2020	NNR	R116	Review PSA markup and email to S. Shellenback re: same	0.30 hrs @ 385.00/hr	115.50
07/30/2020	SBS	R116	Follow up emails to 33 Realty (.2); call with N. Reid and Purchaser's attorney (.3); revised Purchase and Sale Agreement (2.2); attention to due diligence (.5)	3.20 hrs @ 385.00/hr	1,232.00
07/31/2020	NNR	R116	Discussion with S. Shellenback re: TLA contract and resolve issues/confer with S. Shellenback re: same	0.80 hrs @ 385.00/hr	308.00
07/31/2020	MB	R116 A111	Communicate with title company re: changes to escrow agreement	0.30 hrs @ 180.00/hr	54.00
07/31/2020	SBS	R116	Attention to revisions to Purchase and Sale Agreement (2.9); attention to schedules to Purchase and Sale Agreement (1.2); attention to due diligence requests (.8); attention to responding to emails on transaction (.4)	5.30 hrs @ 385.00/hr	2,040.50

***Total for: Asset Disposition – Timber*** **\$23,741.50**

***Asset Disposition - Bartlett***

07/01/2020	RTS	R117	Emails with S. Shellenback and E. Weber re: tax appeal fee payment issues (.1)	0.10 hrs @ 360.00/hr	36.00
07/01/2020	SBS	R117	Attention to question of allocation of attorneys' fees for tax appeal (.7); responded to emails on attorneys' fees for tax appeal (.2)	0.90 hrs @ 385.00/hr	346.50
07/02/2020	SBS	R117	Attention to invoice for fees for assessment reduction (.1); attention to post-closing proration adjustments (.4)	0.50 hrs @ 385.00/hr	192.50



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 12

***Asset Disposition - Bartlett***

07/06/2020	SBS	R117	Call with E. Weber of 33 Realty re: post-closing prorations	0.20 hrs @ 385.00/hr	77.00
07/09/2020	RTS	R117	Emails with Monument counsel and 33 Realty re: post-closing issues	0.10 hrs @ 360.00/hr	36.00
07/19/2020	SBS	R117	Attention to post-closing adjustments with purchaser	0.20 hrs @ 385.00/hr	77.00
07/21/2020	SBS	R117	Follow up with 33 Realty on post - closing adjustments	0.10 hrs @ 385.00/hr	38.50
07/27/2020	SBS	R117	Status call on post-closing with Fox Swibel team	0.10 hrs @ 385.00/hr	38.50
07/29/2020	MB	R117 A111	Research DuPage County recorder website for tax bills(.3); start preparing tax documents as part of due diligence documents (.8)	1.10 hrs @ 180.00/hr	198.00
07/31/2020	RTS	R117	Drafted letter to Monument re: demand for post-closing amounts due under PSA	0.70 hrs @ 360.00/hr	252.00
07/31/2020	SBS	R117	Attention to post-closing	0.20 hrs @ 385.00/hr	77.00
<b><i>Total for: Asset Disposition - Bartlett</i></b>					<b><i>\$1,369.00</i></b>

***Asset Disposition – Surrey***

07/02/2020	SBS	R118	Attention to emails re: sale issues	0.10 hrs @ 385.00/hr	38.50
07/06/2020	SBS	R118	Drafted Assignment of Developer Rights	2.00 hrs @ 385.00/hr	770.00
07/07/2020	MB	R118 A111	Communicate with buyer's attorney re: assignment	0.10 hrs @ 180.00/hr	18.00
07/07/2020	SBS	R118	Attention to closing preparations	0.30 hrs @ 385.00/hr	115.50
07/07/2020	NNR	R118	Edit, revise Surrey closing documents	0.80 hrs @ 385.00/hr	308.00
07/08/2020	RTS	R118	Reviewed updated payoff letter from Parkway Bank and email to C. Higgins re: same	0.10 hrs @ 360.00/hr	36.00
07/08/2020	MB	R118 A111	Continue drafting and updating closing documents (2.6); discuss closing items with S. Shellenback (.3); submit closing documents to Receiver for review and signatures (.3)	3.40 hrs @ 180.00/hr	612.00
07/08/2020	SBS	R118	Attention to closing preparations (.8); attention to email re: closing (.2)	1.00 hrs @ 385.00/hr	385.00
07/09/2020	MB	R118 A111	Attention to closing items needed for closing (.6); communicate re: payoff (.2); communicate with buyer's attorney (.1)	0.90 hrs @ 180.00/hr	162.00
07/09/2020	SBS	R118	Attention to question on payoff of accounts payable from sale proceeds (.2); call with R. Schultz (.1); closing preparations (.2)	0.50 hrs @ 385.00/hr	192.50
07/09/2020	RTS	R118	Telephone conference with S. Shellenback re: association true up issues	0.20 hrs @ 360.00/hr	72.00



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 13

***Asset Disposition – Surrey***

07/10/2020	MB	R118 A111	Attention to closing items; preparing file for closing	1.40 hrs @ 180.00/hr	252.00
07/10/2020	SBS	R118	Attention to closing document (Assignment of Developer Obligations)	0.20 hrs @ 385.00/hr	77.00
07/11/2020	SBS	R118	Closing preparations	0.70 hrs @ 385.00/hr	269.50
07/13/2020	SBS	R118	Closing preparations	0.10 hrs @ 385.00/hr	38.50
07/13/2020	MB	R118 A111	Attention to correspondence (.1); communicate with village of Glenn Ellyn re: pre-closing requirements (.5); communicate with property manager (.2)	0.80 hrs @ 180.00/hr	144.00
07/14/2020	SBS	R118	Closing preparations	0.40 hrs @ 385.00/hr	154.00
07/14/2020	MB	R118 A111	Attention to correspondence (.1); update bulk sale forms and submit (.5); communicate with water department (.4); review received signature pages from receiver (.3); notarized the documents (.5); prepare package to land trust (1.0)	2.80 hrs @ 180.00/hr	504.00
07/15/2020	SBS	R118	Closing preparations	0.20 hrs @ 385.00/hr	77.00
07/15/2020	MB	R118 A111	Attention to correspondence re: bulk sales (.1); call state of Illinois revenue department (.3); attention to correspondence re: water bills (.1); further assembly of closing documents (.6)	1.10 hrs @ 180.00/hr	198.00
07/16/2020	SBS	R118	Closing preparations	1.80 hrs @ 385.00/hr	693.00
07/16/2020	MB	R118 A111	Attention to outstanding closing items (.1); send follow e-mails (.1); update closing checklist; review tax bills (.3); prepare tax prorations (1.5); start to prepare closing statement (1.0)	3.30 hrs @ 180.00/hr	594.00
07/17/2020	MB	R118 A111	Attention to items needed for closing (.2); communicate with Land Trust re: deed (.2); communicate with IDES re: bulk sales (.2); continue updating closing statement (2.2); prepare for and participate in the phone call with management company (.5); prepare draft of Paid Assessment Letter (.5); discuss name assignment with buyer's attorney (.3); communicate with the land trust re: new deed (.3)	4.40 hrs @ 180.00/hr	792.00
07/17/2020	SBS	R118	Closing preparations (1.0); call with N. Reid, 33 Realty and C. Higgins to discuss handling of sale proceeds to satisfy unpaid bills and handling of potential inspection issues from Village of Glen Ellyn (.7)	1.70 hrs @ 385.00/hr	654.50
07/17/2020	NNR	R118	Conference call re: pre-closing items for Surrey closing	0.70 hrs @ 385.00/hr	269.50
07/17/2020	DVG	R118 A111	Update Buyer name in Closing Documents; Prepare signature pages packet; E-mails with M. Bogdanowicz and S. Shellenback regarding same.	0.60 hrs @ 180.00/hr	108.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 14

***Asset Disposition – Surrey***

07/19/2020	SBS	R118	Attention to closing preparations	0.90 hrs @ 385.00/hr	346.50
07/20/2020	SBS	R118	Closing preparations	1.40 hrs @ 385.00/hr	539.00
07/20/2020	NNR	R118	Sign closing documents and send to M. Bogdanowicz	0.30 hrs @ 385.00/hr	115.50
07/20/2020	MB A111	R118	Talk to Illinois Department of Employment (.6); update and submit IDES forms (1.6); attention to communication re: rent roll and paid assessment letter (.4); update closing statement (.5); discuss outstanding items with S. Shellenback (.3)	3.40 hrs @ 180.00/hr	612.00
07/21/2020	MB A111	R118	Review received original signatures (.3); print closing documents and collate with signatures (1.7); update transfer declarations (.5); update closing checklist (.2); identify items still needed for closing and send follow up e-mails (.2); attention to closing items (.5)	3.40 hrs @ 180.00/hr	612.00
07/21/2020	NNR	R118	Respond to various emails re: closing documents and issues for Surrey closing	0.20 hrs @ 385.00/hr	77.00
07/21/2020	SBS	R118	Closing preparations	0.70 hrs @ 385.00/hr	269.50
07/22/2020	MB A111	R118	Attention to closing items (.2); prepare seller's escrow instructions (1.6); prepare closing package going to title company (1.7); finalize closing documents (.3); update closing figures (.3); review updated title commitment (.4); calculate new tax credit (1.1)	5.60 hrs @ 180.00/hr	1,008.00
07/22/2020	NNR	R118	Review closing document and respond to S. Shellenback re: same	0.10 hrs @ 385.00/hr	38.50
07/22/2020	SBS	R118	Closing preparations	1.40 hrs @ 385.00/hr	539.00
07/23/2020	MB A111	R118	Attention to outstanding closing items (.3); work with title company and buyer's attorney re: same (.5); update closing figures (1.2); review closing statement (.7); request changes to closing statement (.2)	2.90 hrs @ 180.00/hr	522.00
07/23/2020	SBS	R118	Closing preparations	1.50 hrs @ 385.00/hr	577.50
07/24/2020	MB A111	R118	Attention to closing matters	3.10 hrs @ 180.00/hr	558.00
07/24/2020	SBS	R118	Drafted various post-closing agreements (2.0); attention to closing (4.0)	6.00 hrs @ 385.00/hr	2,310.00
07/24/2020	NNR	R118	Review/edit/sign documents for Surrey closing	0.40 hrs @ 385.00/hr	154.00
07/25/2020	SBS	R118	Forwarded draft turnover letter to Purchaser's counsel	0.10 hrs @ 385.00/hr	38.50
07/27/2020	SBS	R118	Status call with Fox Swibel team	0.10 hrs @ 385.00/hr	38.50
07/27/2020	MB A111	R118	Attention to post closing items (.1); prepare Seller's Closing Statement for execution (.4)	0.50 hrs @ 180.00/hr	90.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 15

***Asset Disposition – Surrey***

07/28/2020	MB	R118 A111	Attention to post closing items(.2); docket tax reporation date(.1); e-mail Seller's closing statement to J. Woods for review and execution(.1)	0.40 hrs @ 180.00/hr	72.00
07/28/2020	SBS	R118	Attention to post-closing follow-up (.3); inquiry to 33 Realty re: status of tax appeal (.1)	0.40 hrs @ 385.00/hr	154.00
07/30/2020	SBS	R118	Attention to post-closing matters	0.40 hrs @ 385.00/hr	154.00
<b>Total for: Asset Disposition – Surrey</b>					<b>\$16,360.00</b>

***Asset Disposition – Elston***

07/02/2020	SBS	R119	Research IL Secretary of State website for formation of purchaser entity (.1); attention to emails from N. Reid (.2); reviewed draft closing statement (.2); finalized PSA (.2); attention to rent receivables(.2)	0.90 hrs @ 385.00/hr	346.50
07/02/2020	NNR	R119	resolve issues re: Elston sale, bank consent and final version of PSA and emails to team, bank counsel re: same	0.40 hrs @ 385.00/hr	154.00
07/02/2020	MB	R119 A111	Update preliminary closing statement	0.40 hrs @ 180.00/hr	72.00
07/03/2020	SBS	R119	Reviewed emails from lender (.1); email to N. Reid re: tax reporation and status of PSA (.1)	0.20 hrs @ 385.00/hr	77.00
07/06/2020	SBS	R119	Review Secretary of State website for Purchaser entity (.1); final revisions to PSA (.4)	0.50 hrs @ 385.00/hr	192.50
07/06/2020	NNR	R119	Review, sign sale agreement	0.10 hrs @ 385.00/hr	38.50
07/08/2020	SBS	R119	Attention to email on PSA execution	0.10 hrs @ 385.00/hr	38.50
07/09/2020	MB	R119 A111	Attention to correspondence (.2); prepare escrow instructions for earnest money (.3); communicate with Receiver re: signature to same (.1); collate signatures to PSA (.5); communicate with title company re: escrow (.1); order title update (.1)	1.30 hrs @ 180.00/hr	234.00
07/10/2020	MB	R119 A111	Communicate with title company and buyer's attorney re: earnest money	0.10 hrs @ 180.00/hr	18.00
07/11/2020	SBS	R119	Follow up with 33 Realty on accounts receivable (rents)	0.10 hrs @ 385.00/hr	38.50
07/13/2020	MB	R119 A111	Follow up communication re: earnest money deposit	0.20 hrs @ 180.00/hr	36.00
07/13/2020	NNR	R119	Process insurance payment and closing documents for Surrey	0.30 hrs @ 385.00/hr	115.50
07/14/2020	SBS	R119	Attention to email on Purchaser's desire to inspect property (.2); responded to Purchaser's email inquiry on timing of closing (.2)	0.40 hrs @ 385.00/hr	154.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 16

***Asset Disposition – Elston***

07/14/2020	MB	R119 A111	Attention to correspondence (.1); provide copy of the PSA to Parkway Bank 9.1); communicate with title company re: escrow for earnest money (.1); send copy of title to buyer's attorney (.1)	0.40 hrs @ 180.00/hr	72.00
07/16/2020	MB	R119 A111	Review Purchase and Sale Agreement (.5); docket important dates, note closing facts and documents (.5); start drafting closing checklist (1.1)	2.10 hrs @ 180.00/hr	378.00
07/20/2020	SBS	R119	Followed up on accounts receivable	0.10 hrs @ 385.00/hr	38.50
07/20/2020	MB	R119 A111	Attention to correspondence; update closing statement	0.10 hrs @ 180.00/hr	18.00
07/27/2020	NNR	R119	Follow up with D. Hyman and S. Shellenback re: Elston sale and status of lead buyer	0.10 hrs @ 385.00/hr	38.50
07/28/2020	NNR	R119	Review, edit Elston agreement (1.4); call to S. Shellenback and emails to S. Fyock re: status of offer and mortgage balance (.2)	1.60 hrs @ 385.00/hr	616.00
07/28/2020	SBS	R119	Email to Purchaser's counsel re: status (.1); attention to short-sale agreement (.6)	0.70 hrs @ 385.00/hr	269.50
07/28/2020	RTS	R119	Reviewed and revised motion to approve sale (.4)	0.40 hrs @ 360.00/hr	144.00
07/29/2020	NNR	R119	Edit, revise Elston motion including resolve issues re: bank coverage of legal fees as part of waterfall	1.10 hrs @ 385.00/hr	423.50
07/29/2020	MB	R119 A111	Attention to correspondence (.2); communicate with title company re: title objections and owner's policy proforma (.2)	0.40 hrs @ 180.00/hr	72.00
07/29/2020	NNR	R119	Confer with D. Hyman and S. Shellenback re: information needed for sale approval motion	0.20 hrs @ 385.00/hr	77.00
07/29/2020	SBS	R119	Reviewed draft of sale motion(.5); reviewed PSA for critical dates (.2); various emails with Purchaser's attorney, N. Reid and Millennium Properties re: proceeding to closing (.5)	1.20 hrs @ 385.00/hr	462.00
07/30/2020	NNR	R119	Discussion with S. Fyock re: bank covering some of the Elston fees	0.20 hrs @ 385.00/hr	77.00
07/31/2020	NNR	R119	Edit, revise sale motion and discussion with D. Hyman re: marketing issues	1.50 hrs @ 385.00/hr	577.50

***Total for: Asset Disposition – Elston***

***\$4,778.50***

***Fee Applications***

07/08/2020	RTS	R121	Telephone conference with M. Foster re: 2020 Q 1 fee application and SEC comments to same; email to N. Reid re: same; Email to AlixParnters re: same	0.60 hrs @ 360.00/hr	N/C
07/08/2020	LBL	R121	Emails with R. Schultz and N. Reid re: tasks for completion of fee application based upon SEC review/feedback	0.10 hrs @ 285.00/hr	N/C

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 17

***Fee Applications***

07/09/2020	LBL	R121	Redacted AP sensitive information (.2); reviewed exhibits and Fox Swibel invoices for revisions to fee application (.8); emails with accounting and R. Schultz re: revisions to billing amounts for fee application (.2); emails with R. Schultz and N. Reid re: revisions pursuant to SEC review of fee application (.1)	1.30 hrs @ 285.00/hr	N/C
07/15/2020	LBL	R121	Emails with R. Schultz re: revisions to fee applications exhibits	0.10 hrs @ 285.00/hr	N/C
07/16/2020	LBL	R121	Emails with Fox Swibel accounting re: updated information for revisions to fee application; revised fee application and related exhibits	0.10 hrs @ 285.00/hr	N/C
07/17/2020	LBL	R121	revised fee application and related exhibits and emailed R. Schultz and N. Reid re: same	2.20 hrs @ 285.00/hr	N/C
07/20/2020	LBL	R121	Reviewed R. Schultz comments re: revisions to fee application (.1); emails to R. Shultz, N. Reid, R. Podorovsky (Axos) and E. Weber (33 Realty) re: funds in Axos and operating accounts (.1)	0.20 hrs @ 285.00/hr	N/C
07/21/2020	LBL	R121	Emails with R. Podorovsky, C. Higgins, and E. Weber re: funds of receivership (.1); reviewed and analyzed same for fee application (.5); emailed Receiver's professionals re: certification of fee application (.1); revised fee application and prepared same for final review/Receiver's professionals' review (1.0); emails with R. Schultz and N. Reid re: same (.1)	1.80 hrs @ 285.00/hr	N/C
07/22/2020	LBL	R121	Updated fee application to include certification received from Plante Moran	0.10 hrs @ 285.00/hr	N/C
07/23/2020	LBL	R121	Exchanged emails with L. West re: fee application certification (.1); reviewed fee application and finalized fee application for filing (1.0); emails with R. Schultz re: same (.1); managed case files re: file stamped fee application/exhibits (.1)	1.30 hrs @ 285.00/hr	N/C
07/28/2020	RTS	R121	Reviewed AlixPartners invoices for April and May 2020 (.5); Telephone conference with AlixPartners re: comments to same (.5); email to AlixPartners re: comments to same (.5); reviewed Fox Swibel invoices and comments to same for May and June 2020 (2.0)	3.50 hrs @ 360.00/hr	N/C
07/29/2020	NNR	R121	Conference call with AlixPartners re: bills, discount and discussion with R. Schultz re: same	0.40 hrs @ 385.00/hr	N/C
07/29/2020	RTS	R121	Review of AlixPartners June invoice (.3); analysis of AlixPartners fee reduction and hold back proposal and email to N. Reid re: same (.3); reviewed revised June Fox Swibel invoice for fee application (.3)	0.90 hrs @ 360.00/hr	N/C
07/30/2020	NNR	R121	Review AlixPartners bills and discuss with RTS re: proposal going forward	0.80 hrs @ 385.00/hr	N/C
07/30/2020	RTS	R121	Met w N. Reid re: AlixPartners invoice review (.4); Telephone conference with D. O'Connor re: same (.3)	0.70 hrs @ 360.00/hr	N/C

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 18

***Fee Applications***

07/31/2020	RTS	R121	Attention to finalizing fee application for Q1	0.20 hrs @ 360.00/hr	N/C
<b>Total for: Fee Applications</b>					<b><u>\$0.00</u></b>
<b>Total Professional Services:</b>					<b><u>\$79,814.50</u></b>
<b>Total No Charge (N/C):</b>					<b><u>\$4,771.00</u></b>

**DISBURSEMENTS**

07/01/2020	Innovative Service Technology Management Services, Inc.-103463 Hosted Review - Monthly Data Storage per GB for the month of June, 2020	\$834.56
07/02/2020	FedEx-7-053-84342 Delivery on 06/16/20 returned to Margy Bogdanowicz	\$22.48
07/02/2020	FedEx-7-053-84342 Delivery on 06/22/20 to Jacqueline Martindale at Chicago Title Insurance Company	\$17.75
07/16/2020	Illinois Secretary of State-07/16/20-2 IL Filing fees for Illinois annual report and Registered Agent change for Mueller Painting & Decorating, L.P.	\$150.00
07/16/2020	Illinois Secretary of State-07/16/20 IL-1 Filing fees for Illinois annual report and Registered Agent change for G&C Mueller Family Limited Partnership.	\$150.00
07/22/2020	FedEx-7-072-88906 Delivery on 07/13/20 returned to Margy Bogdanowicz	\$22.65
07/22/2020	FedEx-7-072-88906 Delivery on 07/17/20 returned to Margy Bodganowicz	\$22.65
07/22/2020	FedEx-7-072-88906 Delivery on 07/14/20 to Patricia Martinez at Parkway Bank	\$17.83
07/23/2020	Illinois Office of the Secretary of State-07/23/20-2 Ridgeveiw Group I Limited Partnership's annual report	\$100.00
07/23/2020	Illinois Secretary of State-07/23/20-1 Ridgeveiw Group I Limited Partnership's change of registered agent.	\$50.00
07/29/2020	FedEx-7-079-13475 Delivery on 07/20/20 returned to Margy Bogdanowicz	\$22.70
07/29/2020	FedEx-7-079-13475 Delivery on 07/22/20 to Jacqueline Martindale of Chicago Title Insurance Company	\$17.88
Total Disbursements:		<u>\$1,428.50</u>

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95409

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 19

<u>ID</u>	<u>Timekeeper Name</u>	<u>Hours</u>	<u>Total Fees</u>
NNR	Reid, Neville N	45.30	\$16,901.50
TWS	Stein, Terry W	3.00	\$1,155.00
RTS	Schultz, Ryan T	44.70	\$13,968.00
SBS	Shellenback, Stephanie B	59.00	\$22,715.00
SAS	Schonfeld, Scott A	2.50	\$775.00
LBL	Liss, Brandon	24.00	\$4,788.00
KT	Thomas, Kenneth	37.10	\$10,332.00
MB	Bogdanowicz, Margy	45.20	\$8,136.00
ERN	Navarro, Erica R	2.60	\$468.00
EBA	Anderson, Eric B	2.60	\$468.00
DVG	Gac, Danielle	0.60	\$108.00

**BILL SUMMARY**

TOTAL CHARGES THIS INVOICE

\_\_\_\_\_  
 \$81,243.00

**BALANCE DUE UPON RECEIPT**

\_\_\_\_\_  
**\$81,243.00**  
 \_\_\_\_\_



**FOX SWIBEL**  
**Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000  
Chicago, IL 60606  
(312) 224-1200

November 16, 2020

N. Neville Reid

Invoice: 95439

File Number: 07183-001

Billed Through: 08/31/2020

**N. Neville Reid, not individually but as receiver/Northridge Receivership**

**PROFESSIONAL SERVICES**

***Asset Analysis and Recovery***

08/02/2020	SAS	R100	Review of brokerage agreement for Barlett (in connection with potential Virgilio claim) (1.0) and preparation of email memorandum re: same (1.2)	2.20 hrs @ 310.00/hr	682.00
08/03/2020	SAS	R100	Attention to emails from R. Schultz re: Bartlett broker agreement; in connection with Virgilio's asserted claim	0.20 hrs @ 310.00/hr	62.00
08/03/2020	RTS	R100	Reviewed and revised motion to approve settlement procedures	0.60 hrs @ 360.00/hr	216.00
08/04/2020	LBL	R100	Drafted template letter to attorneys re: request for information re: representation of receivership defendants (.9); emails with R. Schultz and N. Reid re: same (.1); call with N. Reid re: same (.1); revised same (.6)	1.70 hrs @ 285.00/hr	484.50
08/05/2020	LBL	R100	Emailed K. Thomas re: entities to be included in attorney information request letters and emailed N. Reid re: net winner demand letters	0.10 hrs @ 285.00/hr	28.50
08/05/2020	KT	R100	Review and revise letter to law firms.	0.20 hrs @ 280.00/hr	56.00
08/06/2020	RTS	R100	Reviewed analysis from AlixPartners re: Virgilios' transactions with receivership entities (.2); Revised Virgilio settlement demand (.6)	0.80 hrs @ 360.00/hr	288.00
08/06/2020	NNR	R100	Review/edit letter re: Virgilio claim	0.30 hrs @ 385.00/hr	115.50
08/06/2020	NNR	R100	Draft, edit, revise demand letter to Glenn Mueller re: transfers	2.20 hrs @ 385.00/hr	847.00
08/06/2020	LBL	R100	Prepared letters requesting information from attorneys who represented receivership defendants and coordinated sending of same (.7); emails with R. Schultz and C. Jelks re: same (.1); reviewed and edited demand letter to Mueller (.2)	1.00 hrs @ 285.00/hr	285.00



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 2

***Asset Analysis and Recovery***

08/06/2020	KT	R100	Draft and revise complaint for net winners and finder claims.	0.30 hrs @ 280.00/hr	84.00
08/07/2020	KT	R100	Draft and revise form complaint for finders and net winners.	0.30 hrs @ 280.00/hr	84.00
08/07/2020	RTS	R100	Reviewed draft demand letter to G. Mueller and comments to same (.3)	0.30 hrs @ 360.00/hr	108.00
08/07/2020	NNR	R100	Edit, revise demand letter on Mueller, and discussion with C. Higgins and email to AlixPartners re: same	0.90 hrs @ 385.00/hr	346.50
08/10/2020	RTS	R100	Finalized and sent settlement demand letter re: Virgilio claims (.1)	0.10 hrs @ 360.00/hr	36.00
08/10/2020	KT	R100	Draft and revise form complaint for net winners and finders. (2.9) Review correspondence. (.1)	3.00 hrs @ 280.00/hr	840.00
08/10/2020	LBL	R100	Emails with R. Schultz and C. Jelks re: request for information letters to attorneys for Receivership Defendants	0.10 hrs @ 285.00/hr	28.50
08/10/2020	NNR	R100	Draft, revise letter to Dale Mueller (1.0); edit letter to G. Mueller (.4); discussion with R. Losier re: same (.1)	1.50 hrs @ 385.00/hr	577.50
08/10/2020	NNR	R100	Further refine letter to insiders and exchange emails to AlixPartners re: same	0.20 hrs @ 385.00/hr	77.00
08/11/2020	KT	R100	Draft and revise form finders and net winners complaint.	0.80 hrs @ 280.00/hr	224.00
08/11/2020	LBL	R100	Reviewed final letters to attorneys for Receivership Defendants and coordinated distribution of same with C. Jelks (.1); telephone call with respondent (Law Offices of David K. Barhydt) re: same (.1); emails with respondents (Law Offices of David K. Barhydt, Gair Eberhard, Braganca) and R. Schultz and N. Reid re: same (.3); drafted log containing status of all outstanding requests (.3); reviewed legal research re: UFTA finders claims and exchanged emails re: same with N. Reid (.1); worked on Subpoena for document/deposition and rider re: Gair Eberhard (.2)	1.10 hrs @ 285.00/hr	313.50
08/11/2020	NNR	R100	Review finder's letters and supporting case law (.3); further edit, revise insider letters (1.80).	2.10 hrs @ 385.00/hr	808.50
08/12/2020	KT	R100	Draft and revise form finders and net winner complaint.	0.80 hrs @ 280.00/hr	224.00
08/12/2020	NNR	R100	Finalize, edit and send to SEC draft letters to Mueller family members (0.9); review letters to finders and draft email to R. Schultz and team re: same (1.8)	2.70 hrs @ 385.00/hr	1,039.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 3

***Asset Analysis and Recovery***

08/12/2020	LBL	R100	Call and follow-up emails with Holland and Knight re: receiver's request for information/related production (.2); emails with R. Schultz and N. Reid re: same (.1); updated communications log re: law firm/attorney responses and status re: receiver's request for information/production (.1); prepared document and deposition subpoenas (.4)	0.80 hrs @ 285.00/hr	228.00
08/13/2020	KT	R100	Draft and revise form complaint for finders and net winners.	1.90 hrs @ 280.00/hr	532.00
08/13/2020	RTS	R100	Reviewed proposed revisions to Tradewinds settlement agreement and email to N. Reid re: same (.1); emails to and from counsel for Tradewinds re: settlement status (.1)	0.20 hrs @ 360.00/hr	72.00
08/13/2020	LBL	R100	Call with K. Thomas re: claims procedure motion filing and reviewed investor list for same (.1); managed case files for same (.2); worked on draft deposition and document subpoenas to Gair Eberhard/corresponding riders and revised same (1.1); emailed R. Schultz and N. Reid re: same (.1); emailed AlixPartners re: posting claims procedure motion on Receivership website/portal (.1)	1.60 hrs @ 285.00/hr	456.00
08/13/2020	NNR	R100	Finalize finder's letters and email to K. Thomas re: same	1.70 hrs @ 385.00/hr	654.50
08/14/2020	KT	R100	Draft and revise complaint for finders and net winners	0.70 hrs @ 280.00/hr	196.00
08/14/2020	LBL	R100	Emails with N. Reid re: subpoenas to Gair Eberhard and reviewed General Order for COVID-19 related procedures	0.10 hrs @ 285.00/hr	28.50
08/17/2020	KT	R100	Call with R. Losier from AlixPartners Partners to discuss claims chart	0.30 hrs @ 280.00/hr	84.00
08/17/2020	LBL	R100	Emails with N. Reid and R. Schultz re: subpoenas to Gair Eberhard/virtual deposition and specific document requests (.1); revised subpoenas re: video deposition and subpoena rider re: document requests (.3); served deposition and document subpoenas on Gair Eberhard (.1); updated law firm response tracker spreadsheet (.1)	0.60 hrs @ 285.00/hr	171.00
08/17/2020	RTS	R100	Telephone conference with L. Virgilio re: settlement communication (.6); email to N. Reid re: settlement counter offer (.1); email to L. Virgilio re: settlement counter offer (.1); attention to Swift settlement issues re: closing of real property (.2); emails re: Gair firm subpoena (.2)	1.20 hrs @ 360.00/hr	432.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 4

***Asset Analysis and Recovery***

08/18/2020	LBL	R100	Emails re: Gair Eberhard assertion of privilege re: subpoenaed documents and C. Claybough (Law Offices of David K. Barhydt) production in response to Receiver's request for information (.1); updated communications log re: law firm/attorney responses and status re: receiver's request for information/production (.2)	0.30 hrs @ 285.00/hr	85.50
08/18/2020	NNR	R100	Conference call with SEC and AlixPartners re: insider claims and recovery (.8); discussion, emails and research/analysis re: preparation and filing of finder's/net winner complaints, and potential affirmative defenses to same from defendants (1.4); respond to AlixPartners re: follow up on SEC matters raised on the call (.10).	2.30 hrs @ 385.00/hr	885.50
08/18/2020	KT	R100	Draft, review and analyze finder and net winner settlement letters.	2.70 hrs @ 280.00/hr	756.00
08/19/2020	LBL	R100	Reviewed response to request for information from Law Offices of Tim Biasiello and Holland & Knight and emailed R. Schultz and N. Reid re: same (.4); updated tracker/log re: communications/productions from law firms in response to receiver's request for information (.1); researched and analyzed privilege issues in connection with Gair Eberhard representation of Mueller and entities and drafted summary and analysis of same (2.9)	3.40 hrs @ 285.00/hr	969.00
08/19/2020	KT	R100	Draft and revise finder settlement letters.	2.10 hrs @ 280.00/hr	588.00
08/20/2020	LBL	R100	Reviewed and analyzed productions received from law firms in response to receiver's demand for information related to representation of receivership defendants and drafted memorandum summarizing same	2.00 hrs @ 285.00/hr	570.00
08/20/2020	NNR	R100	Edit, revise finders letters and discussion with K. Thomas and R. Losier re: answers to questions (.5); further edit, revise letters, review data, confirm data and letters are concept, and resolve problems and issues re: same with input from K. Thomas and AlixPartners (2.0)	2.50 hrs @ 385.00/hr	962.50
08/20/2020	KT	R100	Draft and revise finder letters. (2.4) Calls with N. Reid to discuss letters. (.7)	3.10 hrs @ 280.00/hr	868.00
08/21/2020	NNR	R100	Further edit, revise, finalize and resolve issues re: demand letters and supporting data, including discussions with AlixPartners and FSLC team re: same	4.50 hrs @ 385.00/hr	1,732.50
08/21/2020	KT	R100	Draft and revise finder settlement letters. (1.9) Call with N. Reid and AlixPartners Partners to discuss changes. (.8)	2.70 hrs @ 280.00/hr	756.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 5

***Asset Analysis and Recovery***

08/21/2020	LBL	R100	Reviewed production from Law Offices of Roger Serpe in response to Receiver's request for information and updated communications log re: same	0.10 hrs @ 285.00/hr	28.50
08/23/2020	RTS	R100	Reviewed and comments to all finder claim demand letters	1.00 hrs @ 360.00/hr	360.00
08/24/2020	NNR	R100	Review Finder's letters and email to K.Thomas and R. Schultz re: same	0.30 hrs @ 385.00/hr	115.50
08/24/2020	LBL	R100	Reviewed Serpe production in response to receiver's information request and updated summary memo re: same (.7); emailed N. Reid and R. Schultz re: summary/overview of law firm productions to date (.1)	0.80 hrs @ 285.00/hr	228.00
08/24/2020	NNR	R100	Review and analysis of R. Schultz comments to each letter, devise and draft response to each and resolves issues raised by the comments (2.5); edit, revise select letters and discussion with AlixPartners and K. Thomas re: resolving issues raised by the comments and proofread the same (1.2)	3.70 hrs @ 385.00/hr	1,424.50
08/24/2020	RTS	R100	Telephone conference with K. Thomas and N. Reid re: revisions to finder demands	0.50 hrs @ 360.00/hr	180.00
08/24/2020	KT	R100	Call with R. Schultz and N. Reid to discuss edits to finder letters. (.5) Review form of schedules. (.1) Call with N. Reid to discuss edits to finder letters. (.3) Draft and revise finder letters and circulate redlines of changes. (3.1)	5.00 hrs @ 280.00/hr	1,400.00
08/25/2020	RTS	R100	Telephone conference with w investor re: potential net winner claim (.3); email to T. Hofner re: same (.1)	0.40 hrs @ 360.00/hr	144.00
08/25/2020	NNR	R100	Further finalize and edit demand letters in response to K. Thomas's comments	1.00 hrs @ 385.00/hr	385.00
08/25/2020	KT	R100	Review and revise finder demand letters. (2.1) review schedules for consistency with letters (2.1)	4.20 hrs @ 280.00/hr	1,176.00
08/26/2020	NNR	R100	Discussion with Mueller's counsel re: discussion with him on Timberlake and addressing his issues, and follow up emails/texts and dialogue with R. Schultz and E. Weber re: same (.7); Email to M. Foster re: status, next steps re: Mueller letters (.10)	0.80 hrs @ 385.00/hr	308.00
08/26/2020	KT	R100	Call with B. Henderson to discuss finalizing letters (.2) Review and revise letters to include schedules (2.1)	2.30 hrs @ 280.00/hr	644.00
08/27/2020	KT	R100	Call with B. Henderson to discuss finder letters (.2) Call with B. Henderson and D. Franklin to discuss tasks related to finder letters (.3)	0.50 hrs @ 280.00/hr	140.00
08/28/2020	KT	R100	Draft and revise form finder complaint.	0.90 hrs @ 280.00/hr	252.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 6

### ***Asset Analysis and Recovery***

08/28/2020	LBL	R100	Reviewed Holland and Knight production/managed case files re: same and updated communications log re: same	0.40 hrs @ 285.00/hr	114.00
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<b><i>Total for: Asset Analysis and</i></b>	<b><u>\$25,782.00</u></b>
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### ***Asset Disposition***

08/03/2020	SBS	R101	Palatine Homeowners Association: reviewed request for aid assessment letter and disclosure forms for sale of unit (.3); call with E. Weber and T. DeLeo re: make-up of association and request for paid assessment letter and disclosure form (.4); reviewed association declaration (.5); reviewed statute on common interest communities (.5); email to J. Slowikowski re: turnover of association (.2)	1.90 hrs @ 385.00/hr	731.50
08/04/2020	MB	R101	Review county records for recorded declarations for Palatine properties: request copies from title company and maintain the same in the file system	0.50 hrs @ 180.00/hr	90.00
08/04/2020	SBS	R101	Reviewed Common Interest Community Act in connection with Palatine Homeowner Association property (.9); drafted paid assessment letter for sale of Unit 445 (.3); drafted disclosure statement for sale of Unit 445 (.6)	1.80 hrs @ 385.00/hr	693.00
08/05/2020	NNR	R101	Discussion with S. Shellenback re: turnover of association for Palatine and documents related to same	0.40 hrs @ 385.00/hr	154.00
08/05/2020	SBS	R101	Palatine Townhome: Call with N. Reid to review paid assessment letter and disclosure statement (.4); revised paid assessment letter and disclosure statement (.4); call with T. De Leo re: paid assessment letter and disclosure statement (.3)	1.10 hrs @ 385.00/hr	423.50
08/06/2020	MB	R101	Review recording information of the declaration(.1); update Paid Assessment Letter(.1); discuss lien searches with vendor(.2); review county records and order lien searches (.2) review results of lien searches and communicate with vendor re: county correction (.2) review updated searches and process invoice with accounting (.2)	1.00 hrs @ 180.00/hr	180.00
08/13/2020	SBS	R101	Palatine Homeowner Associations: Attention to turnover of association to owners	0.10 hrs @ 385.00/hr	38.50
08/14/2020	SBS	R101	Palatine Townhomes: Attention to turnover matters	0.30 hrs @ 385.00/hr	115.50
08/17/2020	SBS	R101	Palatine townhomes: Call with J. Slowikowski re: process for turnover to unit owners	0.20 hrs @ 385.00/hr	77.00

<b><i>Total for: Asset Disposition</i></b>	<b><u>\$2,503.00</u></b>
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### ***Business Operations***

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 7

### ***Business Operations***

08/07/2020	NNR	R102	Status call with 33Realty and update R. Schultz re: same	0.40 hrs @ 385.00/hr	154.00
08/10/2020	NNR	R102	Resolve insurance issues re: Miami property and respond to/send emails re: same (.2)	0.20 hrs @ 385.00/hr	77.00
08/14/2020	RTS	R102	Weekly status call with 33 Realty (.4); email to N. Reid re: same (.1)	0.50 hrs @ 360.00/hr	180.00
08/20/2020	NNR	R102	Status call with 33Realty and email to R. Schultz re: results, status	0.50 hrs @ 385.00/hr	192.50
08/27/2020	NNR	R102	Status call with 33Realty	0.40 hrs @ 385.00/hr	154.00
08/28/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call	0.40 hrs @ 360.00/hr	144.00

***Total for: Business Operations*** **\$901.50**

### ***Case Administration***

08/03/2020	RTS	R103	Emails to and from R. Mitsos re: defendant review of potentially privileged emails (.2)	0.20 hrs @ 360.00/hr	72.00
08/04/2020	NNR	R103	Review letter to law firms and edit same, including review of appointment order to confirm all relevant entities have been included (.8); review and respond to emails re: insurance issues on Timberlake (.1).	0.90 hrs @ 385.00/hr	346.50
08/06/2020	NNR	R103	Respond to various emails re: miscellaneous projects in progress (including documents for signature on Palatine homeowner association	0.50 hrs @ 385.00/hr	192.50
08/10/2020	NNR	R103	Return investor calls and update R. Schultz re: same	0.50 hrs @ 385.00/hr	N/C
08/11/2020	EBA	R103	Issued check to Sarnoff & Baccash for legal services rendered related to the 2019-2021 real estate assessments and taxes (.4); telephone call with AXOS re reconciling accounts (.3); reconciled Deere Park account (.3)	1.00 hrs @ 180.00/hr	180.00
08/11/2020	RTS	R103	Emails to SEC re: status of SEC review of draft filings (.1); emails among AlixPartners and SEC re: scheduling forensic conference call (.1)	0.20 hrs @ 360.00/hr	72.00
08/12/2020	EBA	R103	Deposited Thompson Coburn LLP Dupage County property tax refund check (.2); recorded transaction in TCMS (.1); reviewed email correspondence from Receiver (.1); revised cover letter to Sarnoff & Baccash (.1)	0.50 hrs @ 180.00/hr	90.00
08/13/2020	EBA	R103	Reviewed and reconciled bank accounts in TCMS (2.5); conferred with Receiver re bank accounts and wire transfers (.4); prepared wire transfer form to pay property-related bills (.2); transmitted form to AXOS (.1); conferred with AXOS re wire transfer and issues posting interest accruals (.4)	3.60 hrs @ 180.00/hr	648.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 8

### ***Case Administration***

08/14/2020	EBA	R103	Email exchange with AXOS re incoming wire transfer to Unity Group Investment, LTD account (.2); transmitted instructions to R. Schultz (.1)	0.30 hrs @ 180.00/hr	54.00
08/14/2020	LBL	R103	Managed docketing/deadlines	0.10 hrs @ 285.00/hr	28.50
08/17/2020	EBA	R103	Reviewed and revised wire instructions (.3); prepared wire instructions for KRD (.2); emailed instructions to Receiver re executing and returning forms (.1); telephone call with AXOS re confirming KRD account number (.1)	0.70 hrs @ 180.00/hr	126.00
08/17/2020	NNR	R103	Answer investor calls	0.30 hrs @ 385.00/hr	N/C
08/20/2020	NNR	R103	Answer investor calls (.4).; answer investor calls (Velasquez) (.2).	0.60 hrs @ 385.00/hr	N/C
08/24/2020	RTS	R103	Telephone conference with investor re: claims procedure motion	0.30 hrs @ 360.00/hr	N/C
08/24/2020	RTS	R103	Telephone conference with investor re: claims process (.2); Telephone conference with with counsel for investor re: same (.2)	0.40 hrs @ 360.00/hr	N/C
08/24/2020	KT	R103	Call with B. Payne to answer question about claims procedure motion.	0.20 hrs @ 280.00/hr	56.00
08/25/2020	NNR	R103	Return investor calls	0.80 hrs @ 385.00/hr	N/C
08/27/2020	NNR	R103	Discussion with T. DeLeo re: investor questions and how to answer them	0.20 hrs @ 385.00/hr	77.00
08/28/2020	RTS	R103	Telephone conference with investors re: claims process and responding to emails re: same	0.30 hrs @ 360.00/hr	N/C
08/31/2020	LBL	R103	Emails with N. Reid and AlixPartners re: investor letters to the court and reviewed docket for investor letter/sent same to N. Reid and AlixPartners	0.20 hrs @ 285.00/hr	57.00

### ***Total for: Case Administration***

***\$1,999.50***

### ***Claims Administration and Objections***

08/03/2020	KT	R104	Draft and revise claims settlement authority motion including research relevant case law (2.7); draft and revise claims settlement authority proposed motion (.7); incorporate comments and edits re: same (.5)	3.90 hrs @ 280.00/hr	1,092.00
08/04/2020	RTS	R104	Telephone conference with M. Foster re: SEC comments to claims procedure motion and related issues	1.20 hrs @ 360.00/hr	432.00
08/05/2020	RTS	R104	Revised claims procedure motion and supporting declaration per SEC's comments (.5); email to AlixPartners re: same (.1); emails to and from AlixPartners re: claims administration process issues (.1)	0.70 hrs @ 360.00/hr	252.00



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 9

***Claims Administration and Objections***

08/05/2020	NNR	R104	Review settlement motion and order and convey comments re: same	0.50 hrs @ 385.00/hr	192.50
08/05/2020	KT	R104	Review and incorporate comments on claims authority motion (.3) Review and revise claims procedure motion (.1)	0.40 hrs @ 280.00/hr	112.00
08/07/2020	RTS	R104	Revised claims procedure motion based on revisions to AlixPartners declaration	0.40 hrs @ 360.00/hr	144.00
08/10/2020	RTS	R104	Revised claims procedure motion and supporting declaration (.1); email to SEC re: same (.1)	0.20 hrs @ 360.00/hr	72.00
08/11/2020	KT	R104	Review and revise the claims procedure motion.	0.80 hrs @ 280.00/hr	224.00
08/13/2020	KT	R104	Review and revise claims motion and exhibits for filing. (1.5) Communications with internal team re: filing and service. (.5)	2.00 hrs @ 280.00/hr	560.00
08/13/2020	NNR	R104	Discussion with R. Schultz re: claims procedure internally at FSLC	0.30 hrs @ 385.00/hr	115.50
08/17/2020	KT	R104	Call with R. Schultz and C. Martinez to discuss claims procedure process	0.20 hrs @ 280.00/hr	56.00
08/21/2020	RTS	R104	Telephone conference with counsel for claimant (Liechty) re: case status and claims procedures	0.70 hrs @ 360.00/hr	252.00
08/27/2020	RTS	R104	Telephone conference with investors re: claims process	0.50 hrs @ 360.00/hr	N/C
08/27/2020	KT	R104	Prepare claims settlement motion for filing	0.50 hrs @ 280.00/hr	140.00
08/28/2020	KT	R104	Call from investor to ask questions related to claims administration motion	0.50 hrs @ 280.00/hr	140.00
08/28/2020	KT	R104	Communicate and prepare claims settlement motion for filing	0.40 hrs @ 280.00/hr	112.00

***Total for: Claims Administration and*** ***\$3,896.00***

***Forensic Accounting***

08/07/2020	KT	R112	Call with AlixPartners and internal team to discuss claims motion and demand letters	0.50 hrs @ 280.00/hr	140.00
08/07/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call	0.50 hrs @ 360.00/hr	180.00
08/07/2020	LBL	R112	Status conference call with Fox Swibel team and AlixPartners team	0.50 hrs @ 285.00/hr	142.50
08/12/2020	KT	R112	Call with AlixPartners to discuss claims and reaching out to creditors	0.70 hrs @ 280.00/hr	196.00
08/12/2020	LBL	R112	Call with AlixPartners and Fox Swibel teams re: process re: Notice of Initial Determination and Intake of Responses to Claims Packages	0.70 hrs @ 285.00/hr	199.50



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 10

### ***Forensic Accounting***

08/12/2020	RTS	R112	Telephone conference with AlixPartners re: claims procedure and processing issues	0.70 hrs @ 360.00/hr	252.00
08/14/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call	0.50 hrs @ 360.00/hr	180.00
08/14/2020	KT	R112	Attend weekly update call with AlixPartners	0.50 hrs @ 280.00/hr	140.00
08/14/2020	NNR	R112	Status call with AlixPartners	0.50 hrs @ 385.00/hr	192.50
08/14/2020	LBL	R112	Fox Swibel/AlixPartners status call	0.50 hrs @ 285.00/hr	142.50
08/21/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status update	0.60 hrs @ 360.00/hr	216.00
08/21/2020	NNR	R112	Status meeting with AlixPartners	0.60 hrs @ 385.00/hr	231.00
08/21/2020	LBL	R112	Telephone status conference with AlixPartners team and Fox Swibel team	0.60 hrs @ 285.00/hr	171.00
08/27/2020	NNR	R112	Status call with AlixPartners	0.40 hrs @ 385.00/hr	154.00
08/28/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call	0.40 hrs @ 360.00/hr	144.00
08/28/2020	KT	R112	Attend update call with AlixPartners Partners.	0.40 hrs @ 280.00/hr	112.00
08/28/2020	LBL	R112	Status call with Fox Swibel and AlixPartners teams	0.40 hrs @ 285.00/hr	114.00
<b>Total for: Forensic Accounting</b>					<b>\$2,907.00</b>

### ***Tax Issues***

08/03/2020	NNR	R113	Discussion with T. Stein re: tax issues on IRS disallowance	0.40 hrs @ 385.00/hr	154.00
08/03/2020	TWS	R113	Analyze issues re: interest disallowance	1.60 hrs @ 385.00/hr	616.00
08/04/2020	LBL	R113	Emails with T. Stein and C. Higgins re: shareholder agreement request/ownership of Northridge Holdings	0.10 hrs @ 285.00/hr	28.50
08/04/2020	TWS	R113	Conference with L. West; prepare for same	1.00 hrs @ 385.00/hr	385.00
08/05/2020	NNR	R113	Conference call with client, A. Lasko and S. Isaacson re: possibility of additional tax liability for sales in various jurisdictions	0.90 hrs @ 385.00/hr	346.50
08/07/2020	TWS	R113	Conference with IRS agent re: disallowed interest deductions; review law governing same	2.60 hrs @ 385.00/hr	1,001.00
08/18/2020	NNR	R113	Review draft engagement letter and email to L. West re: response to same	0.40 hrs @ 385.00/hr	154.00
08/19/2020	NNR	R113	Discussion with L. West re: Pazur letter	0.20 hrs @ 385.00/hr	77.00
08/25/2020	TWS	R113	Research re: issues raised in IRS Notices of Proposed Adjustment (6.3); draft comments re: same (.5)	6.80 hrs @ 385.00/hr	2,618.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 11

### ***Tax Issues***

08/26/2020	NNR	R113	Edit, revise engagement letter with Pazur	0.40 hrs @ 385.00/hr	154.00
<b>Total for: Tax Issues</b>					<b>\$5,534.00</b>

### ***Asset Disposition – Timber Lake***

08/01/2020	SBS	R116	Revised Purchase and Sale Agreement	0.90 hrs @ 385.00/hr	346.50
08/02/2020	SBS	R116	Finalized Purchase and Sale Agreement	0.10 hrs @ 385.00/hr	38.50
08/03/2020	MB	R116	Attention to correspondence (.1); prepare escrow agreement and send the same to buyer's attorney (.2); review the PSA, identify important dates and prepare closing documents checklist (1.1); prepare closing checklist and docket important dates (1.7) communicate with Chicago title re: earnest money investment (.2)	3.30 hrs @ 180.00/hr	594.00
08/03/2020	RTS	R116	Drafted motion to approve sale and related relief	4.30 hrs @ 360.00/hr	1,548.00
08/03/2020	NNR	R116	Follow up calls and emails re: Timber Lake closing, including sign escrow agreement	0.20 hrs @ 385.00/hr	77.00
08/03/2020	SBS	R116	Finalized Purchase and Sale Agreement (.3); attention to joint order escrow for earnest money (.1); attention to email responses (.3); attention to due diligence responses (.4)	1.10 hrs @ 385.00/hr	423.50
08/04/2020	SBS	R116	Reviewed status of due diligence deliveries (.3); call with R. Storck (33 Realty) re: due diligence deliveries (.4)	0.70 hrs @ 385.00/hr	269.50
08/05/2020	MB	R116	Prepare applications for Bulk Sales and submitted for processing (1.7); communicate with title company to order updated title commitment (.2) review title commitment and identify items needed for closing (.5) updated closing checklist (.3)	2.70 hrs @ 180.00/hr	486.00
08/07/2020	SBS	R116	Followed up with R. Storck of 33 Realty on status of due diligence	0.10 hrs @ 385.00/hr	38.50
08/10/2020	SBS	R116	Call with Purchaser's counsel re: closing timeline (.1); followed up on bulk sales waiver (.1); followed up with N. Reid and R. Schultz re: various questions (.2)	0.40 hrs @ 385.00/hr	154.00
08/11/2020	MB	R116 A111	Attention to correspondence (.1); communicate with Illinois Department of Revenue re: bulk sales (.1) review completed Illinois bulk sale certificates and maintain the same in the file system (.2)	0.40 hrs @ 180.00/hr	72.00
08/11/2020	RTS	R116	Revised motion to approve sale and proposed order (.3)	0.30 hrs @ 360.00/hr	108.00
08/12/2020	SBS	R116	Attention to timing questions on court approval	0.10 hrs @ 385.00/hr	38.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 12

***Asset Disposition – Timber Lake***

08/13/2020	NNR	R116	Discussion with E. Weber re: coverage of operational costs from Axos accounts (.10); discussion with B. Glonek re: insurance issues related to Timberlake closing (.1)	0.20 hrs @ 385.00/hr	77.00
08/14/2020	SBS	R116	Attention to questions on usage of community center by church	0.40 hrs @ 385.00/hr	154.00
08/17/2020	NNR	R116	Discussion with D. Cohen re: status of Turner offer and confirming information is current and follow up status email to R. Schultz and S. Shellenback re: same (.3)	0.30 hrs @ 385.00/hr	115.50
08/17/2020	SBS	R116	Attention to due diligence questions	0.20 hrs @ 385.00/hr	77.00
08/18/2020	SBS	R116	Attention to question of usage of community center by Church (.5); attention to Motion to approve sale (.2)	0.70 hrs @ 385.00/hr	269.50
08/18/2020	NNR	R116	Resolve issues re: disposition of Timber Lake community room and potential lease	0.20 hrs @ 385.00/hr	77.00
08/18/2020	NNR	R116	Edit, revise motion to approve sale of Timberlake and discussion with (and emails to) D. Cohen re: marketing process and issues related to potential interference from other brokers	1.70 hrs @ 385.00/hr	654.50
08/19/2020	SBS	R116	Attention to questions from N. Reid on Motion for approval of sale	0.30 hrs @ 385.00/hr	115.50
08/19/2020	NNR	R116	Edit, revise motion and order re: Timberlake sale	0.70 hrs @ 385.00/hr	269.50
08/19/2020	NNR	R116	Follow up discussions with D. Cohen re: marketing process and email to Mueller counsel re: draft motion and order	0.40 hrs @ 385.00/hr	154.00
08/24/2020	SBS	R116	Call with N. Reid re: due diligence status	0.10 hrs @ 385.00/hr	38.50
08/25/2020	SBS	R116	Attention to questions on Community Center operations by Church (.3); attention to title exception questions raised by Purchaser (.7); reviewed revised Motion to approve sale (.2); respond to emails from Purchaser's counsel (.2)	1.40 hrs @ 385.00/hr	539.00
08/25/2020	NNR	R116	Review, edit, revise motion to approve sale and respond to email re: late offer	1.40 hrs @ 385.00/hr	539.00
08/25/2020	MB	R116	Attention to correspondence re: service contracts and association dues/services	0.50 hrs @ 180.00/hr	90.00
08/25/2020	RTS	R116	Revisions to motion to approve sale (.6)	0.60 hrs @ 360.00/hr	216.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 13

***Asset Disposition – Timber Lake***

08/26/2020	MB	R116	Review letter re: service contracts cancellation(.1); download and review service contracts(.7); communicate with property manager re: same(.1); review correspondence re: updated title commitment and e-mail title company(.2); communicate with S. Shellenback re: bulk sales(.1); update closing checklist (.2); forward new title commitment to buyer's counsel (.1); review updated title commitment and note new exception (.4) review survey re: correspondence from S. Shellenback (.8)	2.70 hrs @ 180.00/hr	486.00
08/26/2020	RTS	R116	Emails among N. Reid, L. Virgilio and D. Cohen re: potential new offer (.2); Telephone conferences with D. Cohen re: same (.4); revised motion to approve sale (.4); email to SEC re: same (.1); email to buyer re: draft proposed order (.1)	1.20 hrs @ 360.00/hr	432.00
08/26/2020	NNR	R116	Further discussion with R. Schultz and D. Cohen re: response to speculative late offer	0.30 hrs @ 385.00/hr	115.50
08/26/2020	SBS	R116	Attention to questions raised by Purchaser re: Declaration of Restrictions for Recreation Area and formation of association (1.5); call with C. Higgins re: association and governing of Recreation Area (.4); reviewed updated title commitment (.2); attention to emails (.2)	2.30 hrs @ 385.00/hr	885.50
08/27/2020	MB	R116	Further review of county records re: recreational building/association and transmit findings to S. Shellenback(1.8); review records and re-submit bulk sale form to IDES (.2) discuss real estate records for pool area with S. Shellenback (.5) communicate with Parkway Bank re: land trust (.1) communicate with C. Higgins re: exempt tax bills (.1) communicate with title company re: county records for pool/recreational area (.4) review title objection letter/updated survey and communicate with title company re: same (.6)	4.30 hrs @ 180.00/hr	774.00
08/27/2020	SBS	R116	Attention to title questions and questions on exempt parcels (2.0); attention to emails (1.1); reviewed Purchaser's title objection letter (.2)	3.30 hrs @ 385.00/hr	1,270.50
08/27/2020	NNR	R116	Prepare for and participate in call with G. Mueller and 33Realty and follow up email to D. Cohen re: same (.8); analyze issues and prepare email to R. Schultz and S. Shellenback re: results of call with G. Mueller and follow up strategy re: same (.6); calls with D. Cohen and R. Schultz (together and separately) regarding response to alleged new offer from Sapphire and strategy re: same (.4).	1.80 hrs @ 385.00/hr	693.00
08/27/2020	RTS	R116	Email from N. Reid re: summary of Mueller potential objections to sale (.1); emails to and from N. Reid re: Mueller requests for information (.2)	0.30 hrs @ 360.00/hr	108.00
08/27/2020	NNR	R116	Respond to R. Schultz email re: answering G. Mueller's questions	0.10 hrs @ 385.00/hr	38.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 14

***Asset Disposition – Timber Lake***

08/28/2020	MB	R116	Attention to correspondence (.2); review tax bills for pool/recreational area and communicate findings with S. Shellenback (.3) communicate with title company re: chain of title for additional parcels (.1) review correspondence from title company re: additional parcel numbers and review survey to locate Parcel 4 (.6)	1.20 hrs @ 180.00/hr	216.00
08/28/2020	RTS	R116	Telephone conferences with N. Reid and D. Cohen re: new offer issues (.3); Telephone conference with S. Shellenback re: issue related to Timberwood Recreational Center, Inc. (.3)	0.60 hrs @ 360.00/hr	216.00
08/28/2020	SBS	R116	Attention to title questions (.5); call with R. Schultz re: title matters (.3); attention to email (.4)	1.20 hrs @ 385.00/hr	462.00
08/29/2020	SBS	R116	Attention to responding to emails re: Timber Lake sale	0.40 hrs @ 385.00/hr	154.00
08/31/2020	SBS	R116	Attention to question on ownership of pool and community center	1.10 hrs @ 385.00/hr	423.50
08/31/2020	RTS	R116	Telephone conference with N. Reid re: Sapphire offer issues	0.30 hrs @ 360.00/hr	108.00
08/31/2020	NNR	R116	Discussion with R. Schultz and D. Cohen re: response to Sapphire inquiry and analyze R. Schultz email re: same	0.20 hrs @ 385.00/hr	77.00
08/31/2020	MB	R116	Further review of county records to identify the owner of the pool/recreational area	3.20 hrs @ 180.00/hr	576.00

***Total for: Asset Disposition – Timber*** ***\$14,615.50***

***Asset Disposition - Bartlett***

08/03/2020	RTS	R117	Emails to and from 33 Realty re: post closing issues with buyer (.2)	0.20 hrs @ 360.00/hr	72.00
08/03/2020	SBS	R117	Attention to post -closing proration adjustments and payments (1.1); further review of potential Virgilio commission (.1)	1.20 hrs @ 385.00/hr	462.00
08/06/2020	RTS	R117	Further revised letter to Monument re: post-closing obligations	0.30 hrs @ 360.00/hr	108.00
08/06/2020	NNR	R117	Edit, revise letter re: refunds post-closing	0.40 hrs @ 385.00/hr	154.00
08/07/2020	RTS	R117	Finalized and sent letter to Monument counsel re: post closing issues	0.10 hrs @ 360.00/hr	36.00
08/10/2020	RTS	R117	Reviewed accounting of post-closing payments pursuant to PSA	0.10 hrs @ 360.00/hr	36.00
08/10/2020	NNR	R117	Resolve issues re: payment of invoices related to Bartlett property	0.20 hrs @ 385.00/hr	77.00
08/11/2020	SBS	R117	Reviewed proposed allocation of post-closing rent and real estate tax counsel invoices	0.30 hrs @ 385.00/hr	115.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 15

***Asset Disposition - Bartlett***

08/13/2020	SBS	R117	Reviewed draft Motion and Order for approval of sale	1.30 hrs @ 385.00/hr	500.50
<b><i>Total for: Asset Disposition - Bartlett</i></b>					<b><i>\$1,561.00</i></b>

***Asset Disposition – Surrey***

08/04/2020	MB	R118	Attention to post closing items and amounts due to Receiver	0.50 hrs @ 180.00/hr	90.00
08/04/2020	SBS	R118	Prepared email outlining post-closing obligations	1.20 hrs @ 385.00/hr	462.00
08/11/2020	MB	R118	Attention to correspondence (.1); review received scanned cancel loan documents and maintain the same in the file system (.3)	0.40 hrs @ 180.00/hr	72.00
08/11/2020	NNR	R118	Review and process checks and emails re: payment of professionals, Surrey closing documents and tax refund	0.30 hrs @ 385.00/hr	115.50
08/12/2020	MB	R118	Attention to correspondence; provide list of parcel numbers to E. Weber	0.50 hrs @ 180.00/hr	90.00
08/13/2020	MB	R118	Attention to post closing items: maintain closing documents in the file system	0.50 hrs @ 180.00/hr	90.00
08/13/2020	SBS	R118	Attention to post-closing matters	0.30 hrs @ 385.00/hr	115.50
<b><i>Total for: Asset Disposition – Surrey</i></b>					<b><i>\$1,035.00</i></b>

***Asset Disposition – Elston***

08/01/2020	NNR	R119	Edit, revise, finalize Elston motion and order, and discussions with D. Hyman re: proper summary of sale and marketing procedures	4.40 hrs @ 385.00/hr	1,694.00
08/02/2020	SBS	R119	Reviewed draft Motion to approve sale (.5); reviewed draft Order approving sale (.2)	0.70 hrs @ 385.00/hr	269.50
08/03/2020	RTS	R119	Reviewed revised motion to approve sale and sale order and provided comments to same (.3)	0.30 hrs @ 360.00/hr	108.00
08/03/2020	NNR	R119	Discussion with S. Shellenback and S. Fyock re: finalizing Elston motion and order	0.20 hrs @ 385.00/hr	77.00
08/03/2020	NNR	R119	Review comments to order and motion and finalize same, and send to buyer and lender's counsel	0.60 hrs @ 385.00/hr	231.00
08/03/2020	NNR	R119	Follow up calls and emails re: Elston closing and next steps, including email to buyer re: process and timeline	0.30 hrs @ 385.00/hr	115.50
08/03/2020	SBS	R119	Reviewed revised draft of Motion to approve sale (.1); reviewed revised Order approving sale (.3); attention to emails with N. Reid and Purchaser's attorney (.3)	0.70 hrs @ 385.00/hr	269.50
08/04/2020	RTS	R119	Email to M. Foster re: motion to approve sale	0.10 hrs @ 360.00/hr	36.00
08/07/2020	MB	R119	Start to draft closing documents	0.50 hrs @ 180.00/hr	90.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 16

***Asset Disposition – Elston***

08/10/2020	MB	R119	Continue drafting closing documents	0.40 hrs @ 180.00/hr	72.00
08/11/2020	NNR	R119	Follow up re: getting SEC approval for motion, editing motion to include SEC approval reference, and email to R. Schultz, B. Liss, K. Thomas, S. Shellenback re: processing for filing	0.30 hrs @ 385.00/hr	115.50
08/11/2020	SBS	R119	Followed-up on filing of Motion for approval of sale.	0.10 hrs @ 385.00/hr	38.50
08/11/2020	LBL	R119	Emails with N. Reid, S. Shellenback and M. Bogdanowicz re: Elston sale motion and PSA (.1); reviewed same (.2); coordinated filing of same (.1)	0.40 hrs @ 285.00/hr	114.00
08/12/2020	LBL	R119	Attention to court order re: objection deadline for sale motion and circulated calendar management items for same/submission of proposed order re: same (.1); call with N. Reid and S. Shellenback re: objection period (.1)	0.20 hrs @ 285.00/hr	57.00
08/12/2020	MB	R119	Continue drafting closing documents	0.60 hrs @ 180.00/hr	108.00
08/12/2020	NNR	R119	Discussion with S. Shellenback and B. Liss re: follow up on timing of Elston closing	0.30 hrs @ 385.00/hr	115.50
08/12/2020	SBS	R119	Attention to questions on timing of court approval (.3); drafted email to Purchaser's counsel re: closing timeline (.1)	0.40 hrs @ 385.00/hr	154.00
08/20/2020	SBS	R119	Call from S. Silver at Millennium Properties re: status of transaction	0.10 hrs @ 385.00/hr	38.50

***Total for: Asset Disposition – Elston*** **\$3,703.50**

***Fee Applications***

08/17/2020	LBL	R121	Prepared proposed order for fee application per court order and emailed courtroom deputy and chambers staff re: same pursuant to court order and standing order (.9); prepared bank information/documentation for disbursement of funds under fee application and coordinated same with E. Anderson (.3)	1.20 hrs @ 285.00/hr	N/C
08/17/2020	LBL	R121	Managed/organized case files re: court orders related to fee application	0.10 hrs @ 285.00/hr	N/C
08/24/2020	LBL	R121	Worked on draft fourth (Fox Swibel)/third (other professionals) fee application	1.50 hrs @ 285.00/hr	N/C
08/25/2020	LBL	R121	Worked on draft fourth (Fox Swibel)/third (other professionals) fee application	1.40 hrs @ 285.00/hr	N/C
08/27/2020	LBL	R121	Worked on draft fee application/exhibits (1.7); exchanged emails with R. Schultz and N. Reid re: same (.1); revised same (1.9)	3.70 hrs @ 285.00/hr	N/C
08/27/2020	RTS	R121	Revised fee application	1.00 hrs @ 360.00/hr	N/C
08/28/2020	LBL	R121	Revised draft fee application and related exhibits (.8); emails with R. Schultz and N. Reid re: same (.1)	0.90 hrs @ 285.00/hr	N/C



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 17

***Fee Applications***

08/31/2020	LBL	R121	Emails with accounting team and N. Reid re: expense documentation for exhibit to fee application (.1); revised draft fee application and worked on exhibits for same (1.1)	1.20 hrs @ 285.00/hr	N/C
08/31/2020	NNR	R121	Review, edit, revise fee application and email to B. Liss re: comments, question and request for clarification	0.90 hrs @ 385.00/hr	N/C

***Total for: Fee Applications*** \$0.00

**Total Professional Services:** \$64,438.00

**DISBURSEMENTS**

08/06/2020	Cogency Global Inc.-100310344 UCC and lien search fees for 441-447 NORTH LAKE SHORE DRIVE TOWNHOME ASSOCIATION	\$415.00
08/11/2020	Innovative Service Technology Management Services, Inc.-104480 Hosted Review - Monthly Data Storage per GB for the month of July, 2020	\$834.64
08/12/2020	Inservio-X4066 Third Interim Fee App - Copy, Postage & Mail Service	\$494.25
08/25/2020	FedEx-7-098-63851 Delivery on 08/11/20 to Margy Bogdanowicz	\$19.30
08/31/2020	Array-X5025 Copy, postage and mailing of Receiver's Motion for Order Fixing Claims Bar Date to interested parties and 467 receivership investors	\$6,371.80

**Total Disbursements:** \$8,134.99



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 95439

Fox Swibel Levin & Carroll LLP  
 November 16, 2020  
 Page # 18

<b><u>ID</u></b>	<b><u>Timekeeper Name</u></b>	<b><u>Hours</u></b>	<b><u>Total Fees</u></b>
NNR	Reid, Neville N	52.40	\$18,980.50
TWS	Stein, Terry W	12.00	\$4,620.00
RTS	Schultz, Ryan T	23.50	\$7,560.00
SBS	Shellenback, Stephanie B	26.50	\$10,202.50
SAS	Schonfeld, Scott A	2.40	\$744.00
LBL	Liss, Brandon	27.80	\$5,073.00
KT	Thomas, Kenneth	42.80	\$11,984.00
EBA	Anderson, Eric B	6.10	\$1,098.00
MB	Bogdanowicz, Margy	23.20	\$4,176.00

### **BILL SUMMARY**

TOTAL CHARGES THIS INVOICE	\$72,572.99
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BALANCE FORWARD	\$325,470.99
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LESS PAYMENTS SINCE LAST INVOICE	\$182,623.01
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<b>BALANCE DUE UPON RECEIPT</b>	<b>\$215,420.97</b>
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**FOX SWIBEL****Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000  
 Chicago, IL 60606  
 (312) 224-1200

October 26, 2020

N. Neville Reid

Invoice: 96034

File Number: 07183-001

Billed Through: 09/30/2020

**N. Neville Reid, not individually but as receiver/Northridge Receivership****PROFESSIONAL SERVICES*****Asset Analysis and Recovery***

09/01/2020	LBL	R100	Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions	1.20 hrs @ 285.00/hr	342.00
09/01/2020	RTS	R100	Telephone conferences with finder claim settlement letter recipients re: resolving finder claims (1.5); drafted summaries of same for Receiver: (.2); email to SEC re: notice of settlements (.3)	2.00 hrs @ 360.00/hr	720.00
09/01/2020	NNR	R100	Retrieve and review SEC documents re: ND property (.2); Conference call with SEC and AlixPartners re: insider letters (1.0)	1.20 hrs @ 385.00/hr	462.00
09/01/2020	KT	R100	Review and edit draft of finders/net winner form complaint. (1.4); review emails related to communications with finders and document in finders tracker spreadsheet. (.6)	2.00 hrs @ 280.00/hr	560.00
09/02/2020	KT	R100	Review correspondence on finders settlement communications and document in tracker.	0.40 hrs @ 280.00/hr	112.00
09/02/2020	RTS	R100	Telephone conference with alleged finder re: resolution of claims (.5); email to N. Reid re: settlement recommendation (.1); drafted form settlement agreement (.4); drafted W. Thompson settlement agreement (.3); research re: W. Thompson (review company emails, etc.) (.5); Telephone conference with another alleged finder re: resolution of claims (.3); email to N. Reid re: settlement recommendation (.1); Drafted memo to Receiver re: Analysis of net-winner claims and recommendations to Receiver (3.0)	5.20 hrs @ 360.00/hr	1,872.00
09/02/2020	LBL	R100	Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions	3.10 hrs @ 285.00/hr	883.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 2

***Asset Analysis and Recovery***

09/03/2020	LBL	R100	Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions (2.0); emailed R. Schultz and N. Reid with overview re: same (.2)	2.20 hrs @ 285.00/hr	627.00
09/03/2020	RTS	R100	Reviewed proposed proceed split re: Swift settlement and responded to B. Allen re: same (.4); reviewed potential receivable claims and email to N. Reid re: analysis and recommendations as to same (.3); Telephone conference with finder claimant re: settlement of claim (.3)	1.00 hrs @ 360.00/hr	360.00
09/04/2020	KT	R100	Draft and revise emails related to finders demand letters and schedules. (.1) Review updates on finders settlement and update tracker chart. (.3); research and analyze case law related to jurisdiction and authority to file lawsuits. Research and analyze case law related to suing other potentially liable parties (1.7)	2.10 hrs @ 280.00/hr	588.00
09/04/2020	LBL	R100	Researched and analyzed jurisdiction re: UFTA claims brought by receiver and emailed N. Reid, R. Schultz, and K. Thomas re: same (.7); drafted position re: privilege dispute with Gair Eberhard and sent same to N. Reid, R. Schultz, and K. Thomas (1.1); Drafted follow-up communications to Sreenan law firm and Clark law firm re: Receiver's request for information and sent same to M. Sreenan and R. Clark(.4); updated internal communications log for correspondence with law firms (.1)	2.30 hrs @ 285.00/hr	655.50
09/04/2020	RTS	R100	Telephone conference with alleged finder re: settlement of claim	0.10 hrs @ 360.00/hr	36.00
09/08/2020	NNR	R100	Draft, edit, revise and circulate email re draft email to SEC for approach to litigation claims and incorporate comments of R. Schultz and K. Thomas	0.90 hrs @ 385.00/hr	346.50
09/08/2020	RTS	R100	Telephone conference with alleged finder re: settlement of claim (.4); Telephone conference with SEC re: pursuit of claims (.5)	0.90 hrs @ 360.00/hr	324.00
09/08/2020	LBL	R100	Exchanged emails with R. Schultz and N. Reid re: privilege dispute with Gair Eberhard and Receiver's position re: same to Gair (.2); updated law firm communications log re: same (.1)	0.30 hrs @ 285.00/hr	85.50
09/08/2020	KT	R100	Draft and revise demand letter for parties who purported received a loan from the Receivership Defendants.	2.10 hrs @ 280.00/hr	588.00
09/09/2020	KT	R100	Finalize draft of demand letter for individuals who purportedly received loans including case research. (1.2) Draft and revise demand letter for finder. (.4) Review previously set out demand letters. (.2)	1.80 hrs @ 280.00/hr	504.00
09/09/2020	RTS	R100	Various emails re: following up on demand letters	0.20 hrs @ 360.00/hr	72.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 3

***Asset Analysis and Recovery***

09/11/2020	RTS	R100	Telephone conference with finder/net-winner re: settlement of claim (1.0); revised loan balance demand letters (.3); Telephone conference with finder/net-winner re: settlement of claim (.5)	1.80 hrs @ 360.00/hr	648.00
09/11/2020	LBL	R100	Reviewed and analyzed Gair production/updated law firm production summary memorandum to include summary of same and emailed R. Schultz and N. Reid re: same	0.60 hrs @ 285.00/hr	171.00
09/11/2020	KT	R100	Review correspondence and update settlement tracker.	0.40 hrs @ 280.00/hr	112.00
09/14/2020	LBL	R100	Reviewed net winner demand letters and background information re: same (.2); worked on draft of Dressler net winner/loan demand letter (.9); emails with R. Schultz and N. Reid re: same (.1)	1.20 hrs @ 285.00/hr	342.00
09/14/2020	RTS	R100	Attention to communicating with potential defendants re: settlements of claims (.8); Email to SEC re: notice of settlement (.3)	1.10 hrs @ 360.00/hr	396.00
09/15/2020	RTS	R100	Drafted net-winner settlement demand letters	1.00 hrs @ 360.00/hr	360.00
09/15/2020	LBL	R100	Exchanged emails with T. Hofner re: obtaining schedules for demand letters (.1); revised same, prepared same for distribution, and sent to R. Schultz for final review (.6)	0.60 hrs @ 285.00/hr	171.00
09/17/2020	RTS	R100	Final review of receivable demand letters (.1)	0.10 hrs @ 360.00/hr	36.00
09/17/2020	LBL	R100	Edited Frasz, Douglas, and Dressler demand letters/schedule attachments and coordinated mailing of same	0.30 hrs @ 285.00/hr	85.50
09/18/2020	RTS	R100	Telephone conference with finder/net-winner re: settlement of claim	0.50 hrs @ 360.00/hr	180.00
09/21/2020	LBL	R100	Called N. Reid and left voicemail re: Stefan letter and emailed N. Reid re: same as follow up	0.10 hrs @ 285.00/hr	28.50
09/23/2020	KT	R100	Draft and revise net winner demand letters	1.00 hrs @ 280.00/hr	280.00
09/24/2020	KT	R100	Draft and revise net winner demand letters.	2.60 hrs @ 280.00/hr	728.00
09/24/2020	RTS	R100	Telephone conference with counsel for finder/net-winner re: settlement	0.10 hrs @ 360.00/hr	36.00
09/25/2020	KT	R100	Draft and revise net winner demand letters	2.50 hrs @ 280.00/hr	700.00
09/25/2020	RTS	R100	Telephone conference with M. Rosow re: Leichty related claims issues (.2); analysis of response to Covenant Christian School demand and related materials from AlixPartners (.2); review status and analysis of outstanding net winner/finder claims (1.0)	1.40 hrs @ 360.00/hr	504.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 4

### ***Asset Analysis and Recovery***

09/28/2020	RTS	R100	Reviewing status of all finder claims, updating status chart (1.0); sending follow ups to all finders who have responded to demands (.3); re-sending demands to alternative addresses if no responses have been received and searching documents to find alternative contact information (1.0); reviewing, revising net-winner demands (3.5)	5.80 hrs @ 360.00/hr	2,088.00
09/29/2020	RTS	R100	Drafting and revising net-winner demand letters (3.0); email to T. Hoeffner re: information needed for net-winner demands (.1); Telephone conference with counsel for Christian Covenant School re: settlement of claims (.2); email to counsel for Frasnz family trust re: not pursuing claim (.1); Telephone conference with N. Reid re: status of claims and information required by Receiver to show person is judgment proof (.2)	3.70 hrs @ 360.00/hr	1,332.00
09/29/2020	NNR	R100	Review R. Schultz spreadsheet on status of demands and discuss same with him	0.40 hrs @ 385.00/hr	154.00
09/30/2020	RTS	R100	Telephone conference with net-winner/finder re: potential settlement of claim (.2); Drafting and finalizing net-winner demand letters (3.5)	3.70 hrs @ 360.00/hr	1,332.00

### ***Total for: Asset Analysis and***

***\$18,822.00***

### ***Asset Disposition***

09/01/2020	RTS	R101	Emails to and from L. Gomez re: Miami condo sale (.2)	0.20 hrs @ 360.00/hr	72.00
09/01/2020	SBS	R101	Miami Condo: reviewed contract and rider (.4); revised rider to reflect court approval process (.8)	1.20 hrs @ 385.00/hr	N/C
09/01/2020	SAS	R101	Review of Miami condominium contract offer and preparation of comments to same.	1.30 hrs @ 310.00/hr	403.00
09/02/2020	SAS	R101	Attention to Miami condo purchase agreement; coordination with broker re: same.	0.30 hrs @ 310.00/hr	93.00
09/04/2020	NNR	R101	Review Miami condo contract	0.30 hrs @ 385.00/hr	115.50
09/04/2020	SAS	R101	Attention to Miami condo matters	1.00 hrs @ 310.00/hr	310.00
09/08/2020	SBS	R101	Miami condo: conferred with S. Schonfeld re: handling of closing	0.10 hrs @ 385.00/hr	38.50
09/08/2020	SAS	R101	Attention to Miami condo transaction matters	0.50 hrs @ 310.00/hr	155.00
09/08/2020	LBL	R101	Exchanged emails with R. Schultz, N. Reid and K. Thomas re: drafting motion for approval of Miami condo sale and reviewed case database re: documents for same	0.10 hrs @ 285.00/hr	28.50
09/09/2020	MB	R101	Attention to correspondence (.3); start to review real estate contract for Miami condo (.3)	0.60 hrs @ 180.00/hr	108.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 5

***Asset Disposition***

Date	Client	Ref	Description	Hours	Rate	Amount
09/09/2020	NNR	R101	Discussion with B. Liss re: background on Miami condo sale efforts	0.20 hrs @ 385.00/hr		77.00
09/09/2020	SAS	R101	Attention to Miami condo matters.	0.20 hrs @ 310.00/hr		62.00
09/09/2020	LBL	R101	Call with N. Reid re: motion for approval of sale of Miami condo (.2); call with R. Schultz re: same (.2) reviewed background documents and worked on draft motion for same (.6)	1.00 hrs @ 285.00/hr		285.00
09/10/2020	LBL	R101	Worked on draft motion for approval of sale of Miami Property and reviewed related documents for same	1.20 hrs @ 285.00/hr		342.00
09/11/2020	LBL	R101	Revised draft motion for approval of sale of Miami property/related exhibits and emailed R. Schultz re: same (1.0); emailed S. Schonfeld and M. Bogdanowicz re: property status re: mortgage/liens/encumbrances (.1); exchanged emails with L. Gomez re: call to discuss LG sale process/efforts for Miami property (.1)	1.20 hrs @ 285.00/hr		342.00
09/11/2020	RTS	R101	Reviewed motion to approve sale (Miami condo) (.2); Telephone conference with opposing counsel re: sale process (Miami condo) (.2)	0.40 hrs @ 360.00/hr		144.00
09/11/2020	SAS	R101	Attention to emails re: disposition of Miami condominium.	0.20 hrs @ 310.00/hr		62.00
09/14/2020	SBS	R101	Palatine: Followed up with condominium counsel re: turnover of associations	0.10 hrs @ 385.00/hr		38.50
09/14/2020	NNR	R101	Review and comment on motion re: approval of Miami Condo sale	0.30 hrs @ 385.00/hr		115.50
09/14/2020	LBL	R101	Call with L. Gomez (LG) re: marketing process for Miami property (.3); revised draft motion for Miami property sale approval (.3); emails with N. Reid and R. Schultz re: same and emailed SEC re: review of same (.1)	0.70 hrs @ 285.00/hr		199.50
09/15/2020	MB	R101	Review contract for 3470 E. Coast Ave, Miami, FL and note important dates and closing items (.8); communicate with buyer's attorney re: title commitment (.1)	0.90 hrs @ 180.00/hr		162.00
09/15/2020	SBS	R101	Palatine: Reviewed email from J. Slowikowski re: turnover of homeowner associations (.1); followed up with N. Reid (.1)	0.20 hrs @ 385.00/hr		77.00
09/18/2020	LBL	R101	Applied final revisions to Miami property sale motion and prepared/coordinated filing of same and COS/service	1.10 hrs @ 285.00/hr		313.50
09/21/2020	MB	R101	Review title commitment for 3470 E. Coast Ave, Miami, FL (1.2); communicate with title company re: closing requirements (.3); communicate with listing agent re: association dues (.2)	1.70 hrs @ 180.00/hr		306.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 6

### ***Asset Disposition***

09/21/2020	RTS	R101	Emails to opposing counsel and broker re: motion to approve filing, objection deadline and potential closing	0.20 hrs @ 360.00/hr	72.00
09/21/2020	SAS	R101	Attention to Miami condo matters	0.20 hrs @ 310.00/hr	62.00
09/22/2020	MB	R101	Review correspondence from title company re: 3470 E. Coast Ave, Miami, FL and note file (.3) further review of title and request recorded document from title company (.3) review additional recorded document (.3)	0.90 hrs @ 180.00/hr	162.00

### ***Total for: Asset Disposition***

***\$4,145.50***

### ***Business Operations***

09/04/2020	NNR	R102	Status meeting with 33Realty	0.30 hrs @ 385.00/hr	115.50
09/04/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status	0.30 hrs @ 360.00/hr	108.00
09/11/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call	0.40 hrs @ 360.00/hr	144.00
09/11/2020	NNR	R102	Conference with 33Realty and R. Schultz re: weekly status call	0.40 hrs @ 385.00/hr	154.00
09/18/2020	RTS	R102	Telephone conference with 33Realty re: weekly status call	0.20 hrs @ 360.00/hr	72.00
09/25/2020	RTS	R102	Telephone conference with 33 Realty re: weekly status call	0.40 hrs @ 360.00/hr	144.00
09/25/2020	NNR	R102	Weekly status call with 33Realty	0.40 hrs @ 385.00/hr	154.00

### ***Total for: Business Operations***

***\$891.50***

### ***Case Administration***

09/01/2020	RTS	R103	Telephone conference with N. Reid re: status of all outstanding matters	0.30 hrs @ 360.00/hr	108.00
09/02/2020	RTS	R103	Drafted agenda for all-hands status meeting (.3)	0.30 hrs @ 360.00/hr	108.00
09/04/2020	LBL	R103	Fox Swibel team (R. Schultz, N. Reid, S. Shellenback, K. Thomas., B. Liss) status call re: all action items	0.80 hrs @ 285.00/hr	228.00
09/04/2020	NNR	R103	Status meeting with FSLC team	0.80 hrs @ 385.00/hr	308.00
09/04/2020	KT	R103	Participate in internal call to discuss status of various workstreams	0.80 hrs @ 280.00/hr	224.00
09/04/2020	RTS	R103	Telephone conference with N. Reid, B. Liss, K. Thomas re: all matter status call	0.80 hrs @ 360.00/hr	288.00
09/08/2020	NNR	R103	Review/sign agreement re: Pazur and edit/revise/sign Miami condo agreement (1.4); Prepare for and participate in conference call with SEC team re: approach to litigation claims (.5)	1.90 hrs @ 385.00/hr	731.50



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 7

**Case Administration**

09/08/2020	LBL	R103	Tracked relevant objection deadlines and proposed order submission re: motion for approval of Elston sale	0.10 hrs @ 285.00/hr	28.50
09/09/2020	NNR	R103	Resolve issues re: Chablis sale deposit of escrow funds	0.20 hrs @ 385.00/hr	77.00
09/10/2020	EBA	R103	Reviewed October/November bank statements and files for Chase bank refund re 610 Lincoln (.2); transmitted findings to Receiver (.1)	0.30 hrs @ 180.00/hr	54.00
09/10/2020	NNR	R103	Discussion with L. West re: tax issues	0.30 hrs @ 385.00/hr	115.50
09/10/2020	RTS	R103	Returning various investor and claimant emails and inquiries (.6)	0.60 hrs @ 360.00/hr	N/C
09/14/2020	NNR	R103	Respond to and address miscellaneous issues and emails, including edit/revise disclaimer for tax returns, review and endorse check for Swift settlement	0.50 hrs @ 385.00/hr	192.50
09/14/2020	EBA	R103	Recorded check from William Allen in re 22W371 Emerson, Glen Ellyn, IL in TCMS (.1); remotely deposited check (.2);	0.30 hrs @ 180.00/hr	54.00
09/16/2020	NNR	R103	Discussion with R. Schultz re: follow up from AlixPartners call, claims process generally and next steps with Mueller re: Sapphire offer	0.30 hrs @ 385.00/hr	115.50
09/18/2020	RTS	R103	Telephone conference with M. Foster re: case issues and status	0.70 hrs @ 360.00/hr	252.00
09/21/2020	EBA	R103	Email exchange with Lois West; Carol Higgins and Receiver (.2); drafted cover letters re tax payments (.2)	0.40 hrs @ 180.00/hr	72.00
09/23/2020	LBL	R103	Managed case files re: orders for recently filed court submissions and coordinated/organized docketing of relevant deadlines	0.20 hrs @ 285.00/hr	57.00
09/23/2020	RTS	R103	Email to T. Hoeffner re: filings to post on receivership web site	0.10 hrs @ 360.00/hr	36.00
09/24/2020	EBA	R103	Prepared check to IDOR re 610 Lincoln Limited Partnership (.2); drafted cover letter to IDOR (.1) transmitted all to Receiver and clerk for signature and mailing to IDOR (.1)	0.40 hrs @ 180.00/hr	72.00
09/29/2020	NNR	R103	Respond to emails re: follow up procedure on claims process motion and resolution of certain net winner claims	0.20 hrs @ 385.00/hr	77.00
09/29/2020	LBL	R103	Reviewed Judge Lee standing orders/procedures re: reinstatement of notice of presentment requirement and emailed internally re: same for future filings (.2); exchanged emails with R. Schultz re: memo re: law firm productions and outstanding Sreenan response (.1)	0.30 hrs @ 285.00/hr	85.50

**Total for: Case Administration**

**\$3,284.00**



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 8

***Claims Administration and Objections***

09/01/2020	RTS	R104	Telephone conference with investor re: claims procedure questions (.2)	0.20 hrs @ 360.00/hr	N/C
09/01/2020	RTS	R104	Telephone conference with R. Stefan re: potential objections to claim and distribution motion (.6)	0.60 hrs @ 360.00/hr	216.00
09/01/2020	LBL	R104	Emails with R. Schultz and N. Reid re: Stefan investor letter to the court and emailed chambers re: same	0.20 hrs @ 285.00/hr	57.00
09/08/2020	LBL	R104	Telephone conference Fox Swibel and AlixPartners teams re: claims process and emails with AlixPartners related to same	0.60 hrs @ 285.00/hr	171.00
09/08/2020	RTS	R104	Telephone conference with AlixPartners re: claims process issues	0.60 hrs @ 360.00/hr	216.00
09/08/2020	KT	R104	Call with AlixPartners and internal team to discuss process for notifying creditors of their claims and related details.	0.60 hrs @ 280.00/hr	168.00
09/09/2020	RTS	R104	Emails to and from attorney for claimants re: claims process and information	0.10 hrs @ 360.00/hr	36.00
09/09/2020	LBL	R104	Reviewed spreadsheets re: vendors and employee data (.1); telephone conference with K. Thomas to discuss mailings re: same and emailed T. Hofner to schedule follow-up (.2)	0.30 hrs @ 285.00/hr	85.50
09/09/2020	KT	R104	Call with B. Liss to discuss vendor and employee spreadsheets.	0.20 hrs @ 280.00/hr	56.00
09/11/2020	LBL	R104	Telephone conference with T. Hofner and K. Thomas re: issuance of notices of claims	0.40 hrs @ 285.00/hr	114.00
09/11/2020	KT	R104	Call with B. Liss and AlixPartners to discuss vendor lists	0.40 hrs @ 280.00/hr	112.00
09/14/2020	RTS	R104	Attention to addressing objections and finalizing Bar Date Order, including Telephone conference with T. Biasiello re: resolution of Mrs. Mueller's objection	0.50 hrs @ 360.00/hr	180.00
09/14/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices	4.00 hrs @ 285.00/hr	1,140.00
09/14/2020	NNR	R104	Discussion with Stefan (investor) re: objection to claims procedures	0.30 hrs @ 385.00/hr	115.50
09/15/2020	NNR	R104	Answer investor questions re: claims procedures	0.20 hrs @ 385.00/hr	N/C
09/15/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.3); call with N. Reid re: procedural issues re: objections and Receiver's response (.1); reviewed pleadings and docket re: same (.2)	1.60 hrs @ 285.00/hr	456.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 9

***Claims Administration and Objections***

09/15/2020	NNR	R104	Review information and emails re: Stefan claim (.3); call Stefan and further analysis of information re: his claim (.9); further analysis and emails, including discussion with Hofner, re: how to deal with Stefan objection and claim (1.2)	2.40 hrs @ 385.00/hr	924.00
09/15/2020	NNR	R104	Emails and further analysis re: Stefan objection	0.20 hrs @ 385.00/hr	77.00
09/16/2020	LBL	R104	Internal Fox Swibel status call re: claims administration process and distribution of responsibilities (.4); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.2)	1.60 hrs @ 285.00/hr	456.00
09/16/2020	NNR	R104	Discussion with Hofner re: Stefan claim and analysis re: same and email to R. Schultz re: update	0.50 hrs @ 385.00/hr	192.50
09/16/2020	NNR	R104	Discussion with R. Schultz re: Stefan claim	0.30 hrs @ 385.00/hr	115.50
09/16/2020	RTS	R104	Telephone conference with AlixPartners re: claims procedure and logistic issues (.5); telephone conference with N. Reid re: same (.3); telephone conference with attorney for multiple claimants re: resolution of claims (.3); telephone conference with J. Neagle re: claims logistics and related issues (.4)	1.50 hrs @ 360.00/hr	540.00
09/17/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices	0.20 hrs @ 285.00/hr	57.00
09/18/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.5); emails with AlixPartners and internally re: initial notices of determination (.1)	1.60 hrs @ 285.00/hr	456.00
09/21/2020	LBL	R104	Reviewed inactive investor spreadsheet, claims summary spreadsheet and removed deceased investor; exchanged emails with T. Hofner re: same (AlixPartners) (.1); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (2.0)	2.10 hrs @ 285.00/hr	598.50
09/21/2020	NNR	R104	Draft, edit, revise response to objections (2.8); discussion with T. Hofner re: same (.4)	3.20 hrs @ 385.00/hr	1,232.00
09/21/2020	RTS	R104	Reviewed and revised omnibus response to objections to claims procedure motion (.5)	0.50 hrs @ 360.00/hr	180.00
09/22/2020	LBL	R104	Reviewed/edited omnibus response to objections and coordinated filing of same with C. Jelks (.5); managed internal files/database re: same (.1); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices including research re: vendors with unknown contact information (2.2)	2.10 hrs @ 285.00/hr	598.50
09/22/2020	NNR	R104	Finalize omnibus response to objections and order re: claims process motion	0.70 hrs @ 385.00/hr	269.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 10

### ***Claims Administration and Objections***

09/23/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices including research re: vendors with unknown contact information (1.6); emailed J. Neagle and R. Schultz re: same (.1)	1.70 hrs @ 285.00/hr	484.50
09/24/2020	LBL	R104	Worked on claims process logistical matters re: aggregation of employees/addresses for distribution of notices (.9); call with J. Neagle re: same and vendor contacts/preparation for distribution (.1)	1.00 hrs @ 285.00/hr	285.00
09/25/2020	LBL	R104	Emails with R. Schultz re: motion authorizing and approving procedures for settling claims and causes of action and entry of order of same due to expiration of objection deadline (.1); drafted and sent communication to court re: same in accordance with court standing order/direction pursuant to relevant minute entry (.4)	0.50 hrs @ 285.00/hr	142.50
09/28/2020	LBL	R104	Reviewed initial determinations and compared against claims analysis data to determine consistency/whether discrepancies exist	0.20 hrs @ 285.00/hr	57.00
09/29/2020	LBL	R104	Researched procedures for approval of claims process motion/objection resolution process and exchanged multiple emails with R. Schultz and N. Reid re: same (.4); call with courtroom deputy re: same/court action re: fully briefed claims process motion and emailed R. Schultz and N. Reid re: same (.2)	0.60 hrs @ 285.00/hr	171.00
09/30/2020	LBL	R104	Reviewed initial determinations and compared against claims analysis data to determine consistency/whether discrepancies exist and emailed Fox Swibel team re: same	2.70 hrs @ 285.00/hr	769.50
09/30/2020	NNR	R104	Discussion with investor re: claims procedures	0.20 hrs @ 385.00/hr	N/C
09/30/2020	RTS	R104	Telephone conference with investor re: claims process (.4)	0.40 hrs @ 360.00/hr	N/C

***Total for: Claims Administration and*** **\$10,729.00**

### ***Forensic Accounting***

09/16/2020	LBL	R112	Status conference call with Fox Swibel/AlixPartners teams re: claims process and action items moving forward	0.50 hrs @ 285.00/hr	142.50
09/18/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status and claims process (.5)	0.50 hrs @ 360.00/hr	180.00
09/18/2020	NNR	R112	Status call with AlixPartners and R. Schultz	0.50 hrs @ 385.00/hr	192.50
09/18/2020	LBL	R112	Fox Swibel/AlixPartners status telephone conference re: AlixPartners pending action items	0.50 hrs @ 285.00/hr	142.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 11

### ***Forensic Accounting***

09/18/2020	KT	R112	Call with internal team and AlixPartners re: weekly status	0.50 hrs @ 280.00/hr	140.00
09/25/2020	KT	R112	Weekly update call with AlixPartners and internal team	0.40 hrs @ 280.00/hr	112.00
09/25/2020	RTS	R112	Telephone conference with AlixPartners re: weekly status call	0.40 hrs @ 360.00/hr	144.00
09/25/2020	NNR	R112	Status call with AlixPartners and FSLC team	0.40 hrs @ 385.00/hr	154.00
09/25/2020	LBL	R112	Weekly telephone conference with Fox Swibel and AlixPartners re: status of forensic accounting tasks	0.40 hrs @ 285.00/hr	114.00
<b>Total for: Forensic Accounting</b>					<b>\$1,321.50</b>

### ***Tax Issues***

09/09/2020	TWS	R113	Analyze revised IRS assessment; research re: same	2.80 hrs @ 385.00/hr	1,078.00
09/10/2020	TWS	R113	Further review and analysis re: IRS revised tax assessment	2.80 hrs @ 385.00/hr	1,078.00
09/15/2020	NNR	R113	Review, sign tax returns and coordinate with L. West re: same	0.40 hrs @ 385.00/hr	154.00
09/17/2020	EBA	R113	Email exchange with L. West re tax payments (.1); prepared checks re same (.3); email to Receiver re wire transfer and checks (.1)	0.50 hrs @ 180.00/hr	90.00
09/25/2020	NNR	R113	Discussion with T. Deleo and L. West re: K-1, tax and investor issues	0.70 hrs @ 385.00/hr	269.50
<b>Total for: Tax Issues</b>					<b>\$2,669.50</b>

### ***Asset Disposition – Timber Lake***

09/01/2020	RTS	R116	Emails to SEC and Monico firm re: adding Timberwood entity to receivership (.2); revised sale motion to include request to add Timberwood entity as receivership defendant and draft separate order re: same (.5)	0.70 hrs @ 360.00/hr	252.00
09/01/2020	MB	R116	Discuss research findings with S. Shellenback (1); send an e-mail to title company re: concerns of title of the recreational/pool area (.2) further review survey from purchase and transmit findings to S. Shellenback (1.2)	2.40 hrs @ 180.00/hr	432.00
09/01/2020	NNR	R116	Discussion with D. Cohen re: follow up on sale of property (.2); review/send emails re: TLA conference call with Sapphire and related issues (.2); call with D. Cohen re: prep for call with Sapphire and participate in call re: same (1.5); update R. Schultz on calls with Sapphire and SEC (.3).	2.20 hrs @ 385.00/hr	847.00
09/01/2020	SBS	R116	Attention to questions on ownership of three parcels by Timberwood Recreational Center	1.40 hrs @ 385.00/hr	539.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 12

***Asset Disposition – Timber Lake***

09/02/2020	MB	R116	Further review of county records (.5); send an e-mail to C. Bersche with finding of missing PIN numbers (.5) conference call with buyer's attorney office and S. Shellenback (.5); communicate with title company re: conference call (.1) attention to correspondence (.2)	1.80 hrs @ 180.00/hr	324.00
09/02/2020	NNR	R116	Create form PSA for TLA and emails/discussion with D. Cohen, R. Schultz (.4); review, respond to and send emails and response re: potential offer from Sapphire (.4)	0.80 hrs @ 385.00/hr	308.00
09/02/2020	SBS	R116	Further attention to questions on ownership of three parcels by Timberwood Recreation (1.4); call with Purchaser team re: Timberwood issue (.5); call with N. Reid re: new bidder and strategy re: same (.3)	2.20 hrs @ 385.00/hr	847.00
09/03/2020	MB	R116	Call with buyer's attorney paralegal re: missing parcels (.4); attention to correspondence(.1)	0.50 hrs @ 180.00/hr	90.00
09/03/2020	SBS	R116	Attention to emails re: sale issues	0.10 hrs @ 385.00/hr	38.50
09/04/2020	SBS	R116	Calls with Chicago Title and Purchaser's attorney re: adding Timberwood parcels to title commitment (.4); internal status conference (.3)	0.70 hrs @ 385.00/hr	269.50
09/08/2020	SBS	R116	Attention to emails (.4); status report to N. Reid on Amendment to PSA (.2); conferred with M. Bogdanowicz re: updated title commitment (.1); call with Turner's counsel re: status (.2); attention to response to Turner's title objection letter (1.0); drafted amendment to PSA (1.5)	3.40 hrs @ 385.00/hr	1,309.00
09/08/2020	MB	R116	Attention to correspondence (.1) prepare response to title objection letter (1.3) print, sign, scan and send title objection letter to all parties (.3)	1.70 hrs @ 180.00/hr	306.00
09/08/2020	RTS	R116	Email from S. Shellenback re: amendment issue (.1)	0.10 hrs @ 360.00/hr	36.00
09/09/2020	SBS	R116	Attention to Amendment to PSA (1.5); attention to questions on Sapphire (.3)	1.80 hrs @ 385.00/hr	693.00
09/09/2020	MB	R116	Attention to correspondence re: sale issues	0.20 hrs @ 180.00/hr	36.00
09/09/2020	NNR	R116	Conference call with Sapphire, R. Schultz and D. Cohen (.5); follow up strategy discussion with D. Cohen and R. Schultz re: next steps (.5); edit, revise email to Sapphire, analyze issues re: same and email to R. Schultz and S. Shellenback re: same (.5); call to title company re: verification of proof of funds (.1)	1.60 hrs @ 385.00/hr	616.00
09/09/2020	RTS	R116	Telephone conference with Sapphire re: offer issues (.5); Telephone conference with D. Cohen and N. Reid re: same (.5); draft email for Sapphire sale process proposal (.3)	1.30 hrs @ 360.00/hr	468.00
09/10/2020	NNR	R116	Revise email to Sapphire re: response to their interest (.3); finalize and send email to Dan Cohen re: Sapphire (.2).	0.50 hrs @ 385.00/hr	192.50

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 13

***Asset Disposition – Timber Lake***

09/10/2020	MB	R116	Review updated title commitment and communicate findings with S. Shellenback and Receiver	0.90 hrs @ 180.00/hr	162.00
09/10/2020	SBS	R116	Attention to draft of email to Sapphire (.3); reviewed revised title company (.2); followed up with R. Schultz to confirm payoff of mortgage made by Timberwood Recreational Center (.1)	0.60 hrs @ 385.00/hr	231.00
09/11/2020	MB	R116	Conversation with C. Bersche re: updated title commitment (.3); review updated title and communicate findings with title company (.4)	0.70 hrs @ 180.00/hr	126.00
09/11/2020	SBS	R116	Attention to revised title commitment	0.10 hrs @ 385.00/hr	38.50
09/14/2020	SBS	R116	Attention to Sapphire offer (.1); reviewed response from Illinois Department of Unemployment Security in response to Bulk Sales waiver (.1); followed-up on amendment to PSA (.1)	0.30 hrs @ 385.00/hr	115.50
09/14/2020	NNR	R116	Further response to comments and emails re: Sapphire and Timberlake property	0.30 hrs @ 385.00/hr	115.50
09/14/2020	RTS	R116	Revised sale motion re: new potential buyer issues	1.00 hrs @ 360.00/hr	360.00
09/15/2020	RTS	R116	Revised motion to approve sale (.5); emails to and from N. Reid et al. re: moving forward with sale to Turner and Sapphire status (.2); Telephone conference with D. Cohen re: revised LOI from Sapphire (.1)	0.80 hrs @ 360.00/hr	288.00
09/15/2020	MB	R116	Attention to communication(.1); review updated title commitment and analyze the underwriter's response (.3) discuss concerns with buyer's side paralegal and send e-mail to title company(.2);	0.60 hrs @ 180.00/hr	108.00
09/15/2020	NNR	R116	Call with Mitsos re: update on TLA sale	0.20 hrs @ 385.00/hr	77.00
09/15/2020	NNR	R116	Email to R. Mitsos re: Sapphire LOI	0.10 hrs @ 385.00/hr	38.50
09/15/2020	SBS	R116	Attention to emails re: sale issues	0.40 hrs @ 385.00/hr	154.00
09/16/2020	SBS	R116	Attention to emails re: sale issues	0.30 hrs @ 385.00/hr	115.50
09/16/2020	NNR	R116	Edit, revise TL motion (.7); discussion with (i) D. Cohen re: language on marketing for sale of property (.4); (ii) R. Mitsos re: scheduling call and reviewing draft motion (.5); conference call with Mueller counsel re: resolving any potential objection to the sale and follow up email to R. Schultz and S. Shellenback re: same (.3)	1.90 hrs @ 385.00/hr	731.50
09/17/2020	SBS	R116	Attention to legal description for Timberwood parcels (.3); attention to emails (.4); reviewed current and proposed draft of amendment to PSA (.4); conferences with N. Reid and R. Schultz re: strategy (.5); call with Purchaser team (.5); call with Purchaser's counsel re: amendment to PSA (.2)	2.30 hrs @ 385.00/hr	885.50



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 14

***Asset Disposition – Timber Lake***

09/17/2020	NNR	R116	Prepare and participate in conference call with Mueller counsel re: response to Sapphire offer (.7); discussion with D. Cohen re: strategy issues (.3); conference calls with R. Schultz and S. Shellenback re: response to Sapphire offer and next steps (.7)	1.70 hrs @ 385.00/hr	654.50
09/17/2020	RTS	R116	Telephone conference with N. Reid re: sale issues (.5); draft email to L. Virgilio re: sale issues (.3); Telephone conference with Turner re: motion to approve sale issues and potential objection (.5); Telephone conference with N. Reid and S. Shellenback re: same (.2); revised sale motion (.4)	1.90 hrs @ 360.00/hr	684.00
09/17/2020	MB	R116	Review Bulk Sale certificate from IDES(.2); further review of legal and communicate with title underwriter (.5)	0.70 hrs @ 180.00/hr	126.00
09/17/2020	NNR	R116	Review R. Schultz draft email re: Sapphire and analyze issues re: same, and email to R. Schultz and SSE re: same (0.5); prepare for and participate in call with Turner, R. Schultz and S.Shellenback (0.5)	1.00 hrs @ 385.00/hr	385.00
09/18/2020	SBS	R116	Attention to email (.1); conferred with M. Bogdanowicz re: legal description for Timberwood Parcels (.2); attention to finalizing amendment to PSA (.4)	0.70 hrs @ 385.00/hr	269.50
09/18/2020	MB	R116	Call with S. Shellenback re: transaction status (.3); communicate with buyer's attorney's paralegal re: legal description (.3)	0.60 hrs @ 180.00/hr	108.00
09/18/2020	NNR	R116	Call with R. Shellenback re: next steps, amendment, response to Sapphire and edit to Sale Motion, and email to L. Virgilio re: proof of funds verification (.3); review sale motion and edit same and email to R. Schultz and S. Shellenback re: same (0.7)	1.00 hrs @ 385.00/hr	385.00
09/18/2020	RTS	R116	Telephone conference with D. Cohen re: sale status (.2)	0.20 hrs @ 360.00/hr	72.00
09/18/2020	LBL	R116	Attention to email re: service parties for Timberlake sale motion and emailed C. Jelks re: same	0.10 hrs @ 285.00/hr	28.50
09/21/2020	RTS	R116	Reviewed revised motion to approval sale and related relief and additional revisions to same (.2)	0.20 hrs @ 360.00/hr	72.00
09/21/2020	MB	R116	Attention to correspondence (.1); review records re: FEIN numbers (.1); communicate with C. Higgins re: FEIN number for Timberwood Recreational Center Inc(.1); prepare Bulk Sale applications for Timberwood Recreational Center and send same for processing (.6)	0.90 hrs @ 180.00/hr	162.00
09/22/2020	RTS	R116	Emails to and from lender counsel re: sale status (.1); finalizing motion to approve sale (.2); reviewed PSA from Sapphire group (.2)	0.50 hrs @ 360.00/hr	180.00
09/22/2020	MB	R116	Communicate with R. Schultz re: mortgages	0.10 hrs @ 180.00/hr	18.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 15

***Asset Disposition – Timber Lake***

09/22/2020	SBS	R116	Attention to PSA and Amendment in connection with Motion for approval of sale (.1); brief review of new offer (.2); emails R. Schultz re: updating PSA for new offer (.1)	0.40 hrs @ 385.00/hr	154.00
09/22/2020	NNR	R116	Update Mitsos on status of Sapphire, motion and sale	0.20 hrs @ 385.00/hr	77.00
09/22/2020	LBL	R116	Coordinated additional notice parties and filing of motion to approve sale (.1); revised rent role for PSA exhibit to redact all names and telephone conference with C. Jelks re: preparation of corrected filing/communication to clerk re: same (.4); emails with R. Schultz re: same (.1)	0.60 hrs @ 285.00/hr	171.00
09/23/2020	SBS	R116	Call with N. Reid and R. Schultz (.3); attention to emails re: sale issues (.4); attention to PSA from new bidder (.2)	0.90 hrs @ 385.00/hr	346.50
09/23/2020	RTS	R116	Telephone conference with N. Reid and S. Shellenback re: Sapphire PSA (.3); Call w/ L. Virgilio re: same (.2); Telephone conference with M. Foster re: potential expense reimbursement proposal (.5); Telephone conference with D. Cohen re: same (.2); draft proposed terms of expense reimbursement agreement for N. Reid review (.4); additional emails re: potential changes to sale process and analysis re: same (.5)	2.10 hrs @ 360.00/hr	756.00
09/23/2020	NNR	R116	Conference call and discussions with R. Schultz and S. Shellenback re: strategy for responding to Sapphire offer, and message to D. Cohen re: related issues, and review/edit draft email to L. Virgilio re: response to Sapphire's concerns	1.00 hrs @ 385.00/hr	385.00
09/24/2020	NNR	R116	Discussion with Mitsos re: Sapphire bid and email to R. Schultz re: strategy (.5); email exchange with Mitsos and FSLC team re: further response to Sapphire offer and strategy re: same (.4)	0.90 hrs @ 385.00/hr	346.50
09/24/2020	MB	R116	Communicate with title company re: deeds (.1); review correspondence from state of Illinois revenue department and communicate with S. Shellenback (.2)	0.30 hrs @ 180.00/hr	54.00
09/24/2020	RTS	R116	Telephone conference with N. Reid re: Sapphire buyer issues	0.30 hrs @ 360.00/hr	108.00
09/25/2020	RTS	R116	Telephone conferences with L. Virgilio re: Sapphire issues	0.40 hrs @ 360.00/hr	144.00
09/25/2020	MB	R116	Communicate with C. Higgins re: state of Illinois bulk sale certificate request for Timberwood Recreational Center, Inc. and review correspondence from title company re: deeds	0.10 hrs @ 180.00/hr	18.00
09/25/2020	NNR	R116	Strategy calls with R. Schultz, and separately with R. Schultz and EW, re: TLA/Sapphire offer, and analyze issues re: break up fee/other buyer incentives	0.60 hrs @ 385.00/hr	231.00



N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 16

***Asset Disposition – Timber Lake***

09/28/2020	MB	R116	Communicate with K. Roddy re: response from Illinois Revenue Department re: Bulk Sale certificate for Timberwood Recreational Center Inc.	0.20 hrs @ 180.00/hr	36.00
09/28/2020	SBS	R116	Attention to emails re: bulk sales waiver	0.10 hrs @ 385.00/hr	38.50
09/29/2020	NNR	R116	Edit, revise, analyze issues re: email to L. Virgilio regarding Sapphire bid protections	0.50 hrs @ 385.00/hr	192.50
09/29/2020	SBS	R116	Attention to email re: second bidder	0.10 hrs @ 385.00/hr	38.50
09/30/2020	NNR	R116	Call with Mitsos and review emails from R. Schultz re: response to Sapphire alleged offer (.3); sign broker agreement extension (.2)	0.40 hrs @ 385.00/hr	154.00
09/30/2020	RTS	R116	Telephone conference with L. Virgilio et al. re: Sapphire offer issues (.5); Telephone conference with N. Reid re: same and email to L. Virgilio re: same (.2); email from L. Virgilio re: disclosure of PSA to court and email to N. Reid re: same (.1)	0.80 hrs @ 360.00/hr	288.00

***Total for: Asset Disposition – Timber*** ***\$17,833.00***

***Asset Disposition - Bartlett***

09/04/2020	MB	R117	Conference call with Chicago Title and buyer's counsel re: additional parcels	0.30 hrs @ 180.00/hr	54.00
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***Total for: Asset Disposition - Bartlett*** ***\$54.00***

***Asset Disposition – Surrey***

09/09/2020	SBS	R118	Attention to post-closing question on recording of assignment of developer rights under condominium documents, including email to purchaser's attorney	0.40 hrs @ 385.00/hr	154.00
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***Total for: Asset Disposition – Surrey*** ***\$154.00***

***Asset Disposition – Elston***

09/01/2020	SBS	R119	Attention to email from Purchaser's attorney re: status of court approval.	0.10 hrs @ 385.00/hr	38.50
09/10/2020	LBL	R119	Drafted and sent communication to chambers re: expiration of objection deadline for Elston sale and entry of order approving sale (.3); managed case files for same (.1)	0.40 hrs @ 285.00/hr	114.00
09/11/2020	LBL	R119	Exchanged emails with S. Shellenback re: issuance of order approving Elston sale and sent same to S. Shellenback	0.10 hrs @ 285.00/hr	28.50
09/15/2020	SBS	R119	Attention to question on appeal of 2020 taxes (.2); email to Purchaser's attorney conforming entry of Sale Order and setting potential closing date (.2)	0.40 hrs @ 385.00/hr	154.00
09/16/2020	MB	R119	Attention to correspondence and maintain copy of order in file system	0.10 hrs @ 180.00/hr	18.00

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 17

**Asset Disposition – Elston**

09/24/2020	MB	R119	Communicate with buyer's counsel on closing scheduling	0.30 hrs @ 180.00/hr	54.00
09/28/2020	MB	R119	Attention to correspondence(.1); communicate with title company re: scheduling (.1)	0.20 hrs @ 180.00/hr	36.00
09/28/2020	SBS	R119	Attention to emails on scheduling closing	0.10 hrs @ 385.00/hr	38.50
09/29/2020	MB	R119	Attention to closing scheduling information and foreword the same to buyer's counsel	0.10 hrs @ 180.00/hr	18.00
09/30/2020	SBS	R119	Attention to emails from Purchaser's lender (.2); closing preparations (.1)	0.30 hrs @ 385.00/hr	115.50
<b>Total for: Asset Disposition – Elston</b>					<b>\$615.00</b>

**Fee Applications**

09/01/2020	LBL	R121	Reviewed R. Schultz revisions to fee application and entered edits to same and exchanged with emails R. Schultz re: same	0.20 hrs @ 285.00/hr	N/C
09/02/2020	LBL	R121	Reviewed and revised fee application/added exhibits and sent to SEC for review (1.2); emailed accounting re: updated Fox Swibel task breakout for same (.1); emails to Fox Swibel team and SEC re: review of fee application (.1)	1.40 hrs @ 285.00/hr	N/C
09/02/2020	RTS	R121	Telephone conference with AlixPartners re: invoice issues	0.70 hrs @ 360.00/hr	N/C
09/02/2020	NNR	R121	Discussion with AlixPartners re: latest bills and review same in advance of call	0.70 hrs @ 385.00/hr	N/C
<b>Total for: Fee Applications</b>					<b>\$0.00</b>
<b>Total Professional Services:</b>					<b>\$60,519.00</b>
<b>Total No/Charge (N/C):</b>					<b>\$2,025.50</b>

**DISBURSEMENTS**

09/23/2020	Innovative Service Technology Management Services, Inc.-105463 Monthly Data Storage review Invoice 105458 Client Exp Other	\$1,085.98
Total Disbursements:		<u>\$1,085.98</u>

N. Neville Reid, not individually but as receiver  
 Northridge Receivership  
 Invoice # 96034

Fox Swibel Levin & Carroll LLP  
 October 26, 2020  
 Page # 18

<b><u>ID</u></b>	<b><u>Timekeeper Name</u></b>	<b><u>Hours</u></b>	<b><u>Total Fees</u></b>
NNR	Reid, Neville N	34.20	\$12,743.50
TWS	Stein, Terry W	5.60	\$2,156.00
RTS	Schultz, Ryan T	49.80	\$17,244.00
SBS	Shellenback, Stephanie B	18.70	\$6,737.50
SAS	Schonfeld, Scott A	3.70	\$1,147.00
LBL	Liss, Brandon	44.20	\$12,141.00
KT	Thomas, Kenneth	17.80	\$4,984.00
MB	Bogdanowicz, Margy	16.80	\$3,024.00
EBA	Anderson, Eric B	1.90	\$342.00

### **BILL SUMMARY**

TOTAL CHARGES THIS INVOICE

\_\_\_\_\_  
 \$61,604.98

**BALANCE DUE UPON RECEIPT**

\_\_\_\_\_  
**\$61,604.98**  
 \_\_\_\_\_

**GROUP EXHIBIT J**

[Fox Swibel Expenses for July 2020 through September 2020]

(see attached)



OK  
MB/SAS  
8/6/2020

115 N CALHOUN ST., STE. 4  
TALLAHASSEE, FL 32301  
P: 866.625.0838  
F: 866.625.0839  
INFO@COGENCYGLOBAL.COM  
COGENCYGLOBAL.COM

Invoice No.: 100310344  
Invoice Date: 8/6/2020

**Bill To:**

Fox Swibel Levin & Carroll LLP  
Margy Bogdanowicz  
200 West Madison Street,  
Suite 3000  
Chicago, IL 60606  
**Cust. No. 01FOXSWIB-AN610**

Client matter\*\*\*

Invoicing Office: Tallahassee, FL  
Order No.: 1251877  
Order Date: 8/6/2020  
Client Ref No.: 07183.001  
Requested For:  
Service Specialist: Chris Vick

	<u>Qty</u>	<u>Disb</u>	<u>Service</u>	<u>Amount</u>
<b>441-447 NORTH LAKE SHORE DRIVE TOWNHOME ASSOCIATION</b>				
<i>Illinois - Department of Revenue</i>				
State Tax Lien Search - Central - Direct Access	1		44.00	44.00
<i>Illinois - Du Page County Circuit Court</i>				
Pending Suits and Judgments	1	25.00	62.00	87.00
<i>Illinois - Du Page County Recorder</i>				
Fixture, FTL, STL & Judgment Searches	1	25.00	78.00	103.00
<i>Illinois - Secretary of State</i>				
Federal Tax Lien Search - Central - Direct Access	1		44.00	44.00
UCC Search - Central - Direct Access	1		44.00	44.00
<i>Illinois - U.S. Bankruptcy Court - Northern District of Illinois</i>				
Bankruptcy Search	1		31.00	31.00
<i>Illinois - U.S. District Court - Northern District of Illinois</i>				
Pending Suits and Judgments	1		62.00	62.00

*Reasonable care is exercised in the completion of all service requests, however, as the responsibility for the accuracy of the public records rests with the filing officer, we accept no liability for the report contained herein.*

Electronic payment details: Capital One Bank | Account Name: COGENCY GLOBAL INC. | Account Number: 8064002994  
ABA: 021407912 | Swift Code: HIBKUS44

Page 1 of 2



Cut Here and Enclose with your payment

Want to pay online? Visit <https://pay.cogencyglobal.com>

Customer No	Invoice No	Due Date	Amount Due
01FOXSWIB-AN610	100310344	9/5/2020	\$415.00

Margy Bogdanowicz  
200 West Madison Street,  
Suite 3000  
Chicago, IL 60606

COGENCY GLOBAL INC.  
P.O. Box 3168  
Hicksville, NY 11802

FEIN: 13-3246732  
Fax: 800.944.6607  
Phone: 800.221.0102

0100310344 01FOXSWIBAN610 0000041500



Cust. No. 01FOXSWIB-AN610

Invoice No.: 100310344

Invoice Date: 8/6/2020

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<b>Total</b>	<b>\$415.00</b>
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Page 2 of 2

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COGENCY GLOBAL INC.

122 E 42<sup>ND</sup> STREET, 18<sup>TH</sup> FL

NY, NY 10168

800.221.0102

*Reasonable care is exercised in the completion of all service requests, however, as the responsibility for the accuracy of the public records rests with the filing officer, we accept no liability for the report contained herein.*

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**Innovative Service Technology  
Management Services, Inc.**

934 Glenwood Ave  
Suite 250  
Atlanta, GA 30316-1816  
404-582-8850

# Invoice 104480

**Date 07/31/20**

**Bill To:**

Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison St.  
STE 3000  
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP  
FOX-011\_SEC v. Northridge Holdings  
Ryan Schultz  
FOX-011

Customer		Purchase Order Number	Terms		
01FOX1			Net 60 Days		
Quantity		Item Description	Unit Price	Tax	Amount
Qty Ordered	Qty. Shipped				
104.33	104.33	Hosted Review - Monthly Data Storage per GB	8.00	Y	834.64
<b>Coverage Period</b> 07/01/20 - 07/31/20 Ryan Schultz / rschultz@foxswibel.com Ryan Schultz /					
NonTaxable					0.00
Taxable Subtotal					834.64
Tax					0.00
Total Invoice					834.64

Date	Invoice #
7/31/2020	X4066
Tax ID - 85-0748969	

Bill To
Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable

Ship To
Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable

Job Number	IS3-CHI016344
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Terms	Due Date	Ship Date	Rep	Client Ref	Client Contact	Project Name
Net 30	8/30/2020	7/24/2020	AG CH	07183.001	Carolyn Jelks	07183.001

[illegible]

TERMS: Unless otherwise covered by a separate written agreement, this invoice is due and payable upon receipt. Client is subject to maximum allowable finance charges on all past due accounts plus any related attorney fees and collection charges incurred by Inservio3. Client has 10 days from receipt of invoice to inspect Inservio3 completed work for quality. If no objection is made within the 10 day period, it shall be deemed accepted and full payment shall be due in accordance with the terms of this invoice.

<b>Subtotal</b>	\$494.25
<b>Sales Tax (0.0%)</b>	\$0.00
<b>Payments/Credits</b>	\$0.00
<b>Balance</b>	\$494.25





Invoice Number	Invoice Date	Account Number	Page
7-098-63851	Aug 19, 2020		3 of 5

**Ship Date:** Aug 11, 2020**Cust. Ref.:** NNR**Ref.#2:****Payor:** Shipper**Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.

The delivery commitment for FedEx 2Day to residences (including home offices) is 7 P.M. the second business day for A1, A2, AA, A3, A4, A5, A6, AM, PM, and RM service areas.

Distance Based Pricing, Zone 2

Package Delivered to Recipient Address - Release Authorized

**7183-1**

**Automation** INET  
**Tracking ID** 771235335976  
**Service Type** FedEx 2Day  
**Package Type** FedEx Envelope  
**Zone** 02  
**Packages** 1  
**Rated Weight** N/A  
**Delivered** Aug 13, 2020 12:07  
**Svc Area** A1  
**Signed by** see above  
**FedEx Use** 000000000/1108/02

**Sender**  
 Davette Franklin  
 Fox Swibel Levin & Carroll LLP  
 200 W. Madison  
 CHICAGO IL 60606 US

**Recipient**  
 Margy Bogdanowix  
 1501 Central Avenue  
 DEERFIELD IL 60015 US

Transportation Charge	19.11
Discount	-3.25
Automation Bonus Discount	-1.91
Fuel Surcharge	0.70
Residential Delivery	4.65
<b>Total Charge</b>	<b>USD \$19.30</b>



# Invoice

**Payable to: Array**  
**Mail to: 624 S. Austin Ave Suite 230**  
**Georgetown, TX 78626-5707**  
**Phone: (512) 831-4307**  
**\*\*Please include invoice number with payment**

Date	Invoice #
8/21/2020	X5025
Tax ID - 85-0748969	

Bill To
Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable

Ship To
Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable

Job Number	IS3-CHI016389
------------	---------------

Terms	Due Date	Ship Date	Rep	Client Ref	Client Contact	Project Name
Net 30	9/20/2020	8/14/2020	AG CH	07183.001	Carolyn Jelks	07183.001

Quantity	Description	Price Each	Amount
43,498	Blowback Printing - with Assembly	0.10	4,349.80
478	Envelopes	0.75	358.50
478	Postage	2.40	1,147.20
1	Billed time - Prepare for shipping and delivery to Post Office for postage	16.30	16.30
5	Technical Time (per hour) Download, print, collate, stuff, seal, format address and return labels, labels envelopes, apply postage at Post Office	100.00	500.00

TERMS: Unless otherwise covered by a separate written agreement, this invoice is due and payable upon receipt. Client is subject to maximum allowable finance charges on all past due accounts plus any related attorney fees and collection charges incurred to Array. Client has 10 days from receipt of invoice to inspect the completed work for quality. If no objection is made within the 10 day period, it shall be deemed accepted and full payment shall be due in accordance with the terms of this invoice.

<b>Subtotal</b>	\$6,371.80
<b>Sales Tax (0.0%)</b>	\$0.00
<b>Payments/Credits</b>	\$0.00
<b>Balance</b>	\$6,371.80



**Innovative Service Technology  
Management Services, Inc.**

934 Glenwood Ave  
Suite 250  
Atlanta, GA 30316-1816  
404-582-8850

# Invoice 105463

**Date 08/31/20**

**Bill To:**

Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison St.  
STE 3000  
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP  
FOX-011\_SEC v. Northridge Holdings  
Ryan Schultz  
FOX-011

Customer		Purchase Order Number		Terms	
01FOX1				Net 60 Days	
Quantity		Item Description	Unit Price	Tax	Amount
Qty Ordered	Qty. Shipped				
1.25	1.25	Project Management Time	150.00	N	187.50
112.31	112.31	Hosted Review - Monthly Data Storage per GB	8.00	Y	898.48
<b>Coverage Period</b> 08/01/20 - 08/31/20 Ryan Schultz / rschultz@foxswibel.com Ryan Schultz /					NonTaxable 187.50 Taxable Subtotal 898.48 Tax 0.00 <b>Total Invoice 1085.98</b>



**Innovative Service Technology  
Management Services, Inc.**

934 Glenwood Ave  
Suite 250  
Atlanta, GA 30316-1816  
404-582-8850

# Invoice 103463

**Date 06/30/20**

**Bill To:**

Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison St.  
STE 3000  
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP  
FOX-011\_SEC v. Northridge Holdings  
Ryan Schultz  
FOX-011

Customer		Purchase Order Number	Terms		
01FOX1			Net 60 Days		
Quantity		Item Description	Unit Price	Tax	Amount
Qty Ordered	Qty. Shipped				
104.32	104.32	Hosted Review - Monthly Data Storage per GB	8.00	Y	834.56
<b>Coverage Period</b> 06/01/20 - 06/30/20 Ryan Schultz / rschultz@foxswibel.com Ryan Schultz /					NonTaxable 0.00 Taxable Subtotal 834.56 Tax 0.00 <b>Total Invoice 834.56</b>



Invoice Number	Invoice Date	Account Number	Page
7-053-84342	Jul 01, 2020		3 of 4

Ship Date: Jun 22, 2020

Cust. Ref.: 07183.001

Ref.#2:

Payor: Shipper

Ref.#3:

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.00% to this shipment.

Distance Based Pricing, Zone 2

Package sent from: 60062 zip code

Automation	INET	<b>Sender</b>	<b>Recipient</b>
Tracking ID	770751774327	Margy Bogdanowicz	Jacqueline Martindale
Service Type	FedEx Priority Overnight	200 W Madison Street	Chicago Title Insurance Compan
Package Type	FedEx Envelope	CHICAGO IL 60606 US	10 South LaSalle St.
Zone	02		CHICAGO IL 60603 US
Packages	1		
Rated Weight	N/A	Transportation Charge	25.35
Delivered	Jun 23, 2020 09:10	Discount	-5.58
Svc Area	A1	Automation Bonus Discount	-2.54
Signed by	M.HENRY	Fuel Surcharge	0.52
FedEx Use	000000000/186/_	<b>Total Charge</b>	<b>USD \$17.75</b>



Invoice Number	Invoice Date	Account Number	Page
7-053-84342	Jul 01, 2020		2 of 4

## FedEx Express Shipment Summary By Payor Type

### FedEx Express Shipments (Original)

Payor Type	Shipments	Rated Weight lbs	Transportation Charges	Special Handling Charges	Ret Chg/Tax Credits/Other	Discounts	Total Charges
Shipper							
Recipient							
<b>Total FedEx Express</b>							

**TOTAL THIS INVOICE**

**USD**

## FedEx Express Shipment Detail By Payor Type (Original)

<b>Ship Date:</b> Jun 16, 2020	<b>Cust. Ref.:</b> 07183.001	<b>Ref.#2:</b>
<b>Payor:</b> Shipper	<b>Ref.#3:</b>	

Fuel Surcharge - FedEx has applied a fuel surcharge of 2.75% to this shipment.  
 Distance Based Pricing, Zone 2  
 Package sent from: 60162 zip code  
 Package Delivered to Recipient Address - Release Authorized

<b>Automation</b>	INET	<b>Sender</b>	<b>Recipient</b>
<b>Tracking ID</b>	770371412891	MargyBogdanowicz	MargyBogdanowicz
<b>Service Type</b>	FedEx Priority Overnight	200 W Madison Street	1501 Central Ave
<b>Package Type</b>	FedEx Envelope	CHICAGO IL 60606 US	DEERFIELD IL 60015 US
<b>Zone</b>	02		
<b>Packages</b>	1	Transportation Charge	25.35
<b>Rated Weight</b>	N/A	Discount	-5.58
<b>Delivered</b>	Jun 17, 2020 09:14	Automation Bonus Discount	-2.54
<b>Svc Area</b>	A1	Fuel Surcharge	0.60
<b>Signed by</b>	see above	Residential Delivery	4.65
<b>FedEx Use</b>	000000000/186/02	<b>Total Charge</b>	<b>USD \$22.48</b>

## FedEx® Billing Online

FedEx Billing Online allows you to efficiently manage and pay your FedEx invoices online. It's free, easy and secure. FedEx Billing Online helps you streamline your billing process. With all your FedEx shipping information available in one secure online location, you never have to worry about misplacing a paper invoice or sifting through reams of paper to find information for past shipments. Go to [fedex.com](http://fedex.com) to sign up today!

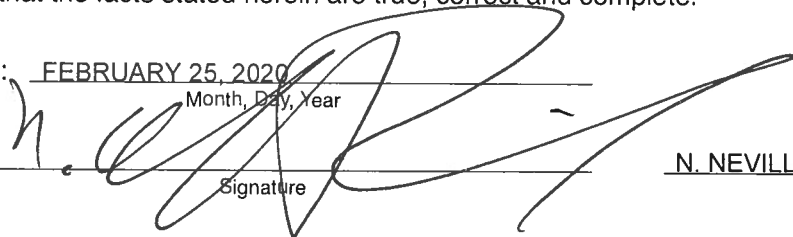
Form <b>LP 210</b> August 2012	Illinois Uniform Limited Partnership Act <b>Annual Report</b>	FILE # S016328 FILE Prior to: 02/01/2020
<b>Secretary of State</b> Department of Business Services Limited Liability Division 501 S. Second St., Rm. 357 Springfield, IL 62756 217-524-8008 www.cyberdriveillinois.com	Please type or print clearly.  Filing Fee: \$100 Approved:	This space for use by Secretary of State.
Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.		

**Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.**

- Limited Partnership Name: G & C MUELLER FAMILY LIMITED PARTNERSHIP
- Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:  
1020 W. FULLERTON AVE., SUITE G  
Street Address (P.O. Box alone is unacceptable.)  
ADDISON, IL 60101  
City, State, ZIP
- Foreign Alternate Name, if any: \_\_\_\_\_
- Registered Agent: LOUIS BRANDON LISS  
Name  
Registered Office: 200 W. MADISON, SUITE 3000  
Street Address (P.O. Box alone is unacceptable.)  
CHICAGO IL 60606  
City ZIP
- State or Jurisdiction of Organization: ILLINOIS

**The Annual Report must be signed by a General Partner.** I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 25, 2020  
Month, Day, Year

  
Signature

N. NEVILLE REID, AS RECEIVER  
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.  
General Partner Name if a corporation or other entity  
(must be in good standing)

**Signatures must be in black ink on an original document.**

Form **LP 115**

August 2012

**Secretary of State**

Department of Business Services  
 Limited Liability Division  
 501 S. Second St., Rm. 357  
 Springfield, IL 62756  
 217-524-8008  
 www.cyberdriveillinois.com

Payment may be made by check  
 payable to Secretary of State. If check  
 is returned for any reason this filing  
 will be void. Please do not send cash.

Illinois  
 Uniform Limited Partnership Act  
**Change of Designated Office or  
 Agent for Service of Process**

**SUBMIT IN DUPLICATE**

Please type or print clearly.

**Filing Fee: \$50****Approved:**

FILE # S016328

This space for use by Secretary of State.

1. Limited Partnership Name: G & C MUELLER FAMILY LIMITED PARTNERSHIP

2. Foreign Alternate Name, if any: \_\_\_\_\_

Instructions for completing items 3 and 4: Section 111 of the Uniform Limited Partnership Act (2001) requires that a designated office be maintained, at which the records of the limited partnership are to be kept. With respect to a domestic limited partnership, the designated office is first established upon filing the Certificate of Limited Partnership. With respect to a foreign limited partnership, the designated office is the principal office. Complete item 3 with the current address of the designated office, and item 4 with the address as changed. If there is no change in the address of the designated office, insert N/A in item 4.

3. Street and Mailing Address of current Designated Office at which the records required by Section 111 are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O.Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

4. If changed, Street and Mailing Address of new Designated Office at which the records required by Section 111 will be kept:

N/A

Street Address (P.O. Box alone is unacceptable.)

City, State, ZIP

Instructions for completing items 5 and 6: Section 114 of the Uniform Limited Partnership Act (2001) requires that an agent for service of process residing within the State of Illinois be designated and continuously maintained. Complete item 5 with the name and address of the current agent for service of process and item 6 with the agent and address as changed. If there is no change to the agent or address for service of process, insert N/A in item 6.

5. Name, Street and Mailing Address of Current Agent for Service of Process:

Agent: GLENN CARL MUELLER

Name

Address: 1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON

City

IL60101

ZIP



**Form LP 115**

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

Agent: LOUIS BRANDON LISS

Name

Address: 200 W. MADISON STREET, SUITE 3000

Street Address (P.O. Box alone is unacceptable.)

CHICAGO

City

IL 60606

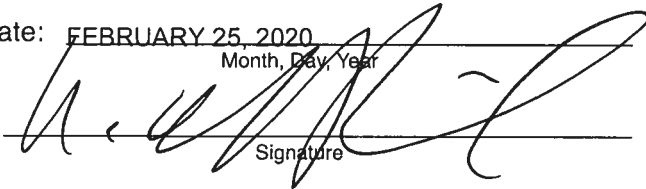
ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

**A General Partner must sign this form.**

Date: FEBRUARY 25, 2020

Month, Day, Year



Signature

N. NEVILLE REID, AS RECEIVER

Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.

General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.  
Carbon copy, photocopy or rubber stamp signatures  
may only be used on conformed copies.**

Form **LP 210**

August 2012

**Secretary of State**

Department of Business Services  
 Limited Liability Division  
 501 S. Second St., Rm. 357  
 Springfield, IL 62756  
 217-524-8008  
 www.cyberdriveillinois.com

Payment may be made by check  
 payable to Secretary of State. If check  
 is returned for any reason this filing  
 will be void. Please do not send cash.

Illinois  
 Uniform Limited Partnership Act

**Annual Report**

Please type or print clearly.

Filing Fee: \$100

Approved:

FILE # S028316

FILE Prior to: 02/01/2020

This space for use by Secretary of State.

**Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.**

1. Limited Partnership Name: MUELLER PAINTING & DECORATING L.P.

2. Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

3. Foreign Alternate Name, if any: \_\_\_\_\_

4. Registered Agent: LOUIS BRANDON LISS

Name

Registered Office: 200 W. MADISON, SUITE 3000

Street Address (P.O. Box alone is unacceptable.)

CHICAGO

City

IL 60606

ZIP

5. State or Jurisdiction of Organization: ILLINOIS

**The Annual Report must be signed by a General Partner.** I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 25, 2020

Month, Day, Year

Signature

N. NEVILLE REID, AS RECEIVER

Name and Title (type or print)

NORTHBRIDGE HOLDINGS, LTD.

General Partner Name if a corporation or other entity  
 (must be in good standing)

**Signatures must be in black ink on an original document.**

Form <b>LP 115</b> August 2012 <b>Secretary of State</b> Department of Business Services Limited Liability Division 501 S. Second St., Rm. 357 Springfield, IL 62756 217-524-8008 www.cyberdriveillinois.com Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.	Illinois Uniform Limited Partnership Act <b>Change of Designated Office or Agent for Service of Process</b> <div style="text-align: center; border: 1px solid black; padding: 5px;"> <b>SUBMIT IN DUPLICATE</b>          Please type or print clearly.       </div> Filing Fee: \$50 Approved:	FILE # S028316 This space for use by Secretary of State.
--	--	---

1. Limited Partnership Name: MUELLER PAINTING & DECORATING, L.P.
2. Foreign Alternate Name, if any: \_\_\_\_\_

Instructions for completing items 3 and 4: Section 111 of the Uniform Limited Partnership Act (2001) requires that a designated office be maintained, at which the records of the limited partnership are to be kept. With respect to a domestic limited partnership, the designated office is first established upon filing the Certificate of Limited Partnership. With respect to a foreign limited partnership, the designated office is the principal office. Complete item 3 with the current address of the designated office, and item 4 with the address as changed. If there is no change in the address of the designated office, insert N/A in item 4.

3. Street and Mailing Address of current Designated Office at which the records required by Section 111 are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O.Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

4. If changed, Street and Mailing Address of new Designated Office at which the records required by Section 111 will be kept:

N/A

Street Address (P.O. Box alone is unacceptable.)

City, State, ZIP

Instructions for completing items 5 and 6: Section 114 of the Uniform Limited Partnership Act (2001) requires that an agent for service of process residing within the State of Illinois be designated and continuously maintained. Complete item 5 with the name and address of the current agent for service of process and item 6 with the agent and address as changed. If there is no change to the agent or address for service of process, insert N/A in item 6.

5. Name, Street and Mailing Address of Current Agent for Service of Process:

Agent: GLENN CARL MUELLER

Name

Address: 1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON

City

IL 60101

ZIP

**Form LP 115**

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

Agent: LOUIS BRANDON LISS

Name

Address: 200 W. MADISON STREET, SUITE 3000

Street Address (P.O. Box alone is unacceptable.)

CHICAGO

City

IL 60606

ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

**A General Partner must sign this form.**

Date: FEBRUARY 25, 2020

Month, Day, Year

  
N. NEVILLE REID

Signature

N. NEVILLE REID, AS RECEIVER

Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.

General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.  
Carbon copy, photocopy or rubber stamp signatures  
may only be used on conformed copies.**



Invoice Number	Invoice Date	Account Number	Page
7-072-88906	Jul 22, 2020		6 of 6

Ship Date: Jul 14, 2020

Cust. Ref.: 07183.001

Ref.#2:

Payor: Shipper

Ref.#3:

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.

Distance Based Pricing, Zone 2

Package sent from: 60062 zip code

Automation	INET	<b>Sender</b>	<b>Recipient</b>
Tracking ID	770954555044	Margy Bogdanowicz	Patricia L. Martinez
Service Type	FedEx Priority Overnight	200 W Madison Street	Parkway Bank
Package Type	FedEx Envelope	CHICAGO IL 60606 US	4800 N. Harlem Avenue
Zone	02		HARWOOD HEIGHTS IL 60706 US
Packages	1		
Rated Weight	N/A	Transportation Charge	25.35
Delivered	Jul 15, 2020 09:43	Discount	-5.58
Svc Area	A1	Automation Bonus Discount	-2.54
Signed by	C.CHRISTIN	Fuel Surcharge	0.60
FedEx Use	000000000/186/_	<b>Total Charge</b>	<b>USD \$17.83</b>

Ship Date: Jul 17, 2020

Cust. Ref.: 07183.001

Ref.#2:

Payor: Shipper

Ref.#3:

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.

Distance Based Pricing, Zone 2

Package sent from: 60162 zip code

Package Delivered to Recipient Address - Release Authorized

Automation	INET	<b>Sender</b>	<b>Recipient</b>
Tracking ID	770954564667	Margy Bogdanowicz	Margy Bogdanowicz
Service Type	FedEx Priority Overnight	200 W Madison Street	1501 Central Ave
Package Type	FedEx Envelope	CHICAGO IL 60606 US	DEERFIELD IL 60015 US
Zone	02		
Packages	1	Transportation Charge	25.35
Rated Weight	N/A	Discount	-5.58
Delivered	Jul 20, 2020 09:37	Automation Bonus Discount	-2.54
Svc Area	A1	Fuel Surcharge	0.77
Signed by	see above	Residential Delivery	4.65
FedEx Use	000000000/186/02	<b>Total Charge</b>	<b>USD \$22.65</b>



Invoice Number	Invoice Date	Account Number	Page
7-072-88906	Jul 22, 2020		2 of 6

### FedEx Express Shipment Detail By Payor Type (Original)

<b>Ship Date:</b> Jul 13, 2020	<b>Cust. Ref.:</b> 07183.001	<b>Ref.#2:</b>
<b>Payor:</b> Shipper	<b>Ref.#3:</b>	

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.  
 Distance Based Pricing, Zone 2  
 Package Delivered to Recipient Address - Release Authorized

<b>Automation</b>	INET	<b>Sender</b>	<b>Recipient</b>
<b>Tracking ID</b>	770906403899	Margy Bogdanowicz	Margy Bogdanowicz
<b>Service Type</b>	FedEx Priority Overnight	200 W Madison Street	1501 Central Ave
<b>Package Type</b>	FedEx Envelope	CHICAGO IL 60606 US	DEERFIELD IL 60015 US
<b>Zone</b>	02		
<b>Packages</b>	1	Transportation Charge	25.35
<b>Rated Weight</b>	N/A	Discount	-5.58
<b>Delivered</b>	Jul 14, 2020 10:15	Automation Bonus Discount	-2.54
<b>Svc Area</b>	A1	Fuel Surcharge	0.77
<b>Signed by</b>	see above	Residential Delivery	4.65
<b>FedEx Use</b>	00000000/186/02	<b>Total Charge</b>	<b>USD \$22.65</b>

### FedEx® Billing Online

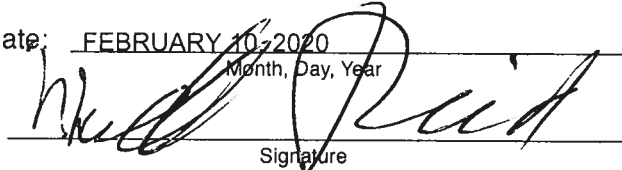
FedEx Billing Online allows you to efficiently manage and pay your FedEx invoices online. It's free, easy and secure. FedEx Billing Online helps you streamline your billing process. With all your FedEx shipping information available in one secure online location, you never have to worry about misplacing a paper invoice or sifting through reams of paper to find information for past shipments. Go to [fedex.com](https://fedex.com) to sign up today!

<b>Form LP 210</b> August 2012 <b>Secretary of State</b> Department of Business Services Limited Liability Division 501 S. Second St., Rm. 357 Springfield, IL 62756 217-524-8008 www.cyberdriveillinois.com Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.	Illinois Uniform Limited Partnership Act <b>Annual Report</b>  Please type or print clearly. <hr/> <b>Filing Fee:</b> \$100 <b>Approved:</b>	<b>FILE #</b> S027899 <b>FILE Prior to:</b> 03/01/2020  This space for use by Secretary of State.
--	--	--

**Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.**

- Limited Partnership Name: RIDGEVIEW GROUP I LIMITED PARTNERSHIP
- Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:  
1020 W. FULLERTON AVE., SUITE G  
Street Address (P.O. Box alone is unacceptable.)  
ADDISON, IL 60101  
City, State, ZIP
- Foreign Alternate Name, if any: \_\_\_\_\_
- Registered Agent: LOUIS BRANDON LISS  
Name  
 Registered Office: 200 W. MADISON, SUITE 3000  
Street Address (P.O. Box alone is unacceptable.)  
CHICAGO IL 60606  
City ZIP
- State or Jurisdiction of Organization: ILLINOIS

**The Annual Report must be signed by a General Partner.** I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 10, 2020  
Month, Day, Year  
  
Signature

N. NEVILLE REID, AS RECEIVER  
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.  
General Partner Name if a corporation or other entity  
(must be in good standing)

**Signatures must be in black ink on an original document.**





**Form LP 115**

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

Agent: LOUIS BRANDON LISS

Name

Address: 200 W. MADISON STREET, SUITE 3000

Street Address (P.O. Box alone is unacceptable.)

CHICAGO

City

IL 60606

ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

**A General Partner must sign this form.**

Date: FEBRUARY 10, 2020

Month, Day, Year

Signature

N. NEVILLE REID, AS RECEIVER

Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.

General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.  
Carbon copy, photocopy or rubber stamp signatures  
may only be used on conformed copies.**



Invoice Number	Invoice Date	Account Number	Page
7-079-13475	Jul 29, 2020		3 of 7

**Ship Date:** Jul 20, 2020**Cust. Ref.:** 07183.001**Ref.#2:****Payor:** Shipper**Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.

Distance Based Pricing, Zone 2

Package Delivered to Recipient Address - Release Authorized

Automation INET  
Tracking ID 394924539843  
Service Type FedEx Priority Overnight  
Package Type FedEx Envelope  
Zone 02  
Packages 1

**Sender**  
Margy Bogdanowicz  
200 W Madison Street  
CHICAGO IL 60606 US

**Recipient**  
Margy Bogdanowicz  
1501 Central Ave  
DEERFIELD IL 60015 US

Rated Weight N/A  
Transportation Charge  
Discount

25.35  
-5.58

Continued on next page



Invoice Number	Invoice Date	Account Number	Page
7-079-13475	Jul 29, 2020		4 of 7

Tracking ID: 394924539843 continued

Delivered	Jul 21, 2020 09:27	Automation Bonus Discount	-2.54
Svc Area	A1	Fuel Surcharge	0.82
Signed by	see above	Residential Delivery	4.65
FedEx Use	000000000/186/02	<b>Total Charge Cust.</b>	<b>USD \$22.70</b>



Invoice Number	Invoice Date	Account Number	Page
7-079-13475	Jul 29, 2020		6 of 7

**Ship Date:** Jul 22, 2020**Cust. Ref.:** 07183.001**Ref.#2:****Payor:** Shipper**Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.

Distance Based Pricing, Zone 2

Package sent from: 60062 zip code

Package Delivered to Recipient Address - Release Authorized

**Automation** INET  
**Tracking ID** 771066640201  
**Service Type** FedEx Priority Overnight  
**Package Type** FedEx Envelope  
**Zone** 02  
**Packages** 1  
**Rated Weight** N/A  
**Delivered** Jul 23, 2020 09:17  
**Svc Area** A1  
**Signed by** see above  
**FedEx Use** 000000000/186/02

**Sender**  
 Margy Bogdanowicz  
 200 W Madison Street  
 CHICAGO IL 60606 US

**Recipient**  
 Jacqueline Martindale  
 Chicago Title Insurance Compan  
 10 South LaSalle St.  
 CHICAGO IL 60603 US

Transportation Charge	25.35
Discount	-5.58
Automation Bonus Discount	-2.54
Fuel Surcharge	0.65
<b>Total Charge</b>	<b>USD \$17.88</b>

**EXHIBIT K**

[KRD Invoice for July 2020 through September 2020]

(see attached)

**KRD – Summary of Fees**

<b>Timekeeper Name</b>	<b>Sum of Billed Hours</b>	<b>Sum of Billed Amount</b>
Lois West	66.5	\$18,287.50
Clarissa Marquez	7.9	\$790.00
Marzena Bizon	11.0	\$1,100.00
Emily Moore	8.9	\$890.00
Casee Griffin	20.3	\$3,552.50
<b>Grand Total</b>	<b>114.6</b>	<b>\$24,620.00</b>



ACCOUNTANTS  
CONSULTANTS  
ADVISORS

**KUTCHINS, ROBBINS & DIAMOND, LTD.**

1101 Perimeter Drive  
Suite 760  
Schaumburg, IL 60173-5025  
Phone: (847) 240-1040  
Fax: (847) 240-1055  
www.krdcpas.com  
rsandfox@krdcpas.com

Northridge Holdings, Ltd.  
c/o Fox wibel Levin & Carroll LLP  
200 W. Madison St., Suite 3000  
Chicago, IL 60606

Date: 9/30/2020  
Due Date: Net 30  
Invoice Number: 107263  
Client: 166555  
Amount Due: \$24,620.00

*For Professional Services Rendered as Follows:*

---

All work performed per the attached detail.

✂

-----  
Please return this portion with payment.

Client ID: 166555  
Northridge Holdings, Ltd.  
Invoice #: 107263  
Amount Due: \$26,887.90



Credit Card #:

Payment Amount: \$ \_\_\_\_\_ Exp Date: \_\_\_\_\_ Security Code: \_\_\_\_\_

Signature: \_\_\_\_\_

Billing Address (if different from above): \_\_\_\_\_

Please make checks payable to: KUTCHINS, ROBBINS & DIAMOND, LTD.

Pay online at: [www.krdcpas.com/invoice-payment/](http://www.krdcpas.com/invoice-payment/)

Thank you for your prompt payment.

Client: 166555.

Page: 2

<u>Date</u>	<u>Staff</u>	<u>Hours</u>	<u>Amount</u>	<u>Rate</u>
<b>Northridge Holdings, Ltd.</b>				
1065 Other				
09/25/2020	West, Lois	0.80	\$220.00	\$275.00
Phone conference with N. Reid and T DeLeo re: K-1's issued to investor/ partners and explanation of capital gain reflected on 610 Lincoln return.				
1065 Preparation				
08/04/2020	Marquez, Clarissa A	1.00	\$100.00	\$100.00
610 Lincoln - entered partnership information				
08/04/2020	Bizon, Marzena A	1.00	\$100.00	\$100.00
106 Surrey - entering partner information into the tax software				
08/04/2020	Moore, Emily S	1.00	\$100.00	\$100.00
561 Deere Park Circle LP - initial setup - input partnership information.				
08/05/2020	Marquez, Clarissa A	0.90	\$90.00	\$100.00
610 Lincoln - entered partnership information				
08/05/2020	Marquez, Clarissa A	1.40	\$140.00	\$100.00
cornerstone - entered partnership information and balance sheet				
08/05/2020	Bizon, Marzena A	3.00	\$300.00	\$100.00
106 Surrey - Inputting partner information and depreciation schedule into tax software.				
5528 Hyde Park - Inputting partner information into tax software				
08/05/2020	Moore, Emily S	1.80	\$180.00	\$100.00
561 Deere Park Circle - initial setup - input partnership information.				
08/05/2020	Moore, Emily S	0.30	\$30.00	\$100.00
561 Deere Park Circle - initial setup - input partnership information.				
08/05/2020	Moore, Emily S	0.30	\$30.00	\$100.00
561 Deere Park Circle - initial setup - input partnership information.				
08/05/2020	Moore, Emily S	2.00	\$200.00	\$100.00
Arbor Limited Partnership - initial setup - enter partnership information.				
08/06/2020	Marquez, Clarissa A	0.80	\$80.00	\$100.00
610 Lincoln - depreciation schedule				
08/06/2020	Bizon, Marzena A	2.00	\$200.00	\$100.00
Inputting partner and depreciation information into the tax software for 5528 Hyde Park				
08/06/2020	Moore, Emily S	0.80	\$80.00	\$100.00
Arbor Limited Partnership - initial setup - entered partnership information.				
08/06/2020	Moore, Emily S	0.30	\$30.00	\$100.00
Arbor Limited Partnership - initial setup - entered partnership information.				
08/06/2020	Moore, Emily S	1.30	\$130.00	\$100.00
Kings Circle Limited Partnership - initial setup - entered partnership information.				
08/06/2020	Moore, Emily S	0.30	\$30.00	\$100.00
Kings Circle Limited Partnership - initial setup -entered partnership information.				



Client: 166555.

Page: 3

08/06/2020	Moore, Emily S	0.80	\$80.00	\$100.00
561 Deere Park Circle LP - initial set up - entered partnership information.				
08/10/2020	Marquez, Clarissa A	0.60	\$60.00	\$100.00
Cornerstone - input partner percentages				
08/10/2020	Marquez, Clarissa A	0.70	\$70.00	\$100.00
Lincoln - input partner percentages				
08/10/2020	Bizon, Marzena A	2.00	\$200.00	\$100.00
Entering partner and K-1 information for 139 Austin Partnership and 5097 Elston				
08/11/2020	Marquez, Clarissa A	1.60	\$160.00	\$100.00
Lincoln - input partnership corrections				
08/11/2020	Marquez, Clarissa A	0.90	\$90.00	\$100.00
Cornerstone - input partnership corrections				
08/11/2020	Bizon, Marzena A	2.00	\$200.00	\$100.00
Review & Corrections to data entry information for 106 Surrey, 5528 Hyde Park				
08/12/2020	Bizon, Marzena A	1.00	\$100.00	\$100.00
Review & Corrections 139 Austin & 5097 Elston				
09/10/2020	West, Lois	5.00	\$1,375.00	\$275.00
Prepare 2019 federal and state partnership tax returns for 610 Lincoln Partnership - forms 1065 and IL-1065				
09/10/2020	West, Lois	2.50	\$687.50	\$275.00
Prepare 2019 federal and state partnership tax returns for Timber Lakes Apartments LLC - forms 1065 and IL-1065.				
09/11/2020	West, Lois	0.50	\$137.50	\$275.00
Prepare 2019 tax return for Timber Lake Shared LP - forms 1065 and IL-1065.				
09/11/2020	West, Lois	2.50	\$687.50	\$275.00
Prepare 2019 tax returns for Timber Lakes Shared Appreciation IL - forms 1065 and IL-1065.				
09/11/2020	West, Lois	2.50	\$687.50	\$275.00
Prepare 2019 tax returns for Kings Circle Limited Partnership - forms 1065 and IL-1065.				
09/11/2020	West, Lois	1.00	\$275.00	\$275.00
Prepare 2019 tax returns for Hawthorne Limited Partnership - forms 1065 and IL-1065.				
09/12/2020	West, Lois	3.00	\$825.00	\$275.00
Prepare 2019 federal & state tax returns for 106 Surrey Limited Partnership - forms 1065 and IL-1065.				
09/12/2020	West, Lois	3.50	\$962.50	\$275.00
Prepare 2019 federal and state tax returns for 561 Deere Park Circle Limited Partnership - forms 1065 and IL-1065.				
09/12/2020	West, Lois	1.30	\$357.50	\$275.00
Prepare 2019 federal and state tax returns for 5097 Elston Limited Partnership - forms 1065 and IL-1065.				

Client: 166555.

Page: 4

09/12/2020 West, Lois 1.70 \$467.50 \$275.00  
 Prepare 2019 federal and state tax returns for 5528 Hyde Park Limited Partnership - forms 1065 and IL-1065.

09/12/2020 West, Lois 0.50 \$137.50 \$275.00  
 Make revisions to Timber Lake Apartments LLC - adjustment to correct insurance expense deduction.

09/12/2020 West, Lois 0.50 \$137.50 \$275.00  
 Make revisions to Hawthorne Limited Partnership - adjustment to correct insurance expense deduction.

09/12/2020 West, Lois 0.50 \$137.50 \$275.00  
 Make revisions to Kings Circle Limited Partnership - adjustment to correct insurance expense deduction.

09/12/2020 West, Lois 0.80 \$220.00 \$275.00  
 Prepare 2019 federal and state tax returns for Cornerstone II Limited Partnership - forms 1065 and IL-1065.

09/12/2020 West, Lois 1.20 \$330.00 \$275.00  
 Prepare 2019 federal and state tax returns for Midtown Two Unit H-1003 LLC - forms 1065 and IL-1065.

09/13/2020 West, Lois 2.00 \$550.00 \$275.00  
 Prepare 2019 federal and state tax returns for Cornerstone II Limited Partnership - forms 1065 and IL-1065.

09/13/2020 West, Lois 0.40 \$110.00 \$275.00  
 Make revisions to 139 Austin Limited Partnership - adjustment to correct insurance expense deduction.

09/13/2020 West, Lois 0.40 \$110.00 \$275.00  
 Make revisions to 149 Mason Trust - adjustment to correct insurance expense deduction.

09/13/2020 West, Lois 3.80 \$1,045.00 \$275.00  
 Prepare 2019 federal and state tax returns for Arbor Limited Partnership - forms 1065 and IL-1065.

09/13/2020 West, Lois 1.20 \$330.00 \$275.00  
 Prepare 2019 federal and state tax returns for 149 Mason Limited Partnership - forms 1065 and IL-1065.

09/13/2020 West, Lois 0.80 \$220.00 \$275.00  
 Prepare 2019 federal and state tax returns for 3820 25th Avenue LP - forms 1065 and IL-1065.

09/13/2020 West, Lois 1.00 \$275.00 \$275.00  
 Prepare 2019 federal and state tax returns for Mueller Painting & Decorating LP - forms 1065 and IL-1065.

09/13/2020 West, Lois 1.30 \$357.50 \$275.00  
 Prepare 2019 federal and state tax returns for Willow Creek Ventures Limited Partnership - forms 1065 and North Dakota

09/13/2020 West, Lois 1.40 \$385.00 \$275.00  
 Prepare 2019 federal and state tax returns for Azlan Group, LLC - forms 1065 and CA.

Client: 166555.

Page: 5

09/13/2020	West, Lois	0.70	\$192.50	\$275.00
Prepare 2019 tax returns for Paragon Group Limited Partnership - forms 1065 and IL-1065.				
09/13/2020	West, Lois	1.00	\$275.00	\$275.00
Prepare 2019 tax returns for Ridgeview Group I Limited Partnership - forms 1065 and IL-1065.				
09/14/2020	West, Lois	2.00	\$550.00	\$275.00
Prepare 2019 federal and state returns for G & C Mueller Family Partnership - forms 1065 and IL-1065.				
1065 Review				
08/10/2020	Griffin, Casee L	1.70	\$297.50	\$175.00
review of initial set-up of 2019 return 610 Lincoln				
08/10/2020	Griffin, Casee L	1.40	\$245.00	\$175.00
review of initial set-up of 2019 return 561 Deere Park Circle				
08/10/2020	Griffin, Casee L	1.20	\$210.00	\$175.00
review of initial set-up of 2019 return 106 Surrey Limited				
08/10/2020	Griffin, Casee L	0.90	\$157.50	\$175.00
review of initial set-up of 2019 return Cornerstone II Limited Partnership				
08/11/2020	Griffin, Casee L	1.60	\$280.00	\$175.00
review of initial set-up of 2019 return Arbor Limited Partnership				
08/11/2020	Griffin, Casee L	0.90	\$157.50	\$175.00
review of initial set-up of 2019 return Kings Circle Limited Partnership				
08/11/2020	Griffin, Casee L	0.40	\$70.00	\$175.00
review of initial set-up of 2019 return 139 Austin Limited Partnership				
08/11/2020	Griffin, Casee L	0.40	\$70.00	\$175.00
review of initial set-up of 2019 return 5097 Elston Limited Partnership				
08/11/2020	Griffin, Casee L	1.20	\$210.00	\$175.00
review of initial set-up of 2019 return 5528 Hyde Park Limited Partnership				
08/11/2020	Griffin, Casee L	0.40	\$70.00	\$175.00
review of initial set-up of 2019 return Timber Lake Shared Appreciation LP				
08/11/2020	Griffin, Casee L	0.40	\$70.00	\$175.00
review of initial set-up of 2019 return Hawthorne Limited Partnership				
08/13/2020	Griffin, Casee L	0.90	\$157.50	\$175.00
review of initial set-up of 2019 return Timber Lake Shared appreciation				
08/13/2020	Griffin, Casee L	0.70	\$122.50	\$175.00
review of initial set-up of 2019 return 561 Deere Park Circle				
08/13/2020	Griffin, Casee L	0.90	\$157.50	\$175.00
review of initial set-up of 2019 return Arbor Limited Partnership				
08/13/2020	Griffin, Casee L	0.50	\$87.50	\$175.00
review of initial set-up of 2019 return Kings Circle Limited Partnership				

Client: 166555.

Page: 6

08/13/2020	Griffin, Casee L	1.20	\$210.00	\$175.00	review of initial set-up of 2019 return 5528 Hyde Park Limited Partnership
08/14/2020	Griffin, Casee L	1.00	\$175.00	\$175.00	review of initial set-up of 2019 return 106 surrey limited
08/14/2020	Griffin, Casee L	0.40	\$70.00	\$175.00	review of initial set-up of 2019 return 5097 elston limited partnership
08/14/2020	Griffin, Casee L	0.40	\$70.00	\$175.00	review of initial set-up of 2019 return 139 Austin limited partnership
08/14/2020	Griffin, Casee L	1.10	\$192.50	\$175.00	review of initial set-up of 2019 return 610 Lincoln limited partnership
08/14/2020	Griffin, Casee L	0.90	\$157.50	\$175.00	review of initial set-up of 2019 return Cornerstone II Limited Partnership
08/14/2020	Griffin, Casee L	0.60	\$105.00	\$175.00	review of initial set-up of 2019 return Hawthorne Limited Partnership
08/14/2020	Griffin, Casee L	1.20	\$210.00	\$175.00	review of initial set-up of 2019 return Timber Lake Apartment
09/14/2020	West, Lois	4.00	\$1,100.00	\$275.00	Final review - add disclaimer statement to nine entities - upload for electronic filing and send to Receiver for review and approval prior to filing.
09/14/2020	West, Lois	2.50	\$687.50	\$275.00	Final review - add disclaimer statement to seven entities and upload for electronic filing.
09/15/2020	West, Lois	5.50	\$1,512.50	\$275.00	Final review - add disclaimer to 14 returns and upload to electronic filing - send to Receiver for review and approval prior to filing.
09/16/2020	West, Lois	2.70	\$742.50	\$275.00	Send instructions for payment to be made to Receiver for 610 Lincoln, 561 Deere Park and Azlan. Send K-1's to Barbara Decker to mail to partners. Release returns to taxing authorities.
1120S Preparation					
09/16/2020	West, Lois	1.00	\$275.00	\$275.00	Set up tax return into system and begin preparation of 2019 returns - forms 1120S and IL-1120-ST.
09/19/2020	West, Lois	1.40	\$385.00	\$275.00	Enter K-1 activity from various entities into tax software for preparation of 2019 returns - forms 1120S and IL-1120-ST.
Accounting Services					
07/31/2020	West, Lois	0.50	\$137.50	\$275.00	Review payroll tax returns for the second quarter 2020 for Town Square Management Ltd and Northridge Holdings, Ltd.
Extensions Preparation					
07/02/2020	West, Lois	0.70	\$192.50	\$275.00	Prepare forms 7004 - request to extend time to file corporate returns for 2019 - for all corporate entities.

Client: 166555.

Page: 7

## General Consulting

07/06/2020 West, Lois 0.40 \$110.00 \$275.00  
Phone conference with Neville Reid and Eric re: new tax identification number for receivership.

07/29/2020 West, Lois 0.30 \$82.50 \$275.00  
Phone call with Carol Higgins re: start of preparation of partnership returns for 2019.

07/30/2020 West, Lois 0.30 \$82.50 \$275.00  
Phone call with Eric Anderson re: obtaining Fein for Settlement Fund account for Northridge Receivership

08/04/2020 West, Lois 0.80 \$220.00 \$275.00  
Emails with Nermin re: set up of 7 partnership returns for 2017. Copy 2018 federal and Illinois returns and forward to Nermin to assign to staff for set up.

08/04/2020 West, Lois 0.30 \$82.50 \$275.00  
Phone call with Terry Stein re: audit report results - contact IRS agent to set up time for conference call to discuss clients position.

08/05/2020 West, Lois 0.50 \$137.50 \$275.00  
Phone call with Mike Pazur re: 2019 accounting work to be done. Emails to N. Reid re: using Pazur's services to assist with tax preparation.

08/20/2020 West, Lois 0.50 \$137.50 \$275.00  
Review engagement letter for Pazur - draft additional paragraphs to be reviewed by receiver for inclusion into engagement letter.

09/08/2020 West, Lois 0.40 \$110.00 \$275.00  
Phone call with Mike Pazur re: engagement letter. Emails with N. Reid re: engagement letter. Sign-off on letter and send to attorney. Provide Mike with list of entities to be prepared.

## Tax Examinations

08/07/2020 West, Lois 0.40 \$110.00 \$275.00  
Phone call with IRS Agent and Terry Stein re: proposed adjustments to 2017 returns.

## TAX OTHER Tax Examination

09/09/2020 West, Lois 0.50 \$137.50 \$275.00  
Copy and send agents revised forms 886-A and 5701 for Amberwood, Brookstone, Northridge, Eastridge, Southridge, Unity and Guradian to N. Reid and T. Stein for review.

Invoice Total: \$24,620.00

## Statement of Open Accounts Receivable

<u>Invoice</u>	<u>Date</u>	<u>Description</u>	<u>Charge</u>	<u>Credit</u>	<u>Balance</u>
		Balance forward as of 08/31/2020			\$2,267.90
107263	09/30/2020	Invoice	\$24,620.00		<u>\$26,887.90</u>
				Current Amount Due	<u>\$26,887.90</u>

Thank you for your business.

**EXHIBIT L**

[AlixPartners Invoices for July 2020 through September 2020]

(see attached)

**AlixPartners – Summary of Fees**

<b>Timekeeper Name</b>	<b>Sum of Billed Hours</b>	<b>Sum of Billed Amount</b>
Denis O'Connor	45.1	\$24,805.00
Vineet Sehgal	12.5	\$6,435.00
Thomas Hofner	95.2	\$52,360.00
Bill Kingsford	7.9	\$4,345.00
Travis Phelan	1.5	\$825.00
Jimelle Aquino	4.7	\$2,585.00
Robert Losier	261.3	\$144,705.00
Cindy Wan	2.8	\$1,540.00
Derrick Irving	69.4	\$38,170
<b>Total (before discount below)</b>	<b>500.4</b>	<b>\$270,270.00</b>
<b>Discounts to be Applied</b>		
Less Hours for Website & Hotline Setup	2.8	
<b>Grand Total (after Website &amp; hotline Setup)</b>	<b>497.6 (x \$550 discounted blended rate)</b>	<b>\$268,730.00</b>



August 17, 2020

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Inv. No.: 2126592  
Client: 013182

---

For Professional Services: July 1, 2020 through July 31, 2020

Current Charges:		Hours	Rate	Amount
Denis O'Connor	Managing Director	38.00	800.00	30,400.00
Vineet Sehgal	Managing Director	10.80	800.00	8,640.00
Thomas Hofner	Director	26.00	700.00	18,200.00
Bill Kingsford	Director	7.90	700.00	5,530.00
Travis Phelan	Director	1.50	700.00	1,050.00
Jimelle Aquino	Senior Vice President	4.70	650.00	3,055.00
Robert Losier	Senior Vice President	147.70	650.00	96,005.00
Cindy Wan	Vice President	1.30	445.00	578.50
Derrick Q Irving	Paraprofessional	18.10	315.00	5,701.50
<b>Total Hours &amp; Fees</b>		<b><u>256.00</u></b>		<b><u>169,160.00</u></b>

Please reference the invoice number noted above on any payment remittance. Thank You.



# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Less: Website & Hotline Setup (1.30 hours)	(578.50)
<b>Subtotal (254.70 hours)</b>	<b>168,581.50</b>
Less: Blended Rate Adjustment	(28,496.50)
<b>Updated Total (254.70 x \$550)</b>	<b>140,085.00</b>
Expenses	163.24
<b>Total Amount Due</b>	<b><u>USD 140,248.24</u></b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Project Code	Description	Amount
013182.00001	Asset Analysis and Recovery	1,055.00
013182.00004	Case Administration	7,091.50
013182.00005	Claims Administration and Objections	20,720.00
013182.00010	Data Analysis	45,740.00
013182.00011	Status Reports	6,135.00
013182.00013	Forensic Accounting	87,840.00
013182.00016	Claimant Website Setup	578.50
<b>Total Fees Incurred</b>		<b>169,160.00</b>
Expenses		Amount
Computer Supplies / Support		163.24
<b>Total Disbursements</b>		<b>163.24</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

Date	Consultant	Description of Services	Hours
07/01/20	RL	Create list for Barb and Carol to review Quickbook transactions not in Filemaker to determine if any loans were investors or other individuals.	1.30
07/31/20	TJH	Review of Virgilio demand letter and note of sections needing our attention. Follow-up with counsel.	0.30
<b>Total Hours</b>			<b>1.60</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	1.30	650.00	845.00
Thomas Hofner	0.30	700.00	210.00
<b>Total Hours &amp; Fees</b>	<b>1.60</b>		<b>1,055.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
07/01/20	DQI	Review docket # 151 to 152. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.60
07/01/20	DQI	Respond to questions re: distribution process status with investor P.Chang.	0.30
07/01/20	DQI	Respond to questions re: expected sales process status and expected timelines for overall case with investor E. Chang.	0.40
07/02/20	DQI	Respond to questions re: claims status process and recent court filings with investor J. Morelli and update call log.	0.40
07/02/20	DQI	Respond to questions re: timeline for overall case and tax letter updates with investor G. Long.	0.40
07/06/20	DQI	Respond to questions re: distribution process status, discuss claims process and discussing of the case with both of investor S. Weaver.	0.50
07/06/20	DQI	Respond to questions re: timeline for overall case and distribution process with investor L. Vasquez.	0.30
07/06/20	DQI	Respond to questions re: distribution process status, claims process with investor D. Di Pietro and update call log.	0.40
07/07/20	DQI	Respond to questions re: timeline for overall case with investor V. Ahlquist and updated call log.	0.20
07/07/20	DQI	Respond to questions re: property sales process and timeline for overall case with investor J. Crick.	0.40
07/07/20	DQI	Respond to questions re: timeline for overall case and distribution.	0.40
07/08/20	DQI	Respond to questions re: distribution process status and timeline for overall case with investor R. Payne.	0.40
07/08/20	DQI	Review docket # 153 and 154. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.20
07/09/20	DQI	Respond to questions re: tax updates with investor M. Perez and updated call log.	0.20

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 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
07/09/20	DQI	Respond to questions re: distribution process status and timeline for overall case with investor H. Waddell.	0.30
07/09/20	DQI	Respond to questions re: tax updates and timeline for overall case with investor B. Hoge.	0.40
07/09/20	DQI	Respond to questions re: tax updates and claims process status.	0.30
07/13/20	DQI	Respond to questions re: tax updates and distribution process status with investor K. Thatcher.	0.40
07/13/20	DQI	Respond to questions re: property sales process and distribution process status with investor P. Degginger.	0.30
07/13/20	DQI	Respond to questions re: timeline for overall case with follow up conversation with P. Degginger.	0.40
07/13/20	DQI	Respond to questions re: timeline for overall case and distribution process with investor L. Rushing.	0.20
07/13/20	DQI	Respond to questions re: timeline for overall case, claims process updates and distribution process with investor L. Rushing.	0.40
07/13/20	DQI	Respond to questions re: claims process status and timeline for overall case with investor P. Petroff and updated call log.	0.30
07/13/20	DQI	Respond to questions re: claims process status and timeline for overall case with investor P. Petroff.	0.40
07/14/20	DQI	Respond to questions re: distribution process and timeline for overall case with investor P. Powell and updated call log.	0.40
07/14/20	DQI	Respond to questions re: property sales process and distribution process with investor A. Trifilo's wife Nancy.	0.40
07/14/20	DQI	Respond to questions re: timeline for overall case and distribution process status with investor K. Grebel.	0.40
07/14/20	DQI	Respond to questions re: distribution process status and claims process status with investor C. Lundell.	0.30
07/14/20	DQI	Respond to questions re: timeline for overall case and claims process updates with investor R. Netzer.	0.40
07/15/20	DQI	Respond to questions re: distribution process and timeline	0.30

# AlixPartners

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 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		for overall case with investor S. Chan's son Ray.	
07/15/20	DQI	Respond to questions re: claims process status, property sales process and distribution process status with investor J. Schact.	0.40
07/15/20	DQI	Respond to questions re: timeline for overall case with investor J. Westergaard.	0.10
07/15/20	DQI	Respond to questions re: distribution process status and claims process status with follow up call with investor J. Westergaard and updated call log.	0.20
07/17/20	DQI	Respond to questions re: timeline for overall case with investor A. Magnus.	0.20
07/17/20	DQI	Respond to questions re: property sales process, distribution process status and claims process status with follow up call with investor A. Magnus.	0.40
07/17/20	DQI	Review docket # 155 - 157. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.20
07/17/20	DQI	Respond to questions re: timeline for overall case, distribution process status and property sales process with investor G. Long.	0.40
07/21/20	DO	Review fee application and execute certification.	0.60
07/22/20	DQI	Respond to questions re: timeline for overall case, claims process status and distribution process status with investor R. Chaggaris.	0.40
07/22/20	DQI	Respond to questions re: claims process status and property sales status with investor A. Trifilo.	0.30
07/22/20	DQI	Review docket # 158 to 160. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.40
07/22/20	DQI	Respond to questions re: timeline for overall case with investor H. Zimmerman.	0.20
07/22/20	DQI	Respond to questions re: property sales process, distribution process status, claims process status and timeline for overall case with investor A. Rambis.	0.40
07/22/20	DQI	Respond to questions re: claims process updates and	0.40

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		timeline for overall case with L. Vasquez.	
07/23/20	DQI	Respond to questions re: claims process status, property sales process and timeline for overall case with investor J. Trifilo.	0.40
07/23/20	DQI	Respond to questions re: timeline for overall case, property sales process and distribution claims process with investor J. Wilkes.	0.40
07/24/20	DQI	Respond to questions re: claims form process and distribution process status with investor P. Tilden.	0.30
07/24/20	DQI	Respond to questions re: timeline for overall case, distribution process status and claims process status with investor R. Harris.	0.30
07/24/20	DQI	Respond to questions re: distribution claims process status, tax process status and claims process status with investor G. Henson.	0.30
07/27/20	TJH	Review Docket 161 and coordinate updates to website.	0.50
07/27/20	DQI	Conversation to email sent by L. Vasquez re: Claims for the case and the publishing of the quarterly report.	0.30
07/28/20	DQI	Voicemail to email sent by A. Walsh re: liquidation of assets	0.10
07/29/20	DQI	Call of previous call with V. Ahlquist re: Change of address for Ms. Ahlquist and updating her on the status of the case.	0.20
07/29/20	DQI	Address updating of all spreadsheets and database for investor V. Ahlquist and produce new Investor mailing list.	0.20
07/29/20	DQI	Review docket # 161. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. Fee app docket entry.	0.60
07/30/20	DQI	Call of a previous hotline message with C. Braverman re: How long will it take for him to get back his investment.	0.30
07/31/20	TJH	Review Docket 164, Receiver's Report, for references to claims work, forensic work, and liquidation plan.	0.80
<b>Total Hours</b>			<b>20.00</b>



# AlixPartners

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Partner  
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200 W. Madison Street  
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Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration  
Client/Matter # 013182.00004

## Fee Recap:

Consultant	Hours	Rate	Amount
Derrick Q Irving	18.10	315.00	5,701.50
Thomas Hofner	1.30	700.00	910.00
Denis O'Connor	0.60	800.00	480.00
<b>Total Hours &amp; Fees</b>	<b>20.00</b>		<b>7,091.50</b>

# AlixPartners

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 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2126592-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
07/01/20	DO	Review Motion to Approve Bar Date Procedures and related relief.	0.60
07/09/20	VS	Review analytics driving motions and tying out internal control totals to posted figures in all motions.	1.60
07/09/20	RL	Discuss claims motion and analysis required with T Hofner	1.00
07/09/20	TJH	Discuss claims motion and analysis required with R Losier.	1.00
07/09/20	TJH	Review Motion to Approve Claims Bar Date and incorporate all edits into one document.	1.10
07/09/20	VS	Review Motion to Approve Claims Bar Date and O Connor declaration.	1.20
07/13/20	RL	Update reporting and produce comprehensive noticing list for Active and Inactive Investors.	2.40
07/16/20	RL	Change Exhibit C headers and entries to be more concise and clear, and summarize the journal entries for better presentation, confirm journal entries	1.70
07/16/20	RL	QC numbers and supporting documentation in the declaration with team to confirm accuracy of the Exhibits.	1.80
07/16/20	RL	Change Exhibit A headers and entries to be more concise and clear.	0.90
07/16/20	RL	Change Exhibit B headers and entries to be more concise and clear	0.80
07/16/20	RL	QC numbers in the declaration with team to confirm accuracy of the paragraphs.	1.40
07/21/20	TJH	Review and edit Notice of Initial Determination.	1.10
07/21/20	TJH	Review Claims Bar Date Notice.	0.60
07/21/20	TJH	Review Claims Order Procedure	0.80
07/21/20	DO	Review updated motions for claim submissions and procedures.	0.30
07/21/20	VS	Review of various documents provided by counsel including Motion to Approve Claims Bare Date, Notice of Claims Bar Date, Notice of Initial Determination, Order establishing Bar Date and Declaration	2.80

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 Chicago, IL 60606

Invoice # 2126592-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
07/21/20	VS	Finalized review of various documents provided by counsel including Motion to Approve Claims Bare Date, Notice of Claims Bar Date, Notice of Initial Determination, Order establishing Bar Date and Declaration	1.30
07/21/20	TJH	Discuss claim form design and required data elements for claim forms with V Sehgal.	0.40
07/21/20	TJH	Review Declaration and compare stats between our source data to confirm uniformity across documents.	0.90
07/21/20	TJH	Build out claim form, incorporate initial feedback from Fox, and design data elements for merging of final reports.	1.80
07/22/20	TJH	Finalize sample claim form design and inputs. Incorporate follow-up edits from Receiver.	1.20
07/22/20	TJH	Discuss claim form design with N Reid.	0.30
07/23/20	TJH	Audit of Insider FM to QB to bank statement data and our translation log.	0.70
07/23/20	TJH	Review of Insider claims analysis for Mueller family and associates.	0.80
07/24/20	TJH	Discuss claims process, data needs, and Investor statements with R Losier.	0.70
<b>Total Hours</b>			<b>29.20</b>

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Invoice # 2126592-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	10.00	650.00	6,500.00
Thomas Hofner	11.40	700.00	7,980.00
Denis O'Connor	0.90	800.00	720.00
Vineet Sehgal	6.90	800.00	5,520.00
<b>Total Hours &amp; Fees</b>	<b>29.20</b>		<b>20,720.00</b>

# AlixPartners

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Invoice # 2126592-4  
 Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
07/01/20	RL	Finalize and review Investor claim analysis for Receiver call. Include all potential clawback dollars in analysis.	1.90
07/01/20	TJH	Analyze claimant data and review investor claimant calculations for Inter ledger transfer types.	1.30
07/01/20	TJH	Analyze claimant data and review investor claimant calculations for beneficiary transfer types.	0.80
07/01/20	TJH	Analyze claimant data and review investor claimant calculations for inherited transfer.	1.20
07/02/20	RL	Create cash flow statement for Arbor for period 2007 to 2016	1.40
07/02/20	RL	Create cash flow statements for Guardian for period 2003 to 2019.	1.50
07/02/20	RL	Create cash flow statements for Brookstone for period 2005 to 2019.	0.80
07/02/20	RL	Create cash flow statements for Westwood for time period 2007 to 2011.	0.60
07/02/20	RL	Create cash flow statements for Unity for period of 2007 to 2019.	1.10
07/02/20	TJH	Analyze current investor statement methodology for anomalies in Filemaker and impact on overall claim calculations scripts.	1.80
07/06/20	VS	Reviewed updated net amount balances. Development of report for counsel.	1.20
07/13/20	RL	Review intercompany calculations used for O'Connor declaration with T Hofner.	1.30
07/13/20	RL	Create analysis on "interest" paid and received on all entities, mainly to confirm that interest was not being charged between intercompanies.	1.30
07/13/20	RL	Research and review the refinancing transaction of Westwood to Timberlake, specifically the entries in 2011 in Westwoods, Timberlake and Northridge's books and records.	1.90
07/13/20	RL	Update documentation to support the declaration and to have the QC team confirm the exhibit numbers.	1.30

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Invoice # 2126592-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
07/13/20	RL	Update declaration based on additional supporting schedules and queries ran in SQL/Quickbooks for Exhibits A/B/C, including journal entries in Quickbooks.	1.10
07/13/20	TJH	Discuss intercompany calculations and mapping strategy used for all entities in declaration exhibits with R Losier.	1.30
07/14/20	TP	Review of Mr. O'Connor's expert report and confirmation of SQL scripts used in the generation of the metrics contained within Paragraph's 11 thorough 13.	1.50
07/20/20	RL	Review claims tiered groups, create schedule to display waterfall type analysis for claims process	1.90
07/21/20	RL	Review data needed for claim form population and begin query building.	0.40
07/21/20	VS	Discuss with the Receiver and T. Hofner claim form design and required data elements for claim forms.	0.40
07/22/20	RL	Pull transactions for Cash In/Cash Out from Filemaker into tables for review prior to populating NID claims forms.	1.60
07/22/20	RL	Create query to pull claims transactions from the database, and prepare to input into NID Forms.	1.80
07/22/20	RL	Pull data for adjustments to be made within the transactions of the NID claim form.	1.70
07/22/20	RL	Review and confirm transactions including the transfer adjustments on beneficiary, inherited position, and inter-ledger transfer, tie out to the overall claims database.	1.90
07/22/20	RL	Update process of extracting line items from ledgers, including brining in the transfers at a detailed level to be able to populate Claims database.	1.80
07/23/20	RL	Discuss Mueller family accounts and review analytics with T Hofner.	1.70
07/23/20	RL	Create summary schedule for Glen/Dale/Cornelia/Gertrude Mueller on transactions within Filemaker.	1.30
07/23/20	RL	Create individual schedules for Glen/Dale/Cornelia/Gertrude Mueller on transactions within Filemaker.	1.20

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Invoice # 2126592-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
07/23/20	RL	Research on the Muellers as to whom Money Corp is, found related to Dale/Glen.	1.40
07/23/20	RL	Review the "Cash Investments" that Dale/Glen/Gertrude have in their statements, which are mainly journal entries, no cash movement.	1.70
07/23/20	RL	Create schedule for Amberwood in relation to the Muellers, added it to the Mueller Analysis.	1.00
07/23/20	TJH	Discuss Mueller family accounts and review analytics with R Losier.	1.70
07/24/20	TJH	Discuss Mueller analysis and findings with D O'Connor, R Losier, and V Sehgal.	0.50
07/24/20	VS	Discuss Mueller analysis and findings with D O'Connor, R Losier, and T Hofner.	0.50
07/24/20	RL	Create legal list based on request from receivership.	1.50
07/24/20	RL	Create subset of claim transactions to review prior to populating claims forms.	1.80
07/24/20	RL	Export subset of transactions, summarize for analysis, and distribute for team review, prior to populating claims forms.	1.70
07/24/20	RL	Discuss Mueller analysis and findings with D O'Connor, T Hofner, and V Sehgal.	0.50
07/24/20	RL	Discuss claims process, data needs, and Investor statements with T Hofner	0.70
07/24/20	RL	Finalize subset of claims transaction data for simple type transactions to populate claims forms.	0.50
07/24/20	RL	Create an additional subset of transactions, with related parties, complex review, and claims to review manually.	1.80
07/24/20	DO	Conference call with V. Sehgal, T. Hofner and R. Losier regarding Mueller family analysis requested by counsel.	0.50
07/27/20	RL	Create primary insider transactions for demand letters based on request from client	2.10
07/27/20	RL	Update the Mueller analysis with check numbers from Quickbooks to help further support the demand letters based on request from client.	1.20

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Invoice # 2126592-4

Re: Data Analysis  
Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
07/27/20	RL	Add subset of overriding transactions not in investors statements to further reduce denominator, and to automate these so they are properly handled within the claims forms.	1.80
07/27/20	RL	Update subset of Investors that had overrides in their statements, added to the script for claims database	1.30
07/27/20	TJH	Review claims summary reporting and scripts for construction.	0.60
07/27/20	RL	Locate subset of Investors, approximately 10 investors that had overrides in their statements. This was to further reduce the denominator of approximately \$200k.	1.90
07/27/20	TJH	Review manual adjustment for Investor claims based on various emails and notes received from Carol and Barb over the last 5 months, calculate adjustments of \$300K+ in further reductions.	1.90
07/28/20	RL	Add script to extract tables from SQL into an Access database in order to create the NID forms and automatically populate the claims forms.	1.80
07/28/20	RL	Add beneficiary transfer adjustments into the claims database, so they are properly populated at a detailed level within an Investors Statement.	1.40
Total Hours			<b>68.80</b>



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Invoice # 2126592-4

Re: Data Analysis  
Client/Matter # 013182.00010

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	53.60	650.00	34,840.00
Thomas Hofner	11.10	700.00	7,770.00
Travis Phelan	1.50	700.00	1,050.00
Denis O'Connor	0.50	800.00	400.00
Vineet Sehgal	2.10	800.00	1,680.00
<b>Total Hours &amp; Fees</b>	<b>68.80</b>		<b>45,740.00</b>

# AlixPartners

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 Chicago, IL 60606

Invoice # 2126592-5

Re: Status Reports  
 Client/Matter # 013182.00011

Date	Consultant	Description of Services	Hours
07/02/20	VS	AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics.	0.70
07/02/20	DO	AP and Fox team discussion of assets, cash flows and other forensic accounting topics.	0.70
07/02/20	RL	AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics.	0.70
07/02/20	TJH	AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics.	0.70
07/10/20	RL	Weekly status update with Receiver and counsel to review Motion and Declaration.	0.60
07/10/20	VS	Call with counsel and team	0.60
07/10/20	RL	Conference calls with D. O'Connor regarding declaration and tax follow up questions. Review Amberwood supporting loan schedules and follow up with C. Higgins.	0.80
07/10/20	DO	Weekly status update with Receiver and counsel to review Motion and Declaration.	0.60
07/24/20	DO	Fox team and AP team discussion of motion, claim forms and claims analysis.	0.70
07/24/20	VS	Fox team and AP team discussion of motion, claim forms and claims analysis.	0.50
07/24/20	TJH	Fox team and AP team discuss Mueller analysis and claims procedure data requirements.	0.70
07/31/20	DO	Weekly call with the Receivers team and Alix colleagues to update status of claims determinations; demand letters and receivers report	0.50
07/31/20	TJH	Update call with Fox team and D O'Connor to discuss noticing procedures, claim timelines, Mueller analysis, and a vendor master.	0.50
<b>Total Hours</b>			<b>8.30</b>

# AlixPartners

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 Partner  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-5

Re: Status Reports  
 Client/Matter # 013182.00011

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	2.10	650.00	1,365.00
Thomas Hofner	1.90	700.00	1,330.00
Denis O'Connor	2.50	800.00	2,000.00
Vineet Sehgal	1.80	800.00	1,440.00
<b>Total Hours &amp; Fees</b>	<b>8.30</b>		<b>6,135.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/01/20	RL	Create cash flow statements for Eastridge for period of 2008 to 2019.	1.90
07/01/20	RL	Create example exhibits for O'Connor declaration for Cash Flow Statemt for Northridge.	0.50
07/01/20	JA	Analysis of Eastridge cash flow statements in support of O'Connor declaration.	2.60
07/01/20	JA	Analysis of Unity cash flow statements in support of O'Connor declaration.	2.10
07/01/20	RL	Create cash flow statements for Arbor for period 2007 to 2011.	0.90
07/01/20	RL	Consolidate cash flow statements from 1994 to 2019 for Northridge.	1.70
07/01/20	DO	Review Unity and Eastridge's cash flows and affiliate transfers, map our presentation of transactions.	0.70
07/01/20	DO	Review Motion to Approve Bar Date and analyze need for pooling of assets analysis.	0.80
07/01/20	DO	Review Northridge and Amberwood details.	0.70
07/02/20	DO	Review Pooling of assets analysis, edit and approve for release to counsel.	0.80
07/02/20	DO	Review Eastridge and Unity cash flow/affiliate transfers and approve for release to counsel.	0.90
07/06/20	RL	Update affidavit to add forensic investigation regarding reconciling transactions with Quickbooks and Filemaker.	0.70
07/06/20	RL	Research and review Certificate Notes to Unity, Guardian, and Southridge, to add summary of Exhibit A.	1.70
07/06/20	RL	Update affidavit to add the related party transactions between Northridge and the other receivership entities to get full counts.	1.10
07/06/20	RL	Update affidavit to add the related party transactions between Amberwood and the other receivership entities to get full counts.	0.80
07/06/20	RL	Create Exhibit A 'Funds from Investors to Note Investment Entities' summary schedule.	0.80
07/06/20	DO	Conference call with R. Losier to review data and	0.60

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 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
		presentation of pooling assets and related matters for affidavit.	
07/06/20	DO	Prepare outline of data needs and analytics for affidavit on pooling assets for motion on distribution methodology and claims.	1.10
07/06/20	DO	Update affidavit for professional qualifications and edit commingling/pooling assertions.	0.50
07/06/20	DO	Review cash flows of investment companies for affidavit.	0.50
07/06/20	RL	Conference call with D O'Connor to review data and presentation of pooling assets and related matters for affidavit.	0.60
07/06/20	RL	Review specific transactions between Unity, Guardian, and Southridge to Northridges Books and Records for possible commingling.	0.90
07/06/20	RL	Review and research the Note Investment Entities (Brookstone and Eastridge) transferring funds to Northridge.	1.70
07/06/20	RL	Create Exhibit A second part of schedule for "Northridge - Cash In" and "Northridge - Cash Out.	1.30
07/07/20	RL	Research and record transactions of Mueller Painting, locating the cash movement between receivership entities and vendors, add to declaration.	1.70
07/07/20	RL	Research and review initial purchase transactions of Deere Park Circle Apartments, locating specific cash transactions to support exhibits.	1.20
07/07/20	RL	Research and review Northridges books and records for the intercompany transactions regarding the purchase of Westwood and Deere Park Apartments.	1.10
07/07/20	DO	Conference call with R. Losier regarding affidavit support.	0.20
07/07/20	DO	Review support for affidavit Northridge and others receivership entities selling limited partnership interests.	0.70
07/07/20	DO	Review certificates of deposits details for Investment Companies and map to pooling of assets files.	0.60
07/07/20	DO	Review intercompany and pooling of assets schedules and analyses from Quickbooks.	0.80

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Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/07/20	DO	Review interest expense schedules and mapping between Northridge entities - investment companies vs. transfers to Northridge entities.	0.50
07/07/20	DO	Trace sample of Northridge entities through Quickbook schedules for affidavit support.	0.60
07/07/20	RL	Confirm receivership entities, locating several more not in the declaration to discuss with receiver.	0.70
07/07/20	RL	Update declaration regarding Mueller Painting cash going out and cash coming in to show movement of cash within this entity.	1.40
07/07/20	RL	Research and review initial purchase transactions of Westwood/Timberlake, locating specific cash transactions to support exhibits.	1.70
07/07/20	RL	Research and review refinancing of Westwood to Timberlake, locating specific cash transactions to support exhibits.	0.70
07/07/20	RL	Conference call with D. O'Connor regarding affidavit support.	0.20
07/08/20	RL	Create Exhibit B, cash transactions for the Westwood/Timberlake purchase, including locating actual cash transaction.	1.50
07/08/20	RL	Confirm on Northridges books and records the cash transaction, tracing through Quickbooks.	0.90
07/08/20	RL	Confirm with the Northridge books and records on the refinancing of Westwood/Timberlake purchase, tracing through Quickbooks general ledgers of Westwood/Timberlake/Northridge.	1.40
07/08/20	RL	Conference call with D. O'Connor to review edits, additions and exhibits for the affidavit.	0.60
07/08/20	RL	Conference call with D. O'Connor to review edits, additions and exhibits for the affidavit.	0.80
07/08/20	DO	Conference call with R. Losier to review edits, additions and exhibits for the affidavit.	0.80
07/08/20	DO	Review and edit draft affidavit for Motion on Claims.	0.80
07/08/20	DO	Review and edit updated draft affidavit and exhibits for	0.70

# AlixPartners

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 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
		Motion on Claims.	
07/08/20	DO	Conference call with R. Losier to review edits, additions and exhibits for the affidavit.	0.60
07/08/20	DO	Review supporting cash flow schedules for Timberlake and Deer Park for tie-ins to affidavit.	0.40
07/08/20	RL	Conference call with Denis O'Connor to review edits, additions and exhibits for the affidavit	0.80
07/08/20	RL	Create Exhibit C, purchase of Deere Park Apartments, including locating actual cash transactions.	1.40
07/08/20	RL	Confirm with the Northridge books and records on the purchasing of Deere Park Apartments within Quickbooks general ledgers of Deere Park and Northridge.	1.70
07/08/20	RL	Update declaration to include footnoted sub schedules in Exhibit B and Exhibit C.	1.10
07/09/20	RL	Update declaration language in paragraph 24 a/d to reflect numbers recorded in Quickbooks.	1.20
07/09/20	RL	Run queries on Mueller Painting cash inflows from all entities, create list to confirm in Quickbooks general ledgers of those entities of Northridge/Eastridge/Unity/Guardian/Southridge.	1.70
07/09/20	RL	Update declaration regarding the commingling of Mueller Painting transactions.	0.80
07/09/20	DO	Conference calls with Rob Losier re: declaration content, tie-down of facts and figures and exhibits.	1.80
07/09/20	DO	Review various draft declarations, update, check/tie out data and exhibits to supporting QuickBooks schedules.	3.60
07/09/20	DO	Review claims procedures motion.	0.20
07/09/20	RL	Update declaration language in paragraph 17 to 20 for intercompany transactions that did not have any form of interest involved.	1.10
07/09/20	RL	Create cash flow statements for Mueller Painting for period 1996 to 2019.	1.70
07/09/20	RL	Review Mueller Painting Vendor list on amounts being distributed.	1.10

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Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/09/20	RL	Conference calls with D. O'Connor re: declaration content, tie-down of facts and figures and exhibits.	1.80
07/10/20	RL	Meet, discuss, plan, and execute steps to QC the numbers to the declaration.	1.30
07/10/20	RL	Update declaration paragraph 23 a/c, confirming dollar amounts and transactions, rewording the paragraphs for the Exhibits.	1.60
07/10/20	RL	Review balance sheet of Northridge and Amberwood to understand the inter company funds flowing in between the two entities.	1.70
07/10/20	RL	Confirm transactions between Northridge and Amberwood to reflect checks and deposits transferring between the two entities.	1.30
07/10/20	RL	Update declaration footnotes in Exhibit B/C to reflect entries recorded in Quickbooks.	0.90
07/10/20	RL	Create schedule of cash movement between Northridge and Amberwood.	0.80
07/10/20	RL	Update declaration to add ownership of Mueller Painting and showing the money going out was for 'performed maintenance and capital improvements.	1.20
07/10/20	DO	Conference calls with R. Losier regarding declaration and tax follow up questions. Review Amberwood supporting loan schedules and follow up with C. Higgins.	0.80
07/10/20	DO	Prepare open issues/questions for C. Higgins.	0.70
07/10/20	DO	Review Mueller painting cash flows/intercompany to follow up on declaration.	0.40
07/10/20	DO	Review supporting schedules for declaration and review additional affirmations requested by counsel.	1.30
07/13/20	DO	Conference calls with R. Losier regarding updates to declaration and quality control checks.	1.10
07/13/20	DO	Conference call with W. Kingsford regarding quality control checks of declaration.	0.40
07/13/20	DO	Review Mueller Painting, Northridge and Timber Lake intercompany transfers and operations/profitability of Mueller Painting.	0.70



# AlixPartners

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Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/13/20	BK	QC and analyze the second portion of Exhibit A totals and details.	2.60
07/13/20	RL	Conference calls with D. O'Connor regarding updates to declaration and quality control checks.	1.10
07/14/20	RL	Find specific cash transaction in Northridges books and records to support the declaration on the refinancing.	1.30
07/14/20	RL	Confirm within Westwood and Timberlakes books and records the cash transaction that originated on Northridges books and records.	1.40
07/14/20	RL	Discover transfer of funds between Westwood/Southridge/Unity/Guardian/Amberwood to show commingling of funds, traced cash from starting point to ending point through these entities.	1.70
07/14/20	RL	Create new paragraph 21 in declaration displaying the commingling of funds between Westwood/Southridge/Unity/Guardian/Amberwood.	1.30
07/14/20	RL	Review interest expense analysis and follow up with D. O'Connor.	0.40
07/14/20	BK	Completed QC of the bottom two portions of Exhibit A, including the testing of the cash flow statements by tracing to the balance sheets.	2.50
07/14/20	BK	Initiated the QC of the top portion of Exhibit A.	2.50
07/14/20	DO	Call with W. Kingsford regarding quality control results for Exhibits A's Northridge "cash in" and "cash out".	0.40
07/14/20	DO	Review updated declaration.	0.60
07/14/20	DO	Review Refinancing of Westwood/Timberlake transaction detail.	0.20
07/14/20	DO	Review refinancing support and edit declaration to add Westwood refinancing and related party transfers.	0.60
07/14/20	DO	Review interest expense analysis and follow up with Rob Losier.	0.40
07/14/20	DO	Conference calls with R. Losier to review refinancing of Westwood/Timberlake and subsequent Northridge distributions to Southridge, Unity and Guardian and then distributions to investors.	0.30

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/14/20	RL	Conference call with D O'Connor regarding refinance transaction	0.30
07/14/20	RL	Research additional data points for Exhibits A/B/C of declaration.	0.50
07/14/20	RL	Review books and records of Westwood/Southridge/Unity/Guardian/Amberwood to support paragraph related to commingling.	0.70
07/14/20	RL	Update schedule with all the journal entries transferring into Timberlake, displaying the refinancing and transfer of assets into Timberlake from Westwood.	0.90
07/14/20	RL	Update declaration paragraphs 22 through 25 with supporting numbers to the Exhibits.	1.10
07/15/20	RL	Generate general ledgers and journal entries for any entity involving a purchase of real estate to confirm all purchases.	1.60
07/15/20	RL	Update Exhibit B/C.	0.30
07/15/20	RL	Update Exhibit C confirming journal entries within Deere Park books and records, non cash items, then summarize in the schedule.	1.60
07/15/20	DO	Review edits and supporting analysis for Exhibits A-C.	0.30
07/15/20	DO	Review updated declaration and exhibits.	0.70
07/15/20	DO	Conference call with W. Kingsford regarding declaration Exhibits B & C.	0.30
07/15/20	RL	Update Exhibit B confirming journal entries within Westwood's books and records, non cash items, then summarize in the schedule.	1.60
07/15/20	BK	Conference call with D. O'Connor regarding declaration Exhibits B & C.	0.30
07/16/20	RL	QC numbers, language, and Exhibits in the declaration with team.	1.50
07/16/20	DO	Review supporting analysis and updated declaration documents.	1.40
07/17/20	DO	Conference calls with R. Losier and W. Kingsford and review/edit declaration.	1.10

# AlixPartners

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
07/17/20	RL	Conference calls with D. O'Connor and W. Kingsford and review/edit declaration.	1.10
07/20/20	RL	Update paragraph 8 and 9 language based on additional findings.	0.70
07/20/20	RL	Review Quickbooks and Filemaker transactions related to property to value transactions.	1.20
07/20/20	RL	Review and create bullet points to send to employees at Northridge, laying out specific questions needing attention.	1.30
07/20/20	RL	Update Exhibit A, B and C based on receiverships comments.	1.40
07/20/20	DO	Review and cross check declaration.	0.30
07/20/20	RL	QC Exhibit A/B/C numbers with team confirming accuracy.	1.20
07/20/20	RL	Update headers in Exhibit A and B.	0.50
07/21/20	RL	Update declaration based on review with team and our comments.	0.60
07/21/20	RL	Review updated declaration language and exhibits based on comments from receivership.	1.60
07/21/20	RL	Confirm numbers within the paragraphs of the declaration, create additional QC reviews on the Exhibits.	1.60
07/21/20	DO	Review updated declaration, discussions with R. Losier and counsel.	1.40
07/21/20	RL	Review updated declaration from client compared to our comments.	0.50
07/22/20	DO	Review and edit declarations and exhibits and forward changes to R. Losier.	0.40
07/27/20	DO	Review and comment on final declaration and exhibits.	0.40
07/27/20	DO	Review Third Receiver Report.	0.20
07/27/20	DO	Follow up on Third Receiver Report and questions.	0.10
07/27/20	DO	Review Receiver's updated Plan of Liquidation.	0.10
<b>Total Hours</b>			<b>126.80</b>

# AlixPartners

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2126592-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

## Fee Recap:

Consultant	Hours	Rate	Amount
Jimelle Aquino	4.70	650.00	3,055.00
Robert Losier	80.70	650.00	52,455.00
Bill Kingsford	7.90	700.00	5,530.00
Denis O'Connor	33.50	800.00	26,800.00
<b>Total Hours &amp; Fees</b>	<b>126.80</b>		<b>87,840.00</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-7

Re: Claimant Website Setup  
Client/Matter # 013182.00016

Date	Consultant	Description of Services	Hours
07/29/20	CW	Upload embedded documents to Key Documents page on website; Validate download across IE, Chrome, and FireFox.	1.30
<b>Total Hours</b>			<b>1.30</b>

# AlixPartners

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Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-7

Re: Claimant Website Setup  
Client/Matter # 013182.00016

## Fee Recap:

Consultant	Hours	Rate	Amount
Cindy Wan	1.30	445.00	578.50
<b>Total Hours &amp; Fees</b>	<b>1.30</b>		<b>578.50</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-8

Re: Northridge Expenses  
Client/Matter # 013182.00017

<b>Date</b>	<b>Disbursement Description</b>	<b>Amount</b>
07/31/20	Computer Supplies / Support - - QuickBooks License Fee	163.24
	<b>Total Disbursements</b>	<b>163.24</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2126592-8

Re: Northridge Expenses  
Client/Matter # 013182.00017

## Disbursement Recap:

Description	Amount
Computer Supplies / Support	163.24
<b>Total Disbursements</b>	<b>163.24</b>



# AlixPartners

September 10, 2020

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Inv. No.: 2127281  
Client: 013182

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For Professional Services: August 1, 2020 through August 31, 2020

Current Charges:		Hours	Rate	Amount
Denis O'Connor	Managing Director	5.30	800.00	4,240.00
Vineet Sehgal	Managing Director	0.60	800.00	480.00
Thomas Hofner	Director	23.20	700.00	16,240.00
Robert Losier	Senior Vice President	83.00	650.00	53,950.00
Derrick Q Irving	Paraprofessional	33.70	315.00	10,615.50
<b>Total Hours &amp; Fees</b>		<b><u>145.80</u></b>		<b><u>85,525.50</u></b>
Less: Blended Rate Adjustment				(5,335.50)
<b>Updated Total (145.80 x \$550)</b>				<b>80,190.00</b>
Expenses				<u>163.24</u>
<b>Total Amount Due</b>				<b><u>USD 80,353.24</u></b>

Please reference the invoice number noted above on any payment remittance. Thank You.

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Project Code	Description	Amount
013182.00001	Asset Analysis and Recovery	1,470.00
013182.00004	Case Administration	16,005.50
013182.00005	Claims Administration and Objections	10,290.00
013182.00010	Data Analysis	48,620.00
013182.00011	Status Reports	2,830.00
013182.00013	Forensic Accounting	6,310.00
<b>Total Fees Incurred</b>		<b>85,525.50</b>
<b>Expenses</b>		<b>Amount</b>
Computer Supplies / Support		163.24
<b>Total Disbursements</b>		<b>163.24</b>

# AlixPartners

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200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

Date	Consultant	Description of Services	Hours
08/04/20	TJH	Research Virgilio loan data for counsel. Review loan information and confirm inclusion of data in a Virgilio investor statements. Work and confirm data link between QB/FM/Bank statements. Draft response and report back to counsel.	0.80
08/13/20	TJH	Review inactive net winner calculations and research potential family links to active investors when there is no transfers between parties.	0.70
08/18/20	TJH	Review analysis on Willow Creek and payments made to Mueller's through Amberwood accounts.	0.60
Total Hours			<u>2.10</u>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

## Fee Recap:

Consultant	Hours	Rate	Amount
Thomas Hofner	2.10	700.00	1,470.00
<b>Total Hours &amp; Fees</b>	<b>2.10</b>		<b>1,470.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/03/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/03/20	DQI	Returned call from hotline submission with B. Ayers regarding distributions, what properties that have sold, he wanted to know where funds from previous sales are & what plans do the receivership have to give investors back funds.	0.70
08/03/20	DQI	Returned call for C. Krygsheld and left a voicemail acknowledging his call and let him know I'd call him back. I couldn't understand his message.	0.10
08/03/20	DQI	Returned call from email submission from L. Vasquez regarding email updates she signed up for and answered her questions regarding the quarterly report.	0.20
08/03/20	DQI	Returned call from email submission and left a voicemail for R. McAllister (financial advisor for Toni Lewis) about acknowledgment of his email submission. I	0.10
08/03/20	DQI	Received call back from Mr. McAllister and got clarification of his role (Financial Adviser) with Ms. Lewis. Answered his question on a timetable of case possibly being done & if any distributions will be done anytime soon.	0.20
08/03/20	DQI	Returned call from email submission from B. Deitterick regarding investment payment to her and pointed her to the website and the quarterly report information.	0.30
08/03/20	DQI	Updating log call log information with notes from talks with investors.	0.30
08/03/20	TJH	Review Receiver's 3rd Quarterly Update report and flag references to current work-streams for our team and make quick talking point list for hotline communications.	0.70
08/03/20	TJH	Review and update website for new case filings particularly the reference to new Receivers Report.	0.40
08/03/20	TJH	Review procedures for push notification to email recipients and coordinate update of email note and refine current procedures.	0.30
08/03/20	TJH	Review correspondence from Receiver regarding investor communication. Review docket # 161 and send out	0.40

# AlixPartners

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		correspondence to investor inquiry.	
08/04/20	TJH	Review investor correspondence submitted via website and forward to counsel for follow-up.	0.10
08/04/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/04/20	DQI	Returned call from T. Wilder and left a voicemail regarding when claims can be submitted.	0.10
08/04/20	DQI	Returned call to C. Krygsheld and left voicemail regarding if Timberlake property was being marketed.	0.10
08/04/20	DQI	Updating log call log information with notes from talks with investors.	0.10
08/05/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/05/20	DQI	Return call of hotline message from J. Farley. Left a voicemail acknowledging his call and let him know I call again.	0.10
08/05/20	DQI	Conversation with J. Farley after he returned my voicemail call. In conversation, gave out website information and information on the quarterly report.	0.20
08/05/20	DQI	Received call from J. Hoddinott and got him updated on the claims form process and how to sign up for email updates. Also directed him to the quarterly report.,	0.40
08/05/20	DQI	Returned call to C. Krygsheld regarding value and benefits rents collected at properties as a part of resolution or towards his investment into particular property.	0.40
08/05/20	DQI	Returned call from email submission from R. Lillis re: approximate date for the return of his investment.	0.10
08/05/20	TJH	Review investor inquiry from website and forward to counsel for follow-up.	0.10
08/05/20	TJH	Refine talking points from Receiver's report for Investor hotline and Investor website questions. Draft points and deliver to team.	0.40
08/05/20	TJH	Review correspondence from counsel on new investor addresses and discuss with team on proper updates for	0.20

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		people with multiple addresses.	
08/05/20	DQI	Updating log call log information with notes from talks with investors.	0.20
08/05/20	DQI	Updating court docket information and downloading documents from the PACER website.	0.80
08/06/20	DQI	Return call from hotline message from J. Garb re: voicemail message getting Mr. Garb an update on the status of the case.	0.10
08/06/20	DQI	Updating log call log information with notes from talks with investors.	0.10
08/06/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/07/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/07/20	DQI	Follow-up call to J. Garb re: the quarterly report information and getting him signed with email updates.	0.30
08/07/20	DQI	Call to R. Rech from email request submitted re: Mrs. Rech wanting to withdraw her funds from Northridge account.	0.30
08/07/20	DQI	Updating log call log information with notes from talks with investors.	0.10
08/10/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/11/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/11/20	TJH	Set-up review material for admin to review Investor statements. Build quick review platform for audit purposes.	0.70
08/11/20	DQI	Left voicemail for investor M. Chiluk regarding her call to Northridge hotline.	0.10
08/11/20	DQI	Follow-up call for investor M. Chiluk regarding her call to Northridge hotline.	0.10
08/11/20	DQI	Left voicemail for investor A. Brouk's son (Scott Etters) regarding his call to Northridge hotline.	0.10

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 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/11/20	DQI	Discuss timeline for overall case and distribution process with investor A. Brouk's son (Scott Etters) and updated call log.	0.40
08/12/20	DQI	Left voicemail for investor J. Lewitke reagrding IRA services.	0.10
08/12/20	DQI	Discusse IRA services fee matter with investor J. Lewitke and updated call log.	0.40
08/12/20	TJH	Research investor inquiry regarding IRA Services and payment of maintenance fees. Craft note and forward to team regarding proper response to investor.	0.20
08/12/20	DQI	Review docket # 166 to 167. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.50
08/12/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/13/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/13/20	DQI	Discuss timeline for overall case, claims process and distribution process status with investor M. Chiluk and updated call log.	0.40
08/14/20	DQI	Review of Docket 169 document regarding claims process in lieu of discussions with investors.	1.10
08/14/20	DQI	Left voicemail for investor K. Schmitt discussing documents she hadn't received.	0.10
08/14/20	DQI	Discuss claims process status, property sales process and distribution process status with investor R. Engle and updated call log.	0.80
08/14/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/14/20	TJH	Correspond with Fox team regarding website update for Docket 169. Review website for proper link and visual for investors on Home Page of website.	0.20
08/14/20	TJH	Coordinate and QC website updates with developers for Docket 169. Review development version of website to verify proper linking, location of hyperlinks, and language	0.40



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 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		for updates.	
08/17/20	TJH	Review email from B Decker and research link between investors and make sure new beneficiary is tracked.	0.40
08/17/20	TJH	Prepare for call with SEC, review Insider claim calculations, update statistics on our matching process between FileMaker to QuickBook to Bank Statements, and review of Money Corp documents for discussion on Muellers.	1.20
08/17/20	TJH	Correspond with counsel regarding message from New Jersey AG Office Bureau of Securities.	0.10
08/17/20	TJH	Review QC work done by admin for Investor statements and follow-up on open topics.	0.50
08/17/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/17/20	DQI	Discussed with investor A. Trifilo about the overall status of the case and the billing process.	0.40
08/17/20	DQI	Left a voicemail for investor M. Glyzewski regarding Docket 169 packet he received.	0.10
08/17/20	DQI	Left a voicemail for investor J. Moore regarding his question regarding the claims process.	0.10
08/17/20	DQI	Discuss with investor D. East regarding the claims process and gave her instructions on how to sign up for email updates.	0.40
08/17/20	DQI	Discuss claims process status, property sales status and got signed up for email updates.	0.40
08/17/20	DQI	Discuss claims process status and distribution process status with investor S. Postier.	0.30
08/17/20	DQI	Discuss claims process status, property sales status and distribution process status with investor J. Hajik and updated call log.	0.60
08/18/20	DQI	Updated Northridge Access database with new addresses for investors.	1.00
08/18/20	DQI	Guided investor R. Stefan through the process of signing up for email updates.	0.10

# AlixPartners

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 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/18/20	DQI	Left voicemail for investor L. Hoge regarding email updates.	0.10
08/18/20	DQI	Discuss overall status of the case and guided investor L. Hoge on how to sign up for email updates. Updated call log.	0.30
08/18/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/18/20	TJH	Prep work and review for call with SEC and Fox.	0.50
08/18/20	TJH	Review investor correspondence through website and advise team on proper responses.	0.20
08/18/20	TJH	Review correspondence from B Decker and draft email response regarding investor statements and pushing updated passwords.	0.30
08/18/20	TJH	Review of QC work being performed on investor statements. Draft email and send follow-up instructions.	0.40
08/19/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/19/20	DQI	Discuss claims process status, his investor classification and guided investor E. O'Donnell on the sign up for email updates.	0.30
08/19/20	DQI	Discuss claims status process, docket 169 packet and guided investor R. Engle on the signing up for email updates.	0.40
08/19/20	DQI	Discuss claims process status and docket 169 packet with investor J. Garb.	0.30
08/19/20	DQI	Left voicemail for investor E. Kachold acknowledging his earlier call. Updated call log.	0.20
08/20/20	DQI	Discuss docket 169 packet, verified accounts and the claims process status with investor E. Kachold.	0.50
08/20/20	DQI	Discuss claims process status and docket 169 [packet with investor P. Tilden.	0.30
08/20/20	DQI	Left voicemail for investor J. Wilkes acknowledging his call.	0.10
08/20/20	DQI	Left voicemail for investor V. Ahlquist acknowledging her	0.10

# AlixPartners

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 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		call.	
08/20/20	DQI	Discuss claims process status, verified investor's summer home address and docket 169 packet with investor B. Engquist.	0.30
08/20/20	DQI	Discuss docket 169 packet with investor W. Oakes.	0.20
08/20/20	DQI	Discuss docket 169 packet and got address updated for investor V. Ahlquist.	0.30
08/20/20	DQI	Discuss docket 169 packet and guided investor L. Vasquez with signing up for email updates.	0.20
08/20/20	DQI	Discuss Northridge investment account with investor J. Hajik. Investor was concerned with how many accounts were being shown on her docket 169 packets she received.	0.90
08/20/20	DQI	Left voicemail for investor S. Degginger acknowledging her call.	0.10
08/20/20	DQI	Discuss docket 169 packet with investor J. Wilkes.	0.20
08/20/20	DQI	Discuss docket 169 packet and IRA Services quarterly charge with investor J. Lewitke.	0.30
08/20/20	DQI	Discuss docket 169 packet with investor D. Lardner.	0.30
08/20/20	DQI	Discuss overall status of the case and the claims process status with investor V. Rosinski.	0.20
08/20/20	DQI	Discuss docket 169 packet with investor G. Henson's son John.	0.30
08/20/20	DQI	Left voicemail for investor J. Westergaard acknowledging his call.	0.10
08/20/20	DQI	Discuss docket 169 packet with investor R. Whitfield.	0.30
08/20/20	DQI	Discuss docket 169 packet with investor E. Schok.	0.50
08/20/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/21/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/21/20	DQI	Review docket # 168 to 173. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	1.10

# AlixPartners

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 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/21/20	DQI	Discuss docket 169 packet with investor S. Degginger.	0.20
08/21/20	DQI	Left voicemail for investor R. Krol acknowledging his call.	0.10
08/21/20	DQI	Left voicemail for investor D. Opielinski acknowledging his call.	0.10
08/21/20	DQI	Discuss claims process status and Northridge account mixup cleared up with investor J. Hajik.	0.30
08/21/20	DQI	Discuss docket 169 packet with investor J. Westergaard.	0.20
08/21/20	DQI	Discuss docket 169 packet with investor E. Kubis's attorney J. Zavislak.	0.30
08/21/20	DQI	Discuss docket 169 packet with investor D. Opielinski.	0.40
08/21/20	DQI	Discuss docket 169 packet with investor C. Krygsheld.	0.40
08/21/20	DQI	Left voicemail for investor T. Machalek acknowledging his call.	0.10
08/21/20	DQI	Discuss docket 169 packet B. McCormack.	0.20
08/21/20	DQI	Discuss docket 169 packet with investor T. Machalek.	0.40
08/24/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
08/24/20	DQI	Updated Northridge Access database with new addresses for investors.	0.70
08/24/20	DQI	Discuss docket 169 packet with investor J. Hoddinott.	0.40
08/24/20	DQI	Discuss RMD's and sent tax letter to investor J. Hajik.	0.50
08/24/20	DQI	Left voicemail for investor D. Cerulli acknowledging her call.	0.10
08/24/20	DQI	Left voicemail for investor D. Howley acknowledging his call.	0.10
08/24/20	DQI	Left voicemail for investor C. Guyon acknowledging her call.	0.10
08/24/20	DQI	Discuss docket 169 packet with investor R. Testa.	0.40
08/24/20	DQI	Discuss address change information and docket 169 packet with investor S. Parvin.	0.70
08/24/20	DQI	Follow-up call to discuss docket 169 packet with investor D. Howley. Updated call log as well.	0.40

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/24/20	DQI	Left voicemail for investor K. Thatcher acknowledging her call.	0.10
08/25/20	DQI	Left voicemail for investor L. Zhang acknowledging his call.	0.10
08/25/20	DQI	Discuss docket 169 packet with investor D. Cerulli.	0.20
08/25/20	DQI	Discuss docket 169 packet and claims process status with investor N. Guyon.	0.60
08/25/20	DQI	Discuss docket 169 packet with investor L. Menconi.	0.30
08/25/20	DQI	Discuss docket 169 packet with investor R. Romito's daughter Donna.	0.20
08/25/20	DQI	Discuss docket 169 packet with investor R. Marvil.	0.20
08/25/20	DQI	Left voicemail for investor R. Marvil acknowledging his call.	0.10
08/25/20	DQI	Discuss docket 169 packet with investor K. Schmitt.	0.30
08/25/20	DQI	Discuss docket 169 packet and address update with investor L. Brown.	0.30
08/25/20	DQI	Left voicemail for investor M. Riello acknowledging his call.	0.10
08/25/20	DQI	Discuss docket 169 packet with investor B. Firenze.	0.30
08/25/20	DQI	Discuss docket 169 packet with investor K. Thatcher.	0.20
08/25/20	DQI	Left voicemail for investor T. Codak acknowledging his call.	0.10
08/25/20	DQI	Updated Northridge Access database with new addresses for investors.	0.40
08/25/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
<b>Total Hours</b>			<b>41.40</b>

# AlixPartners

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Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration  
Client/Matter # 013182.00004

## Fee Recap:

Consultant	Hours	Rate	Amount
Derrick Q Irving	33.70	315.00	10,615.50
Thomas Hofner	7.70	700.00	5,390.00
<b>Total Hours &amp; Fees</b>	<b>41.40</b>		<b>16,005.50</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
08/04/20	TJH	Pull vendor master history, review and analyze for correspondence to counsel on counts of potential vendors by year. Research QB for vendor ID number to coordinate matching of disparate sets of data. Draft response to counsel including potential next steps.	1.10
08/04/20	TJH	Review investor statement details and final delivery of scripts for calculating adjustments to investor accounts. Run comparison between Investor statement balances v. Cash In/Cash Out v. After transfer adjustments.	1.10
08/05/20	TJH	Detail transaction review of Investors classified as Complex - Family review. Entails reviewing the relationships between family members and tracing various transfer types and reconciling the new allowed transfer amounts. Review families with last names between A - G.	1.70
08/10/20	TJH	Review proposed Notice of Initial Determination detail statement and review queries behind report building.	0.40
08/10/20	TJH	Review of complex family related Investor statements and trace logic of transfer reductions from Inactive accounts to active accounts.	1.60
08/10/20	TJH	Review of Investor statement data versus last known statement received by Investor.	1.10
08/11/20	TJH	Review of Investor statement data versus last known statement received by Investor to identify source of miscellaneous investments missing from data.	1.40
08/12/20	TJH	Discuss claims and noticing process with R Losier and Fox team.	0.60
08/12/20	TJH	Review Notice of Initial Determination motions, review proposed claim forms, and prepare for call with counsel to discuss process for claims reconciliation and noticing.	0.80
08/12/20	RL	Discuss claims and noticing process with T Hofner and Fox team.	0.60
08/13/20	TJH	Review of investor statements to look for additional suppressed transactions.	1.10
08/14/20	TJH	Review investor statement results and QC work done by	0.70

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-3

Re: Claims Administration and Objections  
Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
		admin staff in our review of statements.	
08/17/20	RL	Discussion with T. Hofner and V. Sehgal in preparation for SEC meeting	0.60
08/17/20	VS	Discussion with T. Hofner and R. Losier in preparation for SEC meeting	0.60
08/20/20	RL	Call with client on demand letters, John Crick	0.30
08/20/20	RL	Call with client on demand letters for Jim Novak	0.20
08/20/20	RL	Call with client on demand letters for Jim Novak	0.30
08/21/20	RL	Call with client on demand letters, Thompson, Henri Jeanrenaud, Schmitt, Kachold, Kinne, Dantin,	0.60
<b>Total Hours</b>			<b>14.80</b>



# AlixPartners

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200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-3

Re: Claims Administration and Objections  
Client/Matter # 013182.00005

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	2.60	650.00	1,690.00
Thomas Hofner	11.60	700.00	8,120.00
Vineet Sehgal	0.60	800.00	480.00
<b>Total Hours &amp; Fees</b>	<b>14.80</b>		<b>10,290.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
08/04/20	RL	QC the claims summary database with all transactions including the adjustments. Formatting pages to show running balances and such.	2.60
08/04/20	RL	Review demand letter, add data points and summary schedules for clients review.	0.90
08/04/20	RL	Retrieve data from claims database for summary schedule of reductions to the denominator.	2.20
08/04/20	RL	Tie out transfer adjustments to summary claims schedule	2.30
08/05/20	RL	Update summary claims waterfall schedule with all transfer adjustments.	2.10
08/05/20	RL	Create detailed transactions for all records and investors. Preparing for reporting to include in the NID.	2.50
08/05/20	RL	Layer in the Beneficiary, Inherited Position, Inter-Ledger Transfers, and Manual Review adjustments into the detailed transactions.	1.20
08/05/20	RL	Update detailed transactions for all records and investors. Adding running totals.	1.50
08/06/20	RL	Create actual detailed report with transactional information for each Investor.	2.10
08/06/20	RL	Review, research and report back to the findings of cash going out from Amberwood. Review Cash Flow statements.	1.10
08/07/20	RL	Create report in claims database to send to Investors with ID, name, and list of transactions	2.60
08/07/20	RL	Update report with running balances of Deposit and Withdrawal amounts.	2.40
08/10/20	RL	Update bible of transactions for the claims database, adding the adjustments into their own tab in order to properly QC the model.	1.30
08/11/20	RL	Review specific transactions from "Certificate Investor" vs. "limited Partner" investor. Specifically Investor Aljancic, which has a Loan to/from and Certificate.	1.10
08/11/20	RL	Review three demand letters, compare to data points and confirm amounts.	0.70

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
08/11/20	RL	Review transactions in Quickbooks and Filemaker, between Indian Knoll, Money Corp and Guardian, to confirm that a relationship exists. Found deposits and wires transferring between Guardian and Indian Knoll, Money Corp.	1.20
08/11/20	RL	Review statements for missing transactions on the newly created statements from Barb. Items such as "Stock & Options".	0.80
08/12/20	RL	Calls and emails with counsel regarding the Muellers demand letters.	0.50
08/12/20	RL	Create email, sent to Barb regarding the discrepancy we are seeing in the recent September 12, 2019 statements she ran for us. Listed specific examples and transactions for her review.	1.30
08/12/20	RL	Review Claims transactions, segregating out the suppressed transactions, comparing to Investors Statements	1.30
08/12/20	RL	Trace missing suppressed transactions for one Investor through Quickbooks and Bank Statements. Proving out existence of cash being deposited, but not recorded on the Investors Statements	1.20
08/13/20	RL	Call with Barb regarding the suppressed transactions, investigate the Stock & Options, as well as Interest Reduction transactions. Discussed Blue Diamon/Indian Knoll and MoneyCorp. transactions.	0.70
08/13/20	RL	Extract list of Net Winners, Inactive Investors. Add Max Date to get a sense of how far back we can go on the demand letters.	1.40
08/13/20	RL	Add to the list of Net Winners, Inactive, the Investors that had a Transfer coming out into an Active investor.	1.10
08/14/20	RL	Finalize the list of Net Winners, Inactive Investors. Prepare for call with client, discuss next steps.	1.10
08/17/20	RL	Research and review transactions on Certificate Note holders and Limited Partnership holders. Items taken from QB General Ledger, tracing them through the investments to see if any pattern links these two types of	1.70

# AlixPartners

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
		transactions.	
08/17/20	RL	Review transactions related to Donald and Joyce Dempewolf regarding Provident as the Custodian and money flowing between Unity and Provident.	1.30
08/17/20	RL	Research Certificate Notes transactions to confirm that they were being used in Limited Partnerships as well. See Poling Chang Analysis for confirmation.	1.20
08/17/20	RL	Research on law firm based on request from client. They wanted to confirm if any payments were made to Gair.	0.70
08/17/20	RL	Finalize bullet points regarding SEC call, including stats on the recon, and next steps.	1.10
08/18/20	RL	Review additional data points on Certificate Notes, Loans to/from:, and Individual Loans to/from, confirming that cash went into Limited Partnerships regardless of how the money came into the receivership entities.	1.10
08/18/20	RL	Review Mueller checks in their demand schedule to confirm where these are coming and going. Tracing funds within Quickbooks to Filemaker, locating possible additional amounts to add to the demand letter.	1.10
08/18/20	RL	Trace Willow Creek to actual cashed checks signed by Dale Mueller. Confirmed based on SEC and client's request to confirm this.	1.50
08/18/20	RL	Locate cashed checks endorsed by Willow Creek and Dale Mueller. Traced these cashed checks back to Quickbooks and Filemaker to analyze if they are already in the Investor statements.	1.30
08/18/20	RL	Research and retrieve all checks written to Dale within FileMaker, comparing to Quickbooks in order to see if there were any other outstanding checks to Dale that is not in Filemaker Investor Statement.	1.40
08/19/20	RL	Research and trace money/funds going from Guardian to Northridge, then from Northridge to Westwood.	1.70
08/19/20	RL	Research and trace money/funds going from Westwood to Mueller Painting, resulting in additional items to add to the Demand letters. This was discussed on a call with the SEC	1.30

# AlixPartners

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 Partner  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
08/19/20	RL	Review missing transactions in detail to confirm that checks were written out of Quickbooks and sent to one of the Muellers. This was from 1996 through 2019.	1.50
08/19/20	RL	Quick search on Roth IRAs and if any exist.	0.30
08/19/20	RL	Create schedule of Amberwoods Deposits and Checks in Quickbooks to answer the SEC question, where the money went once it arrived in Amberwood.	1.60
08/20/20	RL	Research and review transactions in Quickbooks for the Muellers that were not in FileMaker. To add to the demand letters.	1.50
08/20/20	RL	Create Exhibits for missing transactions in Filemaker that are in Quickbooks. Possible additional monies to demand.	1.60
08/21/20	RL	Create model to pull finder transactions only that tie out to the finders schedule in order to create a Schedule 1	1.60
08/21/20	RL	Create the report called Schedule 1, format, import data fields, review for consistency.	1.50
08/24/20	RL	Finalize Schedule 1a, based on call from client. Preparing reports for their review.	1.10
08/24/20	RL	Create and prepare Schedule 1b, Investors Statement to include with the Finders Demand letter.	1.50
08/24/20	RL	Update Claims Analysis to break out Cash In/Cash Out, add Stock and Options summary, add FinderID numbers.	0.90
08/24/20	RL	Reconcile Bank Statements to the Quickbook Transactions in our Schedule of Finders Fees	1.30
08/25/20	RL	Print and package Schedule 1 for the Finders demand letters, QC and send to client.	1.10
08/25/20	RL	Import Schedule 2 activity for the demand letters related to the Finders. Tie out schedules to the imported data.	1.50
08/25/20	RL	Finalize finders Schedule 2, claim details, QC, tied out to Claims Analysis.	1.40
08/25/20	RL	Review and deeper dive into Ron Delegge Investor statement based on request from client regarding payments being transferred and not actually made to him. Sent client analysis.	1.20

# AlixPartners

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Partner  
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200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
08/25/20	RL	Print package Schedule 1, Schedule 2, and Family Group Schedule 2. Review with client, added additional schedules based on requests from client.	1.70
08/26/20	RL	Print package Schedule 1, Schedule 2, and Family Group Schedule 2. For additional requests from client.	0.90
<b>Total Hours</b>			<b><u>74.80</u></b>

# AlixPartners

N. Neville Reid  
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Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-4

Re: Data Analysis  
Client/Matter # 013182.00010

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	74.80	650.00	48,620.00
<b>Total Hours &amp; Fees</b>	<b>74.80</b>		<b>48,620.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-5

Re: Status Reports  
 Client/Matter # 013182.00011

Date	Consultant	Description of Services	Hours
08/07/20	TJH	Discuss case updates and claims strategy with V Sehgal and Fox team.	0.50
08/14/20	TJH	Status update call with Fox team and AP team to discuss Inactive Net Winners, stock investments with Mueller, and prep for call with SEC.	0.50
08/14/20	DO	Weekly update on claim "inactive net-winners"; investors in equities/"pennystocks" and related claim determination issues with receiver, Fox and Alix teams.	0.50
08/18/20	RL	Status call update with N Reid, R Schultz, SEC team, T Hofner, and V Sehgal. Discuss Mueller demand letters and analysis on Mueller transactions. Respond to various questions from SEC related to Intercompany transfers.	0.80
08/18/20	TJH	Status call update with N Reid, R Schultz, SEC team, R Losier, and V Sehgal. Discuss Mueller demand letters and analysis on Mueller transactions. Respond to various questions from SEC related to Intercompany transfers.	0.80
08/21/20	RL	Discuss Mueller Analysis, finder demand letters, and overall status of case with Alix team and Fox team.	0.60
08/28/20	RL	Discuss claims resolution process, upcoming court deadlines, and other topics with Fox team and AP team.	0.40
<b>Total Hours</b>			<b>4.10</b>



# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-5

Re: Status Reports  
Client/Matter # 013182.00011

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	1.80	650.00	1,170.00
Thomas Hofner	1.80	700.00	1,260.00
Denis O'Connor	0.50	800.00	400.00
<b>Total Hours &amp; Fees</b>	<b>4.10</b>		<b>2,830.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2127281-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
08/04/20	DO	Review and edit email to counsel regarding use of para-professionals for claims management.	0.10
08/04/20	DO	Review weekly project schedule, court filings referred to customer (Mr. Magnus) and update forensic workstreams status.	0.10
08/05/20	DO	Review investor (Alexander Magnus) communication regarding public records and Timber Lake.	0.10
08/05/20	DO	Review SEC comments on Declaration and map to supporting schedules.	0.40
08/05/20	DO	Conference call with R. Losier regarding SEC comments on Declaration and trace variance to QuickBooks.	0.70
08/05/20	RL	Conference calls with D O'Connor regarding SEC comments on declaration + trace variances to Quick Books	0.70
08/06/20	RL	Conference call with D O'Connor regarding SEC comments, changes to Declaration and related matters.	0.90
08/06/20	RL	Update declaration based on SEC's comments.	0.90
08/06/20	DO	Prepare note to counsel outlining responses to SEC comments and declaration updates.	0.60
08/06/20	DO	Conference call with R. Losier regarding SEC comments, changes to Declaration and related matters.	0.90
08/06/20	RL	Updated declaration based on SEC comments. Format Exhibits, bring them into the document.	0.50
08/07/20	DO	Review forensic report for declaration after adjusting for SEC's comments.	0.80
08/10/20	DO	Review counsels questions on affidavit prepare responses and discuss with R. Losier.	0.30
08/10/20	DO	Review draft demand letters.	0.10
08/10/20	RL	Confirm data points in updated declaration	0.50
08/10/20	RL	Review counsels questions on affidavit prepare responses and discuss with D. O'Connor.	0.30
08/11/20	DO	Review draft claw back letter for C. Mueller.	0.10
08/12/20	DO	Review financial data in updated G. Mueller clawback	0.10

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-6

Re: Forensic Accounting  
Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
		letter and agree to financial excel data.	
08/12/20	DO	Review demand letter supporting schedules and discuss with team.	0.20
08/28/20	DO	Prepare Mueller Painting update for discussion with counsel.	0.30
Total Hours			<u>8.60</u>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-6

Re: Forensic Accounting  
Client/Matter # 013182.00013

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	3.80	650.00	2,470.00
Denis O'Connor	4.80	800.00	3,840.00
<b>Total Hours &amp; Fees</b>	<b>8.60</b>		<b>6,310.00</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-7

Re: Northridge Expenses  
Client/Matter # 013182.00017

Date	Disbursement Description	Amount
08/31/20	Computer Supplies / Support - - QuickBooks License Fee	163.24
	<b>Total Disbursements</b>	<b>163.24</b>

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2127281-7

Re: Northridge Expenses  
Client/Matter # 013182.00017

## Disbursement Recap:

Description	Amount
Computer Supplies / Support	163.24
<b>Total Disbursements</b>	<b>163.24</b>

# AlixPartners

October 13, 2020

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Inv. No.: 2128382  
Client: 013182

For Professional Services: August 1, 2020 through September 30, 2020

<b>Current Charges:</b>		<b>Hours</b>	<b>Rate</b>	<b>Amount</b>
Denis O'Connor	Managing Director	1.80	800.00	1,440.00
Vineet Sehgal	Managing Director	1.10	800.00	880.00
Thomas Hofner	Director	46.00	700.00	32,200.00
Robert Losier	Senior Vice President	30.60	650.00	19,890.00
Cindy Wan	Vice President	1.50	445.00	667.50
Derrick Q Irving	Paraprofessional	17.60	315.00	5,544.00
<b>Total Hours &amp; Fees</b>		<b><u>98.60</u></b>		<b><u>60,621.50</u></b>
Less: Website & Hotline Setup (1.50 hours)				(667.50)
<b>Subtotal (97.10 hours)</b>				<b>59,954.00</b>
Less: Blended Rate Adjustment				(6,549.00)
<b>Updated Total (97.10 x \$550)</b>				<b>53,405.00</b>
Expenses				<u>163.24</u>
<b>Total Amount Due</b>				<b><u>USD 53,568.24</u></b>

Please reference the invoice number noted above on any payment remittance. Thank You.

# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Project Code	Description	Amount
013182.00001	Asset Analysis and Recovery	2,100.00
013182.00004	Case Administration	11,734.00
013182.00005	Claims Administration and Objections	26,985.00
013182.00010	Data Analysis	10,465.00
013182.00011	Status Reports	4,995.00
013182.00013	Forensic Accounting	3,675.00
013182.00016	Claimant Website Setup	667.50
<b>Total Fees Incurred</b>		<b>60,621.50</b>
<b>Expenses</b>		<b>Amount</b>
Computer Supplies / Support		163.24
<b>Total Disbursements</b>		<b>163.24</b>



# AlixPartners

N. Neville Reid  
Partner  
Fox, Swibel, Levin & Carroll, LLP  
200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2128382-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

Date	Consultant	Description of Services	Hours
09/02/20	TJH	Research and prepare report for counsel regarding specific finder per request from counsel. Include as much granular data as possible for each transaction including who the original investor was, their contact information, amount paid to finder per transaction, etc.	1.90
09/11/20	TJH	Research finder information for counsel, prepare backup for payments, and draft email to counsel with our position on payments.	0.70
09/16/20	TJH	Pull detail, format report, and deliver to counsel regarding finder fee activity.	0.40
Total Hours			<u>3.00</u>

# AlixPartners

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200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2128382-1

Re: Asset Analysis and Recovery  
Client/Matter # 013182.00001

## Fee Recap:

Consultant	Hours	Rate	Amount
Thomas Hofner	3.00	700.00	2,100.00
<b>Total Hours &amp; Fees</b>	<b>3.00</b>		<b>2,100.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
08/19/20	TJH	Review correspondence from E Kachold and follow-up with counsel on documents mailed out to investors.	0.20
08/19/20	TJH	Review correspondence from investor regarding claim status, research proposed claim amounts, and coordinate follow-up message to investor.	0.20
08/19/20	TJH	Review work performed in investor statement review and discuss with team.	0.50
08/20/20	TJH	Follow-up on investor inquiry from E Kachold.	0.10
08/20/20	TJH	Review and forward correspondence from tenant to counsel.	0.10
08/20/20	TJH	Research investor family Hajik and follow-up regarding investment types, amounts, and their preliminary status.	0.40
08/20/20	TJH	Review QC work of team on investor statements and follow-up to all open questions.	0.60
08/21/20	TJH	Research and follow-up on investor inquiry from website. Research actual amount of investors potential claim for further discussion.	0.20
08/21/20	TJH	Research performed for Fox team to confirm dates of various analytics completed related to Finders and Cash In/Cash out analysis.	0.30
08/25/20	TJH	Review incoming calls and follow-up with team on direction of calls and necessary responses.	0.30
08/25/20	TJH	Prepare email and send to counsel reviewing prior week and upcoming tasks for this week.	0.30
08/31/20	TJH	Review correspondence from investor related to submission to court and follow-up with counsel regarding investor inquiry.	0.20
09/01/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/01/20	DQI	Discuss docket 169 packet with investor J. Hoddinott.	0.20
09/01/20	DQI	Discuss docket 169 packet with investor J. Shah.	0.30
09/01/20	DQI	Discuss docket 169 packet and the claims process status with investor J. Starets.	0.30
09/01/20	DQI	Discuss docket 169 packet with investor E. Chang.	0.20

# AlixPartners

N. Neville Reid  
 Partner  
 Fox, Swibel, Levin & Carroll, LLP  
 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
09/01/20	DQI	Discuss docket 169 packet with investor J. Laurino.	0.20
09/01/20	DQI	Discuss docket 169 packet with investor D. Di Pietro.	0.20
09/01/20	DQI	Discuss docket 169 packet and overall status of the case with investor L. Hoge	0.40
09/01/20	DQI	Left voicemail for investor J. Aljancic acknowledging his call.	0.10
09/01/20	DQI	Left voicemail for investor K. Armbruster acknowledging her call. Also, updated the call log	0.20
09/01/20	TJH	Research and draft email to ND Securities department regarding website inquiry and important documents inquiry.	0.30
09/01/20	TJH	Review of letter sent to the court regarding objection to the case proceedings.	0.20
09/01/20	TJH	Draft and send email to counsel regarding open items and key take aways from call with SEC. Provide details and immediate answers to questions regarding payments made to the Mueller's.	0.40
09/01/20	RL	Return calls to six different Investors that left voicemail	0.80
09/02/20	DQI	Left voicemail for investor T. Codak acknowledging his call.	0.10
09/02/20	DQI	Discuss docket 169 packet with investor T. Canterbury.	0.60
09/02/20	DQI	Discuss docket 169 packet with investor J. Aljancic.	0.20
09/02/20	DQI	Discuss docket 169 packet with investor R. Just. Updated the call log for entire day.	0.40
09/02/20	DQI	Review docket # 174 to 176. Review for relevant Investor updates to case that can be passed to Investors during hotline responses.	0.60
09/02/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/03/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/03/20	DQI	Discuss docket 169 packet with investor E. O'Donnell.	0.30
09/03/20	DQI	Discuss docket 169 packet with investor T. Codak. Also, updated the call log.	0.30

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 Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
09/04/20	DQI	Discuss docket 169 packet with investor K. Radde. Also, updated the call log.	0.30
09/04/20	DQI	Discuss docket 169 packet with investor M. Stone.	0.20
09/04/20	DQI	Discuss docket 169 packet with investor K. Thatcher.	0.40
09/04/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/08/20	DQI	Discuss docket 169 packet with investor M. Stone.	0.30
09/08/20	DQI	Discuss docket 169 packet with investor A. Kurasz and getting his address information. Also, updated the call log.	0.40
09/08/20	DQI	Updated Northridge Access database with new addresses for investors.	0.40
09/08/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/09/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/09/20	DQI	Discuss overall status of case and getting address information with investor B. Neuberger. Also, updated the call log.	0.40
09/09/20	DQI	Discuss docket 169 packet with investor G. Godfrey.	0.20
09/09/20	DQI	Discuss docket 169 packet with investor S. Lettiere.	0.20
09/09/20	DQI	Discuss docket 169 packet with investor B. Engquist.	0.30
09/09/20	DQI	Discuss finder letter she received with investor C. Hokenson.	0.40
09/09/20	DQI	Left voicemail for investor D. Costello acknowledging her call.	0.10
09/09/20	DQI	Left voicemail for investor S. Dwyer acknowledging his call.	0.10
09/10/20	DQI	Discuss docket 169 packet with investor S. Pollack. Also updated the call log.	0.30
09/10/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/10/20	DQI	Updated Northridge Access database with new addresses	0.50

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 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		for investors.	
09/11/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/11/20	TJH	Draft update and deliver to counsel with invoice and updated tracker for their review.	0.30
09/11/20	TJH	Review correspondence from investor, research claim amount and claim status, and draft email to counsel for their follow-up.	0.30
09/14/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/14/20	DQI	Discuss the distribution process with investor T. Lewis. Also updated the call log.	0.20
09/14/20	DQI	Gave website information to investor C. Kent.	0.10
09/15/20	DQI	Discuss docket 169 packet and claims process status with investor A. Kramer. Also updated the call log.	0.40
09/15/20	DQI	Discuss K1 information with investor J. Aljancic.	0.30
09/15/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/16/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/17/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/18/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/18/20	TJH	Prepare NID for easy claim review, draft email and update counsel on status of case. Prepare analysis for not matched transactions.	1.10
09/21/20	TJH	Multiple conversations with the receiver regarding claims objections.	0.50
09/21/20	DQI	Discuss K1 information with investor K. Rosinski.	0.20
09/21/20	DQI	Discuss K1 information with investor B. Hoge. Also updated the call log.	0.30
09/21/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20

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Invoice # 2128382-2

Re: Case Administration  
 Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
09/22/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/23/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/23/20	TJH	Review recent docket filings and coordinate update to website with team. Disseminate summary of filings to team.	1.10
09/24/20	TJH	Review website updates and coordinate with team.	0.50
09/24/20	DQI	Discuss claims process status with investor M. Glyzewski. Also updated the call log.	0.30
09/24/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/24/20	DQI	Discuss K1 information with investor K. Schmitt.	0.20
09/25/20	DQI	Checking the hotline voicemail for messages. Hotline voicemail checked four times daily.	0.20
09/25/20	DQI	Discuss K1 information with investor N. Radde.	0.20
09/25/20	DQI	Discuss bar date and Timberlake property sale with investor D. Opielinski.	0.30
09/25/20	DQI	Discuss claims process status and Timberlake property sale with investor R. Payne.	0.30
09/25/20	DQI	Discuss docket 169 packet with investor P. Carney(Eric Roman). Also updated the call log.	0.30
09/25/20	DQI	Left voicemail for investor C. Geyer acknowledging her call.	0.10
09/29/20	DQI	Left voicemail for investor S. Geyer acknowledging her call.	0.10
09/29/20	DQI	Discuss docket 169 packet and claims process status with investor O. Ngowabunpat. Also updated the call log.	0.40
09/29/20	DQI	Discuss docket 169 packet and claims process status with investor D. Perkins. Update investor address.	0.30
09/30/20	DQI	Discuss status of overall case with investor E. Chang.	0.20
09/30/20	DQI	Discuss status of overall case with investor S. Geyer.	0.20
09/30/20	DQI	Discuss docket 169 packet and claims process status with	0.40

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Invoice # 2128382-2

Re: Case Administration  
Client/Matter # 013182.00004

Date	Consultant	Description of Services	Hours
		investor S. Fultz. Also updated the call log.	
09/30/20	DQI	Left voicemail for investor A. Brown (George Bailly - Atty) acknowledging his call.	0.10
		<b>Total Hours</b>	<b>26.50</b>



# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration  
Client/Matter # 013182.00004

## Fee Recap:

Consultant	Hours	Rate	Amount
Derrick Q Irving	17.60	315.00	5,544.00
Robert Losier	0.80	650.00	520.00
Thomas Hofner	8.10	700.00	5,670.00
<b>Total Hours &amp; Fees</b>	<b>26.50</b>		<b>11,734.00</b>

# AlixPartners

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 200 W. Madison Street  
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 Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
08/20/20	TJH	Review Mueller family analysis and produce individual reports for counsel.	0.40
08/21/20	TJH	Correspond with counsel and provide latest claims analysis for all investors.	0.10
08/21/20	TJH	Review of Finder demand letters and associated claims for related parties. Update counsel on accuracy of letters and demand amounts.	0.90
08/25/20	TJH	Review materials for DeLegge claims and account history. Trace deposits and withdrawals going back 20 years. Pull together detail and draft correspondence to Fox.	1.20
09/01/20	TJH	Update claims database and claims detail to account for various claim set-offs and settlements received from Counsel.	0.30
09/01/20	TJH	Research findings from SEC call, review payments made to Mueller's, confirm already sent to counsel. Follow-up with team after verification of rough numbers for total payments made to each Mueller.	1.40
09/02/20	TJH	Prepare raw data sets of payments made to Mueller's and send to counsel.	0.30
09/08/20	TJH	Prepare email and send to counsel along with Vendor information, perform some basic data clean-up for everyone, and deliver to counsel with employee notice data.	0.80
09/09/20	TJH	Prepare email and prepare individual cash ledgers for multiple investors per request from counsel.	1.00
09/11/20	TJH	Discuss building of vendor master and provide advice and tips to counsel.	0.40
09/15/20	TJH	Research family of claims for counsel to help prepare them for call with investor.	1.10
09/15/20	RL	Update and print three specific requested NID's for client. These were for the Loan Demand Letters.	1.10
09/15/20	RL	Review of specific investor Stefan on Cash Investments that were transferred over from his inactive account over to his active account.	0.80
09/16/20	TJH	Review claims model and update to include missing QB	1.20

# AlixPartners

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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections  
 Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
		data traits and update to include missing FM data traits.	
09/16/20	TJH	Research and discuss investor transactions not found matched between FM and QB and report to counsel.	1.10
09/16/20	TJH	Review internal note and memo fields and integrate into table format and append to claims model for research purposes.	0.80
09/17/20	TJH	Review FM and QB for column mapping and identify notes and memo fields. Review correspondence from company to confirm methodology.	2.00
09/17/20	RL	Update NID for proper formatting, and title. Printed "easy" pdf's into subfolder. Separated pages.	1.30
09/17/20	RL	Review Stefan missing deposits and withdrawals, multiple emails to Barb regarding specific transactions.	1.20
09/17/20	RL	Create "Notes" field table from all tables for quick review when researching Investors statements.	0.70
09/18/20	TJH	Review detail for FM transactions not found in QB transactions. Look for patterns in transactions and review memo and note fields for clues.	1.10
09/18/20	TJH	Update format and presentation of Claims Summary model. Update detail level model and review code.	0.90
09/21/20	TJH	Produce and review new claims summary for counsel. Coordinate updates to matrix and distribute to counsel.	1.10
09/21/20	TJH	Draft email regarding our opinion of Ponzi scheme and cost of deciphering scheme.	0.70
09/21/20	TJH	Research Stefan family of claims and start review of transaction of all and analyze transaction for possible duplicates and errors in entry.	1.40
09/24/20	TJH	Update weekly tracker, update claims summary, coordinate updates to detail and code for reporting.	1.30
09/25/20	TJH	Review investor claim detail and trace incorrect FM/QB matches for follow-up with company.	1.50
09/25/20	TJH	Update high risk analysis and claims summary and draft update to team and counsel.	1.10
09/28/20	TJH	Frasz family trust analysis and draft detail for counsel.	1.10

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections  
Client/Matter # 013182.00005

Date	Consultant	Description of Services	Hours
09/28/20	TJH	Isolate and review next round of demands, review at summary level, and coordinate production of demands.	1.80
09/28/20	TJH	Review claims summary and update to include new claims status, sent demands, settled matters, and revised claims amounts.	1.70
09/29/20	TJH	Review Lictig family of claims and coordinate response to counsel.	0.90
09/30/20	TJH	Produce and review next batch of notices, update claims summary and coordinate claims detail update with team. Distribute update to counsel.	2.50
09/30/20	RL	Review and print NID's for requested Entities from the client.	1.30
09/30/20	RL	Review and print NID's for requested Entities from the client.	1.20
09/30/20	RL	Review and print NID's for requested Entities from the client.	1.50
Total Hours			<b>39.20</b>

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections  
Client/Matter # 013182.00005

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	9.10	650.00	5,915.00
Thomas Hofner	30.10	700.00	21,070.00
<b>Total Hours &amp; Fees</b>	<b>39.20</b>		<b>26,985.00</b>

# AlixPartners

N. Neville Reid  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-4

Re: Data Analysis  
 Client/Matter # 013182.00010

Date	Consultant	Description of Services	Hours
08/01/20	RL	Finalize Schedule 1a for client's review.	1.10
08/01/20	RL	Create and prepare Schedule 1b for the demand letters going to Finders.	1.50
09/01/20	RL	Set up Tracking mechanism within SQL to track letters to Finders, Net Winners, and NID's going out.	1.40
09/16/20	RL	Update to Review and followup in code for Stefan's Cash Investments	1.30
09/17/20	RL	Finalize and export Finders Fee details from SQL into Excel.	0.90
09/18/20	RL	Update specific ten investors NID's to change from "easy" to "complex-review". Update SQL, and updated in Access.	0.80
09/21/20	RL	Update claims model to reflect investor from "eash" to "Complex", also updated Access db, and review cleared checks for specific Finder to make sure payments were not voided.	1.30
09/22/20	RL	Review missing deposits in QB that were recorded in FM, to confirm if they exist and to leave them on Investors statements.	2.10
09/24/20	RL	Update Batch folder to reflect all batches sent to FOX. Program this change into the Summary Tab	1.80
09/24/20	RL	Update scripts for Batches sent to FOX, and manual adjustments to be included in the detail tab of Claims Model	1.70
09/24/20	RL	Reviewed transactions for Cash Investments and Withdrawals that do not match Quickbooks, confirming their existence.	1.10
09/29/20	RL	Review Investor claims per clients request with T Hofner.	1.10
<b>Total Hours</b>			<b>16.10</b>

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-4

Re: Data Analysis  
Client/Matter # 013182.00010

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	16.10	650.00	10,465.00
<b>Total Hours &amp; Fees</b>	<b>16.10</b>		<b>10,465.00</b>

# AlixPartners

N. Neville Reid  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-5

Re: Status Reports  
 Client/Matter # 013182.00011

Date	Consultant	Description of Services	Hours
08/21/20	TJH	Discuss Mueller Analysis, finder demand letters, and overall status of case with Alix team and Fox team.	0.60
08/21/20	TJH	Draft email and send weekly update to Fox team.	0.20
08/28/20	TJH	Discuss claims resolution process, upcoming court deadlines, and other topics with Fox team and AP team.	0.40
09/01/20	RL	Call with SEC and Fox team regarding Mueller demand letters and analysis regarding potential claims against Mueller's.	0.80
09/01/20	TJH	Call with SEC and Fox team regarding Mueller demand letters and analysis regarding potential claims against Mueller's.	0.80
09/08/20	TJH	Discuss claims resolution and noticing process with V Sehgal and Fox team.	0.50
09/08/20	VS	Discuss claims resolution and noticing process with T Hofner and Fox team.	0.50
09/16/20	TJH	Discuss claims process, noticing process, and open items with Fox team and AP team.	0.60
09/16/20	VS	Discuss claims process, noticing process, and open items with Fox team and AP team.	0.60
09/18/20	TJH	Discuss case status and claims reconciliation process for complex investor accounts with AP team and Fox team.	0.50
09/18/20	RL	Discuss case status and claims reconciliation process for complex investor accounts with AP team and Fox team.	0.50
09/25/20	TJH	Discuss investor claim analysis, update on court procedures, and claims process discussion with Fox team and AP team.	0.50
09/25/20	DO	Discussion with Fox and Alix team regarding claims analysis, update on court procedures and claim procedures.	0.50
<b>Total Hours</b>			<b>7.00</b>



# AlixPartners

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 Chicago, IL 60606

Invoice # 2128382-5

Re: Status Reports  
 Client/Matter # 013182.00011

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	1.30	650.00	845.00
Thomas Hofner	4.10	700.00	2,870.00
Denis O'Connor	0.50	800.00	400.00
Vineet Sehgal	1.10	800.00	880.00
<b>Total Hours &amp; Fees</b>	<b>7.00</b>		<b>4,995.00</b>

# AlixPartners

N. Neville Reid  
 Partner  
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 200 W. Madison Street  
 Suite 3000  
 Chicago, IL 60606

Invoice # 2128382-6

Re: Forensic Accounting  
 Client/Matter # 013182.00013

Date	Consultant	Description of Services	Hours
09/21/20	DO	Review Declaration and ponzi red flag papers to prepare for conference call with Alix team in response to Receiver's request for assistance with Stefan's claims.	0.40
09/21/20	DO	Conference call to discuss ponzi scheme activity and timeline, intercompany accounts and requests from Receiver with R. Losier and T. Hofner.	0.70
09/21/20	RL	Discuss timeline of Ponzi scheme, intercompany receivables, and other requests of Receiver with D O'Connor and T Hofner.	0.70
09/21/20	TJH	Discuss timeline of Ponzi scheme, intercompany receivables, and other requests of Receiver with D O'Connor and R Losier.	0.70
09/23/20	RL	Review of Finder Covenant Christian Schools/Philp Lundquist, bank statements and additional data points from FileMaker and Quickbooks on request from Ryan.	1.50
09/23/20	RL	Create Batch Sent table to track batches sent to client. Updated Batch Sent table to reflect the Finder Demand Letters.	1.10
09/25/20	DO	Review Receiver's response to objections (sections referring to Alix's work) and court request to sell Timberlake.	0.20
<b>Total Hours</b>			<b>5.30</b>

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-6

Re: Forensic Accounting  
Client/Matter # 013182.00013

## Fee Recap:

Consultant	Hours	Rate	Amount
Robert Losier	3.30	650.00	2,145.00
Thomas Hofner	0.70	700.00	490.00
Denis O'Connor	1.30	800.00	1,040.00
<b>Total Hours &amp; Fees</b>	<b>5.30</b>		<b>3,675.00</b>

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-7

Re: Claimant Website Setup  
Client/Matter # 013182.00016

Date	Consultant	Description of Services	Hours
09/24/20	CW	Update website narrative and embed new PDF documents to Key Documents page and home page; Validate download across FireFox, IE, and Chrome.	1.50
<b>Total Hours</b>			<b>1.50</b>

# AlixPartners

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Chicago, IL 60606

Invoice # 2128382-7

Re: Claimant Website Setup  
Client/Matter # 013182.00016

## Fee Recap:

Consultant	Hours	Rate	Amount
Cindy Wan	1.50	445.00	667.50
<b>Total Hours &amp; Fees</b>	<b>1.50</b>		<b>667.50</b>

# AlixPartners

N. Neville Reid  
Partner  
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200 W. Madison Street  
Suite 3000  
Chicago, IL 60606

Invoice # 2128382-8

Re: Northridge Expenses  
Client/Matter # 013182.00017

Date	Disbursement Description	Amount
09/30/20	Computer Supplies / Support - - QuickBooks License Fee	163.24
	<b>Total Disbursements</b>	<b>163.24</b>

# AlixPartners

N. Neville Reid  
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200 W. Madison Street  
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Chicago, IL 60606

Invoice # 2128382-8

Re: Northridge Expenses  
Client/Matter # 013182.00017

## Disbursement Recap:

Description	Amount
Computer Supplies / Support	163.24
<b>Total Disbursements</b>	<b>163.24</b>

**EXHIBIT M**

[Dickler Kahn Invoices for July 2020 through September 2020]

(see attached)



**DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.**

ATTORNEYS AND COUNSELORS

SUITE 420

85 WEST ALGONQUIN ROAD

ARLINGTON HEIGHTS, ILLINOIS 60005-4424

TELEPHONE (847) 593-5595

FAX (847) 593-5632

WEBSITE: [www.dicklerlaw.com](http://www.dicklerlaw.com)

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

ACCOUNT NO:

Page: 1  
08/01/2020  
220027M

ATTN: RYAN SCHULTZ

PREVIOUS BALANCE	FEES	COSTS	ADVANCES	PAYMENTS	BALANCE DUE
220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg)					
960.00	210.00	0.00	0.00	0.00	<u>\$1,170.00</u>

*This is attorney/client privileged communication  
It is a report on services rendered and a statement*

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

Page: 1  
08/01/2020  
ACCOUNT NO: 220027-0002M  
INVOICE NO: 3

ATTN: RYAN SCHULTZ

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

**CURRENT INVOICE**

			HOURS	
07/11/2020	JAS	Review e-mail from S. Schellenback and request to review assignment; review assignment of developer's operational rights for closing of sale of remaining units.	0.50	150.00
07/15/2020	JAS	Prepare e-mail to S. Shellenback that assignment document looks good to me and no changes.	0.20	60.00
			0.70	210.00
CURRENT FEES BILLED SUB-TOTAL			0.70	210.00
TOTAL CURRENT BILLED & DUE				210.00
PREVIOUS BALANCE				\$960.00
BALANCE DUE				<u>\$1,170.00</u>

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

Page: 1

09/01/2020

ACCOUNT NO: 220027M

ATTN: RYAN SCHULTZ

PREVIOUS BALANCE	FEES	COSTS	ADVANCES	PAYMENTS	BALANCE DUE
220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg)					
1,170.00	0.00	0.00	0.00	0.00	\$1,170.00
220027-0003 480-486 Lakeshore - Palatine Development CV (Reg)					
0.00	300.00	0.00	0.00	0.00	\$300.00
<u>1,170.00</u>	<u>300.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>\$1,470.00</u>

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200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

ATTN: RYAN SCHULTZ

Page: 1

09/01/2020

ACCOUNT NO: 220027-0002M

INVOICE NO: 4

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

CURRENT INVOICE

PREVIOUS BALANCE	\$1,170.00
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BALANCE DUE	<u>\$1,170.00</u>
-------------	-------------------

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ATTORNEYS AND COUNSELORS

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

Page: 1

09/01/2020

ACCOUNT NO: 220027-0003M

INVOICE NO: 1

ATTN: RYAN SCHULTZ

480-486 Lakeshore - Palatine Development CV (Reg)

**CURRENT INVOICE**

			HOURS	
08/04/2020	JAS	Review e-mail from S. Shellenback regarding Lake Shore property and issuing disclosure for closing; respond and explain that 22.1 of Condo Act does not apply, and 1-35(d) of CICA would apply if the small association is not incorporated as not for profit; we will set up call to discuss turnover.	0.50	150.00
08/14/2020	JAS	Review and respond to e-mail from S. Shellenback scheduling conference call; download declaration documents.	0.20	60.00
08/17/2020	JAS	Telephone conference call with S. Shellenback and procedures for turnover to unit owner.	0.30	90.00
			1.00	300.00
CURRENT FEES BILLED SUB-TOTAL			1.00	300.00
<b>TOTAL CURRENT BILLED &amp; DUE</b>				300.00
BALANCE DUE				<u>\$300.00</u>

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Page: 1

10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

ACCOUNT NO: 220027M

ATTN: RYAN SCHULTZ

PREVIOUS BALANCE	FEES	COSTS	ADVANCES	PAYMENTS	BALANCE DUE
220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg)					
1,170.00	0.00	0.00	0.00	0.00	\$1,170.00
220027-0003 480-486 Lakeshore - Palatine Development CV (Reg)					
300.00	150.00	0.00	0.00	0.00	\$450.00
220027-0004 441-447 Lakeshore - Palatine Development CV (Reg)					
0.00	150.00	0.00	0.00	0.00	\$150.00
<u>1,470.00</u>	<u>300.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>\$1,770.00</u>

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Page: 1

10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING

200 W. MADISON ST., SUITE #3000

CHICAGO IL 60606

ACCOUNT NO: 220027-0002M

INVOICE NO: 5

ATTN: RYAN SCHULTZ

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

CURRENT INVOICE

PREVIOUS BALANCE

\$1,170.00

BALANCE DUE

\$1,170.00

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ATTORNEYS AND COUNSELORS

SUITE 420

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Page: 1

10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

ACCOUNT NO: 220027-0003M

INVOICE NO: 2

ATTN: RYAN SCHULTZ

480-486 Lakeshore - Palatine Development CV (Reg)

CURRENT INVOICE

			HOURS	
09/15/2020	JAS	Prepare and send e-mail to client with outline of proceeding with turnover meeting and conducting first election and comments since only five units and holding virtual meeting.	0.50	150.00
			0.50	150.00
CURRENT FEES BILLED SUB-TOTAL			0.50	150.00
<b>TOTAL CURRENT BILLED &amp; DUE</b>				150.00
PREVIOUS BALANCE				\$300.00
BALANCE DUE				<u>\$450.00</u>

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10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING  
200 W. MADISON ST., SUITE #3000  
CHICAGO IL 60606

ACCOUNT NO: 220027-0004M

INVOICE NO: 1

ATTN: RYAN SCHULTZ

441-447 Lakeshore - Palatine Development CV (Reg)

CURRENT INVOICE

			HOURS	
09/15/2020	JAS	Prepare and send e-mail to client with outline of proceeding with turnover meeting and conducting first election and comments since only five units and holding virtual meeting.	0.50	150.00
			0.50	150.00
		CURRENT FEES BILLED SUB-TOTAL	0.50	150.00
		<b>TOTAL CURRENT BILLED &amp; DUE</b>		150.00
		BALANCE DUE		<u>\$150.00</u>

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**EXHIBIT N**

[ALAP Invoice for July 2020 through September 2020]

(see attached)

**ALAP Limited**

Michael A. Pazur, CPA  
 3511 Elsie Lane  
 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
8/28/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (22 Minutes) and Carol Higgins (20 Minutes) for engagement planning	0.75	200.00	150.00
9/05/2020 – Northridge Holdings Ltd – Telephone coordination with Carol Higgins (27 Minutes) for engagement planning and document preparation	0.50	200.00	100.00
9/08/2020 – 610 Lincoln LP – Calculate the gain on the sale of the property and calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker	2.50	200.00	500.00
9/09/2020 – 610 Lincoln LP – Re-balance complete capital spreadsheet for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate final depreciation/amortization for 2019, close-out balance sheet accounts that have zero balances after sale, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, and prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065	5.70	200.00	1,140.00
9/09/2020 – 561 Deere park Circle LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, and re-balance complete capital spreadsheet for 2019	3.25	200.00	650.00

Please make check payable to ALAP Limited  
 Thank you

**Total**

**ALAP Limited**

Michael A. Pazur, CPA  
 3511 Elsie Lane  
 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/10/2020 – Timber Lake Shared Appreciation LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.00	200.00	200.00
9/10/2020 – Timber Lake Shared Appreciation IL LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.00	200.00	200.00
9/10/2020 – 610 Lincoln LP – Final adjustments for receivership escrow from closing proceeds and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.50	200.00	100.00
9/10/2020 – 561 Deere park Circle LP – Reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	3.50	200.00	700.00

Please make check payable to ALAP Limited  
 Thank you

**Total**

**ALAP Limited**

Michael A. Pazur, CPA  
 3511 Elsie Lane  
 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/10/2020 – Timber Lake Apartments LLC – Reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	2.75	200.00	550.00
9/10/2020 – Arbor LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.75	200.00	350.00
9/10/2020 – Kings Circle LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.25	200.00	250.00
Please make check payable to ALAP Limited Thank you		<b>Total</b>	

**ALAP Limited**

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 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/10/2020 – Hawthorne LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.50	200.00	100.00
9/10/2020 – 106 Surrey LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, reconcile mortgages and escrows balances per lender documents provided by Carol Higgins, calculate the income on the sale of unit, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins	1.75	200.00	350.00
9/11/2020 – 106 Surrey LP – Review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	2.75	200.00	550.00
9/11/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (22 Minutes) and Carol Higgins (12 Minutes)	0.55	200.00	110.00
9/11/2020 – Willow Creek Ventures LP – Telephone coordination with Dale Mueller (28 Minutes)	0.50	200.00	100.00

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**Total**

**ALAP Limited**

Michael A. Pazur, CPA  
 3511 Elsie Lane  
 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/11/2020 – Midtown Two unit H-1003 LLC – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Florida F-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and F-1065 to Lois West	0.65	200.00	130.00
9/11/2020 – Cornerstone II LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	2.40	200.00	480.00
9/11/2020 – 5097 Elston LP – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.20	200.00	240.00

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**Invoice #:** 2009039  
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**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/11/2020 – Azlan LLC – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065, California 568, and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065, California 568, and Illinois IL-1065 to Lois West	1.85	200.00	370.00
9/11/2020 – 5528 Hyde Park LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate the income on the sale of two condo units, calculate final depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	4.40	200.00	880.00
9/11/2020 – 139 Austin LP – Prepare 2019 proposed adjusting journal entries for Carol Higgins	0.80	200.00	160.00
Please make check payable to ALAP Limited Thank you		<b>Total</b>	



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Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/11/2020 – 149 Mason Trust – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019	0.50	200.00	100.00
9/12/2020 – G&C Mueller Family LP – Prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.65	200.00	330.00
9/12/2020 – 139 Austin LP – Review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.25	200.00	50.00
9/12/2020 – 149 Mason Trust – Prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.85	200.00	170.00

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**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/12/2020 – 149 Mason LP – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.40	200.00	80.00
9/12/2020 – 3820 25th Avenue LP – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	1.15	200.00	230.00
9/12/2020 – Paragon Group LP – Coordinate work papers and account verification with Carol Higgins via Glenn Mueller	0.25	200.00	50.00
9/12/2020 – Ridgeview - Group I LP – Call with Lois West on approach to prior preparations and coordinate work papers and account verification with Carol Higgins via Glenn Mueller	1.00	200.00	200.00
9/12/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (20 Minutes) for status updates	0.35	200.00	70.00

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**ALAP Limited**

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 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/12/2020 – Mueller Painting & Decorating LP – Call with Barbara Decker to coordinate on 2018 tax return, recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	2.00	200.00	400.00
9/12/2020 – Willow Creek Ventures LP – Prepare "For Illustration Purposes Only" version of federal 1065 and North Dakota ND 58 and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and North Dakota ND 58 to Lois West	1.15	200.00	230.00
9/12/2020 – 561 Deere Park Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Northridge Holdings insurance accrual miscalculation by Carol Higgins and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.15	200.00	30.00
9/12/2020 – Timber Lake Apartments LLC – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Northridge Holdings insurance accrual miscalculation by Carol Higgins and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.65	200.00	130.00

Please make check payable to ALAP Limited  
 Thank you

**Total**

**ALAP Limited**

Michael A. Pazur, CPA  
 3511 Elsie Lane  
 Hoffman Estates, IL 60192

**Invoice**

**Invoice #:** 2009039  
**Invoice Date:** 9/30/2020  
**Due Date:** 10/30/2020

**Bill To:**

Northridge Holdings, Ltd - Receivership  
 1020 W Fullerton Avenue  
 Suite G  
 Addison, IL 60101

Description	Hours/Qty	Rate	Amount
9/12/2020 – Arbor LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.35	200.00	70.00
9/12/2020 – Kings Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.30	200.00	60.00
9/12/2020 – Hawthorne LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.25	200.00	50.00
9/12/2020 – 139 Austin LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of additional settlement amount paid from Northridge Holdings on seller financed 2016 sale and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.50	200.00	100.00

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Description	Hours/Qty	Rate	Amount
9/12/2020 – 149 Mason Trust – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of additional settlement amount paid from Northridge Holdings on seller financed 2016 sale and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.25	200.00	50.00
9/12/2020 – 149 Mason LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of 149 Mason Trust Schedule K-1 and provide 2019 revised work papers, revised notes, revised trial balance, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.10	200.00	20.00
9/12/2020 – 3820 25th Avenue LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of 149 Mason Trust Schedule K-1 and provide 2019 revised work papers, revised notes, revised trial balance, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.10	200.00	20.00
9/12/2020 – Northridge Holdings Ltd – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins	2.25	200.00	450.00

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Description	Hours/Qty	Rate	Amount
9/13/2020 – Northridge Holdings Ltd – Did not attempt to recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1120S and Illinois IL-1120-ST with no Schedule L (balance sheet) based on unreliability of predecessor tax preparer, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1120S and Illinois IL-1120-ST to Lois West	2.25	200.00	450.00
9/13/2020 – Amberwood Holdings Ltd – Did not attempt to recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 with no Schedule L (balance sheet) based on unreliability of predecessor tax preparer, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	3.15	200.00	630.00
9/13/2020 – Paragon Group LP – Prepare "For Illustration Purposes Only" version of federal 1065 with no Schedule L (balance sheet) and Illinois IL-1065 and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.50	200.00	100.00

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Description	Hours/Qty	Rate	Amount
9/13/2020 – Ridgeview - Group I LP – Prepare "For Illustration Purposes Only" version of federal 1065 with no Schedule L (balance sheet) and Illinois IL-1065 and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.30	200.00	60.00
9/13/2020 – The Samaritan Film LP – Prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 (final return) and provide 2019 notes and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.70	200.00	140.00
9/14/2020 – G&C Mueller Family LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.35	200.00	70.00
9/24/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (31 Minutes) for coordination of Barbara Decker proposed Schedule K-1 corrections and scheduling of remainder of 2019 engagement	0.50	200.00	100.00
9/24/2020 – Arbor LP – Call with Barbara Decker regarding correction of partner Schedule K-1, research proposed discrepancy, and found no correction was required	1.00	200.00	200.00

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Description	Hours/Qty	Rate	Amount
9/24/2020 – 561 Deere Park Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Jose Velazquez position purchased by Amberwood Holdings and provide 2019 revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West	0.35	200.00	70.00
Please make check payable to ALAP Limited Thank you			<b>Total</b> \$13,070.00



**Exhibit O**

[Proposed Order]

(see attached)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION,</b>	)	
	)	
	)	<b>Civil Action No. 19-cv-05957</b>
<b>Plaintiff,</b>	)	
<b>v.</b>	)	<b>Hon. John Z. Lee</b>
	)	
<b>NORTHRIDGE HOLDINGS, LTD., ET AL.,</b>	)	
	)	<b>Magistrate Judge Susan E. Cox</b>
<b>Defendants.</b>	)	
	)	

**ORDER (A) APPROVING RECEIVER’S FIFTH INTERIM FEE  
APPLICATION FOR PAYMENT OF FEES AND EXPENSES OF COUNSEL FOR THE  
RECEIVER; AND (B) APPROVING RECEIVER’S FOURTH INTERIM FEE  
APPLICATION FOR FEES AND EXPENSES OF RECEIVER’S  
NON-FOX SWIBEL PROFESSIONALS**

N. Neville Reid, as the receiver (“Receiver”) for the Estate of Defendant Northridge Holdings, Ltd. and its related entities and affiliates as more particularly set forth in the Receivership Order, having filed the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals* (the “Application”), and the Court, having conducted a hearing on the Application, and for good cause shown, IT IS HEREBY ORDERED THAT:

The Receiver’s Application is granted. Further:

- a. the fees and expenses of counsel for the Receiver at Fox Swibel Levin & Carroll, LLP are reasonable and necessary to the Receivership;

b. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to counsel for the Receiver from the Receivership Estate;

c. the fees of KRD as accountant for the Receiver are reasonable and necessary to the Receivership;

d. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to KRD from the Receivership Estate;

e. the fees and expenses of AlixPartners as forensic accountant for the Receiver are reasonable and necessary to the Receivership;

f. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to AlixPartners from the Receivership Estate.

g. the fees and expenses of Dickler Kahn as counsel for the Receiver are reasonable and necessary to the Receivership;

h. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to Dickler Kahn from the Receivership Estate;

i. the fees and expenses of ALAP as accountant for the Receiver are reasonable and necessary to the Receivership; and

j. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to ALAP from the Receivership Estate.

---

**Hon. John Z. Lee**

**UNITED STATES DISTRICT JUDGE**

Entered: