

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

| | | |
|--|---|-------------------------------|
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION, |) | |
| |) | Civil Action No. 19-cv-05957 |
| Plaintiff, |) | |
| v. |) | Hon. John Z. Lee |
| |) | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | |
| |) | Magistrate Judge Susan E. Cox |
| Defendants. |) | |

**RECEIVER’S COMBINED (A) FIFTH INTERIM FEE APPLICATION AND MOTION
FOR COURT APPROVAL OF PAYMENT OF FEES AND EXPENSES OF COUNSEL
FOR THE RECEIVER; AND (B) FOURTH INTERIM FEE APPLICATION AND
MOTION FOR COURT APPROVAL OF PAYMENT OF FEES AND EXPENSES OF
RECEIVER’S NON-FOX SWIBEL PROFESSIONALS**

N. Neville Reid, not individually, but solely as the Court-appointed receiver (the “Receiver”) for the Estate of Defendant Northridge Holdings, Ltd. and its related entities and affiliates as more particularly set forth in the Receivership Order (as defined herein) (collectively, the “Receivership Defendants,” and their assets as more particularly identified therein, the “Receivership Assets,” and such estate, the “Receivership Estate,” and such administration, the “Receivership”), and pursuant to the powers vested in him by the *Order Appointing Receiver* entered by the Court on September 12, 2019 [Dkt. No. 22] and as amended by the Court on February 4, 2020 [Dkt. 102] (the “Receivership Order”)¹ respectfully submits this combined (a) *Fifth Interim Application* for counsel for the Receiver – Fox Swibel Levin & Carroll, LLP (“Fox Swibel”), and (b) *Fourth Interim Application* for the Receiver’s non-Fox Swibel professionals — Kutchins, Robbins & Diamond Ltd. (“KRD”); AlixPartners, LLP

¹ Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Receivership Order.

(“AlixPartners”); Dickler, Kahn, Slowikowski, & Zavell, Ltd. (“Dickler Kahn”)²; ALAP Limited (“ALAP”)³ and Plante Moran, PLCC (“PM”)⁴ (collectively, the “Application”) and hereby respectfully moves the Court, (the “Motion”) for an order approving payment of the fees and expenses of Fox Swibel, KRD, AlixPartners, Dickler Kahn, and ALAP. In support of the Application and Motion, the Receiver states as follows:

I. INTRODUCTION

1. The Application covers the period from July 1, 2020 to and including September 30, 2020 (the “Application Period”).

2. Fees and expenses (i) previously requested, approved, and paid, or (ii) presently requested, are summarized as follows:

Previously Approved/Paid:

| Professional | Month/Year | Fees & Costs Requested | Fees & Costs Approved | Fees & Costs Paid |
|---------------------|------------------------------|-----------------------------------|----------------------------------|------------------------------|
| Fox Swibel | September & October 2019 | \$114,779.88 | \$114,779.88 | \$114,779.88 |
| Fox Swibel | November & December 2019 | \$68,045.66 | \$68,045.66 | \$68,045.66 |
| Fox Swibel | January 2020 – March 2020 | \$146,712.17 | \$146,712.17 | \$146,712.17 |
| Fox Swibel | April 1, 2020 –June 30, 2020 | \$182,623.01 | \$182,623.01 | \$182,623.01 |
| KRD | October 2019 – | \$3,767.50 | \$3,767.50 | \$3,767.50 |

² This is the second request for compensation and reimbursement for fees and expenses on behalf of Dickler Kahn.

³ This is the first request for compensation and reimbursement for fees and expenses on behalf of ALAP.

⁴ As described more fully below, the Receiver does not seek compensation and reimbursement for fees and expenses on behalf of PM in this Application, as PM did not provide services during this Application Period. The Receiver, however, does include PM in this Application in order to comprehensively set forth the fees and expenses incurred and compensation and reimbursement to date.

| | | | | |
|---------------------------|---|---------------------------|-----------------------|-----------------------|
| | December 2019 | | | |
| KRD | January 2020 – March 2020 | \$3,978.71 | \$3,978.71 | \$3,978.71 |
| KRD | April 1, 2020 – June 30, 2020 | \$2,175.00 | \$2,175.00 | \$2,175.00 |
| AlixPartners | November 2019 – December 2019 | \$143,130.16 | \$143,130.16 | \$143,130.16 |
| AlixPartners | January 2020- March 2020 | \$598,630.51 | \$598,630.51 | \$598,630.51 |
| AlixPartners | April 1, 2020 – June 30, 2020 ⁵ | \$419,742.09 ⁶ | \$419,742.09 | \$419,742.09 |
| PM | November 2019 – December 2019 | \$5,487.50 | \$5,487.50 | \$5,487.50 |
| PM | January 2020- March 2020 | \$3,326.00 | \$3,326.00 | \$3,326.00 |
| PM | April 1, 2020 – June 30, 2020 | \$0.00 | \$0.00 | \$0.00 |
| Dickler Kahn | April 1, 2020 – June 30, 2020 | \$960.00 | \$960.00 | \$960.00 |
| <u>Grand Total</u> | | \$1,693,358.19 | \$1,693,358.19 | \$1,693,358.19 |

⁵ The figures set forth in the April 1, 2020-June 30, 2020 application period incorporates an additional \$100,000.00 **reduction** (over and above the hourly fee cap reduction) as agreed upon by AlixPartners. Additionally, AlixPartners agreed to a holdback of 25% of the reduced fees which it agrees will be only be paid upon approval of a final fee application at the closing of this case. [See Dkt. 194.]

⁶ As described in the prior fee application, AlixPartners agreed to a 25% holdback of their fees (on a going forward basis), which will only be paid upon approval of a final fee application at the closing of this case. The holdback amount for April 1, 2020 through June 20, 2020 is \$139,697.50.

Fees and costs requested in this Application for the Application Period:

| Professional | Month/Year | Fees & Costs Charged | Proposed Holdback | Fees & Costs Requested |
|---------------------------|----------------------------|---------------------------------|--------------------------|-----------------------------------|
| Fox Swibel | July 2020 – September 2020 | \$215,420.97 | \$0.00 | \$215,420.97 |
| KRD | July 2020 – September 2020 | \$24,620.00 | \$0.00 | \$24,620.00 |
| AlixPartners | July 2020 – September 2020 | \$274,169.72 | \$68,420.00 | \$205,749.72 |
| PM | July 2020 – September 2020 | \$0.00 | \$0.00 | \$0.00 |
| Dickler Kahn | July 2020 – September 2020 | \$810.00 | \$0.00 | \$810.00 |
| ALAP | July 2020 – September 2020 | \$13,070.00 | \$0.00 | \$13,070.00 |
| <u>Grand Total</u> | | | | <u>\$ 459,670.69</u> |

3. In summary, the total amount of money requested for approval and payment in the instant Application is \$459,670.69 which is broken down by professional as follows: (a) Fox Swibel: \$215,420.97; (b) KRD: \$24,620.00; (c) AlixPartners: \$205,749.72; (d) PM: \$0.00; (e) Dickler Kahn: \$810.00; and (f) ALAP: \$13,070.00.

II. BACKGROUND

4. On September 5, 2019, the United States Securities and Exchange Commission (the “SEC”) filed (a) a *Complaint* [Dkt. No. 1] (the “Complaint”) against the defendants as

identified therein (collectively, the “Defendants”)⁷ alleging violations of federal securities laws, and (b) *Plaintiff’s Emergency Motion for a Temporary Restraining Order to Prevent Violations of the Federal Securities Laws, to Appoint Receiver, and to Provide for Other Ancillary Relief* [Dkt. No. 3] (the “TRO and Receivership Motion”), requesting a temporary restraining order to prevent violations of federal securities laws, to appoint a receiver and to provide for other ancillary relief set forth therein.

5. In its Complaint, the SEC alleged that: (a) the Defendants violated (i) Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77e(a), (e)(c), and q(a)], and (ii) Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)], Rule 10b-5(a) and (c) [17 C.F.R. § 240.10b-5(a), (c)]; and (b) Northridge Holdings, Ltd. (“Northridge”) and Glenn C. Mueller (“Mr. Mueller”) violated Rule 10b-5(b) [17 C.F.R. § 240.10b-5(a), (c)].

6. The Complaint further alleges that the Defendants operated a Ponzi scheme, raising at least \$41.6 million (between May 2014 through April 2019) from more than 300 investors across 32 states by, among other things, fraudulently offering and selling promissory notes in unregistered transactions to investors, many of whom were unsophisticated and/or unaccredited and of retirement age. *See* Complaint, ¶¶ 1–8, 27–62, 63–76. Further, the Complaint alleges that Mr. Mueller and Northridge misled certain of such investors to believe certain of their promissory notes were secured or equivalently “backed” by properties owned by the Receivership Defendants when in fact they were not. *Id.* at ¶ 72.

⁷ The following Defendants are identified in the Complaint: Northridge Holdings, Ltd., Southridge Holdings, Ltd., Eastridge Holdings, Ltd., Brookstone Investment Group, Ltd., Guardian Investment Group, Ltd., Unity Investment Group, Ltd., Amberwood Holdings L.P., and Glenn C. Mueller.

7. On September 9, 2019, the Court held a hearing on the TRO and Receivership Motions. On September 12, 2019, the Court entered the Receivership Order. [See Dkts. 15, 19, 22.]

8. Under the Receivership Order, the Receiver is authorized to engage and employ professionals (in his discretion) to assist him in carrying out the duties and responsibilities set forth therein. Receivership Order, ¶¶ 8K, 50. In accordance therewith, the Receiver engaged Fox Swibel as counsel for the Receiver.

9. On September 12, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Counsel* [Dkt. No. 29] (the "Fox Swibel Rate Motion"). On September 24, 2019, the Court entered a minute entry Order [Dkt. No. 43] granting the Fox Swibel Rate Motion.

10. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Accountant* [Dkt. No. 58] (the "KRD Rate Motion"). On November 6, 2020, the Court entered a minute entry Order [Dkt. No. 66] granting the KRD Rate Motion.

11. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Forensic Accountant* [Dkt. No. 67] (the "AlixPartners Rate Motion"). On December 10, 2019, the Court entered a minute entry Order [Dkt. No. 79] granting the AlixPartners Rate Motion.

12. On October 30, 2019, the Receiver filed the *Motion for Court Approval of the Rates to be Charged by Receiver's Accountant* [Dkt. No. 70] (the "PM Rate Motion"). On January 6, 2020, the Court entered a minute entry Order [Dkt. No. 92] granting the PM Rate Motion.

13. On April 16, 2020, the Receiver filed the *Motion for Approval of Rates to be Charged by Dickler Kahn*⁸ [Dkt. 134-135] (the “Dickler Kahn Rate Motion”). On May 22, 2020, the Court entered an Order granting the Dickler Kahn Rate Motion. [Dkt. 149.]

14. On November 2, 2020, the Receiver filed the *Motion for Approval of Rates to be Charged by ALAP* (the “ALAP Rate Motion”). [Dkt. 206.] No amounts will be disbursed to the new professional until the engagement is fully approved by the Court.

15. Pursuant to the Receivership Order, and subject to Court approval, the Receiver and his professionals are entitled to “reasonable compensation and expense reimbursement” from the Receivership Estate, in compliance with the *Billing Instructions for Receivers in Civil Actions Commenced by the U.S. Securities and Exchange Commission* (the “Billing Instructions”) promulgated by the SEC, which are binding upon the Receiver. *Id.* at ¶ 65.

III. FOX SWIBEL FIFTH INTERIM APPLICATION

16. Pursuant to (and in accordance with) the Billing Instructions and the Receivership Order, the Receiver provides the following information regarding the Application.

17. **First**, the Application covers the period from July 1, 2020 to and including September 30, 2020.

18. **Second**, as set forth above, the Receiver retained Fox Swibel as counsel for the Receiver. On September 24, 2019, the Court entered an order granting the Fox Swibel Rate Motion. [Dkt. No. 43.]

19. **Third**, the *Certification of Fox Swibel in Support of the Application* is attached hereto as **Exhibit A** and incorporated herein by reference.

⁸ For clarity, the Receiver notes that this motion was a combined motion that also sought approval of a settlement agreement unrelated the matters addressed in this Application.

20. *Fourth*, the names and hourly rates of all Fox Swibel professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit B** and incorporated herein by reference.

21. *Fifth*, the Application constitutes the Receiver's fifth interim application for compensation for the fees and expenses of Fox Swibel. The Receiver's first interim application for the firm sought compensation for fees and expenses incurred from the inception of the Receivership through October 31, 2019 in the amount of \$114,779.88; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 76 and 93.] The Receiver's second interim application for Fox Swibel sought compensation for fees and expenses incurred from November 1, 2019 through December 31, 2019 in the amount of \$68,045.66; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's third interim application for Fox Swibel sought compensation for fees and expenses incurred from January 1, 2020 through March 31, 2020 in the amount of \$146,712.17; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's fourth interim application for Fox Swibel sought compensation for fees and expenses incurred from April 1, 2020 through June 30, 2020 in the amount of \$182,623.01; all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. 194-195, 200, 216.]

IV. NON-FOX SWIBEL PROFESSIONALS' FOURTH INTERIM APPLICATION

22. Pursuant to (and in accordance with) the Billing Instructions and the Receivership Order, the Receiver provides the following information regarding the Application as it relates to the non-Fox Swibel professionals.

A. **KRD**

23. *First*, the Application covers the period from July 1, 2020 to and including September 30, 2020.

24. *Second*, the Receiver retained KRD as accountant for the Receivership to provide accounting, tax, payroll and related services for the Receivership and the Receivership Defendants. During the Application Period, KRD continued to provide accounting, tax, payroll, and related services for the Receivership and the Receivership Defendants. Additionally, KRD prepared 2019 tax filings for the Receivership Defendants, and KRD is continuing to work to defend an audit and to prepare and file federal tax returns for certain of the Receivership Defendants and related entities for tax year 2018. The Receiver also utilized KRD's services to carry out accounting obligations in connection with the Receivership Defendants' business operations and management of the Receivership Estate's property.

25. *Third*, the *Certification of KRD in Support of the Application* is attached hereto as **Exhibit C** and incorporated herein by reference.

26. *Fourth*, the names and hourly rates of all of KRD professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit D** and incorporated herein by reference.

27. *Fourth*, the Application constitutes the Receiver's fourth interim application for compensation for the fees and expenses of KRD. The Receiver's first interim application for compensation for KRD covered fees and expenses incurred from October 1, 2019 through December 31, 2019 in the amount of \$3,767.50; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for KRD covered fees and expenses

incurred from January 1, 2020 through March 31, 2020 in the amount of \$3,978.71; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's third interim application for compensation for KRD covered fees and expenses incurred from April 1, 2020 through June 30, 2020 in the amount of \$2,175.00; all such fees and costs were approved by the Court and subsequently paid to KRD. [See Dkt. Nos. 194-195, 200, 216.]

B. AlixPartners

28. *First*, the Application covers the period from July 1, 2020 to and including September 30, 2020.

29. *Second*, the Receiver retained AlixPartners as forensic accountant for the Receivership to, among other things, determine the: (i) amount and validity of claims of the investors/creditors of the Receivership Defendants and (ii) legal and equitable rights of such parties in respect of the funds marshalled in this case. Additionally, the Receiver engaged AlixPartners to perform, among other things, the following services: (a) tracing money in/ money out at the investor level; (b) tracing funds between the Receivership Defendants (i.e., intercompany), including determining the amount of intercompany receivables and/or whether or not the Receivership Defendants' finances are hopelessly commingled; (c) assisting with discovery; (d) identifying receivable claims, "finder" claims, insider claims, potential claw-back targets, fraudulent conveyances or transfers (e.g., undisclosed insider transfers, suspicious transactions generally, etc.), professional liability targets and breach of fiduciary duty claims; (e) assisting in the design and implementation of a claims process (for investors and general creditors), including verifying claim amounts and identifying potential objections; (f) modeling potential distribution plans based on different distribution methods (e.g., pro rata, rising tide,

etc.); and (g) supporting motions filed by the Receiver (e.g., motion(s) to approve a distribution plan). During this Application Period, AlixPartners specifically focused its efforts on (1) continuing to refine analysis relating to the amount and validity of potential claims of the investors/creditors of the Receivership Defendants; (2) assisting the Receiver in designing the claims process and provided support for the Claims Procedure Motion⁹; (3) supporting the Receiver's efforts to collect claims of the Receivership Estate against third parties, including receivable claims, "finder" claims, "net winner" claims and insider claims; and (4) assisting with case administration.¹⁰

30. *Third*, the *Certification of AlixPartners in Support of the Application* is attached hereto as **Exhibit E** and incorporated herein by reference.

31. *Fourth*, the names and hourly rates of all of AlixPartners' professionals and paraprofessionals who billed time on this matter during the Application Period are attached hereto as **Exhibit F** and incorporated herein by reference.

32. *Fifth*, the Application constitutes the Receiver's fourth interim application for compensation for the fees and expenses of AlixPartners. The Receiver's first interim application for compensation for AlixPartners covered fees and expenses from November 1, 2019 through December 31, 2019 in the amount of \$143,130.16; all such fees and costs were approved by the Court and subsequently paid to AlixPartners. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for AlixPartners covered fees and

⁹ The Claims Procedure Motion refers to the Receiver's Motion for Order (1) Fixing Claims Bar Date (2) Approving Claims Procedures and Claims Forms (3) Approving Notices and (4) Approving the Pooling of Receivership Entities' Assets for Distribution Purposes. [Dkt. 169.]

¹⁰ A detailed explanation of these efforts is fully set forth in the Receiver's Report for the Period of July 1, 2020 Through September 30, 2020 [Dkt. 202].

expenses from January 1, 2020 through March 31, 2020 in the amount of \$598,630.51; all such fees and costs were approved by the Court and subsequently paid to AlixPartners. [See Dkt. Nos. 161-163, 171, 173.] The Receiver's third interim application for compensation for AlixPartners covered fees and expenses from April 1, 2020 through June 30, 2020 in the amount of \$419,742.09¹¹; all such fees and costs were approved by the Court and subsequently paid to AlixPartners [See Dkt. Nos. 194-195, 200, 216.]

C. PM

33. Although this Application does not seek compensation and reimbursement for PM as PM did not provide services during this Application Period, for comprehensiveness, the Receiver summarizes prior fees and expenses sought for PM and approved by the Court. The Receiver's first interim application for compensation for PM covered the period November 1, 2019 through December 31, 2019 in the amount of \$5,487.50; all such fees and costs were approved by the Court and subsequently paid to PM. [See Dkt. Nos. 118, 125, 132, 137 and 147.] The Receiver's second interim application for compensation for the fees and expenses of PM covered the period of January 1, 2020 through March 31, 2020 in the amount of \$3,326.00; all such fees and costs were approved by the Court and subsequently paid to PM. [See Dkt. Nos. 161-163, 171, and 173.] The Receiver did not seek compensation for fees and expenses of PM during the period of April 1, 2020 through June 30, 2020, as PM did not provide any services during that Application Period.

¹¹ This amount incorporates an additional \$100,000 reduction (over and above the hourly fee cap reduction) as agreed by AlixPartners. Additionally, AlixPartners agreed to a holdback of 25% of the reduced fees which it agrees will only be paid upon approval of a final fee application at the closing of this case.

D. Dickler Kahn

34. *First*, the Application covers the period from July 1, 2020 to and including September 30, 2020.

35. *Second*, the Receiver retained Dickler Kahn as counsel for the turnover of control of condominiums from the developer to a board of managers comprised of a majority of unit owners at the properties located at (1) 486 N. Lakeshore Drive, Palatine, IL 60067; and (2) 106 Surrey Drive, Glen Ellyn, IL 60137 (the "Surrey Property").¹² During the Application Period, Dickler Kahn continued to provide legal services relating to the contractually required turnover of certain condominium associations relating with the sales of the Palatine and Surrey Properties Receivership Defendants.

36. *Third*, the *Certification of Dickler Kahn in Support of the Application* is attached hereto **Exhibit G** and incorporated herein by reference.

37. *Fourth*, James A. Slowikowski was the only Dickler Kahn professional or paraprofessional who billed time on this matter during the Application Period, and his hourly rate is \$300.00

38. *Fifth*, the Application constitutes the second interim application for the fees and expenses of Dickler Kahn. The Receiver's first interim application for compensation for Dickler Kahn covered fees and expenses from April 1, 2020 through June 30, 2020 in the amount of \$960.00; all such fees and costs were approved by the Court and subsequently paid to Dickler Kahn [*See* Dkt. Nos. 194-195, 200, 216.]

¹² The Receiver's general counsel does not practice in the area of condominium and homeowner association law thus rendering the engagement of additional counsel necessary in order to ensure the efficient and proper execution of the turnover process in accordance with all statutory requirements.

E. ALAP

39. *First*, the Application covers the period from July 1, 2020 to and including September 30, 2020.

40. *Second*, the Receiver retained ALAP as an accountant to assist KRD with the Receivership Defendants 2019 tax filings. During the Application period ALAP providing such assistance to KRD. As noted in the Receiver's Fourth Report (as defined below) and the ALAP Rate Motion, ALAP was the Receivership Defendants' prior tax accountant, and therefore had valuable knowledge as to the Receivership Defendants that was utilized to cost-effectively complete tax work in conjunction with KRD's efforts.

41. *Third*, the *Certification of ALAP in Support of the Application* is attached hereto **Exhibit H** and incorporated herein by reference.

42. *Fourth*, Michael A. Pazur, CPA was the only ALAP professional or paraprofessional who billed time on this matter during the Application Period, and his hourly rate is \$200.00

43. *Fifth*, this Application constitutes the first interim application for the fees and expenses of ALAP.

V. Case Status

44. Pursuant to the Billing Instructions, the Receiver must provide information regarding the services performed and activities undertaken by Fox Swibel, KRD, AlixPartners, Dickler Kahn, PM, and ALAP during the Application Period. In support of the Application and Motion, the Receiver incorporates by reference the Receiver's Report for the Period of July 1, 2020 through September 30, 2020 (the "Fourth Receiver Report"), including the Third Amended Liquidation Plan attached to the Fourth Receiver Report. [Dkt. 202.] The Fourth Receiver

Report and Amended Liquidation Plan contain a description of the work performed by Fox Swibel, KR D, AlixPartners, Dickler Kahn, PM, and ALAP during the Application Period and attach the Receiver's Standardized Fund Accounting Report ("SFAR") for the Application Period. Moreover, the Fourth Receiver Report sets forth the relevant balances of the Operating Accounts as of September 30, 2020.

45. As of September 30, 2020, the balance in the Receivership accounts, including Operating Accounts, was approximately \$12,512,507.84.¹³

VI. BILLING ADDRESSED IN THIS APPLICATION

46. Pursuant to the Billing Instructions, the Receiver provides the following information regarding current billing:

a. Total Compensation and Expenses Requested.

47. In connection with the legal services provided to the Receiver by Fox Swibel, the Receiver respectfully requests compensation for services rendered, along with reimbursement of expenses, totaling \$215,420.97 for the Application Period. The fees requested during the Application Period total \$204,771.50 and the expenses for the Application Period total \$10,649.47. True and correct copies of Fox Swibel's invoices for the Application Period are attached hereto as **Exhibit I**. A summary of the requested expenses and true and correct copies of invoices and other documents substantiating the requested expenses are attached hereto as group **Exhibit J**.

¹³ Since September 30, 2020, payment of fees and costs for professionals for the April to June 2020 period has occurred (as set forth in the chart above). Also, closing on the Timber Lake Property is currently scheduled for December 8, 2020, at which time the Receiver anticipates receiving in excess of \$28 million (i.e. available cash will be significantly higher by the time any objection period for this Application runs).

48. In connection with the accounting services provided to the Receiver by KRD, the Receiver and KRD respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$24,620.00 for the Application Period. The fees requested during the Application Period total \$24,620.00. True and correct copies of KRD's invoices for the Application Period are attached hereto as **Exhibit K**. No expenses are requested.

49. In connection with the forensic accounting services provided to the Receiver by AlixPartners, the Receiver and AlixPartners respectfully requests compensation for services rendered, along with reimbursement of expenses, totaling \$205,749.72 for the Application Period (this amount is net of the agreed upon holdback of \$68,420.00). The fees requested during the Application Period total \$205,260.00 (this amount is net of the agreed upon holdback of \$68,420.00) and the expenses for the Application Period total \$489.72.¹⁴ True and correct copies of AlixPartners' invoices for the Application Period are attached hereto as **Exhibit L**.

50. In connection with the legal services provided to the Receiver by Dickler Kahn, the Receiver and Dickler Kahn respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$810.00 for the Application Period. The fees requested during the Application Period total \$810.00. A true and correct copy of Dickler Kahn's invoices for the Application Period is attached hereto as **Exhibit M**. No expenses are requested.

51. In connection with the accounting services provided to the Receiver by ALAP, the Receiver and ALAP respectfully request compensation for services rendered, along with reimbursement of expenses, totaling \$13,070.00 for the Application Period. The fees requested during the Application Period total \$13,070.00 for the Application Period. A true and correct

¹⁴ The Receiver has reviewed and confirmed the validity of all expenses requested by AlixPartners for the Application Period.

copy of ALAP's invoices for the Application Period is attached hereto as Exhibit N. No expenses are requested.

52. In total, the Receiver is requesting authority to pay a total of \$459,670.69 (\$448,531.50 in fees and \$11,139.19 in expenses) to Fox Swibel, KRD, AlixPartners, Dickler Kahn, and ALAP for services rendered and expenses incurred during the Application Period.

53. b. Source of Funds for Requested Compensation and Expenses. The Receiver requests payment of the foregoing fees and expenses from the Receiver's accounts at the Receiver's reasonable discretion. As of September 30, 2020, the cash on hand was approximately \$12,849,821.64. [See Dkt. No.202 (Fourth Receiver Report) at 8.]

c. Previous Application for Payment of Professional Fees and Expenses. With respect to Fox Swibel, this is the fourth interim fee application. In the first application, \$114,779.88 in fees and costs was sought, and \$114,779.88 in fees and costs was approved by the Court and such amount has since been paid to Fox Swibel. [See Dkt. Nos. 76 and 93.]; in the second application \$68,045.66 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel; in the third application \$146,712.17 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel; in the fourth application \$182,623.01 in fees and costs was sought, and all such fees and costs were approved by the Court and subsequently paid to Fox Swibel. [See Dkt. Nos. 118-120, 143-147, 161-163, 171, 173, 194-195, 200, 216.] With respect to the non-Fox Swibel professionals, this is the Receiver's third interim fee application. In the first application \$3,767.50; \$143,130.16; and \$5,487.50 in fees and costs were sought for KRD, AlixPartners and PM, respectively, and all such fees and costs were approved by the Court and subsequently paid to the aforementioned professionals; in the second application \$3,978.71;

\$598,630.51; and \$3,326.00 were sought for KRD, AlixPartners and PM, respectively, and all such fees and costs were approved by the court and subsequently paid to the aforementioned professionals; in the third interim application \$2,175.00; \$419,742.09; \$960.00 in fees and costs were sought for KRD, AlixPartners, and Dickler Kahn, respectively, and all such fees and costs were approved by the Court. [See Dkt. Nos. 118-120, 143-147, 161-163, 171, 173, 194-195, 200, 216.] No fees and costs have been sought for ALAP prior to this Application.

d. Summary of Activity. A “Summary of Activity” providing the total hours billed and the amount of billing for each person who billed time during the Application Period precedes each of the professionals’ invoices (*see Exhibits I, K, and L*).¹⁵

VII. NO OBJECTION BY THE SEC

50. Counsel for the SEC has indicated that the SEC has reviewed and approved the fees and costs requested herein.

VIII. CONCLUSION

WHEREFORE, for the foregoing reasons, the Receiver respectfully requests that the Court approve the Application as follows:

a. finding the fees and expenses of counsel for the Receiver at Fox Swibel (as set forth in Exhibit I and Exhibit J) to be reasonable and necessary to the Receivership;

b. finding the fees of KRD as accountant for the Receiver (as set forth in Exhibit K) to be reasonable and necessary to the Receivership;

c. finding the fees and expenses of AlixPartners as forensic accountant for the Receiver (as set forth in Exhibit L) to be reasonable and necessary to the Receivership;

¹⁵ Because Dickler Kahn and ALAP each had just one professional bill time during this Application Period, Summaries of Activity related to their work are not included as exhibits.

- d. finding the fees of Dickler Kahn as counsel to the Receiver (as set forth in Exhibit M) to be reasonable and necessary to the Receivership;
- f. finding the fees of ALAP as accounting consultant to the Receiver (as set forth as Exhibit N) to be reasonable and necessary to the Receivership; and
- g. granting all other or further relief that is just or proper.

Dated: November 16, 2020

N. Neville Reid, Receiver

By: /s/ Ryan T. Schultz

N. Neville Reid
Ryan T. Schultz
L. Brandon Liss
Fox Swibel Levin & Carroll LLP
200 West Madison Street, Suite 3000
Chicago, IL 60606
Tel: 312.224.1200
Fax: 312.224.1201
nreid@foxswibel.com
rschultz @foxswibel.com
bliss@foxswibel.com

EXHIBIT A

[Certificate of Fox Swibel in Support of Fee Application]

(see attached)

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. Fox Swibel has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which Fox Swibel justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), Fox Swibel requests reimbursement only for the amount billed to Fox Swibel by the third-party vendor and paid by Fox Swibel to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, the Receiver has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.



Ryan T. Schultz, Esq.

Dated: November 16, 2020

EXHIBIT B

[Hourly Rates of Fox Swibel Attorneys and Paraprofessionals]

(see attached)

Rate Schedule for Professionals and Paraprofessionals During Application Period

| Professional/Paraprofessional | Role | 2020 Published Rates | Approved Discounted Rates |
|--------------------------------------|-----------------------------------|-------------------------------------|--|
| N. Neville Reid | Receiver and Counsel | \$550.00 | \$385.00 |
| Ryan T. Schultz | Primary Counsel to Receiver | \$465.00 | \$360.00 |
| Terrence Stein | Counsel to Receiver (Tax) | \$575.00 | \$385.00 |
| Stephanie Shellenback | Counsel to Receiver (Real Estate) | \$520.00 | \$385.00 |
| L. Brandon Liss | Counsel to Receiver (Litigation) | \$370.00 | \$285.00 |
| Kenneth M. Thomas | Counsel to Receiver (Litigation) | \$365.00 | \$280.00 |
| Scott Schonfeld | Counsel to Receiver (Real Estate) | \$360.00 | \$280.00 |
| Margy Bogdanowicz | Paralegal (Real Estate) | \$235.00 | \$180.00 |
| Eric Anderson | Paralegal (Litigation) | \$235.00 | \$180.00 |
| Danielle Gac | Paralegal (Real Estate) | \$235.00 | \$180.00 |
| Erica Navarro | Paralegal (Corporate) | \$235.00 | \$180.00 |

EXHIBIT C

[Certificate of KRD in Support of the Fee Application]

(see attached)

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

| | | |
|--|---|-------------------------------|
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION, |) | |
| |) | |
| |) | Civil Action No. 19-cv-05957 |
| |) | |
| Plaintiff, |) | |
| v. |) | Hon. John Z. Lee |
| |) | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | |
| |) | Magistrate Judge Susan E. Cox |
| Defendants. |) | |

CERTIFICATION OF KRD IN SUPPORT OF THE APPLICATION

I, Lois West, accountant for the Receiver, hereby certify (the "Certification") the following on behalf Kutchins, Robbins & Diamond Ltd. ("KRD"), accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.
2. I am an accountant at KRD.
3. KRD is submitting this Certification in support of the *Receiver's Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver's Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.
4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. KRD has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

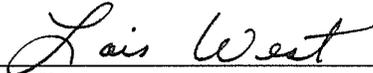
8. In seeking reimbursement for a service which KRD justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), KRD requests reimbursement only for the amount billed to KRD by the third-party vendor and paid by KRD to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, KRD has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.



Lois West

Dated: November 16 2020

EXHIBIT D

[Hourly Rates of KRD Professionals and Paraprofessionals]

(see attached)

Rate Schedule for Professionals and Paraprofessionals During Application Period

| Professional/Paraprofessional | Role | 2020 Published Rates | Approved Discounted Rates |
|--------------------------------------|-------------------|-------------------------------------|--|
| Lois West | Partner / Manager | \$375.00 | \$275.00 |
| Clarissa Marquez | Tax Staff | \$100.00 | \$100.00 |
| Marzena Bizon | Tax Staff | \$100.00 | \$100.00 |
| Emily Moore | Tax Staff | \$100.00 | \$100.00 |
| Casee Griffin | Tax Staff | \$175.00 | \$175.00 |

EXHIBIT E

[Certificate of AlixPartners in Support of the Fee Application]

(see attached)

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

| | | |
|--|---|-------------------------------|
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION, |) | |
| |) | Civil Action No. 19-cv-05957 |
| |) | |
| Plaintiff, |) | |
| v. |) | Hon. John Z. Lee |
| |) | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | |
| |) | Magistrate Judge Susan E. Cox |
| Defendants. |) | |
| |) | |

CERTIFICATION OF ALIXPARTNERS IN SUPPORT OF THE APPLICATION

I, Denis O'Connor, forensic accountant for the Receiver, hereby certify (the "Certification") the following on behalf AlixPartners, LLP ("AlixPartners"), forensic accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.
2. I am a forensic accountant at AlixPartners.
3. AlixPartners is submitting this Certification in support of the *Receiver's Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver's Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.
4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. AlixPartners has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which AlixPartners justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), AlixPartners requests reimbursement only for the amount billed to AlixPartners by the third-party vendor and paid by AlixPartners to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, AlixPartners has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.



Denis O'Connor

Dated: November 15, 2020

EXHIBIT F

[Hourly Rates of AlixPartners Professionals and Paraprofessionals]

(see attached)

Rate Schedule for Professionals and Paraprofessionals During Application Period

| Professional/Paraprofessional | Role | 2020 Published Rates | Approved Discounted Rates* |
|---|-----------------------|-------------------------------------|---|
| Denis O'Connor | Managing Director | \$800.00 | \$550.00 |
| Vineet Sehgal | Managing Director | \$800.00 | \$550.00 |
| Thomas Hofner | Director | \$700.00 | \$550.00 |
| Bill Kingsford | Director | \$700.00 | \$550.00 |
| Travis Phelan | Director | \$700.00 | \$550.00 |
| Robert Losier | Senior Vice President | \$650.00 | \$550.00 |
| Jimelle Aquino | Senior Vice President | \$650.00 | \$550.00 |
| Cindy Wan | Vice President | \$445.00 | \$550.00 |
| Derrick Irving | Paraprofessional | \$315.00 | \$550.00 |
| *Approved discounted rates reflect AlixPartners' blended rate. | | | |

EXHIBIT G

[Certificate of Dickler Kahn in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

| | | | |
|---|---|--------------------------------------|--|
| <hr/> | |) | |
| UNITED STATES SECURITIES |) | | |
| AND EXCHANGE COMMISSION, |) | Civil Action No. 19-cv-05957 | |
| |) | | |
| Plaintiff, |) | | |
| v. |) | Hon. John Z. Lee | |
| |) | | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | | |
| |) | Magistrate Judge Susan E. Cox | |
| Defendants. |) | | |
| <hr/> | |) | |

CERTIFICATION OF DICKLER KAHN IN SUPPORT OF THE APPLICATION

I, James A. Slowikowski, Esq., counsel for the Receiver, hereby certify (the “Certification”) the following on behalf Dickler Kahn Slowikowski & Zavell, Ltd. (“Dickler Kahn”), counsel for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.
2. I am an attorney at the law firm of Dickler Kahn.
3. Dickler Kahn is submitting this Certification in support of the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.
4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. Dickler Kahn has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

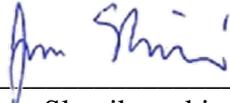
8. In seeking reimbursement for a service which Dickler Kahn justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), Dickler Kahn requests reimbursement only for the amount billed to Dickler Kahn by the third-party vendor and paid by Dickler Kahn to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, Dickler Kahn has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.



James A. Slowikowski

Dated: November 16, 2020

EXHIBIT H

[Certificate of ALAP in Support of the Fee Application]

(see attached)

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

| | | |
|---|---|--------------------------------------|
| _____ |) | |
| UNITED STATES SECURITIES |) | |
| AND EXCHANGE COMMISSION, |) | Civil Action No. 19-cv-05957 |
| |) | |
| Plaintiff, |) | |
| v. |) | Hon. John Z. Lee |
| |) | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | |
| |) | Magistrate Judge Susan E. Cox |
| Defendants. |) | |
| _____ |) | |

CERTIFICATION OF ALAP IN SUPPORT OF THE APPLICATION

I, Michael A. Pazur, CPA, accountant for the Receiver, hereby certify (the "Certification") the following on behalf ALAP Limited ("ALAP"), accountant for the Receiver in the above-referenced matter:

1. I am more than 18 years of age and have personal knowledge of the matters set forth in this Certification.
2. I am an accountant at the ALAP.
3. ALAP is submitting this Certification in support of the *Receiver's Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver's Non-Fox Swibel Professionals*. Capitalized terms not defined herein shall be given the same meaning ascribed to such terms in the Application.
4. I have read the Application.

5. To the best of my knowledge, information and belief formed after reasonable inquiry, the Application and all fees and expenses therein are true and accurate and comply with the Billing Instructions (with any exceptions specifically herein, if applicable and described in the Application).

6. All fees set forth in the Application are based on the rates listed in the fee schedule attached to the Application and such fees are reasonable, necessary and commensurate with the skill and experience required for the activity performed.

7. ALAP has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth herein for photocopies and facsimile transmission).

8. In seeking reimbursement for a service which ALAP justifiably purchased or contracted for from a third-party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), ALAP requests reimbursement only for the amount billed to ALAP by the third-party vendor and paid by ALAP to such vendor.

9. The fees and expenses included in the Application were incurred in the best interest of the Receivership Estate.

10. With the exception of the Billing Instructions, ALAP has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

FURTHER AFFIANT SAYETH NAUGHT

Under penalties as provided by applicable law, the undersigned certifies that the statements set forth in this Certificate are true and correct.



Michael A. Pazur

Dated: November 16, 2020

EXHIBIT I

[Fox Swibel Invoices for July 2020 through September 2020]

(see attached)

| | Billed Hours | Billed Amount |
|---|--------------|---------------|
| Anderson, Eric B | | |
| R103 - Case Administration | 10.1 | 1,818.00 |
| R113 - Tax Issues | 0.5 | 90.00 |
| Bogdanowicz, Margy | | |
| R101 - Asset Disposition | 5.6 | 1,008.00 |
| R116 - Asset Disposition – Timber Lake | 31.6 | 5,688.00 |
| R117 - Asset Disposition - Bartlett | 1.4 | 252.00 |
| R118 - Asset Disposition – Surrey | 39.4 | 7,092.00 |
| R119 - Asset Disposition – Elston | 7.2 | 1,296.00 |
| Brenneman, Steven L | | |
| R117 - Asset Disposition - Bartlett | 0.0 | 0.00 |
| Gac, Danielle | | |
| R118 - Asset Disposition – Surrey | 0.6 | 108.00 |
| Liss, Brandon | | |
| R100 - Asset Analysis and Recovery | 26.2 | 7,467.00 |
| R101 - Asset Disposition | 5.3 | 1,510.50 |
| R103 - Case Administration | 2.8 | 798.00 |
| R104 - Claims Administration and Objections | 23.7 | 6,754.50 |
| R110 - Status Reports | 10.4 | 2,964.00 |
| R112 - Forensic Accounting | 6.9 | 1,966.50 |
| R113 - Tax Issues | 0.1 | 28.50 |
| R116 - Asset Disposition – Timber Lake | 0.7 | 199.50 |
| R119 - Asset Disposition – Elston | 1.1 | 313.50 |
| R121 - Fee Applications | 18.8 | 0.00 |
| Navarro, Erica R | | |
| R102 - Business Operations | 2.6 | 468.00 |
| Reid, Neville N | | |
| R100 - Asset Analysis and Recovery | 29.8 | 11,473.00 |
| R101 - Asset Disposition | 1.2 | 462.00 |
| R102 - Business Operations | 4.8 | 1,848.00 |
| R103 - Case Administration | 11.8 | 3,619.00 |
| R104 - Claims Administration and Objections | 17.4 | 6,545.00 |
| R110 - Status Reports | 0.4 | 154.00 |
| R112 - Forensic Accounting | 4.4 | 1,694.00 |
| R113 - Tax Issues | 3.7 | 1,424.50 |
| R116 - Asset Disposition – Timber Lake | 40.6 | 15,631.00 |
| R117 - Asset Disposition - Bartlett | 0.6 | 231.00 |
| R118 - Asset Disposition – Surrey | 2.8 | 1,078.00 |
| R119 - Asset Disposition – Elston | 11.6 | 4,466.00 |
| R120 - Distribution Plan | 0.0 | 0.00 |
| R121 - Fee Applications | 2.8 | 0.00 |
| Schonfeld, Scott A | | |
| R100 - Asset Analysis and Recovery | 2.4 | 744.00 |
| R101 - Asset Disposition | 3.7 | 1,147.00 |

| | Billed Hours | Billed Amount |
|---|--------------|-------------------|
| R116 - Asset Disposition – Timber Lake | 2.5 | 775.00 |
| Schultz, Ryan T | | |
| R100 - Asset Analysis and Recovery | 37.7 | 13,572.00 |
| R101 - Asset Disposition | 1.0 | 360.00 |
| R102 - Business Operations | 4.3 | 1,548.00 |
| R103 - Case Administration | 6.2 | 1,656.00 |
| R104 - Claims Administration and Objections | 19.3 | 6,552.00 |
| R110 - Status Reports | 5.2 | 1,872.00 |
| R112 - Forensic Accounting | 5.4 | 1,944.00 |
| R116 - Asset Disposition – Timber Lake | 28.6 | 10,296.00 |
| R117 - Asset Disposition - Bartlett | 1.6 | 576.00 |
| R118 - Asset Disposition – Surrey | 0.3 | 108.00 |
| R119 - Asset Disposition – Elston | 0.8 | 288.00 |
| R121 - Fee Applications | 7.6 | 0.00 |
| Shellenback, Stephanie B | | |
| R100 - Asset Analysis and Recovery | 0.0 | 0.00 |
| R101 - Asset Disposition | 7.0 | 2,233.00 |
| R116 - Asset Disposition – Timber Lake | 61.3 | 23,600.50 |
| R117 - Asset Disposition - Bartlett | 5.0 | 1,925.00 |
| R118 - Asset Disposition – Surrey | 23.8 | 9,163.00 |
| R119 - Asset Disposition – Elston | 7.1 | 2,733.50 |
| Stein, Terry W | | |
| R113 - Tax Issues | 20.6 | 7,931.00 |
| Thomas, Kenneth | | |
| R100 - Asset Analysis and Recovery | 59.2 | 16,576.00 |
| R103 - Case Administration | 1.7 | 420.00 |
| R104 - Claims Administration and Objections | 33.1 | 9,268.00 |
| R112 - Forensic Accounting | 3.7 | 1,036.00 |
| Grand Total | 676.0 | 204,771.50 |

FOX SWIBEL**Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000
Chicago, IL 60606
(312) 224-1200

October 26, 2020

N. Neville Reid

Invoice: 95409

File Number: 07183-001

Billed Through: 07/31/2020

N. Neville Reid, not individually but as receiver/Northridge Receivership**PROFESSIONAL SERVICES*****Asset Analysis and Recovery***

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/06/2020 | RTS | R100 | Reviewed updated investor claims and third party claims spreadsheet | 0.20 hrs @ 360.00/hr | 72.00 |
| 07/17/2020 | KT | R100 | Call with B. Liss to discuss finder/net winner letters | 0.10 hrs @ 280.00/hr | 28.00 |
| 07/17/2020 | LBL | R100 | Call with K. Thomas re: demand letters (finders, net winners, net winner families) | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/20/2020 | KT | R100 | Review chart and begin finders settlement letters | 1.40 hrs @ 280.00/hr | 392.00 |
| 07/24/2020 | RTS | R100 | Email to N. Reid et al. re: potential legal malpractice claims | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/27/2020 | KT | R100 | Draft and revise settlement demand letters. | 2.30 hrs @ 280.00/hr | 644.00 |
| 07/28/2020 | KT | R100 | Draft and revise settlement demand letters. | 4.40 hrs @ 280.00/hr | 1,232.00 |
| 07/28/2020 | RTS | R100 | Telephone conference with E. Rice re: resolution of Tradewinds loan (.4); performed email search regarding tradewinds for information relating to investment and borrower (.8); draft settlement agreement re: Tradewinds loan (1.0) | 2.20 hrs @ 360.00/hr | 792.00 |
| 07/29/2020 | KT | R100 | Draft and revise demand letters for finders. | 3.40 hrs @ 280.00/hr | 952.00 |
| 07/29/2020 | NNR | R100 | Review settlement with Tradewinds and also review receivership order to confirm no court approval is required (.5); email to R. Schultz re: same (.1) | 0.60 hrs @ 385.00/hr | 231.00 |
| 07/29/2020 | LBL | R100 | Emails with R. Schultz re: letters to Northridge attorneys re: engagements/matters worked re: determining if claims against such attorneys | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/30/2020 | KT | R100 | Draft and edit demand letters for net winners and finders. | 0.90 hrs @ 280.00/hr | 252.00 |
| 07/31/2020 | RTS | R100 | Drafted demand letter for Virgilio claims | 1.50 hrs @ 360.00/hr | 540.00 |

Total for: Asset Analysis and***\$5,228.00***

N. Neville Reid, not individually but as receiver
 Northridge Receivership
 Invoice # 95409

Fox Swibel Levin & Carroll LLP
 October 26, 2020
 Page # 2

Asset Disposition

| | | | | | |
|-------------------------------------|-----|------|---|----------------------|----------------|
| 07/02/2020 | RTS | R101 | Email to L. Gomez re: Miami condo sale status and issues (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/20/2020 | RTS | R101 | Emails re: status of Miami condo and Vacant lot sales (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| Total for: Asset Disposition | | | | | \$72.00 |

Business Operations

| | | | | | |
|---------------------------------------|-----|------|---|----------------------|-------------------|
| 07/02/2020 | RTS | R102 | Email to 33 realty re: outstanding issues in lieu of weekly call and reviewed responses to same (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 07/02/2020 | NNR | R102 | Resolve insurance issues related to the properties (including response to broker re: same and confer with Eric Weber re: need for any tail policy) | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/10/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call (.5) | 0.50 hrs @ 360.00/hr | 180.00 |
| 07/10/2020 | NNR | R102 | Prepare for, participate in meeting with 33Realty (.5); call with C. Higgins re: personnel issues and payments (.2) | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/16/2020 | ERN | R102 | Submit check request for filing fees; Resubmit Illinois annual report and Registered Agent name change to Secretary of State for G& C Mueller Family Partnership, Mueller Painting & Decorating L.P. and Ridgeview Group I Limited Partnership. | 1.30 hrs @ 180.00/hr | 234.00 |
| 07/17/2020 | RTS | R102 | Weekly status call with 33 Realty | 0.50 hrs @ 360.00/hr | 180.00 |
| 07/17/2020 | NNR | R102 | Status call with 33Realty and R. Schultz | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/22/2020 | ERN | R102 | Research Form 202 for Northridge entities; discuss order with E. Anderson to determine what is needed. | 0.60 hrs @ 180.00/hr | 108.00 |
| 07/23/2020 | ERN | R102 | Contact Illinois Secretary of State regarding annual reports and registered agent name changes for G&C Mueller Family Limited Partnership, Mueller Painting & Decorating L.P. and Ridgeview Group I Limited Partnership, | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/23/2020 | ERN | R102 | Revise the annual report for Ridgeview Group I Limited Partnership and resubmit to state; Obtain replacement checks for same. | 0.30 hrs @ 180.00/hr | 54.00 |
| 07/24/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call | 0.50 hrs @ 360.00/hr | 180.00 |
| 07/24/2020 | NNR | R102 | Status call with 33Realty | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/31/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call | 0.30 hrs @ 360.00/hr | 108.00 |
| Total for: Business Operations | | | | | \$2,071.00 |

Case Administration

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Case Administration

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 07/06/2020 | EBA | R103 | Conference with receiver, Lois West and AXOS re Taxpayer Identification Number | 0.50 hrs @ 180.00/hr | 90.00 |
| 07/06/2020 | KT | R103 | Call with claimholder N. Radde. Call with R. Schultz to discuss procedure for claimholder calls | 0.20 hrs @ 280.00/hr | N/C |
| 07/06/2020 | NNR | R103 | Conference call, and resolve issues, re: tax ID number and settlement funds (with Gena S., Eric A. and Lois W.), and discussion with Ira B. re: his experience with same | 0.60 hrs @ 385.00/hr | 231.00 |
| 07/07/2020 | NNR | R103 | Discussion with Gena and T. Stein re: Tax ID numbers (.3); resolve issues re: insurance quotes and replacement policies (.10) | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/07/2020 | RTS | R103 | Emails to and from R. Mitsos re: email production and privilege log status | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/07/2020 | NNR | R103 | review, execute insurance documents | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/09/2020 | RTS | R103 | Telephone conference with N. Reid re: status of all outstanding case matters (.8) | 0.80 hrs @ 360.00/hr | 288.00 |
| 07/10/2020 | NNR | R103 | Discussion with C. Higgins re: payroll issues and review her email re: same | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/13/2020 | LBL | R103 | Emails with R. Schultz and C. Jelks re: service parties/Missouri Secretary of State | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/14/2020 | LBL | R103 | Emails with C. Jelks and R. Schultz re: service list update re: Missouri Secretary of State | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/17/2020 | LBL | R103 | Reviewed communications from MA Securities Division re: Case No. E-2019-0058 and emailed R. Schultz and N. Reid re: same | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/17/2020 | NNR | R103 | Return investor call | 0.20 hrs @ 385.00/hr | N/C |
| 07/20/2020 | RTS | R103 | Email to M. Foster re: case status (.3); review and email to 33 Realty re: June invoice (N/C .2) | 0.30 hrs @ 360.00/hr | 108.00 |
| 07/21/2020 | EBA | R103 | Conferred with E. Navarro re LP corporate filings with N. Reid as Receiver | 0.10 hrs @ 180.00/hr | 18.00 |
| 07/21/2020 | NNR | R103 | Answer T. De Leo questions re: Emerson property | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/22/2020 | EBA | R103 | Conferred with E. Navarro re SOS annual report/change of registered agent issues | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/24/2020 | RTS | R103 | Drafted agenda for all hands status call | 0.30 hrs @ 360.00/hr | 108.00 |
| 07/24/2020 | LBL | R103 | Call with K. Thomas re: demand letters, receiver report, fee application and distribution of outstanding items re: same (.1); managed case files re: recent court filings (.1); emails with C. Jelks re: document management and deadline tracking (.1) | 0.30 hrs @ 285.00/hr | 85.50 |
| 07/27/2020 | KT | R103 | Participate in internal team update call. | 0.50 hrs @ 280.00/hr | 140.00 |

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Case Administration

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 07/27/2020 | EBA | R103 | Conferred with Receiver re Tax ID and creation of Settlement Fund (.1) telephone call to Julia Damasco re same (.1) | 0.20 hrs @ 180.00/hr | 36.00 |
| 07/27/2020 | NNR | R103 | Status call with R. Schultz and team re: open issues, next steps, status of other issues | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/27/2020 | RTS | R103 | Telephone conference with N. Reid et al. re: all hands status of all open matters (.5) | 0.50 hrs @ 360.00/hr | 180.00 |
| 07/27/2020 | LBL | R103 | Fox Swibel team status call re: outstanding action items | 0.50 hrs @ 285.00/hr | 142.50 |
| 07/29/2020 | EBA | R103 | Telephone call with K. Pritchard re TAX ID and Settlement Fund creation | 0.30 hrs @ 180.00/hr | 54.00 |
| 07/30/2020 | EBA | R103 | Conferred with K. Pritchard re Tax ID for Qualified Settlement Fund (.3); conferred with L. West re same (.5); email exchange with Receiver (.1) | 0.90 hrs @ 180.00/hr | 162.00 |
| 07/31/2020 | NNR | R103 | Status call with 33Realty and follow up email to counsel for PI case | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/31/2020 | EBA | R103 | Conference call with Receiver and L. West re obtaining Tax ID number | 0.20 hrs @ 180.00/hr | 36.00 |
| 07/31/2020 | NNR | R103 | Telephone conference with R. Schultz re: status of all outstanding case matters | 0.80 hrs @ 385.00/hr | 308.00 |
| 07/31/2020 | NNR | R103 | Finalize review of report and sign the same (.2); sign payroll tax returns (.2) | 0.40 hrs @ 385.00/hr | 154.00 |

Total for: Case Administration **\$3,027.50**

Claims Administration and Objections

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/02/2020 | KT | R104 | Review and revise claims administration motion. (1.3) Participate in receivership update call. (.7) | 2.00 hrs @ 280.00/hr | 560.00 |
| 07/06/2020 | RTS | R104 | Drafted declaration of AlixPartners in support of motion to approve claims procedures (1.3); revised motion to approve claims procedures (.5); email to AlixPartners re: same (.1) | 1.90 hrs @ 360.00/hr | 684.00 |
| 07/06/2020 | LBL | R104 | Call with K. Thomas re: claims procedures exhibits, demand letters, motion re: authority to settle claims, fee application (.1); emails with R. Schultz re: same (.1) | 0.20 hrs @ 285.00/hr | 57.00 |
| 07/09/2020 | LBL | R104 | Emails with R. Schultz and K. Thomas re: claims procedures/related exhibits (.1); reviewed AlixPartners proof of claims template (.1); call with K. Thomas re: claims procedures/related exhibits (.1) | 0.30 hrs @ 285.00/hr | 85.50 |
| 07/09/2020 | KT | R104 | Draft, review and revise exhibits to Claims Procedure Motion | 5.60 hrs @ 280.00/hr | 1,568.00 |

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Claims Administration and Objections

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/10/2020 | KT | R104 | Call with R. Schultz to discuss edits to claims motion proposed order and other exhibits. (.4) Participate in team call to discuss edits re: same. (.7) Review and revise documents to reflect edits. (.8) | 1.90 hrs @ 280.00/hr | 532.00 |
| 07/10/2020 | RTS | R104 | Reviewed and revised AlixPartners declaration in support of claims procedure motion (.5); Reviewed AlixPartners comments to Claims Procedures motion (.2); reviewed draft exhibits to claim procedure motion and revised same (.5); Telephone conference with K. Thomas re: revisions to draft exhibits to claim procedure motion (.4); Telephone conference with AlixPartners re: claims procedures and supporting declaration (.7) | 2.30 hrs @ 360.00/hr | 828.00 |
| 07/13/2020 | KT | R104 | Review and revise claims administration motion, proposed order and exhibits including incorporating comments. | 3.50 hrs @ 280.00/hr | 980.00 |
| 07/14/2020 | KT | R104 | Finalize, draft and edit claims administration motion, proposed order and other exhibits including incorporating comments. | 3.70 hrs @ 280.00/hr | 1,036.00 |
| 07/15/2020 | NNR | R104 | Review declaration by AlixPartners (.2); analyze, review claims distribution motion and clarify some concepts therein (1.3) | 1.50 hrs @ 385.00/hr | 577.50 |
| 07/16/2020 | NNR | R104 | Review, edit motion to approve claims process and pooling of assets of receivership entities for distribution purposes | 1.50 hrs @ 385.00/hr | 577.50 |
| 07/18/2020 | NNR | R104 | Review, edit claims distribution motion and email to team re: same | 2.30 hrs @ 385.00/hr | 885.50 |
| 07/20/2020 | KT | R104 | Participate in team call with Alix Partners. (.2) Call with R. Schultz about the claims motion and declaration. (.1) Draft and revise claims motion and declaration. (1.9) | 2.20 hrs @ 280.00/hr | 616.00 |
| 07/20/2020 | RTS | R104 | Revised claims procedure motion and all supporting exhibits and declaration | 4.70 hrs @ 360.00/hr | 1,692.00 |
| 07/21/2020 | NNR | R104 | Review, edit revised claims procedure motion and notice of filing proof of claim (1.3); review proof claim form and compare to forms, and email to AlixPartners re: same (.4) | 1.70 hrs @ 385.00/hr | 654.50 |
| 07/21/2020 | RTS | R104 | Reviewed revised claims procedure motion (.2); Telephone conference with AlixPartners re: declaration in support of claims procedure motion (.2); revised same (.2); reviewed AlixPartners's edits to claims procedure motion exhibits and declaration (.3); emails among Fox team re: finalizing same (.3) | 1.20 hrs @ 360.00/hr | 432.00 |
| 07/21/2020 | KT | R104 | Draft and revise edits to claims procedure motion, declaration and exhibits. | 1.80 hrs @ 280.00/hr | 504.00 |

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Claims Administration and Objections

| | | | | | |
|---|-----|------|---|----------------------|--------------------|
| 07/22/2020 | RTS | R104 | Reviewed revised claims procedure motion and all exhibits and supporting declaration, revised same (1.0); email to M. Foster re: same (.1) | 1.10 hrs @ 360.00/hr | 396.00 |
| 07/22/2020 | LBL | R104 | Researched and analyzed authority for claims procedure motion re: net investor/cash in cash out methodology (1.3); emails to/from R. Schultz, N. Reid, and K. Thomas re: same (.4); call with N. Reid re: same (.1) | 1.80 hrs @ 285.00/hr | 513.00 |
| 07/22/2020 | NNR | R104 | Review/revise proof of claim form and discussion with AlixPartners re: same | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/22/2020 | NNR | R104 | Search NAFER materials and investigate/review case law in support of "cash in/cash out" proposition and brief discussion with Brandon Liss re: same | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/28/2020 | NNR | R104 | Review, edit claims procedure motion and convey comments to group | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/30/2020 | KT | R104 | Draft and revise motion for authority to settle claims without court approval | 1.50 hrs @ 280.00/hr | 420.00 |
| 07/31/2020 | KT | R104 | Draft and revise claims settlement motion (.5) call with Alix Partners to discuss claims administration (.5) | 1.00 hrs @ 280.00/hr | 280.00 |
| Total for: Claims Administration and | | | | | \$14,494.50 |

Status Reports

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/22/2020 | RTS | R110 | Drafted second amended liquidation plan (.6) | 0.60 hrs @ 360.00/hr | 216.00 |
| 07/23/2020 | RTS | R110 | Drafting second amended liquidation plan (1.5); drafting third receiver report (1.5) | 3.00 hrs @ 360.00/hr | 1,080.00 |
| 07/23/2020 | LBL | R110 | Reviewed financial documents needed for receiver's report and emailed applicable parties requesting same | 0.30 hrs @ 285.00/hr | 85.50 |
| 07/24/2020 | RTS | R110 | Drafted and revised third receiver report and second amended liquidation plan (1.0) | 1.00 hrs @ 360.00/hr | 360.00 |
| 07/24/2020 | LBL | R110 | Emails with R. Podorovsky (Axos) and E. Weber (33 Realty) re: financials for Receiver Report (.2); reviewed and revised draft Receiver Report/Liquidation Plan (2.8) | 3.00 hrs @ 285.00/hr | 855.00 |
| 07/25/2020 | LBL | R110 | Reviewed and revised draft Receiver Report/Liquidation Plan | 1.70 hrs @ 285.00/hr | 484.50 |
| 07/26/2020 | LBL | R110 | Reviewed and revised draft receiver report/liquidation plan (.7); emails with R. Schultz and N. Reid re: same and professionals re: same/obtaining billing data for Third Receiver Report (.3) | 1.00 hrs @ 285.00/hr | 285.00 |

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Status Reports

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 07/27/2020 | LBL | R110 | Revised draft Third Receiver Report and worked on related exhibits (1.6); emails with professionals re: exhibits/finanials for same (.1); emailed R. Schultz and N. Reid re: same (.1); reviewed N. Reid comments re: same and emailed follow-up comments/questions in response and to Axos re: same (.2) | 2.00 hrs @ 285.00/hr | 570.00 |
| 07/27/2020 | NNR | R110 | Edit, revise quarterly report and SFAR | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/27/2020 | RTS | R110 | Reviewed revisions to third receiver report from N. Reid and responded to questions re: same | 0.20 hrs @ 360.00/hr | 72.00 |
| 07/28/2020 | LBL | R110 | Emails with Axos and N. Reid re: follow up explanations of SFAR figures | 0.10 hrs @ 285.00/hr | 28.50 |
| 07/30/2020 | LBL | R110 | Reviewed draft receiver report/related materials to confirm remaining outstanding information/materials and emailed R. Schultz and N. Reid re: same | 0.30 hrs @ 285.00/hr | 85.50 |
| 07/31/2020 | LBL | R110 | Reviewed emails sent from AlixPartners re: scope of work performed during third reporting period and agreed discounted services (.1); revised receiver report (1.4); emails with N. Reid and R. Schultz re: revised receiver report (.2); coordinated filing of same (.1); managed case files re: filed versions and sent same to AlixPartners for posting to case website (.2) | 2.00 hrs @ 285.00/hr | 570.00 |
| 07/31/2020 | RTS | R110 | Finalizing Receiver's third report and second amended liquidation plan | 0.40 hrs @ 360.00/hr | 144.00 |

Total for: Status Reports

\$4,990.00

Forensic Accounting

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 07/02/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call and support for motion to approve claims procedures (.6) | 0.60 hrs @ 360.00/hr | 216.00 |
| 07/02/2020 | NNR | R112 | Conference with Alix Partners and RTS re: financial analysis of investor accounts, status, distribution motion and next steps and review powerpoint re: same | 0.60 hrs @ 385.00/hr | 231.00 |
| 07/02/2020 | LBL | R112 | Telephone conference with AlixPartners and Fox Swibel team re: status of AlixPartners tasks | 0.70 hrs @ 285.00/hr | 199.50 |
| 07/10/2020 | LBL | R112 | AlixPartners/Fox Swibel status telephone conference re: status of forensic accounting items, claims procedures | 0.70 hrs @ 285.00/hr | 199.50 |
| 07/20/2020 | NNR | R112 | Status call with AlixPartners | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/20/2020 | LBL | R112 | Status call with Fox Swibel and AlixPartners | 0.20 hrs @ 285.00/hr | 57.00 |
| 07/24/2020 | LBL | R112 | Fox Swibel/AlixPartners status telephone conference | 0.70 hrs @ 285.00/hr | 199.50 |

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Forensic Accounting

| | | | | | |
|---------------------------------------|-----|------|--|----------------------|-------------------|
| 07/24/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call (claims process and insider claims analysis) | 0.70 hrs @ 360.00/hr | 252.00 |
| 07/24/2020 | NNR | R112 | Status call with AlixPartners re: status, update on research and next steps | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/24/2020 | KT | R112 | Call with Alix Partners to discuss claims administration. | 0.70 hrs @ 280.00/hr | 196.00 |
| 07/31/2020 | LBL | R112 | Status call with Fox Swibel and AlixPartners teams | 0.50 hrs @ 285.00/hr | 142.50 |
| 07/31/2020 | NNR | R112 | Conference call with AlixPartners and team re: updates | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/31/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call | 0.50 hrs @ 360.00/hr | 180.00 |
| Total for: Forensic Accounting | | | | | \$2,412.00 |

Tax Issues

| | | | | | |
|------------------------------|-----|------|---|----------------------|-------------------|
| 07/31/2020 | TWS | R113 | Research re: EIN for qualified settlement fund | 3.00 hrs @ 385.00/hr | 1,155.00 |
| 07/31/2020 | NNR | R113 | Address/resolve TAX ID and IRS interest disallowance issues with Lois West, Eric Anderson and Terry Stein | 0.30 hrs @ 385.00/hr | 115.50 |
| Total for: Tax Issues | | | | | \$1,270.50 |

Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 07/01/2020 | NNR | R116 | Review, edit, revise sale order (including to include concept of sale to alternative bidder) | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/01/2020 | RTS | R116 | Emails to and from N. Reid re: form PSA comments (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 07/01/2020 | SBS | R116 | Attention to emails regarding form of PSA | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/06/2020 | SBS | R116 | Attention to emails re: sale issues | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/07/2020 | NNR | R116 | Review bids and participate in conference call with D. Cohen, R. Schultz and S. Shellenback re: next steps, strategy | 1.00 hrs @ 385.00/hr | 385.00 |
| 07/07/2020 | RTS | R116 | Emails to and from D. Cohen re: best and final round status (.1); emails among N. Reid and 33 Realty re: issues with property raised by G. Mueller (.2) | 0.30 hrs @ 360.00/hr | 108.00 |
| 07/07/2020 | NNR | R116 | Resolve issues re: potential violations of ordinance related to the property and email to monico firm, R. Schultz and 33Realty re: same | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/08/2020 | SBS | R116 | Attention to email re: sale issues | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/09/2020 | SBS | R116 | Attention to pre-closing inspection questions (.2); brief review of summary materials on bids (.3); call with CBRE team to review bids (.8) | 1.30 hrs @ 385.00/hr | 500.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/09/2020 | RTS | R116 | Telephone conference with CBRE re: review of best and final offers | 0.80 hrs @ 360.00/hr | 288.00 |
| 07/10/2020 | SBS | R116 | Attention to email (.3); attention to water bill questions (.2) | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/14/2020 | SBS | R116 | Brief review of bidder materials (.3); attention to specific performance remedy (.1) | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/14/2020 | NNR | R116 | Review bids (.8), review markup of comments to the PSA (1.3); prepare for buyer interviews (.8) | 2.90 hrs @ 385.00/hr | 1,116.50 |
| 07/15/2020 | SBS | R116 | Reviewed buyer submission materials in advance of buyer interviews (2.3); Buyer interviews (with N. Reid, R. Schultz and D. Cohen) (3.0) | 5.30 hrs @ 385.00/hr | 2,040.50 |
| 07/15/2020 | NNR | R116 | Further review bids, draft outline re: questions and email dialogue with team re: interviews this afternoon (2.3); prepare for, conduct interview of buyers for Timberlake (3.5); follow up discussion with R. Schultz re: initial reaction (.2) | 6.00 hrs @ 385.00/hr | 2,310.00 |
| 07/15/2020 | RTS | R116 | Prepared for buyer interviews (1.0); conducted buyer interviews (3.5); telephone conference with N. Reid re: buyer interviews (.2) | 4.70 hrs @ 360.00/hr | 1,692.00 |
| 07/16/2020 | SBS | R116 | Attention to PSA comments provided by buyer bidders to assist in analysis of offers | 1.20 hrs @ 385.00/hr | 462.00 |
| 07/16/2020 | SAS | R116 | Review title and survey for timber lake. A104 | 2.50 hrs @ 310.00/hr | 775.00 |
| 07/17/2020 | SBS | R116 | Attention to review of various offer, including that from Turner Impact (1.0); call with Turner Impact team (.6); call with N. Reid, R. Schultz and D. Cohen to review offers (.3) | 1.90 hrs @ 385.00/hr | 731.50 |
| 07/17/2020 | RTS | R116 | Reviewed updated buyer offers (.1); revised offer matrix (.1); reviewed Turner buyer materials and revised offer(.1); email to D. Cohen et al. re: Turner revised offer (.1); buyer interview (Turner) (.6); Telephone conference with D. Cohen et al. re: review of all final offers (.3) | 1.40 hrs @ 360.00/hr | 504.00 |
| 07/17/2020 | NNR | R116 | Review Turner bid materials (.7); participate in buyer interview with team (.6); follow up discussion with R. Schultz, S. Shellenback, D. Cohen re: same (.3) | 1.60 hrs @ 385.00/hr | 616.00 |
| 07/20/2020 | SBS | R116 | Calls with CBRE, N. Reid and R. Schultz on offers (.9); reviewed PSA comments from contending bidders (.2) | 1.10 hrs @ 385.00/hr | 423.50 |
| 07/20/2020 | RTS | R116 | Met w N. Reid re: selection of buyer (.5); Telephone conferences with D. Cohen re: selection of buyer (.9); emails re: selection of buyer (.2) | 1.60 hrs @ 360.00/hr | 576.00 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 07/20/2020 | NNR | R116 | Discussion with D. Cohen, R. Schultz and S. Shellenback re: strategy, next steps, evaluation of final offers | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/20/2020 | NNR | R116 | Discussion with R. Schultz re: strategy on sale of Timberlake | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/20/2020 | NNR | R116 | respond to D. Cohen email re: response to Manna | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/20/2020 | NNR | R116 | discussion with R. Schultz, S. Shellenback and D. Cohen re: evaluation of offers | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/21/2020 | RTS | R116 | Emails re: setting up kick off call with buyer | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/21/2020 | NNR | R116 | Respond to various emails re: next steps for TL property and reaction of other buyers | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/21/2020 | SBS | R116 | Attention to Buyer's narrative comments on PSA | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/22/2020 | RTS | R116 | Telephone conference with Turner Impact and counsel re: deal kick-off call (.4); email from Turner re: initial due diligence and touring units (.1) | 0.50 hrs @ 360.00/hr | 180.00 |
| 07/22/2020 | NNR | R116 | Discussion with Turner team and S. Shellenback and R. Schultz re: kick off call for sale of TL | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/22/2020 | SBS | R116 | Reviewed Turner Impact's outline of comments on PSA (.3); prepared for kick-off call with Turner Impact (.1); call with Turner Impact and its counsel (.4); reviewed due diligence requests (.7) | 1.50 hrs @ 385.00/hr | 577.50 |
| 07/23/2020 | SBS | R116 | Call with R. Storck re: due diligence | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/24/2020 | RTS | R116 | Telephone conference with G. Kim re: coordination of inspection of units and other open issues (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 07/25/2020 | SBS | R116 | Reviewed Purchaser's comments on PSA (1.0); call with N. Reid to discuss PSA (.3) | 1.30 hrs @ 385.00/hr | 500.50 |
| 07/26/2020 | SBS | R116 | Reviewed R. Schultz's comments on Purchaser's redraft of PSA | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/26/2020 | RTS | R116 | Reviewed revised PSA from Turner and drafted email to S. Shellenback re: comments to same (.7) | 0.70 hrs @ 360.00/hr | 252.00 |
| 07/27/2020 | SBS | R116 | Worked on revisions to PSA (3.8); emails to 33 Realty outlining needed due diligence items (.3); internal status call with Fox Swibel team (.1) | 4.20 hrs @ 385.00/hr | 1,617.00 |
| 07/27/2020 | RTS | R116 | Reviewed revised PSA with Turner | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/27/2020 | NNR | R116 | Review, edit PSA and ancillary documents (1.2), and email to S. Shellenback and R. Schultz re: same (.1) | 1.30 hrs @ 385.00/hr | 500.50 |
| 07/28/2020 | NNR | R116 | Discussion with S. Shellenback re: PSA issues | 0.30 hrs @ 385.00/hr | 115.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|--------------|--|----------------------|----------|
| 07/28/2020 | SBS | R116 | Finalized revisions to PSA (.6); email to Purchaser's counsel re: PSA (.1); inquiry to 33 Realty re: status of real estate tax appeal (.1); call with N. Reid re: PSA (.3) | 1.10 hrs @ 385.00/hr | 423.50 |
| 07/29/2020 | NNR | R116 | Discussion with Dan Cohen re: summary of marketing process for sale of TLA | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/29/2020 | SBS | R116 | Reviewed FSLC files for due diligence delivery materials (1.0); Emails with 33 Realty re: due diligence deliveries (.2) | 1.20 hrs @ 385.00/hr | 462.00 |
| 07/30/2020 | MB | R116 A111 | Continue preparing tax bills for due diligence documents | 1.10 hrs @ 180.00/hr | 198.00 |
| 07/30/2020 | NNR | R116 | Conference call with buyer's counsel and S. Shellenback re: Section 20 changes to the agreement (.4); edit, revise draft language addressing Purchaser's successor liability claims, and discussion with B. Gloneck re: potential insurance coverage (1.0) | 1.40 hrs @ 385.00/hr | 539.00 |
| 07/30/2020 | MB | R116 A111 | Call city of West Chicago re: deed certification (.1); pull the same from city website and forward to S. Shellenback (.1) | 0.20 hrs @ 180.00/hr | 36.00 |
| 07/30/2020 | NNR | R116 | Review PSA markup and email to S. Shellenback re: same | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/30/2020 | SBS | R116 | Follow up emails to 33 Realty (.2); call with N. Reid and Purchaser's attorney (.3); revised Purchase and Sale Agreement (2.2); attention to due diligence (.5) | 3.20 hrs @ 385.00/hr | 1,232.00 |
| 07/31/2020 | NNR | R116 | Discussion with S. Shellenback re: TLA contract and resolve issues/confer with S. Shellenback re: same | 0.80 hrs @ 385.00/hr | 308.00 |
| 07/31/2020 | MB | R116 A111 | Communicate with title company re: changes to escrow agreement | 0.30 hrs @ 180.00/hr | 54.00 |
| 07/31/2020 | SBS | R116 | Attention to revisions to Purchase and Sale Agreement (2.9); attention to schedules to Purchase and Sale Agreement (1.2); attention to due diligence requests (.8); attention to responding to emails on transaction (.4) | 5.30 hrs @ 385.00/hr | 2,040.50 |

Total for: Asset Disposition – Timber \$23,741.50

Asset Disposition - Bartlett

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 07/01/2020 | RTS | R117 | Emails with S. Shellenback and E. Weber re: tax appeal fee payment issues (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/01/2020 | SBS | R117 | Attention to question of allocation of attorneys' fees for tax appeal (.7); responded to emails on attorneys' fees for tax appeal (.2) | 0.90 hrs @ 385.00/hr | 346.50 |
| 07/02/2020 | SBS | R117 | Attention to invoice for fees for assessment reduction (.1); attention to post-closing proration adjustments (.4) | 0.50 hrs @ 385.00/hr | 192.50 |

N. Neville Reid, not individually but as receiver
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Asset Disposition - Bartlett

| | | | | | |
|--|-----|--------------|--|----------------------|-------------------|
| 07/06/2020 | SBS | R117 | Call with E. Weber of 33 Realty re: post-closing prorations | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/09/2020 | RTS | R117 | Emails with Monument counsel and 33 Realty re: post-closing issues | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/19/2020 | SBS | R117 | Attention to post-closing adjustments with purchaser | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/21/2020 | SBS | R117 | Follow up with 33 Realty on post - closing adjustments | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/27/2020 | SBS | R117 | Status call on post-closing with Fox Swibel team | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/29/2020 | MB | R117 A111 | Research DuPage County recorder website for tax bills(.3); start preparing tax documents as part of due diligence documents (.8) | 1.10 hrs @ 180.00/hr | 198.00 |
| 07/31/2020 | RTS | R117 | Drafted letter to Monument re: demand for post-closing amounts due under PSA | 0.70 hrs @ 360.00/hr | 252.00 |
| 07/31/2020 | SBS | R117 | Attention to post-closing | 0.20 hrs @ 385.00/hr | 77.00 |
| Total for: Asset Disposition - Bartlett | | | | | \$1,369.00 |

Asset Disposition - Surrey

| | | | | | |
|------------|-----|--------------|---|----------------------|--------|
| 07/02/2020 | SBS | R118 | Attention to emails re: sale issues | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/06/2020 | SBS | R118 | Drafted Assignment of Developer Rights | 2.00 hrs @ 385.00/hr | 770.00 |
| 07/07/2020 | MB | R118 A111 | Communicate with buyer's attorney re: assignment | 0.10 hrs @ 180.00/hr | 18.00 |
| 07/07/2020 | SBS | R118 | Attention to closing preparations | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/07/2020 | NNR | R118 | Edit, revise Surrey closing documents | 0.80 hrs @ 385.00/hr | 308.00 |
| 07/08/2020 | RTS | R118 | Reviewed updated payoff letter from Parkway Bank and email to C. Higgins re: same | 0.10 hrs @ 360.00/hr | 36.00 |
| 07/08/2020 | MB | R118 A111 | Continue drafting and updating closing documents (2.6); discuss closing items with S. Shellenback (.3); submit closing documents to Receiver for review and signatures (.3) | 3.40 hrs @ 180.00/hr | 612.00 |
| 07/08/2020 | SBS | R118 | Attention to closing preparations (.8); attention to email re: closing (.2) | 1.00 hrs @ 385.00/hr | 385.00 |
| 07/09/2020 | MB | R118 A111 | Attention to closing items needed for closing (.6); communicate re: payoff (.2); communicate with buyer's attorney (.1) | 0.90 hrs @ 180.00/hr | 162.00 |
| 07/09/2020 | SBS | R118 | Attention to question on payoff of accounts payable from sale proceeds (.2); call with R. Schultz (.1); closing preparations (.2) | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/09/2020 | RTS | R118 | Telephone conference with S. Shellenback re: association true up issues | 0.20 hrs @ 360.00/hr | 72.00 |

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Asset Disposition – Surrey

| | | | | | | |
|------------|-----|------|------|--|----------------------|--------|
| 07/10/2020 | MB | R118 | A111 | Attention to closing items; preparing file for closing | 1.40 hrs @ 180.00/hr | 252.00 |
| 07/10/2020 | SBS | R118 | | Attention to closing document (Assignment of Developer Obligations) | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/11/2020 | SBS | R118 | | Closing preparations | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/13/2020 | SBS | R118 | | Closing preparations | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/13/2020 | MB | R118 | A111 | Attention to correspondence (.1); communicate with village of Glenn Ellyn re: pre-closing requirements (.5); communicate with property manager (.2) | 0.80 hrs @ 180.00/hr | 144.00 |
| 07/14/2020 | SBS | R118 | | Closing preparations | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/14/2020 | MB | R118 | A111 | Attention to correspondence (.1); update bulk sale forms and submit (.5); communicate with water department (.4); review received signature pages from receiver (.3); notarized the documents (.5); prepare package to land trust (1.0) | 2.80 hrs @ 180.00/hr | 504.00 |
| 07/15/2020 | SBS | R118 | | Closing preparations | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/15/2020 | MB | R118 | A111 | Attention to correspondence re: bulk sales (.1); call state of Illinois revenue department (.3); attention to correspondence re: water bills (.1); further assembly of closing documents (.6) | 1.10 hrs @ 180.00/hr | 198.00 |
| 07/16/2020 | SBS | R118 | | Closing preparations | 1.80 hrs @ 385.00/hr | 693.00 |
| 07/16/2020 | MB | R118 | A111 | Attention to outstanding closing items (.1); send follow e-mails (.1); update closing checklist; review tax bills (.3); prepare tax prorations (1.5); start to prepare closing statement (1.0) | 3.30 hrs @ 180.00/hr | 594.00 |
| 07/17/2020 | MB | R118 | A111 | Attention to items needed for closing (.2); communicate with Land Trust re: deed (.2); communicate with IDES re: bulk sales (.2); continue updating closing statement (2.2); prepare for and participate in the phone call with management company (.5); prepare draft of Paid Assessment Letter (.5); discuss name assignment with buyer's attorney (.3); communicate with the land trust re: new deed (.3) | 4.40 hrs @ 180.00/hr | 792.00 |
| 07/17/2020 | SBS | R118 | | Closing preparations (1.0); call with N. Reid, 33 Realty and C. Higgins to discuss handling of sale proceeds to satisfy unpaid bills and handling of potential inspection issues from Village of Glen Ellyn (.7) | 1.70 hrs @ 385.00/hr | 654.50 |
| 07/17/2020 | NNR | R118 | | Conference call re: pre-closing items for Surrey closing | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/17/2020 | DVG | R118 | A111 | Update Buyer name in Closing Documents; Prepare signature pages packet; E-mails with M. Bogdanowicz and S. Shellenback regarding same. | 0.60 hrs @ 180.00/hr | 108.00 |

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Asset Disposition – Surrey

| | | | | | |
|------------|------------|------|---|----------------------|----------|
| 07/19/2020 | SBS | R118 | Attention to closing preparations | 0.90 hrs @ 385.00/hr | 346.50 |
| 07/20/2020 | SBS | R118 | Closing preparations | 1.40 hrs @ 385.00/hr | 539.00 |
| 07/20/2020 | NNR | R118 | Sign closing documents and send to M. Bogdanowicz | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/20/2020 | MB A111 | R118 | Talk to Illinois Department of Employment (.6); update and submit IDES forms (1.6); attention to communication re: rent roll and paid assessment letter (.4); update closing statement (.5); discuss outstanding items with S. Shellenback (.3) | 3.40 hrs @ 180.00/hr | 612.00 |
| 07/21/2020 | MB A111 | R118 | Review received original signatures (.3); print closing documents and collate with signatures (1.7); update transfer declarations (.5); update closing checklist (.2); identify items still needed for closing and send follow up e-mails (.2); attention to closing items (.5) | 3.40 hrs @ 180.00/hr | 612.00 |
| 07/21/2020 | NNR | R118 | Respond to various emails re: closing documents and issues for Surrey closing | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/21/2020 | SBS | R118 | Closing preparations | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/22/2020 | MB A111 | R118 | Attention to closing items (.2); prepare seller's escrow instructions (1.6); prepare closing package going to title company (1.7); finalize closing documents (.3); update closing figures (.3); review updated title commitment (.4); calculate new tax credit (1.1) | 5.60 hrs @ 180.00/hr | 1,008.00 |
| 07/22/2020 | NNR | R118 | Review closing document and respond to S. Shellenback re: same | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/22/2020 | SBS | R118 | Closing preparations | 1.40 hrs @ 385.00/hr | 539.00 |
| 07/23/2020 | MB A111 | R118 | Attention to outstanding closing items (.3); work with title company and buyer's attorney re: same (.5); update closing figures (1.2); review closing statement (.7); request changes to closing statement (.2) | 2.90 hrs @ 180.00/hr | 522.00 |
| 07/23/2020 | SBS | R118 | Closing preparations | 1.50 hrs @ 385.00/hr | 577.50 |
| 07/24/2020 | MB A111 | R118 | Attention to closing matters | 3.10 hrs @ 180.00/hr | 558.00 |
| 07/24/2020 | SBS | R118 | Drafted various post-closing agreements (2.0); attention to closing (4.0) | 6.00 hrs @ 385.00/hr | 2,310.00 |
| 07/24/2020 | NNR | R118 | Review/edit/sign documents for Surrey closing | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/25/2020 | SBS | R118 | Forwarded draft turnover letter to Purchaser's counsel | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/27/2020 | SBS | R118 | Status call with Fox Swibel team | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/27/2020 | MB A111 | R118 | Attention to post closing items (.1); prepare Seller's Closing Statement for execution (.4) | 0.50 hrs @ 180.00/hr | 90.00 |

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Asset Disposition – Surrey

| | | | | | |
|--|-----|--------------|---|----------------------|--------------------|
| 07/28/2020 | MB | R118 A111 | Attention to post closing items(.2); docket tax reparation date(.1); e-mail Seller's closing statement to J. Woods for review and execution(.1) | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/28/2020 | SBS | R118 | Attention to post-closing follow-up (.3); inquiry to 33 Realty re: status of tax appeal (.1) | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/30/2020 | SBS | R118 | Attention to post-closing matters | 0.40 hrs @ 385.00/hr | 154.00 |
| Total for: Asset Disposition – Surrey | | | | | \$16,360.00 |

Asset Disposition – Elston

| | | | | | |
|------------|-----|--------------|---|----------------------|--------|
| 07/02/2020 | SBS | R119 | Research IL Secretary of State website for formation of purchaser entity (.1); attention to emails from N. Reid (.2); reviewed draft closing statement (.2); finalized PSA (.2); attention to rent receivables(.2) | 0.90 hrs @ 385.00/hr | 346.50 |
| 07/02/2020 | NNR | R119 | resolve issues re: Elston sale, bank consent and final version of PSA and emails to team, bank counsel re: same | 0.40 hrs @ 385.00/hr | 154.00 |
| 07/02/2020 | MB | R119 A111 | Update preliminary closing statement | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/03/2020 | SBS | R119 | Reviewed emails from lender (.1); email to N. Reid re: tax reparation and status of PSA (.1) | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/06/2020 | SBS | R119 | Review Secretary of State website for Purchaser entity (.1); final revisions to PSA (.4) | 0.50 hrs @ 385.00/hr | 192.50 |
| 07/06/2020 | NNR | R119 | Review, sign sale agreement | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/08/2020 | SBS | R119 | Attention to email on PSA execution | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/09/2020 | MB | R119 A111 | Attention to correspondence (.2); prepare escrow instructions for earnest money (.3); communicate with Receiver re: signature to same (.1); collate signatures to PSA (.5); communicate with title company re: escrow (.1); order title update (.1) | 1.30 hrs @ 180.00/hr | 234.00 |
| 07/10/2020 | MB | R119 A111 | Communicate with title company and buyer's attorney re: earnest money | 0.10 hrs @ 180.00/hr | 18.00 |
| 07/11/2020 | SBS | R119 | Follow up with 33 Realty on accounts receivable (rents) | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/13/2020 | MB | R119 A111 | Follow up communication re: earnest money deposit | 0.20 hrs @ 180.00/hr | 36.00 |
| 07/13/2020 | NNR | R119 | Process insurance payment and closing documents for Surrey | 0.30 hrs @ 385.00/hr | 115.50 |
| 07/14/2020 | SBS | R119 | Attention to email on Purchaser's desire to inspect property (.2); responded to Purchaser's email inquiry on timing of closing (.2) | 0.40 hrs @ 385.00/hr | 154.00 |

N. Neville Reid, not individually but as receiver
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Asset Disposition – Elston

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 07/14/2020 | MB | R119 | A111 Attention to correspondence (.1); provide copy of the PSA to Parkway Bank 9.1); communicate with title company re: escrow for earnest money (.1); send copy of title to buyer's attorney (.1) | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/16/2020 | MB | R119 | A111 Review Purchase and Sale Agreement (.5); docket important dates, note closing facts and documents (.5); start drafting closing checklist (1.1) | 2.10 hrs @ 180.00/hr | 378.00 |
| 07/20/2020 | SBS | R119 | Followed up on accounts receivable | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/20/2020 | MB | R119 | A111 Attention to correspondence; update closing statement | 0.10 hrs @ 180.00/hr | 18.00 |
| 07/27/2020 | NNR | R119 | Follow up with D. Hyman and S. Shellenback re: Elston sale and status of lead buyer | 0.10 hrs @ 385.00/hr | 38.50 |
| 07/28/2020 | NNR | R119 | Review, edit Elston agreement (1.4); call to S. Shellenback and emails to S. Fyock re: status of offer and mortgage balance (.2) | 1.60 hrs @ 385.00/hr | 616.00 |
| 07/28/2020 | SBS | R119 | Email to Purchaser's counsel re: status (.1); attention to short-sale agreement (.6) | 0.70 hrs @ 385.00/hr | 269.50 |
| 07/28/2020 | RTS | R119 | Reviewed and revised motion to approve sale (.4) | 0.40 hrs @ 360.00/hr | 144.00 |
| 07/29/2020 | NNR | R119 | Edit, revise Elston motion including resolve issues re: bank coverage of legal fees as part of waterfall | 1.10 hrs @ 385.00/hr | 423.50 |
| 07/29/2020 | MB | R119 | A111 Attention to correspondence (.2); communicate with title company re: title objections and owner's policy proforma (.2) | 0.40 hrs @ 180.00/hr | 72.00 |
| 07/29/2020 | NNR | R119 | Confer with D. Hyman and S. Shellenback re: information needed for sale approval motion | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/29/2020 | SBS | R119 | Reviewed draft of sale motion(.5); reviewed PSA for critical dates (.2); various emails with Purchaser's attorney, N. Reid and Millennium Properties re: proceeding to closing (.5) | 1.20 hrs @ 385.00/hr | 462.00 |
| 07/30/2020 | NNR | R119 | Discussion with S. Fyock re: bank covering some of the Elston fees | 0.20 hrs @ 385.00/hr | 77.00 |
| 07/31/2020 | NNR | R119 | Edit, revise sale motion and discussion with D. Hyman re: marketing issues | 1.50 hrs @ 385.00/hr | 577.50 |

Total for: Asset Disposition – Elston

\$4,778.50

Fee Applications

| | | | | | |
|------------|-----|------|--|----------------------|-----|
| 07/08/2020 | RTS | R121 | Telephone conference with M. Foster re: 2020 Q 1 fee application and SEC comments to same; email to N. Reid re: same; Email to AlixParnters re: same | 0.60 hrs @ 360.00/hr | N/C |
| 07/08/2020 | LBL | R121 | Emails with R. Schultz and N. Reid re: tasks for completion of fee application based upon SEC review/feedback | 0.10 hrs @ 285.00/hr | N/C |

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Fee Applications

| | | | | | |
|------------|-----|------|--|----------------------|-----|
| 07/09/2020 | LBL | R121 | Redacted AP sensitive information (.2); reviewed exhibits and Fox Swibel invoices for revisions to fee application (.8); emails with accounting and R. Schultz re: revisions to billing amounts for fee application (.2); emails with R. Schultz and N. Reid re: revisions pursuant to SEC review of fee application (.1) | 1.30 hrs @ 285.00/hr | N/C |
| 07/15/2020 | LBL | R121 | Emails with R. Schultz re: revisions to fee applications exhibits | 0.10 hrs @ 285.00/hr | N/C |
| 07/16/2020 | LBL | R121 | Emails with Fox Swibel accounting re: updated information for revisions to fee application; revised fee application and related exhibits | 0.10 hrs @ 285.00/hr | N/C |
| 07/17/2020 | LBL | R121 | revised fee application and related exhibits and emailed R. Schultz and N. Reid re: same | 2.20 hrs @ 285.00/hr | N/C |
| 07/20/2020 | LBL | R121 | Reviewed R. Schultz comments re: revisions to fee application (.1); emails to R. Shultz, N. Reid, R. Podorovsky (Axos) and E. Weber (33 Realty) re: funds in Axos and operating accounts (.1) | 0.20 hrs @ 285.00/hr | N/C |
| 07/21/2020 | LBL | R121 | Emails with R. Podorovsky, C. Higgins, and E. Weber re: funds of receivership (.1); reviewed and analyzed same for fee application (.5); emailed Receiver's professionals re: certification of fee application (.1); revised fee application and prepared same for final review/Receiver's professionals' review (1.0); emails with R. Schultz and N. Reid re: same (.1) | 1.80 hrs @ 285.00/hr | N/C |
| 07/22/2020 | LBL | R121 | Updated fee application to include certification received from Plante Moran | 0.10 hrs @ 285.00/hr | N/C |
| 07/23/2020 | LBL | R121 | Exchanged emails with L. West re: fee application certification (.1); reviewed fee application and finalized fee application for filing (1.0).; emails with R. Schultz re: same (.1); managed case files re: file stamped fee application/exhibits (.1) | 1.30 hrs @ 285.00/hr | N/C |
| 07/28/2020 | RTS | R121 | Reviewed AlixPartners invoices for April and May 2020 (.5); Telephone conference with AlixPartners re: comments to same (.5); email to AlixPartners re: comments to same (.5); reviewed Fox Swibel invoices and comments to same for May and June 2020 (2.0) | 3.50 hrs @ 360.00/hr | N/C |
| 07/29/2020 | NNR | R121 | Conference call with AlixPartners re: bills, discount and discussion with R. Schultz re: same | 0.40 hrs @ 385.00/hr | N/C |
| 07/29/2020 | RTS | R121 | Review of AlixPartners June invoice (.3); analysis of AlixPartners fee reduction and hold back proposal and email to N. Reid re: same (.3); reviewed revised June Fox Swibel invoice for fee application (.3) | 0.90 hrs @ 360.00/hr | N/C |
| 07/30/2020 | NNR | R121 | Review AlixPartners bills and discuss with RTS re: proposal going forward | 0.80 hrs @ 385.00/hr | N/C |
| 07/30/2020 | RTS | R121 | Met w N. Reid re: AlixPartners invoice review (.4); Telephone conference with D. O'Connor re: same (.3) | 0.70 hrs @ 360.00/hr | N/C |

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Fee Applications

| | | | | | |
|---|-----|------|--|----------------------|----------------------|
| 07/31/2020 | RTS | R121 | Attention to finalizing fee application for Q1 | 0.20 hrs @ 360.00/hr | N/C |
| <i>Total for: Fee Applications</i> | | | | | <u>\$0.00</u> |
| Total Professional Services: | | | | | \$79,814.50 |
| <i>Total No Charge (N/C):</i> | | | | | \$4,771.00 |

DISBURSEMENTS

| | | | | |
|-----------------------------|--|---|--|--------------------------|
| 07/01/2020 | Innovative Service Technology Management Services, Inc.-103463 | Hosted Review - Monthly Data Storage per GB for the month of June, 2020 | | \$834.56 |
| 07/02/2020 | FedEx-7-053-84342 | Delivery on 06/16/20 returned to Margy Bogdanowicz | | \$22.48 |
| 07/02/2020 | FedEx-7-053-84342 | Delivery on 06/22/20 to Jacqueline Martindale at Chicago Title Insurance Company | | \$17.75 |
| 07/16/2020 | Illinois Secretary of State-07/16/20-2 | IL Filing fees for Illinois annual report and Registered Agent change for Mueller Painting & Decorating, L.P. | | \$150.00 |
| 07/16/2020 | Illinois Secretary of State-07/16/20 | IL-1 Filing fees for Illinois annual report and Registered Agent change for G&C Mueller Family Limited Partnership. | | \$150.00 |
| 07/22/2020 | FedEx-7-072-88906 | Delivery on 07/13/20 returned to Margy Bogdanowicz | | \$22.65 |
| 07/22/2020 | FedEx-7-072-88906 | Delivery on 07/17/20 returned to Margy Bogdanowicz | | \$22.65 |
| 07/22/2020 | FedEx-7-072-88906 | Delivery on 07/14/20 to Patricia Martinez at Parkway Bank | | \$17.83 |
| 07/23/2020 | Illinois Office of the Secretary of State-07/23/20-2 | Ridgeveiw Group I Limited Partnership's annual report | | \$100.00 |
| 07/23/2020 | Illinois Secretary of State-07/23/20-1 | Ridgeveiw Group I Limited Partnership's change of registered agent. | | \$50.00 |
| 07/29/2020 | FedEx-7-079-13475 | Delivery on 07/20/20 returned to Margy Bogdanowicz | | \$22.70 |
| 07/29/2020 | FedEx-7-079-13475 | Delivery on 07/22/20 to Jacqueline Martindale of Chicago Title Insurance Company | | \$17.88 |
| Total Disbursements: | | | | <u>\$1,428.50</u> |

N. Neville Reid, not individually but as receiver
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| <u>ID</u> | <u>Timekeeper Name</u> | <u>Hours</u> | <u>Total Fees</u> |
|-----------|--------------------------|--------------|-------------------|
| NNR | Reid, Neville N | 45.30 | \$16,901.50 |
| TWS | Stein, Terry W | 3.00 | \$1,155.00 |
| RTS | Schultz, Ryan T | 44.70 | \$13,968.00 |
| SBS | Shellenback, Stephanie B | 59.00 | \$22,715.00 |
| SAS | Schonfeld, Scott A | 2.50 | \$775.00 |
| LBL | Liss, Brandon | 24.00 | \$4,788.00 |
| KT | Thomas, Kenneth | 37.10 | \$10,332.00 |
| MB | Bogdanowicz, Margy | 45.20 | \$8,136.00 |
| ERN | Navarro, Erica R | 2.60 | \$468.00 |
| EBA | Anderson, Eric B | 2.60 | \$468.00 |
| DVG | Gac, Danielle | 0.60 | \$108.00 |

BILL SUMMARY

TOTAL CHARGES THIS INVOICE

\$81,243.00

BALANCE DUE UPON RECEIPT

\$81,243.00

FOX SWIBEL**Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000
Chicago, IL 60606
(312) 224-1200

November 16, 2020

N. Neville Reid

Invoice: 95439

File Number: 07183-001

Billed Through: 08/31/2020

N. Neville Reid, not individually but as receiver/Northridge Receivership**PROFESSIONAL SERVICES*****Asset Analysis and Recovery***

| Date | Client | Code | Description | Hours | Rate | Amount |
|------------|--------|------|---|----------------------|------|--------|
| 08/02/2020 | SAS | R100 | Review of brokerage agreement for Barlett (in connection with potential Virgilio claim) (1.0) and preparation of email memorandum re: same (1.2) | 2.20 hrs @ 310.00/hr | | 682.00 |
| 08/03/2020 | SAS | R100 | Attention to emails from R. Schultz re: Bartlett broker agreement; in connection with Virgilio's asserted claim | 0.20 hrs @ 310.00/hr | | 62.00 |
| 08/03/2020 | RTS | R100 | Reviewed and revised motion to approve settlement procedures | 0.60 hrs @ 360.00/hr | | 216.00 |
| 08/04/2020 | LBL | R100 | Drafted template letter to attorneys re: request for information re: representation of receivership defendants (.9); emails with R. Schultz and N. Reid re: same (.1); call with N. Reid re: same (.1); revised same (.6) | 1.70 hrs @ 285.00/hr | | 484.50 |
| 08/05/2020 | LBL | R100 | Emailed K. Thomas re: entities to be included in attorney information request letters and emailed N. Reid re: net winner demand letters | 0.10 hrs @ 285.00/hr | | 28.50 |
| 08/05/2020 | KT | R100 | Review and revise letter to law firms. | 0.20 hrs @ 280.00/hr | | 56.00 |
| 08/06/2020 | RTS | R100 | Reviewed analysis from AlixPartners re: Virgilios' transactions with receivership entities (.2); Revised Virgilio settlement demand (.6) | 0.80 hrs @ 360.00/hr | | 288.00 |
| 08/06/2020 | NNR | R100 | Review/edit letter re: Virgilio claim | 0.30 hrs @ 385.00/hr | | 115.50 |
| 08/06/2020 | NNR | R100 | Draft, edit, revise demand letter to Glenn Mueller re: transfers | 2.20 hrs @ 385.00/hr | | 847.00 |
| 08/06/2020 | LBL | R100 | Prepared letters requesting information from attorneys who represented receivership defendants and coordinated sending of same (.7); emails with R. Schultz and C. Jelks re: same (.1); reviewed and edited demand letter to Mueller (.2) | 1.00 hrs @ 285.00/hr | | 285.00 |

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Asset Analysis and Recovery

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 08/06/2020 | KT | R100 | Draft and revise complaint for net winners and finder claims. | 0.30 hrs @ 280.00/hr | 84.00 |
| 08/07/2020 | KT | R100 | Draft and revise form complaint for finders and net winners. | 0.30 hrs @ 280.00/hr | 84.00 |
| 08/07/2020 | RTS | R100 | Reviewed draft demand letter to G. Mueller and comments to same (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/07/2020 | NNR | R100 | Edit, revise demand letter on Mueller, and discussion with C. Higgins and email to AlixPartners re: same | 0.90 hrs @ 385.00/hr | 346.50 |
| 08/10/2020 | RTS | R100 | Finalized and sent settlement demand letter re: Virgilio claims (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| 08/10/2020 | KT | R100 | Draft and revise form complaint for net winners and finders. (2.9) Review correspondence. (.1) | 3.00 hrs @ 280.00/hr | 840.00 |
| 08/10/2020 | LBL | R100 | Emails with R. Schultz and C. Jelks re: request for information letters to attorneys for Receivership Defendants | 0.10 hrs @ 285.00/hr | 28.50 |
| 08/10/2020 | NNR | R100 | Draft, revise letter to Dale Mueller (1.0); edit letter to G. Mueller (.4); discussion with R. Losier re: same (.1) | 1.50 hrs @ 385.00/hr | 577.50 |
| 08/10/2020 | NNR | R100 | Further refine letter to insiders and exchange emails to AlixPartners re: same | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/11/2020 | KT | R100 | Draft and revise form finders and net winners complaint. | 0.80 hrs @ 280.00/hr | 224.00 |
| 08/11/2020 | LBL | R100 | Reviewed final letters to attorneys for Receivership Defendants and coordinated distribution of same with C. Jelks (.1); telephone call with respondent (Law Offices of David K. Barhydt) re: same (.1); emails with respondents (Law Offices of David K. Barhydt, Gair Eberhard, Braganca) and R. Schultz and N. Reid re: same (.3); drafted log containing status of all outstanding requests (.3); reviewed legal research re: UFTA finders claims and exchanged emails re: same with N. Reid (.1); worked on Subpoena for document/deposition and rider re: Gair Eberhard (.2) | 1.10 hrs @ 285.00/hr | 313.50 |
| 08/11/2020 | NNR | R100 | Review finder's letters and supporting case law (.3); further edit, revise insider letters (1.80). | 2.10 hrs @ 385.00/hr | 808.50 |
| 08/12/2020 | KT | R100 | Draft and revise form finders and net winner complaint. | 0.80 hrs @ 280.00/hr | 224.00 |
| 08/12/2020 | NNR | R100 | Finalize, edit and send to SEC draft letters to Mueller family members (0.9); review letters to finders and draft email to R. Schultz and team re: same (1.8) | 2.70 hrs @ 385.00/hr | 1,039.50 |

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|------------|-----|------|---|----------------------|--------|
| 08/12/2020 | LBL | R100 | Call and follow-up emails with Holland and Knight re: receiver's request for information/related production (.2); emails with R. Schultz and N. Reid re: same (.1); updated communications log re: law firm/attorney responses and status re: receiver's request for information/production (.1); prepared document and deposition subpoenas (.4) | 0.80 hrs @ 285.00/hr | 228.00 |
| 08/13/2020 | KT | R100 | Draft and revise form complaint for finders and net winners. | 1.90 hrs @ 280.00/hr | 532.00 |
| 08/13/2020 | RTS | R100 | Reviewed proposed revisions to Tradewinds settlement agreement and email to N. Reid re: same (.1); emails to and from counsel for Tradewinds re: settlement status (.1) | 0.20 hrs @ 360.00/hr | 72.00 |
| 08/13/2020 | LBL | R100 | Call with K. Thomas re: claims procedure motion filing and reviewed investor list for same (.1); managed case files for same (.2); worked on draft deposition and document subpoenas to Gair Eberhard/corresponding riders and revised same (1.1); emailed R. Schultz and N. Reid re: same (.1); emailed AlixPartners re: posting claims procedure motion on Receivership website/portal (.1) | 1.60 hrs @ 285.00/hr | 456.00 |
| 08/13/2020 | NNR | R100 | Finalize finder's letters and email to K. Thomas re: same | 1.70 hrs @ 385.00/hr | 654.50 |
| 08/14/2020 | KT | R100 | Draft and revise complaint for finders and net winners | 0.70 hrs @ 280.00/hr | 196.00 |
| 08/14/2020 | LBL | R100 | Emails with N. Reid re: subpoenas to Gair Eberhard and reviewed General Order for COVID-19 related procedures | 0.10 hrs @ 285.00/hr | 28.50 |
| 08/17/2020 | KT | R100 | Call with R. Losier from AlixPartners Partners to discuss claims chart | 0.30 hrs @ 280.00/hr | 84.00 |
| 08/17/2020 | LBL | R100 | Emails with N. Reid and R. Schultz re: subpoenas to Gair Eberhard/virtual deposition and specific document requests (.1); revised subpoenas re: video deposition and subpoena rider re: document requests (.3); served deposition and document subpoenas on Gair Eberhard (.1); updated law firm response tracker spreadsheet (.1) | 0.60 hrs @ 285.00/hr | 171.00 |
| 08/17/2020 | RTS | R100 | Telephone conference with L. Virgilio re: settlement communication (.6); email to N. Reid re: settlement counter offer (.1); email to L. Virgilio re: settlement counter offer (.1); attention to Swift settlement issues re: closing of real property (.2); emails re: Gair firm subpoena (.2) | 1.20 hrs @ 360.00/hr | 432.00 |

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|------------|-----|------|---|----------------------|----------|
| 08/18/2020 | LBL | R100 | Emails re: Gair Eberhard assertion of privilege re: subpoenaed documents and C. Claybough (Law Offices of David K. Barhydt) production in response to Receiver's request for information (.1); updated communications log re: law firm/attorney responses and status re: receiver's request for information/production (.2) | 0.30 hrs @ 285.00/hr | 85.50 |
| 08/18/2020 | NNR | R100 | Conference call with SEC and AlixPartners re: insider claims and recovery (.8); discussion, emails and research/analysis re: preparation and filing of finder's/net winner complaints, and potential affirmative defenses to same from defendants (1.4); respond to AlixPartners re: follow up on SEC matters raised on the call (.10). | 2.30 hrs @ 385.00/hr | 885.50 |
| 08/18/2020 | KT | R100 | Draft, review and analyze finder and net winner settlement letters. | 2.70 hrs @ 280.00/hr | 756.00 |
| 08/19/2020 | LBL | R100 | Reviewed response to request for information from Law Offices of Tim Biasiello and Holland & Knight and emailed R. Schultz and N. Reid re: same (.4); updated tracker/log re: communications/productions from law firms in response to receiver's request for information (.1); researched and analyzed privilege issues in connection with Gair Eberhard representation of Mueller and entities and drafted summary and analysis of same (2.9) | 3.40 hrs @ 285.00/hr | 969.00 |
| 08/19/2020 | KT | R100 | Draft and revise finder settlement letters. | 2.10 hrs @ 280.00/hr | 588.00 |
| 08/20/2020 | LBL | R100 | Reviewed and analyzed productions received from law firms in response to receiver's demand for information related to representation of receivership defendants and drafted memorandum summarizing same | 2.00 hrs @ 285.00/hr | 570.00 |
| 08/20/2020 | NNR | R100 | Edit, revise finders letters and discussion with K. Thomas and R. Losier re: answers to questions (.5); further edit, revise letters, review data, confirm data and letters are concept, and resolve problems and issues re: same with input from K. Thomas and AlixPartners (2.0) | 2.50 hrs @ 385.00/hr | 962.50 |
| 08/20/2020 | KT | R100 | Draft and revise finder letters. (2.4) Calls with N. Reid to discuss letters. (.7) | 3.10 hrs @ 280.00/hr | 868.00 |
| 08/21/2020 | NNR | R100 | Further edit, revise, finalize and resolve issues re: demand letters and supporting data, including discussions with AlixPartners and FSLC team re: same | 4.50 hrs @ 385.00/hr | 1,732.50 |
| 08/21/2020 | KT | R100 | Draft and revise finder settlement letters. (1.9) Call with N. Reid and AlixPartners Partners to discuss changes. (.8) | 2.70 hrs @ 280.00/hr | 756.00 |

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| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 08/21/2020 | LBL | R100 | Reviewed production from Law Offices of Roger Serpe in response to Receiver's request for information and updated communications log re: same | 0.10 hrs @ 285.00/hr | 28.50 |
| 08/23/2020 | RTS | R100 | Reviewed and comments to all finder claim demand letters | 1.00 hrs @ 360.00/hr | 360.00 |
| 08/24/2020 | NNR | R100 | Review Finder's letters and email to K.Thomas and R. Schultz re: same | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/24/2020 | LBL | R100 | Reviewed Serpe production in response to receiver's information request and updated summary memo re: same (.7); emailed N. Reid and R. Schultz re: summary/overview of law firm productions to date (.1) | 0.80 hrs @ 285.00/hr | 228.00 |
| 08/24/2020 | NNR | R100 | Review and analysis of R. Schultz comments to each letter, devise and draft response to each and resolves issues raised by the comments (2.5); edit, revise select letters and discussion with AlixPartners and K. Thomas re: resolving issues raised by the comments and proofread the same (1.2) | 3.70 hrs @ 385.00/hr | 1,424.50 |
| 08/24/2020 | RTS | R100 | Telephone conference with K. Thomas and N. Reid re: revisions to finder demands | 0.50 hrs @ 360.00/hr | 180.00 |
| 08/24/2020 | KT | R100 | Call with R. Schultz and N. Reid to discuss edits to finder letters. (.5) Review form of schedules. (.1) Call with N. Reid to discuss edits to finder letters. (.3) Draft and revise finder letters and circulate redlines of changes. (3.1) | 5.00 hrs @ 280.00/hr | 1,400.00 |
| 08/25/2020 | RTS | R100 | Telephone conference with w investor re: potential net winner claim (.3); email to T. Hofner re: same (.1) | 0.40 hrs @ 360.00/hr | 144.00 |
| 08/25/2020 | NNR | R100 | Further finalize and edit demand letters in response to K. Thomas's comments | 1.00 hrs @ 385.00/hr | 385.00 |
| 08/25/2020 | KT | R100 | Review and revise finder demand letters. (2.1) review schedules for consistency with letters (2.1) | 4.20 hrs @ 280.00/hr | 1,176.00 |
| 08/26/2020 | NNR | R100 | Discussion with Mueller's counsel re: discussion with him on Timberlake and addressing his issues, and follow up emails/texts and dialogue with R. Schultz and E. Weber re: same (.7); Email to M. Foster re: status, next steps re: Mueller letters (.10) | 0.80 hrs @ 385.00/hr | 308.00 |
| 08/26/2020 | KT | R100 | Call with B. Henderson to discuss finalizing letters (.2) Review and revise letters to include schedules (2.1) | 2.30 hrs @ 280.00/hr | 644.00 |
| 08/27/2020 | KT | R100 | Call with B. Henderson to discuss finder letters (.2) Call with B. Henderson and D. Franklin to discuss tasks related to finder letters (.3) | 0.50 hrs @ 280.00/hr | 140.00 |
| 08/28/2020 | KT | R100 | Draft and revise form finder complaint. | 0.90 hrs @ 280.00/hr | 252.00 |

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|--------------------------------------|-----|------|--|----------------------|--------------------|
| 08/28/2020 | LBL | R100 | Reviewed Holland and Knight production/managed case files re: same and updated communications log re: same | 0.40 hrs @ 285.00/hr | 114.00 |
| Total for: Asset Analysis and | | | | | \$25,782.00 |

Asset Disposition

| | | | | | |
|-------------------------------------|-----|------|---|----------------------|-------------------|
| 08/03/2020 | SBS | R101 | Palatine Homeowners Association: reviewed request for aid assessment letter and disclosure forms for sale of unit (.3); call with E. Weber and T. DeLeo re: make-up of association and request for paid assessment letter and disclosure form (.4); reviewed association declaration (.5); reviewed statute on common interest communities (.5); email to J. Slowikowski re: turnover of association (.2) | 1.90 hrs @ 385.00/hr | 731.50 |
| 08/04/2020 | MB | R101 | Review county records for recorded declarations for Palatine properties: request copies from title company and maintain the same in the file system | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/04/2020 | SBS | R101 | Reviewed Common Interest Community Act in connection with Palatine Homeowner Association property (.9); drafted paid assessment letter for sale of Unit 445 (.3); drafted disclosure statement for sale of Unite 445 (.6) | 1.80 hrs @ 385.00/hr | 693.00 |
| 08/05/2020 | NNR | R101 | Discussion with S. Shellenback re: turnover of association for Palatine and documents related to same | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/05/2020 | SBS | R101 | Palatine Townhome: Call with N. Reid to review paid assessment letter and disclosure statement (.4); revised paid assessment letter and disclosure statement (.4); call with T. De Leo re: paid assessment letter and disclosure statement (.3) | 1.10 hrs @ 385.00/hr | 423.50 |
| 08/06/2020 | MB | R101 | Review recording information of the declaration(.1); update Paid Assessment Letter(.1); discuss lien searches with vendor(.2); review county records and order lien searches (.2) review results of lien searches and communicate with vendor re: county correction (.2) review updated searches and process invoice with accounting (.2) | 1.00 hrs @ 180.00/hr | 180.00 |
| 08/13/2020 | SBS | R101 | Palatine Homeowner Associations: Attention to turnover of association to owners | 0.10 hrs @ 385.00/hr | 38.50 |
| 08/14/2020 | SBS | R101 | Palatine Townhomes: Attention to turnover matters | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/17/2020 | SBS | R101 | Palatine townhomes: Call with J. Slowikowski re: process for turnover to unit owners | 0.20 hrs @ 385.00/hr | 77.00 |
| Total for: Asset Disposition | | | | | \$2,503.00 |

Business Operations

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Business Operations

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|------------|-----|------|--|----------------------|--------|
| 08/07/2020 | NNR | R102 | Status call with 33Realty and update R. Schultz re: same | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/10/2020 | NNR | R102 | Resolve insurance issues re: Miami property and respond to/send emails re: same (.2) | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/14/2020 | RTS | R102 | Weekly status call with 33 Realty (.4); email to N. Reid re: same (.1) | 0.50 hrs @ 360.00/hr | 180.00 |
| 08/20/2020 | NNR | R102 | Status call with 33Realty and email to R. Schultz re: results, status | 0.50 hrs @ 385.00/hr | 192.50 |
| 08/27/2020 | NNR | R102 | Status call with 33Realty | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/28/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call | 0.40 hrs @ 360.00/hr | 144.00 |

Total for: Business Operations **\$901.50**

Case Administration

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|------------|-----|------|--|----------------------|--------|
| 08/03/2020 | RTS | R103 | Emails to and from R. Mitsos re: defendant review of potentially privileged emails (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 08/04/2020 | NNR | R103 | Review letter to law firms and edit same, including review of appointment order to confirm all relevant entities have been included (.8); review and respond to emails re: insurance issues on Timberlake (.1). | 0.90 hrs @ 385.00/hr | 346.50 |
| 08/06/2020 | NNR | R103 | Respond to various emails re: miscellaneous projects in progress (including documents for signature on Palatine homeowner association | 0.50 hrs @ 385.00/hr | 192.50 |
| 08/10/2020 | NNR | R103 | Return investor calls and update R. Schultz re: same | 0.50 hrs @ 385.00/hr | N/C |
| 08/11/2020 | EBA | R103 | Issued check to Sarnoff & Baccash for legal services rendered related to the 2019-2021 real estate assessments and taxes (.4); telephone call with AXOS re reconciling accounts (.3); reconciled Deere Park account (.3) | 1.00 hrs @ 180.00/hr | 180.00 |
| 08/11/2020 | RTS | R103 | Emails to SEC re: status of SEC review of draft filings (.1); emails among AlixPartners and SEC re: scheduling forensic conference call (.1) | 0.20 hrs @ 360.00/hr | 72.00 |
| 08/12/2020 | EBA | R103 | Deposited Thompson Coburn LLP Dupage County property tax refund check (.2); recorded transaction in TCMS (.1); reviewed email correspondence from Receiver (.1); revised cover letter to Sarnoff & Baccash (.1) | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/13/2020 | EBA | R103 | Reviewed and reconciled bank accounts in TCMS (2.5); conferred with Receiver re bank accounts and wire transfers (.4); prepared wire transfer form to pay property-related bills (.2); transmitted form to AXOS (.1); conferred with AXOS re wire transfer and issues posting interest accruals (.4) | 3.60 hrs @ 180.00/hr | 648.00 |

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Case Administration

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|------------|-----|------|--|----------------------|--------|
| 08/14/2020 | EBA | R103 | Email exchange with AXOS re incoming wire transfer to Unity Group Investment, LTD account (.2); transmitted instructions to R. Schultz (.1) | 0.30 hrs @ 180.00/hr | 54.00 |
| 08/14/2020 | LBL | R103 | Managed docketing/deadlines | 0.10 hrs @ 285.00/hr | 28.50 |
| 08/17/2020 | EBA | R103 | Reviewed and revised wire instructions (.3); prepared wire instructions for KRD (.2); emailed instructions to Receiver re executing and returning forms (.1); telephone call with AXOS re confirming KRD account number (.1) | 0.70 hrs @ 180.00/hr | 126.00 |
| 08/17/2020 | NNR | R103 | Answer investor calls | 0.30 hrs @ 385.00/hr | N/C |
| 08/20/2020 | NNR | R103 | Answer investor calls (.4).; answer investor calls (Velasquez) (.2). | 0.60 hrs @ 385.00/hr | N/C |
| 08/24/2020 | RTS | R103 | Telephone conference with investor re: claims procedure motion | 0.30 hrs @ 360.00/hr | N/C |
| 08/24/2020 | RTS | R103 | Telephone conference with investor re: claims process (.2); Telephone conference with with counsel for investor re: same (.2) | 0.40 hrs @ 360.00/hr | N/C |
| 08/24/2020 | KT | R103 | Call with B. Payne to answer question about claims procedure motion. | 0.20 hrs @ 280.00/hr | 56.00 |
| 08/25/2020 | NNR | R103 | Return investor calls | 0.80 hrs @ 385.00/hr | N/C |
| 08/27/2020 | NNR | R103 | Discussion with T. DeLeo re: investor questions and how to answer them | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/28/2020 | RTS | R103 | Telephone conference with investors re: claims process and responding to emails re: same | 0.30 hrs @ 360.00/hr | N/C |
| 08/31/2020 | LBL | R103 | Emails with N. Reid and AlixPartners re: investor letters to the court and reviewed docket for investor letter/sent same to N. Reid and AlixPartners | 0.20 hrs @ 285.00/hr | 57.00 |

Total for: Case Administration

\$1,999.50

Claims Administration and Objections

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|------------|-----|------|---|----------------------|----------|
| 08/03/2020 | KT | R104 | Draft and revise claims settlement authority motion including research relevant case law (2.7); draft and revise claims settlement authority proposed motion (.7); incorporate comments and edits re: same (.5) | 3.90 hrs @ 280.00/hr | 1,092.00 |
| 08/04/2020 | RTS | R104 | Telephone conference with M. Foster re: SEC comments to claims procedure motion and related issues | 1.20 hrs @ 360.00/hr | 432.00 |
| 08/05/2020 | RTS | R104 | Revised claims procedure motion and supporting declaration per SEC's comments (.5); email to AlixPartners re: same (.1); emails to and from AlixPartners re: claims administration process issues (.1) | 0.70 hrs @ 360.00/hr | 252.00 |

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Claims Administration and Objections

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|------------|-----|------|---|----------------------|--------|
| 08/05/2020 | NNR | R104 | Review settlement motion and order and convey comments re: same | 0.50 hrs @ 385.00/hr | 192.50 |
| 08/05/2020 | KT | R104 | Review and incorporate comments on claims authority motion (.3) Review and revise claims procedure motion (.1) | 0.40 hrs @ 280.00/hr | 112.00 |
| 08/07/2020 | RTS | R104 | Revised claims procedure motion based on revisions to AlixPartners declaration | 0.40 hrs @ 360.00/hr | 144.00 |
| 08/10/2020 | RTS | R104 | Revised claims procedure motion and supporting declaration (.1); email to SEC re: same (.1) | 0.20 hrs @ 360.00/hr | 72.00 |
| 08/11/2020 | KT | R104 | Review and revise the claims procedure motion. | 0.80 hrs @ 280.00/hr | 224.00 |
| 08/13/2020 | KT | R104 | Review and revise claims motion and exhibits for filing. (1.5) Communications with internal team re: filing and service. (.5) | 2.00 hrs @ 280.00/hr | 560.00 |
| 08/13/2020 | NNR | R104 | Discussion with R. Schultz re: claims procedure internally at FSLC | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/17/2020 | KT | R104 | Call with R. Schultz and C. Martinez to discuss claims procedure process | 0.20 hrs @ 280.00/hr | 56.00 |
| 08/21/2020 | RTS | R104 | Telephone conference with counsel for claimant (Liechty) re: case status and claims procedures | 0.70 hrs @ 360.00/hr | 252.00 |
| 08/27/2020 | RTS | R104 | Telephone conference with investors re: claims process | 0.50 hrs @ 360.00/hr | N/C |
| 08/27/2020 | KT | R104 | Prepare claims settlement motion for filing | 0.50 hrs @ 280.00/hr | 140.00 |
| 08/28/2020 | KT | R104 | Call from investor to ask questions related to claims administration motion | 0.50 hrs @ 280.00/hr | 140.00 |
| 08/28/2020 | KT | R104 | Communicate and prepare claims settlement motion for filing | 0.40 hrs @ 280.00/hr | 112.00 |

Total for: Claims Administration and \$3,896.00

Forensic Accounting

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|------------|-----|------|--|----------------------|--------|
| 08/07/2020 | KT | R112 | Call with AlixPartners and internal team to discuss claims motion and demand letters | 0.50 hrs @ 280.00/hr | 140.00 |
| 08/07/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call | 0.50 hrs @ 360.00/hr | 180.00 |
| 08/07/2020 | LBL | R112 | Status conference call with Fox Swibel team and AlixPartners team | 0.50 hrs @ 285.00/hr | 142.50 |
| 08/12/2020 | KT | R112 | Call with AlixPartners to discuss claims and reaching out to creditors | 0.70 hrs @ 280.00/hr | 196.00 |
| 08/12/2020 | LBL | R112 | Call with AlixPartners and Fox Swibel teams re: process re: Notice of Initial Determination and Intake of Responses to Claims Packages | 0.70 hrs @ 285.00/hr | 199.50 |

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Forensic Accounting

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|---------------------------------------|-----|------|---|----------------------|-------------------|
| 08/12/2020 | RTS | R112 | Telephone conference with AlixPartners re: claims procedure and processing issues | 0.70 hrs @ 360.00/hr | 252.00 |
| 08/14/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call | 0.50 hrs @ 360.00/hr | 180.00 |
| 08/14/2020 | KT | R112 | Attend weekly update call with AlixPartners | 0.50 hrs @ 280.00/hr | 140.00 |
| 08/14/2020 | NNR | R112 | Status call with AlixPartners | 0.50 hrs @ 385.00/hr | 192.50 |
| 08/14/2020 | LBL | R112 | Fox Swibel/AlixPartners status call | 0.50 hrs @ 285.00/hr | 142.50 |
| 08/21/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status update | 0.60 hrs @ 360.00/hr | 216.00 |
| 08/21/2020 | NNR | R112 | Status meeting with AlixPartners | 0.60 hrs @ 385.00/hr | 231.00 |
| 08/21/2020 | LBL | R112 | Telephone status conference with AlixPartners team and Fox Swibel team | 0.60 hrs @ 285.00/hr | 171.00 |
| 08/27/2020 | NNR | R112 | Status call with AlixPartners | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/28/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call | 0.40 hrs @ 360.00/hr | 144.00 |
| 08/28/2020 | KT | R112 | Attend update call with AlixPartners Partners. | 0.40 hrs @ 280.00/hr | 112.00 |
| 08/28/2020 | LBL | R112 | Status call with Fox Swibel and AlixPartners teams | 0.40 hrs @ 285.00/hr | 114.00 |
| Total for: Forensic Accounting | | | | | \$2,907.00 |

Tax Issues

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 08/03/2020 | NNR | R113 | Discussion with T. Stein re: tax issues on IRS disallowance | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/03/2020 | TWS | R113 | Analyze issues re: interest disallowance | 1.60 hrs @ 385.00/hr | 616.00 |
| 08/04/2020 | LBL | R113 | Emails with T. Stein and C. Higgins re: shareholder agreement request/ownership of Northridge Holdings | 0.10 hrs @ 285.00/hr | 28.50 |
| 08/04/2020 | TWS | R113 | Conference with L. West; prepare for same | 1.00 hrs @ 385.00/hr | 385.00 |
| 08/05/2020 | NNR | R113 | Conference call with client, A. Lasko and S. Isaacson re: possibility of additional tax liability for sales in various jurisdictions | 0.90 hrs @ 385.00/hr | 346.50 |
| 08/07/2020 | TWS | R113 | Conference with IRS agent re: disallowed interest deductions; review law governing same | 2.60 hrs @ 385.00/hr | 1,001.00 |
| 08/18/2020 | NNR | R113 | Review draft engagement letter and email to L. West re: response to same | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/19/2020 | NNR | R113 | Discussion with L. West re: Pazur letter | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/25/2020 | TWS | R113 | Research re: issues raised in IRS Notices of Proposed Adjustment (6.3); draft comments re: same (.5) | 6.80 hrs @ 385.00/hr | 2,618.00 |

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Tax Issues

| | | | | | |
|------------------------------|-----|------|---|----------------------|-------------------|
| 08/26/2020 | NNR | R113 | Edit, revise engagement letter with Pazur | 0.40 hrs @ 385.00/hr | 154.00 |
| Total for: Tax Issues | | | | | \$5,534.00 |

Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 08/01/2020 | SBS | R116 | Revised Purchase and Sale Agreement | 0.90 hrs @ 385.00/hr | 346.50 |
| 08/02/2020 | SBS | R116 | Finalized Purchase and Sale Agreement | 0.10 hrs @ 385.00/hr | 38.50 |
| 08/03/2020 | MB | R116 | Attention to correspondence (.1); prepare escrow agreement and send the same to buyer's attorney (.2); review the PSA, identify important dates and prepare closing documents checklist (1.1); prepare closing checklist and docket important dates (1.7) communicate with Chicago title re: earnest money investment (.2) | 3.30 hrs @ 180.00/hr | 594.00 |
| 08/03/2020 | RTS | R116 | Drafted motion to approve sale and related relief | 4.30 hrs @ 360.00/hr | 1,548.00 |
| 08/03/2020 | NNR | R116 | Follow up calls and emails re: Timber Lake closing, including sign escrow agreement | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/03/2020 | SBS | R116 | Finalized Purchase and Sale Agreement (.3); attention to joint order escrow for earnest money (.1); attention to email responses (.3); attention to due diligence responses (.4) | 1.10 hrs @ 385.00/hr | 423.50 |
| 08/04/2020 | SBS | R116 | Reviewed status of due diligence deliveries (.3); call with R. Storck (33 Realty) re: due diligence deliveries (.4) | 0.70 hrs @ 385.00/hr | 269.50 |
| 08/05/2020 | MB | R116 | Prepare applications for Bulk Sales and submitted for processing (1.7); communicate with title company to order updated title commitment (.2) review title commitment and identify items needed for closing (.5) updated closing checklist (.3) | 2.70 hrs @ 180.00/hr | 486.00 |
| 08/07/2020 | SBS | R116 | Followed up with R. Storck of 33 Realty on status of due diligence | 0.10 hrs @ 385.00/hr | 38.50 |
| 08/10/2020 | SBS | R116 | Call with Purchaser's counsel re: closing timeline (.1); followed up on bulk sales waiver (.1); followed up with N. Reid and R. Schultz re: various questions (.2) | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/11/2020 | MB | R116 | Attention to correspondence (.1); communicate with A111 Illinois Department of Revenue re: bulk sales (.1) review completed Illinois bulk sale certificates and maintain the same in the file system (.2) | 0.40 hrs @ 180.00/hr | 72.00 |
| 08/11/2020 | RTS | R116 | Revised motion to approve sale and proposed order (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/12/2020 | SBS | R116 | Attention to timing questions on court approval | 0.10 hrs @ 385.00/hr | 38.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 08/13/2020 | NNR | R116 | Discussion with E. Weber re: coverage of operational costs from Axos accounts (.10); discussion with B. Glonek re: insurance issues related to Timberlake closing (.1) | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/14/2020 | SBS | R116 | Attention to questions on usage of community center by church | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/17/2020 | NNR | R116 | Discussion with D. Cohen re: status of Turner offer and confirming information is current and follow up status email to R. Schultz and S. Shellenback re: same (.3) | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/17/2020 | SBS | R116 | Attention to due diligence questions | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/18/2020 | SBS | R116 | Attention to question of usage of community center by Church (.5); attention to Motion to approve sale (.2) | 0.70 hrs @ 385.00/hr | 269.50 |
| 08/18/2020 | NNR | R116 | Resolve issues re: disposition of Timber Lake community room and potential lease | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/18/2020 | NNR | R116 | Edit, revise motion to approve sale of Timberlake and discussion with (and emails to) D. Cohen re: marketing process and issues related to potential interference from other brokers | 1.70 hrs @ 385.00/hr | 654.50 |
| 08/19/2020 | SBS | R116 | Attention to questions from N. Reid on Motion for approval of sale | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/19/2020 | NNR | R116 | Edit, revise motion and order re: Timberlake sale | 0.70 hrs @ 385.00/hr | 269.50 |
| 08/19/2020 | NNR | R116 | Follow up discussions with D. Cohen re: marketing process and email to Mueller counsel re: draft motion and order | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/24/2020 | SBS | R116 | Call with N. Reid re: due diligence status | 0.10 hrs @ 385.00/hr | 38.50 |
| 08/25/2020 | SBS | R116 | Attention to questions on Community Center operations by Church (.3); attention to title exception questions raised by Purchaser (.7); reviewed revised Motion to approve sale (.2); respond to emails from Purchaser's counsel (.2) | 1.40 hrs @ 385.00/hr | 539.00 |
| 08/25/2020 | NNR | R116 | Review, edit, revise motion to approve sale and respond to email re: late offer | 1.40 hrs @ 385.00/hr | 539.00 |
| 08/25/2020 | MB | R116 | Attention to correspondence re: service contracts and association dues/services | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/25/2020 | RTS | R116 | Revisions to motion to approve sale (.6) | 0.60 hrs @ 360.00/hr | 216.00 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 08/26/2020 | MB | R116 | Review letter re: service contracts cancellation(.1); download and review service contracts(.7); communicate with property manager re: same(.1); review correspondence re: updated title commitment and e-mail title company(.2); communicate with S. Shellenback re: bulk sales(.1); update closing checklist (.2); forward new title commitment to buyer's counsel (.1); review updated title commitment and note new exception (.4) review survey re: correspondence from S. Shellenback (.8) | 2.70 hrs @ 180.00/hr | 486.00 |
| 08/26/2020 | RTS | R116 | Emails among N. Reid, L. Virgilio and D. Cohen re: potential new offer (.2); Telephone conferences with D. Cohen re: same (.4); revised motion to approve sale (.4); email to SEC re: same (.1); email to buyer re: draft proposed order (.1) | 1.20 hrs @ 360.00/hr | 432.00 |
| 08/26/2020 | NNR | R116 | Further discussion with R. Schultz and D. Cohen re: response to speculative late offer | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/26/2020 | SBS | R116 | Attention to questions raised by Purchaser re: Declaration of Restrictions for Recreation Area and formation of association (1.5); call with C. Higgins re: association and governing of Recreation Area (.4); reviewed updated title commitment (.2); attention to emails (.2) | 2.30 hrs @ 385.00/hr | 885.50 |
| 08/27/2020 | MB | R116 | Further review of county records re: recreational building/association and transmit findings to S. Shellenback(1.8); review records and re-submit bulk sale form to IDES (.2) discuss real estate records for pool area with S. Shellenback (.5) communicate with Parkway Bank re: land trust (.1) communicate with C. Higgins re: exempt tax bills (.1) communicate with title company re: county records for pool/recreational area (.4) review title objection letter/updated survey and communicate with title company re: same (.6) | 4.30 hrs @ 180.00/hr | 774.00 |
| 08/27/2020 | SBS | R116 | Attention to title questions and questions on exempt parcels (2.0); attention to emails (1.1); reviewed Purchaser's title objection letter (.2) | 3.30 hrs @ 385.00/hr | 1,270.50 |
| 08/27/2020 | NNR | R116 | Prepare for and participate in call with G. Mueller and 33Realty and follow up email to D. Cohen re: same (.8); analyze issues and prepare email to R. Schultz and S. Shellenback re: results of call with G. Mueller and follow up strategy re: same (.6); calls with D. Cohen and R. Schultz (together and separately) regarding response to alleged new offer from Sapphire and strategy re: same (.4). | 1.80 hrs @ 385.00/hr | 693.00 |
| 08/27/2020 | RTS | R116 | Email from N. Reid re: summary of Mueller potential objections to sale (.1); emails to and from N. Reid re: Mueller requests for information (.2) | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/27/2020 | NNR | R116 | Respond to R. Schultz email re: answering G. Mueller's questions | 0.10 hrs @ 385.00/hr | 38.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 08/28/2020 | MB | R116 | Attention to correspondence (.2); review tax bills for pool/recreational area and communicate findings with S. Shellenback (.3) communicate with title company re: chain of title for additional parcels (.1) review correspondence from title company re: additional parcel numbers and review survey to locate Parcel 4 (.6) | 1.20 hrs @ 180.00/hr | 216.00 |
| 08/28/2020 | RTS | R116 | Telephone conferences with N. Reid and D. Cohen re: new offer issues (.3); Telephone conference with S. Shellenback re: issue related to Timberwood Recreational Center, Inc. (.3) | 0.60 hrs @ 360.00/hr | 216.00 |
| 08/28/2020 | SBS | R116 | Attention to title questions (.5); call with R. Schultz re: title matters (.3); attention to email (.4) | 1.20 hrs @ 385.00/hr | 462.00 |
| 08/29/2020 | SBS | R116 | Attention to responding to emails re: Timber Lake sale | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/31/2020 | SBS | R116 | Attention to question on ownership of pool and community center | 1.10 hrs @ 385.00/hr | 423.50 |
| 08/31/2020 | RTS | R116 | Telephone conference with N. Reid re: Sapphire offer issues | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/31/2020 | NNR | R116 | Discussion with R. Schultz and D. Cohen re: response to Sapphire inquiry and analyze R. Schultz email re: same | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/31/2020 | MB | R116 | Further review of county records to identify the owner of the pool/recreational area | 3.20 hrs @ 180.00/hr | 576.00 |

Total for: Asset Disposition – Timber \$14,615.50

Asset Disposition - Bartlett

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 08/03/2020 | RTS | R117 | Emails to and from 33 Realty re: post closing issues with buyer (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 08/03/2020 | SBS | R117 | Attention to post -closing proration adjustments and payments (1.1); further review of potential Virgilio commission (.1) | 1.20 hrs @ 385.00/hr | 462.00 |
| 08/06/2020 | RTS | R117 | Further revised letter to Monument re: post-closing obligations | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/06/2020 | NNR | R117 | Edit, revise letter re: refunds post-closing | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/07/2020 | RTS | R117 | Finalized and sent letter to Monument counsel re: post closing issues | 0.10 hrs @ 360.00/hr | 36.00 |
| 08/10/2020 | RTS | R117 | Reviewed accounting of post-closing payments pursuant to PSA | 0.10 hrs @ 360.00/hr | 36.00 |
| 08/10/2020 | NNR | R117 | Resolve issues re: payment of invoices related to Bartlett property | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/11/2020 | SBS | R117 | Reviewed proposed allocation of post-closing rent and real estate tax counsel invoices | 0.30 hrs @ 385.00/hr | 115.50 |

N. Neville Reid, not individually but as receiver
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Asset Disposition - Bartlett

| | | | | | |
|--|-----|------|--|----------------------|--------------------------|
| 08/13/2020 | SBS | R117 | Reviewed draft Motion and Order for approval of sale | 1.30 hrs @ 385.00/hr | 500.50 |
| Total for: Asset Disposition - Bartlett | | | | | <u>\$1,561.00</u> |

Asset Disposition – Surrey

| | | | | | |
|--|-----|------|---|----------------------|--------------------------|
| 08/04/2020 | MB | R118 | Attention to post closing items and amounts due to Receiver | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/04/2020 | SBS | R118 | Prepared email outlining post-closing obligations | 1.20 hrs @ 385.00/hr | 462.00 |
| 08/11/2020 | MB | R118 | Attention to correspondence (.1); review received scanned cancel loan documents and maintain the same in the file system (.3) | 0.40 hrs @ 180.00/hr | 72.00 |
| 08/11/2020 | NNR | R118 | Review and process checks and emails re: payment of professionals, Surrey closing documents and tax refund | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/12/2020 | MB | R118 | Attention to correspondence; provide list of parcel numbers to E. Weber | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/13/2020 | MB | R118 | Attention to post closing items: maintain closing documents in the file system | 0.50 hrs @ 180.00/hr | 90.00 |
| 08/13/2020 | SBS | R118 | Attention to post-closing matters | 0.30 hrs @ 385.00/hr | 115.50 |
| Total for: Asset Disposition – Surrey | | | | | <u>\$1,035.00</u> |

Asset Disposition – Elston

| | | | | | |
|------------|-----|------|---|----------------------|----------|
| 08/01/2020 | NNR | R119 | Edit, revise, finalize Elston motion and order, and discussions with D. Hyman re: proper summary of sale and marketing procedures | 4.40 hrs @ 385.00/hr | 1,694.00 |
| 08/02/2020 | SBS | R119 | Reviewed draft Motion to approve sale (.5); reviewed draft Order approving sale (.2) | 0.70 hrs @ 385.00/hr | 269.50 |
| 08/03/2020 | RTS | R119 | Reviewed revised motion to approve sale and sale order and provided comments to same (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 08/03/2020 | NNR | R119 | Discussion with S. Shellenback and S. Fyock re: finalizing Elston motion and order | 0.20 hrs @ 385.00/hr | 77.00 |
| 08/03/2020 | NNR | R119 | Review comments to order and motion and finalize same, and send to buyer and lender's counsel | 0.60 hrs @ 385.00/hr | 231.00 |
| 08/03/2020 | NNR | R119 | Follow up calls and emails re: Elston closing and next steps, including email to buyer re: process and timeline | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/03/2020 | SBS | R119 | Reviewed revised draft of Motion to approve sale (.1); reviewed revised Order approving sale (.3); attention to emails with N. Reid and Purchaser's attorney (.3) | 0.70 hrs @ 385.00/hr | 269.50 |
| 08/04/2020 | RTS | R119 | Email to M. Foster re: motion to approve sale | 0.10 hrs @ 360.00/hr | 36.00 |
| 08/07/2020 | MB | R119 | Start to draft closing documents | 0.50 hrs @ 180.00/hr | 90.00 |

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Asset Disposition – Elston

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 08/10/2020 | MB | R119 | Continue drafting closing documents | 0.40 hrs @ 180.00/hr | 72.00 |
| 08/11/2020 | NNR | R119 | Follow up re: getting SEC approval for motion, editing motion to include SEC approval reference, and email to R. Schultz, B. Liss, K. Thomas, S. Shellenback re: processing for filing | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/11/2020 | SBS | R119 | Followed-up on filing of Motion for approval of sale. | 0.10 hrs @ 385.00/hr | 38.50 |
| 08/11/2020 | LBL | R119 | Emails with N. Reid, S. Shellenback and M. Bogdanowicz re: Elston sale motion and PSA (.1); reviewed same (.2); coordinated filing of same (.1) | 0.40 hrs @ 285.00/hr | 114.00 |
| 08/12/2020 | LBL | R119 | Attention to court order re: objection deadline for sale motion and circulated calendar management items for same/submission of proposed order re: same (.1); call with N. Reid and S. Shellenback re: objection period (.1) | 0.20 hrs @ 285.00/hr | 57.00 |
| 08/12/2020 | MB | R119 | Continue drafting closing documents | 0.60 hrs @ 180.00/hr | 108.00 |
| 08/12/2020 | NNR | R119 | Discussion with S. Shellenback and B. Liss re: follow up on timing of Elston closing | 0.30 hrs @ 385.00/hr | 115.50 |
| 08/12/2020 | SBS | R119 | Attention to questions on timing of court approval (.3); drafted email to Purchaser's counsel re: closing timeline (.1) | 0.40 hrs @ 385.00/hr | 154.00 |
| 08/20/2020 | SBS | R119 | Call from S. Silver at Millennium Properties re: status of transaction | 0.10 hrs @ 385.00/hr | 38.50 |

Total for: Asset Disposition – Elston \$3,703.50

Fee Applications

| | | | | | |
|------------|-----|------|--|----------------------|-----|
| 08/17/2020 | LBL | R121 | Prepared proposed order for fee application per court order and emailed courtroom deputy and chambers staff re: same pursuant to court order and standing order (.9); prepared bank information/documentation for disbursement of funds under fee application and coordinated same with E. Anderson (.3) | 1.20 hrs @ 285.00/hr | N/C |
| 08/17/2020 | LBL | R121 | Managed/organized case files re: court orders related to fee application | 0.10 hrs @ 285.00/hr | N/C |
| 08/24/2020 | LBL | R121 | Worked on draft fourth (Fox Swibel)/third (other professionals) fee application | 1.50 hrs @ 285.00/hr | N/C |
| 08/25/2020 | LBL | R121 | Worked on draft fourth (Fox Swibel)/third (other professionals) fee application | 1.40 hrs @ 285.00/hr | N/C |
| 08/27/2020 | LBL | R121 | Worked on draft fee application/exhibits (1.7); exchanged emails with R. Schultz and N. Reid re: same (.1); revised same (1.9) | 3.70 hrs @ 285.00/hr | N/C |
| 08/27/2020 | RTS | R121 | Revised fee application | 1.00 hrs @ 360.00/hr | N/C |
| 08/28/2020 | LBL | R121 | Revised draft fee application and related exhibits (.8); emails with R. Schultz and N. Reid re: same (.1) | 0.90 hrs @ 285.00/hr | N/C |

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Fee Applications

| | | | | | |
|------------|-----|------|--|----------------------|-----|
| 08/31/2020 | LBL | R121 | Emails with accounting team and N. Reid re: expense documentation for exhibit to fee application (.1); revised draft fee application and worked on exhibits for same (1.1) | 1.20 hrs @ 285.00/hr | N/C |
| 08/31/2020 | NNR | R121 | Review, edit, revise fee application and email to B. Liss re: comments, question and request for clarification | 0.90 hrs @ 385.00/hr | N/C |

| | |
|---|---------------------------|
| <i>Total for: Fee Applications</i> | <u>\$0.00</u> |
| Total Professional Services: | <u>\$64,438.00</u> |

DISBURSEMENTS

| | | |
|------------|--|------------|
| 08/06/2020 | Cogency Global Inc.-100310344 UCC and lien search fees for 441-447 NORTH LAKE SHORE DRIVE TOWNHOME ASSOCIATION | \$415.00 |
| 08/11/2020 | Innovative Service Technology Management Services, Inc.-104480 Hosted Review - Monthly Data Storage per GB for the month of July, 2020 | \$834.64 |
| 08/12/2020 | Inservio-X4066 Third Interim Fee App - Copy, Postage & Mail Service | \$494.25 |
| 08/25/2020 | FedEx-7-098-63851 Delivery on 08/11/20 to Margy Bogdanowicz | \$19.30 |
| 08/31/2020 | Array-X5025 Copy, postage and mailing of Receiver's Motion for Order Fixing Claims Bar Date to interested parties and 467 receivership investors | \$6,371.80 |

| | |
|-----------------------------|--------------------------|
| Total Disbursements: | <u>\$8,134.99</u> |
|-----------------------------|--------------------------|

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| <u>ID</u> | <u>Timekeeper Name</u> | <u>Hours</u> | <u>Total Fees</u> |
|------------------|-------------------------------|---------------------|--------------------------|
| NNR | Reid, Neville N | 52.40 | \$18,980.50 |
| TWS | Stein, Terry W | 12.00 | \$4,620.00 |
| RTS | Schultz, Ryan T | 23.50 | \$7,560.00 |
| SBS | Shellenback, Stephanie B | 26.50 | \$10,202.50 |
| SAS | Schonfeld, Scott A | 2.40 | \$744.00 |
| LBL | Liss, Brandon | 27.80 | \$5,073.00 |
| KT | Thomas, Kenneth | 42.80 | \$11,984.00 |
| EBA | Anderson, Eric B | 6.10 | \$1,098.00 |
| MB | Bogdanowicz, Margy | 23.20 | \$4,176.00 |

BILL SUMMARY

TOTAL CHARGES THIS INVOICE

\$72,572.99

BALANCE FORWARD

\$325,470.99

LESS PAYMENTS SINCE LAST INVOICE

\$182,623.01

BALANCE DUE UPON RECEIPT

\$215,420.97

FOX SWIBEL**Fox Swibel Levin & Carroll LLP**

200 W. Madison Street - Suite 3000
 Chicago, IL 60606
 (312) 224-1200

October 26, 2020

N. Neville Reid

Invoice: 96034

File Number: 07183-001

Billed Through: 09/30/2020

N. Neville Reid, not individually but as receiver/Northridge Receivership**PROFESSIONAL SERVICES*****Asset Analysis and Recovery***

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 09/01/2020 | LBL | R100 | Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions | 1.20 hrs @ 285.00/hr | 342.00 |
| 09/01/2020 | RTS | R100 | Telephone conferences with finder claim settlement letter recipients re: resolving finder claims (1.5); drafted summaries of same for Receiver: (.2); email to SEC re: notice of settlements (.3) | 2.00 hrs @ 360.00/hr | 720.00 |
| 09/01/2020 | NNR | R100 | Retrieve and review SEC documents re: ND property (.2); Conference call with SEC and AlixPartners re: insider letters (1.0) | 1.20 hrs @ 385.00/hr | 462.00 |
| 09/01/2020 | KT | R100 | Review and edit draft of finders/net winner form complaint. (1.4); review emails related to communications with finders and document in finders tracker spreadsheet. (.6) | 2.00 hrs @ 280.00/hr | 560.00 |
| 09/02/2020 | KT | R100 | Review correspondence on finders settlement communications and document in tracker. | 0.40 hrs @ 280.00/hr | 112.00 |
| 09/02/2020 | RTS | R100 | Telephone conference with alleged finder re: resolution of claims (.5); email to N. Reid re: settlement recommendation (.1); drafted form settlement agreement (.4); drafted W. Thompson settlement agreement (.3); research re: W. Thompson (review company emails, etc.) (.5); Telephone conference with another alleged finder re: resolution of claims (.3); email to N. Reid re: settlement recommendation (.1); Drafted memo to Receiver re: Analysis of net-winner claims and recommendations to Receiver (3.0) | 5.20 hrs @ 360.00/hr | 1,872.00 |
| 09/02/2020 | LBL | R100 | Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions | 3.10 hrs @ 285.00/hr | 883.50 |

N. Neville Reid, not individually but as receiver
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Asset Analysis and Recovery

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 09/03/2020 | LBL | R100 | Reviewed and analyzed Holland & Knight document production and updated summary memorandum re: law firm productions (2.0); emailed R. Schultz and N. Reid with overview re: same (.2) | 2.20 hrs @ 285.00/hr | 627.00 |
| 09/03/2020 | RTS | R100 | Reviewed proposed proceed split re: Swift settlement and responded to B. Allen re: same (.4); reviewed potential receivable claims and email to N. Reid re: analysis and recommendations as to same (.3); Telephone conference with finder claimant re: settlement of claim (.3) | 1.00 hrs @ 360.00/hr | 360.00 |
| 09/04/2020 | KT | R100 | Draft and revise emails related to finders demand letters and schedules. (.1) Review updates on finders settlement and update tracker chart. (.3); research and analyze case law related to jurisdiction and authority to file lawsuits. Research and analyze case law related to suing other potentially liable parties (1.7) | 2.10 hrs @ 280.00/hr | 588.00 |
| 09/04/2020 | LBL | R100 | Researched and analyzed jurisdiction re: UFTA claims brought by receiver and emailed N. Reid, R. Schultz, and K. Thomas re: same (.7); drafted position re: privilege dispute with Gair Eberhard and sent same to N. Reid, R. Schultz, and K. Thomas (1.1); Drafted follow-up communications to Sreenan law firm and Clark law firm re: Receiver's request for information and sent same to M. Sreenan and R. Clark(.4); updated internal communications log for correspondence with law firms (.1) | 2.30 hrs @ 285.00/hr | 655.50 |
| 09/04/2020 | RTS | R100 | Telephone conference with alleged finder re: settlement of claim | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/08/2020 | NNR | R100 | Draft, edit, revise and circulate email re draft email to SEC for approach to litigation claims and incorporate comments of R. Schultz and K. Thomas | 0.90 hrs @ 385.00/hr | 346.50 |
| 09/08/2020 | RTS | R100 | Telephone conference with alleged finder re: settlement of claim (.4); Telephone conference with SEC re: pursuit of claims (.5) | 0.90 hrs @ 360.00/hr | 324.00 |
| 09/08/2020 | LBL | R100 | Exchanged emails with R. Schultz and N. Reid re: privilege dispute with Gair Eberhard and Receiver's position re: same to Gair (.2); updated law firm communications log re: same (.1) | 0.30 hrs @ 285.00/hr | 85.50 |
| 09/08/2020 | KT | R100 | Draft and revise demand letter for parties who purported received a loan from the Receivership Defendants. | 2.10 hrs @ 280.00/hr | 588.00 |
| 09/09/2020 | KT | R100 | Finalize draft of demand letter for individuals who purportedly received loans including case research. (1.2) Draft and revise demand letter for finder. (.4) Review previously set out demand letters. (.2) | 1.80 hrs @ 280.00/hr | 504.00 |
| 09/09/2020 | RTS | R100 | Various emails re: following up on demand letters | 0.20 hrs @ 360.00/hr | 72.00 |

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Asset Analysis and Recovery

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 09/11/2020 | RTS | R100 | Telephone conference with finder/net-winner re: settlement of claim (1.0); revised loan balance demand letters (.3); Telephone conference with finder/net-winner re: settlement of claim (.5) | 1.80 hrs @ 360.00/hr | 648.00 |
| 09/11/2020 | LBL | R100 | Reviewed and analyzed Gair production/updated law firm production summary memorandum to include summary of same and emailed R. Schultz and N. Reid re: same | 0.60 hrs @ 285.00/hr | 171.00 |
| 09/11/2020 | KT | R100 | Review correspondence and update settlement tracker. | 0.40 hrs @ 280.00/hr | 112.00 |
| 09/14/2020 | LBL | R100 | Reviewed net winner demand letters and background information re: same (.2); worked on draft of Dressler net winner/loan demand letter (.9); emails with R. Schultz and N. Reid re: same (.1) | 1.20 hrs @ 285.00/hr | 342.00 |
| 09/14/2020 | RTS | R100 | Attention to communicating with potential defendants re: settlements of claims (.8); Email to SEC re: notice of settlement (.3) | 1.10 hrs @ 360.00/hr | 396.00 |
| 09/15/2020 | RTS | R100 | Drafted net-winner settlement demand letters | 1.00 hrs @ 360.00/hr | 360.00 |
| 09/15/2020 | LBL | R100 | Exchanged emails with T. Hofner re: obtaining schedules for demand letters (.1); revised same, prepared same for distribution, and sent to R. Schultz for final review (.6) | 0.60 hrs @ 285.00/hr | 171.00 |
| 09/17/2020 | RTS | R100 | Final review of receivable demand letters (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/17/2020 | LBL | R100 | Edited Frasz, Douglas, and Dressler demand letters/schedule attachments and coordinated mailing of same | 0.30 hrs @ 285.00/hr | 85.50 |
| 09/18/2020 | RTS | R100 | Telephone conference with finder/net-winner re: settlement of claim | 0.50 hrs @ 360.00/hr | 180.00 |
| 09/21/2020 | LBL | R100 | Called N. Reid and left voicemail re: Stefan letter and emailed N. Reid re: same as follow up | 0.10 hrs @ 285.00/hr | 28.50 |
| 09/23/2020 | KT | R100 | Draft and revise net winner demand letters | 1.00 hrs @ 280.00/hr | 280.00 |
| 09/24/2020 | KT | R100 | Draft and revise net winner demand letters. | 2.60 hrs @ 280.00/hr | 728.00 |
| 09/24/2020 | RTS | R100 | Telephone conference with counsel for finder/net-winner re: settlement | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/25/2020 | KT | R100 | Draft and revise net winner demand letters | 2.50 hrs @ 280.00/hr | 700.00 |
| 09/25/2020 | RTS | R100 | Telephone conference with M. Rosow re: Leichy related claims issues (.2); analysis of response to Covenant Christian School demand and related materials from AlixPartners (.2); review status and analysis of outstanding net winner/finder claims (1.0) | 1.40 hrs @ 360.00/hr | 504.00 |

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Asset Analysis and Recovery

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 09/28/2020 | RTS | R100 | Reviewing status of all finder claims, updating status chart (1.0); sending follow ups to all finders who have responded to demands (.3); re-sending demands to alternative addresses if no responses have been received and searching documents to find alternative contact information (1.0); reviewing, revising net-winner demands (3.5) | 5.80 hrs @ 360.00/hr | 2,088.00 |
| 09/29/2020 | RTS | R100 | Drafting and revising net-winner demand letters (3.0); email to T. Hoeffner re: information needed for net-winner demands (.1); Telephone conference with counsel for Christian Covenant School re: settlement of claims (.2); email to counsel for Frasnz family trust re: not pursuing claim (.1); Telephone conference with N. Reid re: status of claims and information required by Receiver to show person is judgment proof (.2) | 3.70 hrs @ 360.00/hr | 1,332.00 |
| 09/29/2020 | NNR | R100 | Review R. Schultz spreadsheet on status of demands and discuss same with him | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/30/2020 | RTS | R100 | Telephone conference with net-winner/finder re: potential settlement of claim (.2); Drafting and finalizing net-winner demand letters (3.5) | 3.70 hrs @ 360.00/hr | 1,332.00 |

Total for: Asset Analysis and

\$18,822.00

Asset Disposition

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|------------|-----|------|--|----------------------|--------|
| 09/01/2020 | RTS | R101 | Emails to and from L. Gomez re: Miami condo sale (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 09/01/2020 | SBS | R101 | Miami Condo: reviewed contract and rider (.4); revised rider to reflect court approval process (.8) | 1.20 hrs @ 385.00/hr | N/C |
| 09/01/2020 | SAS | R101 | Review of Miami condominium contract offer and preparation of comments to same. | 1.30 hrs @ 310.00/hr | 403.00 |
| 09/02/2020 | SAS | R101 | Attention to Miami condo purchase agreement; coordination with broker re: same. | 0.30 hrs @ 310.00/hr | 93.00 |
| 09/04/2020 | NNR | R101 | Review Miami condo contract | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/04/2020 | SAS | R101 | Attention to Miami condo matters | 1.00 hrs @ 310.00/hr | 310.00 |
| 09/08/2020 | SBS | R101 | Miami condo: conferred with S. Schonfeld re: handling of closing | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/08/2020 | SAS | R101 | Attention to Miami condo transaction matters | 0.50 hrs @ 310.00/hr | 155.00 |
| 09/08/2020 | LBL | R101 | Exchanged emails with R. Schultz, N. Reid and K. Thomas re: drafting motion for approval of Miami condo sale and reviewed case database re: documents for same | 0.10 hrs @ 285.00/hr | 28.50 |
| 09/09/2020 | MB | R101 | Attention to correspondence (.3); start to review real estate contract for Miami condo (.3) | 0.60 hrs @ 180.00/hr | 108.00 |

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Asset Disposition

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/09/2020 | NNR | R101 | Discussion with B. Liss re: background on Miami condo sale efforts | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/09/2020 | SAS | R101 | Attention to Miami condo matters. | 0.20 hrs @ 310.00/hr | 62.00 |
| 09/09/2020 | LBL | R101 | Call with N. Reid re: motion for approval of sale of Miami condo (.2); call with R. Schultz re: same (.2) reviewed background documents and worked on draft motion for same (.6) | 1.00 hrs @ 285.00/hr | 285.00 |
| 09/10/2020 | LBL | R101 | Worked on draft motion for approval of sale of Miami Property and reviewed related documents for same | 1.20 hrs @ 285.00/hr | 342.00 |
| 09/11/2020 | LBL | R101 | Revised draft motion for approval of sale of Miami property/related exhibits and emailed R. Schultz re: same (1.0); emailed S. Schonfeld and M. Bogdanowicz re: property status re: mortgage/liens/encumbrances (.1); exchanged emails with L. Gomez re: call to discuss LG sale process/efforts for Miami property (.1) | 1.20 hrs @ 285.00/hr | 342.00 |
| 09/11/2020 | RTS | R101 | Reviewed motion to approve sale (Miami condo) (.2); Telephone conference with opposing counsel re: sale process (Miami condo) (.2) | 0.40 hrs @ 360.00/hr | 144.00 |
| 09/11/2020 | SAS | R101 | Attention to emails re: disposition of Miami condominium. | 0.20 hrs @ 310.00/hr | 62.00 |
| 09/14/2020 | SBS | R101 | Palatine: Followed up with condominium counsel re: turnover of associations | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/14/2020 | NNR | R101 | Review and comment on motion re: approval of Miami Condo sale | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/14/2020 | LBL | R101 | Call with L. Gomez (LG) re: marketing process for Miami property (.3); revised draft motion for Miami property sale approval (.3); emails with N. Reid and R. Schultz re: same and emailed SEC re: review of same (.1) | 0.70 hrs @ 285.00/hr | 199.50 |
| 09/15/2020 | MB | R101 | Review contract for 3470 E. Coast Ave, Miami, FL and note important dates and closing items (.8); communicate with buyer's attorney re: title commitment (.1) | 0.90 hrs @ 180.00/hr | 162.00 |
| 09/15/2020 | SBS | R101 | Palatine: Reviewed email from J. Slowikowski re: turnover of homeowner associations (.1); followed up with N. Reid (.1) | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/18/2020 | LBL | R101 | Applied final revisions to Miami property sale motion and prepared/coordinated filing of same and COS/service | 1.10 hrs @ 285.00/hr | 313.50 |
| 09/21/2020 | MB | R101 | Review title commitment for 3470 E. Coast Ave, Miami, FL (1.2); communicate with title company re: closing requirements (.3); communicate with listing agent re: association dues (.2) | 1.70 hrs @ 180.00/hr | 306.00 |

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Asset Disposition

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|-------------------------------------|-----|------|---|----------------------|-------------------|
| 09/21/2020 | RTS | R101 | Emails to opposing counsel and broker re: motion to approve filing, objection deadline and potential closing | 0.20 hrs @ 360.00/hr | 72.00 |
| 09/21/2020 | SAS | R101 | Attention to Miami condo matters | 0.20 hrs @ 310.00/hr | 62.00 |
| 09/22/2020 | MB | R101 | Review correspondence from title company re: 3470 E. Coast Ave, Miami, FL and note file (.3) further review of title and request recorded document from title company (.3) review additional recorded document (.3) | 0.90 hrs @ 180.00/hr | 162.00 |
| Total for: Asset Disposition | | | | | \$4,145.50 |

Business Operations

| | | | | | |
|---------------------------------------|-----|------|--|----------------------|-----------------|
| 09/04/2020 | NNR | R102 | Status meeting with 33Realty | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/04/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status | 0.30 hrs @ 360.00/hr | 108.00 |
| 09/11/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call | 0.40 hrs @ 360.00/hr | 144.00 |
| 09/11/2020 | NNR | R102 | Conference with 33Realty and R. Schultz re: weekly status call | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/18/2020 | RTS | R102 | Telephone conference with 33Realty re: weekly status call | 0.20 hrs @ 360.00/hr | 72.00 |
| 09/25/2020 | RTS | R102 | Telephone conference with 33 Realty re: weekly status call | 0.40 hrs @ 360.00/hr | 144.00 |
| 09/25/2020 | NNR | R102 | Weekly status call with 33Realty | 0.40 hrs @ 385.00/hr | 154.00 |
| Total for: Business Operations | | | | | \$891.50 |

Case Administration

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|------------|-----|------|---|----------------------|--------|
| 09/01/2020 | RTS | R103 | Telephone conference with N. Reid re: status of all outstanding matters | 0.30 hrs @ 360.00/hr | 108.00 |
| 09/02/2020 | RTS | R103 | Drafted agenda for all-hands status meeting (.3) | 0.30 hrs @ 360.00/hr | 108.00 |
| 09/04/2020 | LBL | R103 | Fox Swibel team (R. Schultz, N. Reid, S. Shellenback, K. Thomas., B. Liss) status call re: all action items | 0.80 hrs @ 285.00/hr | 228.00 |
| 09/04/2020 | NNR | R103 | Status meeting with FSLC team | 0.80 hrs @ 385.00/hr | 308.00 |
| 09/04/2020 | KT | R103 | Participate in internal call to discuss status of various workstreams | 0.80 hrs @ 280.00/hr | 224.00 |
| 09/04/2020 | RTS | R103 | Telephone conference with N. Reid, B. Liss, K. Thomas re: all matter status call | 0.80 hrs @ 360.00/hr | 288.00 |
| 09/08/2020 | NNR | R103 | Review/sign agreement re: Pazur and edit/revise/sign Miami condo agreement (1.4); Prepare for and participate in conference call with SEC team re: approach to litigation claims (.5) | 1.90 hrs @ 385.00/hr | 731.50 |

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Case Administration

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|------------|-----|------|--|----------------------|--------|
| 09/08/2020 | LBL | R103 | Tracked relevant objection deadlines and proposed order submission re: motion for approval of Elston sale | 0.10 hrs @ 285.00/hr | 28.50 |
| 09/09/2020 | NNR | R103 | Resolve issues re: Chablis sale deposit of escrow funds | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/10/2020 | EBA | R103 | Reviewed October/November bank statements and files for Chase bank refund re 610 Lincoln (.2); transmitted findings to Receiver (.1) | 0.30 hrs @ 180.00/hr | 54.00 |
| 09/10/2020 | NNR | R103 | Discussion with L. West re: tax issues | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/10/2020 | RTS | R103 | Returning various investor and claimant emails and inquiries (.6) | 0.60 hrs @ 360.00/hr | N/C |
| 09/14/2020 | NNR | R103 | Respond to and address miscellaneous issues and emails, including edit/revise disclaimer for tax returns, review and endorse check for Swift settlement | 0.50 hrs @ 385.00/hr | 192.50 |
| 09/14/2020 | EBA | R103 | Recorded check from William Allen in re 22W371 Emerson, Glen Ellyn, IL in TCMS (.1); remotely deposited check (.2); | 0.30 hrs @ 180.00/hr | 54.00 |
| 09/16/2020 | NNR | R103 | Discussion with R. Schultz re: follow up from AlixPartners call, claims process generally and next steps with Mueller re: Sapphire offer | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/18/2020 | RTS | R103 | Telephone conference with M. Foster re: case issues and status | 0.70 hrs @ 360.00/hr | 252.00 |
| 09/21/2020 | EBA | R103 | Email exchange with Lois West; Carol Higgins and Receiver (.2); drafted cover letters re tax payments (.2) | 0.40 hrs @ 180.00/hr | 72.00 |
| 09/23/2020 | LBL | R103 | Managed case files re: orders for recently filed court submissions and coordinated/organized docketing of relevant deadlines | 0.20 hrs @ 285.00/hr | 57.00 |
| 09/23/2020 | RTS | R103 | Email to T. Hoeffner re: filings to post on receivership web site | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/24/2020 | EBA | R103 | Prepared check to IDOR re 610 Lincoln Limited Partnership (.2); drafted cover letter to IDOR (.1) transmitted all to Receiver and clerk for signature and mailing to IDOR (.1) | 0.40 hrs @ 180.00/hr | 72.00 |
| 09/29/2020 | NNR | R103 | Respond to emails re: follow up procedure on claims process motion and resolution of certain net winner claims | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/29/2020 | LBL | R103 | Reviewed Judge Lee standing orders/procedures re: reinstatement of notice of presentment requirement and emailed internally re: same for future filings (.2); exchanged emails with R. Schultz re: memo re: law firm productions and outstanding Sreenan response (.1) | 0.30 hrs @ 285.00/hr | 85.50 |

Total for: Case Administration

\$3,284.00

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Claims Administration and Objections

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 09/01/2020 | RTS | R104 | Telephone conference with investor re: claims procedure questions (.2) | 0.20 hrs @ 360.00/hr | N/C |
| 09/01/2020 | RTS | R104 | Telephone conference with R. Stefan re: potential objections to claim and distribution motion (.6) | 0.60 hrs @ 360.00/hr | 216.00 |
| 09/01/2020 | LBL | R104 | Emails with R. Schultz and N. Reid re: Stefan investor letter to the court and emailed chambers re: same | 0.20 hrs @ 285.00/hr | 57.00 |
| 09/08/2020 | LBL | R104 | Telephone conference Fox Swibel and AlixPartners teams re: claims process and emails with AlixPartners related to same | 0.60 hrs @ 285.00/hr | 171.00 |
| 09/08/2020 | RTS | R104 | Telephone conference with AlixPartners re: claims process issues | 0.60 hrs @ 360.00/hr | 216.00 |
| 09/08/2020 | KT | R104 | Call with AlixPartners and internal team to discuss process for notifying creditors of their claims and related details. | 0.60 hrs @ 280.00/hr | 168.00 |
| 09/09/2020 | RTS | R104 | Emails to and from attorney for claimants re: claims process and information | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/09/2020 | LBL | R104 | Reviewed spreadsheets re: vendors and employee data (.1); telephone conference with K. Thomas to discuss mailings re: same and emailed T. Hofner to schedule follow-up (.2) | 0.30 hrs @ 285.00/hr | 85.50 |
| 09/09/2020 | KT | R104 | Call with B. Liss to discuss vendor and employee spreadsheets. | 0.20 hrs @ 280.00/hr | 56.00 |
| 09/11/2020 | LBL | R104 | Telephone conference with T. Hofner and K. Thomas re: issuance of notices of claims | 0.40 hrs @ 285.00/hr | 114.00 |
| 09/11/2020 | KT | R104 | Call with B. Liss and AlixPartners to discuss vendor lists | 0.40 hrs @ 280.00/hr | 112.00 |
| 09/14/2020 | RTS | R104 | Attention to addressing objections and finalizing Bar Date Order, including Telephone conference with T. Biasiello re: resolution of Mrs. Mueller's objection | 0.50 hrs @ 360.00/hr | 180.00 |
| 09/14/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices | 4.00 hrs @ 285.00/hr | 1,140.00 |
| 09/14/2020 | NNR | R104 | Discussion with Stefan (investor) re: objection to claims procedures | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/15/2020 | NNR | R104 | Answer investor questions re: claims procedures | 0.20 hrs @ 385.00/hr | N/C |
| 09/15/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.3); call with N. Reid re: procedural issues re: objections and Receiver's response (.1); reviewed pleadings and docket re: same (.2) | 1.60 hrs @ 285.00/hr | 456.00 |

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Claims Administration and Objections

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|------------|-----|------|---|----------------------|----------|
| 09/15/2020 | NNR | R104 | Review information and emails re: Stefan claim (.3); call Stefan and further analysis of information re: his claim (.9); further analysis and emails, including discussion with Hofner, re: how to deal with Stefan objection and claim (1.2) | 2.40 hrs @ 385.00/hr | 924.00 |
| 09/15/2020 | NNR | R104 | Emails and further analysis re: Stefan objection | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/16/2020 | LBL | R104 | Internal Fox Swibel status call re: claims administration process and distribution of responsibilities (.4); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.2) | 1.60 hrs @ 285.00/hr | 456.00 |
| 09/16/2020 | NNR | R104 | Discussion with Hofner re: Stefan claim and analysis re: same and email to R. Schultz re: update | 0.50 hrs @ 385.00/hr | 192.50 |
| 09/16/2020 | NNR | R104 | Discussion with R. Schultz re: Stefan claim | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/16/2020 | RTS | R104 | Telephone conference with AlixPartners re: claims procedure and logistic issues (.5); telephone conference with N. Reid re: same (.3); telephone conference with attorney for multiple claimants re: resolution of claims (.3); telephone conference with J. Neagle re: claims logistics and related issues (.4) | 1.50 hrs @ 360.00/hr | 540.00 |
| 09/17/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices | 0.20 hrs @ 285.00/hr | 57.00 |
| 09/18/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (1.5); emails with AlixPartners and internally re: initial notices of determination (.1) | 1.60 hrs @ 285.00/hr | 456.00 |
| 09/21/2020 | LBL | R104 | Reviewed inactive investor spreadsheet, claims summary spreadsheet and removed deceased investor; exchanged emails with T. Hofner re: same (AlixPartners) (.1); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices (2.0) | 2.10 hrs @ 285.00/hr | 598.50 |
| 09/21/2020 | NNR | R104 | Draft, edit, revise response to objections (2.8); discussion with T. Hofner re: same (.4) | 3.20 hrs @ 385.00/hr | 1,232.00 |
| 09/21/2020 | RTS | R104 | Reviewed and revised omnibus response to objections to claims procedure motion (.5) | 0.50 hrs @ 360.00/hr | 180.00 |
| 09/22/2020 | LBL | R104 | Reviewed/edited omnibus response to objections and coordinated filing of same with C. Jelks (.5); managed internal files/database re: same (.1); worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices including research re: vendors with unknown contact information (2.2) | 2.10 hrs @ 285.00/hr | 598.50 |
| 09/22/2020 | NNR | R104 | Finalize omnibus response to objections and order re: claims process motion | 0.70 hrs @ 385.00/hr | 269.50 |

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| | | | | | |
|---|-----|------|--|----------------------|--------------------|
| 09/23/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of vendors/addresses for distribution of notices including research re: vendors with unknown contact information (1.6); emailed J. Neagle and R. Schultz re: same (.1) | 1.70 hrs @ 285.00/hr | 484.50 |
| 09/24/2020 | LBL | R104 | Worked on claims process logistical matters re: aggregation of employees/addresses for distribution of notices (.9); call with J. Neagle re: same and vendor contacts/preparation for distribution (.1) | 1.00 hrs @ 285.00/hr | 285.00 |
| 09/25/2020 | LBL | R104 | Emails with R. Schultz re: motion authorizing and approving procedures for settling claims and causes of action and entry of order of same due to expiration of objection deadline (.1); drafted and sent communication to court re: same in accordance with court standing order/direction pursuant to relevant minute entry (.4) | 0.50 hrs @ 285.00/hr | 142.50 |
| 09/28/2020 | LBL | R104 | Reviewed initial determinations and compared against claims analysis data to determine consistency/whether discrepancies exist | 0.20 hrs @ 285.00/hr | 57.00 |
| 09/29/2020 | LBL | R104 | Researched procedures for approval of claims process motion/objection resolution process and exchanged multiple emails with R. Schultz and N. Reid re: same (.4); call with courtroom deputy re: same/court action re: fully briefed claims process motion and emailed R. Schultz and N. Reid re: same (.2) | 0.60 hrs @ 285.00/hr | 171.00 |
| 09/30/2020 | LBL | R104 | Reviewed initial determinations and compared against claims analysis data to determine consistency/whether discrepancies exist and emailed Fox Swibel team re: same | 2.70 hrs @ 285.00/hr | 769.50 |
| 09/30/2020 | NNR | R104 | Discussion with investor re: claims procedures | 0.20 hrs @ 385.00/hr | N/C |
| 09/30/2020 | RTS | R104 | Telephone conference with investor re: claims process (.4) | 0.40 hrs @ 360.00/hr | N/C |
| Total for: Claims Administration and | | | | | \$10,729.00 |

Forensic Accounting

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/16/2020 | LBL | R112 | Status conference call with Fox Swibel/AlixPartners teams re: claims process and action items moving forward | 0.50 hrs @ 285.00/hr | 142.50 |
| 09/18/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status and claims process (.5) | 0.50 hrs @ 360.00/hr | 180.00 |
| 09/18/2020 | NNR | R112 | Status call with AlixPartners and R. Schultz | 0.50 hrs @ 385.00/hr | 192.50 |
| 09/18/2020 | LBL | R112 | Fox Swibel/AlixPartners status telephone conference re: AlixPartners pending action items | 0.50 hrs @ 285.00/hr | 142.50 |

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Forensic Accounting

| | | | | | |
|---------------------------------------|-----|------|--|----------------------|-------------------|
| 09/18/2020 | KT | R112 | Call with internal team and AlixPartners re: weekly status | 0.50 hrs @ 280.00/hr | 140.00 |
| 09/25/2020 | KT | R112 | Weekly update call with AlixPartners and internal team | 0.40 hrs @ 280.00/hr | 112.00 |
| 09/25/2020 | RTS | R112 | Telephone conference with AlixPartners re: weekly status call | 0.40 hrs @ 360.00/hr | 144.00 |
| 09/25/2020 | NNR | R112 | Status call with AlixPartners and FSLC team | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/25/2020 | LBL | R112 | Weekly telephone conference with Fox Swibel and AlixPartners re: status of forensic accounting tasks | 0.40 hrs @ 285.00/hr | 114.00 |
| Total for: Forensic Accounting | | | | | \$1,321.50 |

Tax Issues

| | | | | | |
|------------------------------|-----|------|--|----------------------|-------------------|
| 09/09/2020 | TWS | R113 | Analyze revised IRS assessment; research re: same | 2.80 hrs @ 385.00/hr | 1,078.00 |
| 09/10/2020 | TWS | R113 | Further review and analysis re: IRS revised tax assessment | 2.80 hrs @ 385.00/hr | 1,078.00 |
| 09/15/2020 | NNR | R113 | Review, sign tax returns and coordinate with L. West re: same | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/17/2020 | EBA | R113 | Email exchange with L. West re tax payments (.1); prepared checks re same (.3); email to Receiver re wire transfer and checks (.1) | 0.50 hrs @ 180.00/hr | 90.00 |
| 09/25/2020 | NNR | R113 | Discussion with T. Deleo and L. West re: K-1, tax and investor issues | 0.70 hrs @ 385.00/hr | 269.50 |
| Total for: Tax Issues | | | | | \$2,669.50 |

Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/01/2020 | RTS | R116 | Emails to SEC and Monico firm re: adding Timberwood entity to receivership (.2); revised sale motion to include request to add Timberwood entity as receivership defendant and draft separate order re: same (.5) | 0.70 hrs @ 360.00/hr | 252.00 |
| 09/01/2020 | MB | R116 | Discuss research findings with S. Shellenback (1); send an e-mail to title company re: concerns of title of the recreational/pool area (.2) further review survey from purchase and transmit findings to S. Shellenback (1.2) | 2.40 hrs @ 180.00/hr | 432.00 |
| 09/01/2020 | NNR | R116 | Discussion with D. Cohen re: follow up on sale of property (.2); review/send emails re: TLA conference call with Sapphire and related issues (.2); call with D. Cohen re: prep for call with Sapphire and participate in call re: same (1.5); update R. Schultz on calls with Sapphire and SEC (.3). | 2.20 hrs @ 385.00/hr | 847.00 |
| 09/01/2020 | SBS | R116 | Attention to questions on ownership of three parcels by Timberwood Recreational Center | 1.40 hrs @ 385.00/hr | 539.00 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|----------|
| 09/02/2020 | MB | R116 | Further review of county records (.5); send an e-mail to C. Bersche with finding of missing PIN numbers (.5) conference call with buyer's attorney office and S. Shellenback (.5); communicate with title company re: conference call (.1) attention to correspondence (.2) | 1.80 hrs @ 180.00/hr | 324.00 |
| 09/02/2020 | NNR | R116 | Create form PSA for TLA and emails/discussion with D. Cohen, R. Schultz (.4); review, respond to and send emails and response re: potential offer from Sapphire (.4) | 0.80 hrs @ 385.00/hr | 308.00 |
| 09/02/2020 | SBS | R116 | Further attention to questions on ownership of three parcels by Timberwood Recreation (1.4); call with Purchaser team re: Timberwood issue (.5); call with N. Reid re: new bidder and strategy re: same (.3) | 2.20 hrs @ 385.00/hr | 847.00 |
| 09/03/2020 | MB | R116 | Call with buyer's attorney paralegal re: missing parcels (.4); attention to correspondence(.1) | 0.50 hrs @ 180.00/hr | 90.00 |
| 09/03/2020 | SBS | R116 | Attention to emails re: sale issues | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/04/2020 | SBS | R116 | Calls with Chicago Title and Purchaser's attorney re: adding Timberwood parcels to title commitment (.4); internal status conference (.3) | 0.70 hrs @ 385.00/hr | 269.50 |
| 09/08/2020 | SBS | R116 | Attention to emails (.4); status report to N. Reid on Amendment to PSA (.2); conferred with M. Bogdanowicz re: updated title commitment (.1); call with Turner's counsel re: status (.2); attention to response to Turner's title objection letter (1.0); drafted amendment to PSA (1.5) | 3.40 hrs @ 385.00/hr | 1,309.00 |
| 09/08/2020 | MB | R116 | Attention to correspondence (.1) prepare response to title objection letter (1.3) print, sign, scan and send title objection letter to all parties (.3) | 1.70 hrs @ 180.00/hr | 306.00 |
| 09/08/2020 | RTS | R116 | Email from S. Shellenback re: amendment issue (.1) | 0.10 hrs @ 360.00/hr | 36.00 |
| 09/09/2020 | SBS | R116 | Attention to Amendment to PSA (1.5); attention to questions on Sapphire (.3) | 1.80 hrs @ 385.00/hr | 693.00 |
| 09/09/2020 | MB | R116 | Attention to correspondence re: sale issues | 0.20 hrs @ 180.00/hr | 36.00 |
| 09/09/2020 | NNR | R116 | Conference call with Sapphire, R. Schultz and D. Cohen (.5); follow up strategy discussion with D. Cohen and R. Schultz re: next steps (.5); edit, revise email to Sapphire, analyze issues re: same and email to R. Schultz and S. Shellenback re: same (.5); call to title company re: verification of proof of funds (.1) | 1.60 hrs @ 385.00/hr | 616.00 |
| 09/09/2020 | RTS | R116 | Telephone conference with Sapphire re: offer issues (.5); Telephone conference with D. Cohen and N. Reid re: same (.5); draft email for Sapphire sale process proposal (.3) | 1.30 hrs @ 360.00/hr | 468.00 |
| 09/10/2020 | NNR | R116 | Revise email to Sapphire re: response to their interest (.3); finalize and send email to Dan Cohen re: Sapphire (.2). | 0.50 hrs @ 385.00/hr | 192.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/10/2020 | MB | R116 | Review updated title commitment and communicate findings with S. Shellenback and Receiver | 0.90 hrs @ 180.00/hr | 162.00 |
| 09/10/2020 | SBS | R116 | Attention to draft of email to Sapphire (.3); reviewed revised title company (.2); followed up with R. Schultz to confirm payoff of mortgage made by Timberwood Recreational Center (.1) | 0.60 hrs @ 385.00/hr | 231.00 |
| 09/11/2020 | MB | R116 | Conversation with C. Bersche re: updated title commitment (.3); review updated title and communicate findings with title company (.4) | 0.70 hrs @ 180.00/hr | 126.00 |
| 09/11/2020 | SBS | R116 | Attention to revised title commitment | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/14/2020 | SBS | R116 | Attention to Sapphire offer (.1); reviewed response from Illinois Department of Unemployment Security in response to Bulk Sales waiver (.1); followed-up on amendment to PSA (.1) | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/14/2020 | NNR | R116 | Further response to comments and emails re: Sapphire and Timberlake property | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/14/2020 | RTS | R116 | Revised sale motion re: new potential buyer issues | 1.00 hrs @ 360.00/hr | 360.00 |
| 09/15/2020 | RTS | R116 | Revised motion to approve sale (.5); emails to and from N. Reid et al. re: moving forward with sale to Turner and Sapphire status (.2); Telephone conference with D. Cohen re: revised LOI from Sapphire (.1) | 0.80 hrs @ 360.00/hr | 288.00 |
| 09/15/2020 | MB | R116 | Attention to communication(.1); review updated title commitment and analyze the underwriter's response (.3) discuss concerns with buyer's side paralegal and send e-mail to title company(.2); | 0.60 hrs @ 180.00/hr | 108.00 |
| 09/15/2020 | NNR | R116 | Call with Mitsos re: update on TLA sale | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/15/2020 | NNR | R116 | Email to R. Mitsos re: Sapphire LOI | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/15/2020 | SBS | R116 | Attention to emails re: sale issues | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/16/2020 | SBS | R116 | Attention to emails re: sale issues | 0.30 hrs @ 385.00/hr | 115.50 |
| 09/16/2020 | NNR | R116 | Edit, revise TL motion (.7); discussion with (i) D. Cohen re: language on marketing for sale of property (.4); (ii) R. Mitsos re: scheduling call and reviewing draft motion (.5); conference call with Mueller counsel re: resolving any potential objection to the sale and follow up email to R. Schultz and S. Shellenback re: same (.3) | 1.90 hrs @ 385.00/hr | 731.50 |
| 09/17/2020 | SBS | R116 | Attention to legal description for Timberwood parcels (.3); attention to emails (.4); reviewed current and proposed draft of amendment to PSA (.4); conferences with N. Reid and R. Schultz re: strategy (.5); call with Purchaser team (.5); call with Purchaser's counsel re: amendment to PSA (.2) | 2.30 hrs @ 385.00/hr | 885.50 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/17/2020 | NNR | R116 | Prepare and participate in conference call with Mueller counsel re: response to Sapphire offer (.7); discussion with D. Cohen re: strategy issues (.3); conference calls with R. Schultz and S. Shellenback re: response to Sapphire offer and next steps (.7) | 1.70 hrs @ 385.00/hr | 654.50 |
| 09/17/2020 | RTS | R116 | Telephone conference with N. Reid re: sale issues (.5); draft email to L. Virgilio re: sale issues (.3); Telephone conference with Turner re: motion to approve sale issues and potential objection (.5); Telephone conference with N. Reid and S. Shellenback re: same (.2); revised sale motion (.4) | 1.90 hrs @ 360.00/hr | 684.00 |
| 09/17/2020 | MB | R116 | Review Bulk Sale certificate from IDES(.2); further review of legal and communicate with title underwriter (.5) | 0.70 hrs @ 180.00/hr | 126.00 |
| 09/17/2020 | NNR | R116 | Review R. Schultz draft email re: Sapphire and analyze issues re: same, and email to R. Schultz and SSE re: same (0.5); prepare for and participate in call with Turner, R. Schultz and S.Shellenback (0.5) | 1.00 hrs @ 385.00/hr | 385.00 |
| 09/18/2020 | SBS | R116 | Attention to email (.1); conferred with M. Bogdanowicz re: legal description for Timberwood Parcels (.2); attention to finalizing amendment to PSA (.4) | 0.70 hrs @ 385.00/hr | 269.50 |
| 09/18/2020 | MB | R116 | Call with S. Shellenback re: transaction status (.3); communicate with buyer's attorney's paralegal re: legal description (.3) | 0.60 hrs @ 180.00/hr | 108.00 |
| 09/18/2020 | NNR | R116 | Call with R. Shellenback re: next steps, amendment, response to Sapphire and edit to Sale Motion, and email to L. Virgilio re: proof of funds verification (.3); review sale motion and edit same and email to R. Schultz and S. Shellenback re: same (0.7) | 1.00 hrs @ 385.00/hr | 385.00 |
| 09/18/2020 | RTS | R116 | Telephone conference with D. Cohen re: sale status (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 09/18/2020 | LBL | R116 | Attention to email re: service parties for Timberlake sale motion and emailed C. Jelks re: same | 0.10 hrs @ 285.00/hr | 28.50 |
| 09/21/2020 | RTS | R116 | Reviewed revised motion to approval sale and related relief and additional revisions to same (.2) | 0.20 hrs @ 360.00/hr | 72.00 |
| 09/21/2020 | MB | R116 | Attention to correspondence (.1); review records re: FEIN numbers (.1); communicate with C. Higgins re: FEIN number for Timberwood Recreational Center Inc(.1); prepare Bulk Sale applications for Timberwood Recreational Center and send same for processing (.6) | 0.90 hrs @ 180.00/hr | 162.00 |
| 09/22/2020 | RTS | R116 | Emails to and from lender counsel re: sale status (.1); finalizing motion to approve sale (.2); reviewed PSA from Sapphire group (.2) | 0.50 hrs @ 360.00/hr | 180.00 |
| 09/22/2020 | MB | R116 | Communicate with R. Schultz re: mortgages | 0.10 hrs @ 180.00/hr | 18.00 |

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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|---|----------------------|--------|
| 09/22/2020 | SBS | R116 | Attention to PSA and Amendment in connection with Motion for approval of sale (.1); brief review of new offer (.2); emails R. Schultz re: updating PSA for new offer (.1) | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/22/2020 | NNR | R116 | Update Mitsos on status of Sapphire, motion and sale | 0.20 hrs @ 385.00/hr | 77.00 |
| 09/22/2020 | LBL | R116 | Coordinated additional notice parties and filing of motion to approve sale (.1); revised rent role for PSA exhibit to redact all names and telephone conference with C. Jelks re: preparation of corrected filing/communication to clerk re: same (.4); emails with R. Schultz re: same (.1) | 0.60 hrs @ 285.00/hr | 171.00 |
| 09/23/2020 | SBS | R116 | Call with N. Reid and R. Schultz (.3); attention to emails re: sale issues (.4); attention to PSA from new bidder (.2) | 0.90 hrs @ 385.00/hr | 346.50 |
| 09/23/2020 | RTS | R116 | Telephone conference with N. Reid and S. Shellenback re: Sapphire PSA (.3); Call w/ L. Virgilio re: same (.2); Telephone conference with M. Foster re: potential expense reimbursement proposal (.5); Telephone conference with D. Cohen re: same (.2); draft proposed terms of expense reimbursement agreement for N. Reid review (.4); additional emails re: potential changes to sale process and analysis re: same (.5) | 2.10 hrs @ 360.00/hr | 756.00 |
| 09/23/2020 | NNR | R116 | Conference call and discussions with R. Schultz and S. Shellenback re: strategy for responding to Sapphire offer, and message to D. Cohen re: related issues, and review/edit draft email to L. Virgilio re: response to Sapphire's concerns | 1.00 hrs @ 385.00/hr | 385.00 |
| 09/24/2020 | NNR | R116 | Discussion with Mitsos re: Sapphire bid and email to R. Schultz re: strategy (.5); email exchange with Mitsos and FSLC team re: further response to Sapphire offer and strategy re: same (.4) | 0.90 hrs @ 385.00/hr | 346.50 |
| 09/24/2020 | MB | R116 | Communicate with title company re: deeds (.1); review correspondence from state of Illinois revenue department and communicate with S. Shellenback (.2) | 0.30 hrs @ 180.00/hr | 54.00 |
| 09/24/2020 | RTS | R116 | Telephone conference with N. Reid re: Sapphire buyer issues | 0.30 hrs @ 360.00/hr | 108.00 |
| 09/25/2020 | RTS | R116 | Telephone conferences with L. Virgilio re: Sapphire issues | 0.40 hrs @ 360.00/hr | 144.00 |
| 09/25/2020 | MB | R116 | Communicate with C. Higgins re: state of Illinois bulk sale certificate request for Timberwood Recreational Center, Inc. and review correspondence from title company re: deeds | 0.10 hrs @ 180.00/hr | 18.00 |
| 09/25/2020 | NNR | R116 | Strategy calls with R. Schultz, and separately with R. Schultz and EW, re: TLA/Sapphire offer, and analyze issues re: break up fee/other buyer incentives | 0.60 hrs @ 385.00/hr | 231.00 |

N. Neville Reid, not individually but as receiver
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Asset Disposition – Timber Lake

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/28/2020 | MB | R116 | Communicate with K. Roddy re: response from Illinois Revenue Department re: Bulk Sale certificate for Timberwood Recreational Center Inc. | 0.20 hrs @ 180.00/hr | 36.00 |
| 09/28/2020 | SBS | R116 | Attention to emails re: bulk sales waiver | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/29/2020 | NNR | R116 | Edit, revise, analyze issues re: email to L. Virgilio regarding Sapphire bid protections | 0.50 hrs @ 385.00/hr | 192.50 |
| 09/29/2020 | SBS | R116 | Attention to email re: second bidder | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/30/2020 | NNR | R116 | Call with Mitsos and review emails from R. Schultz re: response to Sapphire alleged offer (.3); sign broker agreement extension (.2) | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/30/2020 | RTS | R116 | Telephone conference with L. Virgilio et al. re: Sapphire offer issues (.5); Telephone conference with N. Reid re: same and email to L. Virgilio re: same (.2); email from L. Virgilio re: disclosure of PSA to court and email to N. Reid re: same (.1) | 0.80 hrs @ 360.00/hr | 288.00 |

Total for: Asset Disposition – Timber \$17,833.00

Asset Disposition - Bartlett

| | | | | | |
|------------|----|------|---|----------------------|-------|
| 09/04/2020 | MB | R117 | Conference call with Chicago Title and buyer's counsel re: additional parcels | 0.30 hrs @ 180.00/hr | 54.00 |
|------------|----|------|---|----------------------|-------|

Total for: Asset Disposition - Bartlett \$54.00

Asset Disposition – Surrey

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/09/2020 | SBS | R118 | Attention to post-closing question on recording of assignment of developer rights under condominium documents, including email to purchaser's attorney | 0.40 hrs @ 385.00/hr | 154.00 |
|------------|-----|------|--|----------------------|--------|

Total for: Asset Disposition – Surrey \$154.00

Asset Disposition – Elston

| | | | | | |
|------------|-----|------|--|----------------------|--------|
| 09/01/2020 | SBS | R119 | Attention to email from Purchaser's attorney re: status of court approval. | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/10/2020 | LBL | R119 | Drafted and sent communication to chambers re: expiration of objection deadline for Elston sale and entry of order approving sale (.3); managed case files for same (.1) | 0.40 hrs @ 285.00/hr | 114.00 |
| 09/11/2020 | LBL | R119 | Exchanged emails with S. Shellenback re: issuance of order approving Elston sale and sent same to S. Shellenback | 0.10 hrs @ 285.00/hr | 28.50 |
| 09/15/2020 | SBS | R119 | Attention to question on appeal of 2020 taxes (.2); email to Purchaser's attorney conforming entry of Sale Order and setting potential closing date (.2) | 0.40 hrs @ 385.00/hr | 154.00 |
| 09/16/2020 | MB | R119 | Attention to correspondence and maintain copy of order in file system | 0.10 hrs @ 180.00/hr | 18.00 |

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Asset Disposition – Elston

| | | | | | |
|--|-----|------|---|----------------------|-----------------|
| 09/24/2020 | MB | R119 | Communicate with buyer's counsel on closing scheduling | 0.30 hrs @ 180.00/hr | 54.00 |
| 09/28/2020 | MB | R119 | Attention to correspondence(.1); communicate with title company re: scheduling (.1) | 0.20 hrs @ 180.00/hr | 36.00 |
| 09/28/2020 | SBS | R119 | Attention to emails on scheduling closing | 0.10 hrs @ 385.00/hr | 38.50 |
| 09/29/2020 | MB | R119 | Attention to closing scheduling information and forward the same to buyer's counsel | 0.10 hrs @ 180.00/hr | 18.00 |
| 09/30/2020 | SBS | R119 | Attention to emails from Purchaser's lender (.2); closing preparations (.1) | 0.30 hrs @ 385.00/hr | 115.50 |
| Total for: Asset Disposition – Elston | | | | | \$615.00 |

Fee Applications

| | | | | | |
|-------------------------------------|-----|------|---|----------------------|--------------------|
| 09/01/2020 | LBL | R121 | Reviewed R. Schultz revisions to fee application and entered edits to same and exchanged with emails R. Schultz re: same | 0.20 hrs @ 285.00/hr | N/C |
| 09/02/2020 | LBL | R121 | Reviewed and revised fee application/added exhibits and sent to SEC for review (1.2); emailed accounting re: updated Fox Swibel task breakout for same (.1); emails to Fox Swibel team and SEC re: review of fee application (.1) | 1.40 hrs @ 285.00/hr | N/C |
| 09/02/2020 | RTS | R121 | Telephone conference with AlixPartners re: invoice issues | 0.70 hrs @ 360.00/hr | N/C |
| 09/02/2020 | NNR | R121 | Discussion with AlixPartners re: latest bills and review same in advance of call | 0.70 hrs @ 385.00/hr | N/C |
| Total for: Fee Applications | | | | | \$0.00 |
| Total Professional Services: | | | | | \$60,519.00 |
| Total No/Charge (N/C): | | | | | \$2,025.50 |

DISBURSEMENTS

| | | | | | |
|-----------------------------|--|--|--|--|-------------------|
| 09/23/2020 | Innovative Service Technology Management Services, Inc.-105463 Monthly Data Storage review Invoice 105458 Client Exp Other | | | | \$1,085.98 |
| Total Disbursements: | | | | | \$1,085.98 |

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| <u>ID</u> | <u>Timekeeper Name</u> | <u>Hours</u> | <u>Total Fees</u> |
|------------------|-------------------------------|---------------------|--------------------------|
| NNR | Reid, Neville N | 34.20 | \$12,743.50 |
| TWS | Stein, Terry W | 5.60 | \$2,156.00 |
| RTS | Schultz, Ryan T | 49.80 | \$17,244.00 |
| SBS | Shellenback, Stephanie B | 18.70 | \$6,737.50 |
| SAS | Schonfeld, Scott A | 3.70 | \$1,147.00 |
| LBL | Liss, Brandon | 44.20 | \$12,141.00 |
| KT | Thomas, Kenneth | 17.80 | \$4,984.00 |
| MB | Bogdanowicz, Margy | 16.80 | \$3,024.00 |
| EBA | Anderson, Eric B | 1.90 | \$342.00 |

BILL SUMMARY

TOTAL CHARGES THIS INVOICE

 \$61,604.98

BALANCE DUE UPON RECEIPT

\$61,604.98

GROUP EXHIBIT J

[Fox Swibel Expenses for July 2020 through September 2020]

(see attached)



OK
 MB/SAS
 8/6/2020

115 N CALHOUN ST., STE. 4
 TALLAHASSEE, FL 32301
 P: 866.625.0838
 F: 866.625.0839
 INFO@COGENCYGLOBAL.COM
 COGENCYGLOBAL.COM

Invoice No.: 100310344
Invoice Date: 8/6/2020

Bill To:

Fox Swibel Levin & Carroll LLP
 Margy Bogdanowicz
 200 West Madison Street,
 Suite 3000
 Chicago, IL 60606
Cust. No. 01FOXSWIB-AN610

Client matter***

Invoicing Office: Tallahassee, FL
 Order No.: 1251877
 Order Date: 8/6/2020
 Client Ref No.: 07183.001
 Requested For:
 Service Specialist: Chris Vick

| | <u>Qty</u> | <u>Disb</u> | <u>Service</u> | <u>Amount</u> |
|---|------------|-------------|----------------|---------------|
| 441-447 NORTH LAKE SHORE DRIVE TOWNHOME ASSOCIATION | | | | |
| <i>Illinois - Department of Revenue</i> | | | | |
| State Tax Lien Search - Central - Direct Access | 1 | | 44.00 | 44.00 |
| <i>Illinois - Du Page County Circuit Court</i> | | | | |
| Pending Suits and Judgments | 1 | 25.00 | 62.00 | 87.00 |
| <i>Illinois - Du Page County Recorder</i> | | | | |
| Fixture, FTL, STL & Judgment Searches | 1 | 25.00 | 78.00 | 103.00 |
| <i>Illinois - Secretary of State</i> | | | | |
| Federal Tax Lien Search - Central - Direct Access | 1 | | 44.00 | 44.00 |
| UCC Search - Central - Direct Access | 1 | | 44.00 | 44.00 |
| <i>Illinois - U.S. Bankruptcy Court - Northern District of Illinois</i> | | | | |
| Bankruptcy Search | 1 | | 31.00 | 31.00 |
| <i>Illinois - U.S. District Court - Northern District of Illinois</i> | | | | |
| Pending Suits and Judgments | 1 | | 62.00 | 62.00 |

Reasonable care is exercised in the completion of all service requests, however, as the responsibility for the accuracy of the public records rests with the filing officer, we accept no liability for the report contained herein.

Electronic payment details: Capital One Bank | Account Name: COGENCY GLOBAL INC. | Account Number: 8064002994
 ABA: 021407912 | Swift Code: HIBKUS44 Page 1 of 2

 Cut Here and Enclose with your payment



Want to pay online? Visit <https://pay.cogencyglobal.com>

| Customer No | Invoice No | Due Date | Amount Due |
|-----------------|------------|----------|------------|
| 01FOXSWIB-AN610 | 100310344 | 9/5/2020 | \$415.00 |

Margy Bogdanowicz
 200 West Madison Street,
 Suite 3000
 Chicago, IL 60606

COGENCY GLOBAL INC.
 P.O. Box 3168
 Hicksville, NY 11802

FEIN: 13-3246732
 Fax: 800.944.6607
 Phone: 800.221.0102

0100310344 01FOXSWIBAN610 0000041500



Cust. No. 01FOXSWIB-AN610

Invoice No.: 100310344

Invoice Date: 8/6/2020

| | |
|--------------|-----------------|
| Total | \$415.00 |
|--------------|-----------------|



**Innovative Service Technology
Management Services, Inc.**

934 Glenwood Ave
Suite 250
Atlanta, GA 30316-1816
404-582-8850

Invoice 104480

Date 07/31/20

Bill To:

Fox, Swibel, Levin & Carroll, LLP
200 W. Madison St.
STE 3000
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP
FOX-011_SEC v. Northridge Holdings
Ryan Schultz
FOX-011

| Customer | Purchase Order Number | | Terms | | |
|--|-----------------------|---|-------------|-----|--|
| 01FOX1 | | | Net 60 Days | | |
| Quantity | | Item Description | Unit Price | Tax | Amount |
| Qty Ordered | Qty. Shipped | | | | |
| 104.33 | 104.33 | Hosted Review - Monthly Data Storage per GB | 8.00 | Y | 834.64 |
| <p>Coverage Period 07/01/20 - 07/31/20 Ryan Schultz / rschultz@foxswibel.com Ryan Schultz /</p> | | | | | <p>NonTaxable 0.00 Taxable Subtotal 834.64 Tax 0.00 Total Invoice 834.64</p> |



Invoice

Payable to: INSERVIO 3 LLC
Mail to: 624 S. Austin Ave Suite 230
Georgetown, TX 78626-5707
Phone: (412) 385-7338

| | |
|---------------------|-----------|
| Date | Invoice # |
| 7/31/2020 | X4066 |
| Tax ID - 85-0748969 | |

| |
|---|
| Bill To |
| Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable |

| |
|---|
| Ship To |
| Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable |

| | |
|-------------------|---------------|
| Job Number | IS3-CHI016344 |
|-------------------|---------------|

| Terms | Due Date | Ship Date | Rep | Client Ref | Client Contact | Project Name |
|--------|-----------|-----------|-------|------------|----------------|--------------|
| Net 30 | 8/30/2020 | 7/24/2020 | AG CH | 07183.001 | Carolyn Jelks | 07183.001 |

| Quantity | Description | Price Each | Amount |
|----------|--|------------|--------|
| 2,233 | Blowback Printing - with Assembly | 0.15 | 334.95 |
| 11 | Envelopes | 1.00 | 11.00 |
| 11 | Postage | 10.30 | 113.30 |
| 1 | Billed time - Prepare for shipping and delivery to Post Office for postage | 35.00 | 35.00 |

| | | |
|--|-------------------------|----------|
| TERMS: Unless otherwise covered by a separate written agreement, this invoice is due and payable upon receipt. Client is subject to maximum allowable finance charges on all past due accounts plus any related attorney fees and collection charges incurred by Inservio3. Client has 10 days from receipt of invoice to inspect Inservio3 completed work for quality. If no objection is made within the 10 day period, it shall be deemed accepted and full payment shall be due in accordance with the terms of this invoice. | Subtotal | \$494.25 |
| | Sales Tax (0.0%) | \$0.00 |
| | Payments/Credits | \$0.00 |
| | Balance | \$494.25 |



| | | | |
|-----------------------|---------------------|-----------------------|--------|
| Invoice Number | Invoice Date | Account Number | Page |
| 7-098-63851 | Aug 19, 2020 | | 3 of 5 |

Ship Date: Aug 11, 2020 **Cust. Ref.:** NNR **Ref.#2:**
Payor: Shipper **Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.
 The delivery commitment for FedEx 2Day to residences (including home offices) is 7 P.M. the second business day for A1, A2, AA, A3, A4, A5, A6, AM, PM, and RM service areas.
 Distance Based Pricing, Zone 2
 Package Delivered to Recipient Address - Release Authorized

7183-1

| | | | | |
|-------------------|--------------------|--------------------------------|-----------------------|----------------|
| Automation | INET | Sender | Recipient | |
| Tracking ID | 771235335976 | Davette Franklin | Margy Bogdanowix | |
| Service Type | FedEx 2Day | Fox Swibel Levin & Carroll LLP | 1501 Central Avenue | |
| Package Type | FedEx Envelope | 200 W. Madison | DEERFIELD IL 60015 US | |
| Zone | 02 | CHICAGO IL 60606 US | | |
| Packages | 1 | | | |
| Rated Weight | N/A | Transportation Charge | | 19.11 |
| Delivered | Aug 13, 2020 12:07 | Discount | | -3.25 |
| Svc Area | A1 | Automation Bonus Discount | | -1.91 |
| Signed by | see above | Fuel Surcharge | | 0.70 |
| FedEx Use | 00000000/1108/02 | Residential Delivery | | 4.65 |
| | | Total Charge | USD | \$19.30 |



Invoice

Payable to: Array
Mail to: 624 S. Austin Ave Suite 230
Georgetown, TX 78626-5707
Phone: (512) 831-4307
****Please include invoice number with payment**

| | |
|---------------------|-----------|
| Date | Invoice # |
| 8/21/2020 | X5025 |
| Tax ID - 85-0748969 | |

| |
|---|
| Bill To |
| Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable |

| |
|---|
| Ship To |
| Fox Swibel 200 W. Madison St., Suite 3000 Chicago, IL 60606 Attn: Accounts Payable |

| | |
|-------------------|---------------|
| Job Number | IS3-CHI016389 |
|-------------------|---------------|

| | | | | | | |
|--------------|-----------------|------------------|------------|-------------------|-----------------------|---------------------|
| Terms | Due Date | Ship Date | Rep | Client Ref | Client Contact | Project Name |
| Net 30 | 9/20/2020 | 8/14/2020 | AG CH | 07183.001 | Carolyn Jelks | 07183.001 |

| Quantity | Description | Price Each | Amount |
|----------|--|------------|----------|
| 43,498 | Blowback Printing - with Assembly | 0.10 | 4,349.80 |
| 478 | Envelopes | 0.75 | 358.50 |
| 478 | Postage | 2.40 | 1,147.20 |
| 1 | Billed time - Prepare for shipping and delivery to Post Office for postage | 16.30 | 16.30 |
| 5 | Technical Time (per hour) Download, print, collate, stuff, seal, format address and return labels, labels envelopes, apply postage at Post Office | 100.00 | 500.00 |

| | | |
|--|-------------------------|------------|
| TERMS: Unless otherwise covered by a separate written agreement, this invoice is due and payable upon receipt. Client is subject to maximum allowable finance charges on all past due accounts plus any related attorney fees and collection charges incurred to Array. Client has 10 days from receipt of invoice to inspect the completed work for quality. If no objection is made within the 10 day period, it shall be deemed accepted and full payment shall be due in accordance with the terms of this invoice. | Subtotal | \$6,371.80 |
| | Sales Tax (0.0%) | \$0.00 |
| | Payments/Credits | \$0.00 |
| | Balance | \$6,371.80 |



**Innovative Service Technology
Management Services, Inc.**

934 Glenwood Ave
Suite 250
Atlanta, GA 30316-1816
404-582-8850

Invoice 105463

Date 08/31/20

Bill To:

Fox, Swibel, Levin & Carroll, LLP
200 W. Madison St.
STE 3000
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP
FOX-011_SEC v. Northridge Holdings
Ryan Schultz
FOX-011

| Customer | Purchase Order Number | | Terms | | |
|--|-----------------------|---|-------------|-----|---|
| 01FOX1 | | | Net 60 Days | | |
| Quantity | | Item Description | Unit Price | Tax | Amount |
| Qty Ordered | Qty. Shipped | | | | |
| 1.25 | 1.25 | Project Management Time | 150.00 | N | 187.50 |
| 112.31 | 112.31 | Hosted Review - Monthly Data Storage per GB | 8.00 | Y | 898.48 |
| <p>Coverage Period 08/01/20 - 08/31/20</p> <p>Ryan Schultz / rschultz@foxswibel.com</p> <p>Ryan Schultz /</p> | | | | | <p>NonTaxable 187.50</p> <p>Taxable Subtotal 898.48</p> <p>Tax 0.00</p> <p>Total Invoice 1085.98</p> |



**Innovative Service Technology
Management Services, Inc.**

934 Glenwood Ave
Suite 250
Atlanta, GA 30316-1816
404-582-8850

Invoice 103463

Date 06/30/20

Bill To:

Fox, Swibel, Levin & Carroll, LLP
200 W. Madison St.
STE 3000
Chicago, IL 60606

Fox, Swibel, Levin & Carroll, LLP
FOX-011_SEC v. Northridge Holdings
Ryan Schultz
FOX-011

| Customer | Purchase Order Number | | Terms | | |
|--|-----------------------|---|-------------|-----|--|
| 01FOX1 | | | Net 60 Days | | |
| Quantity | | Item Description | Unit Price | Tax | Amount |
| Qty Ordered | Qty. Shipped | | | | |
| 104.32 | 104.32 | Hosted Review - Monthly Data Storage per GB | 8.00 | Y | 834.56 |
| <p>Coverage Period 06/01/20 - 06/30/20 Ryan Schultz / rschultz@foxswibel.com Ryan Schultz /</p> | | | | | <p>NonTaxable 0.00 Taxable Subtotal 834.56 Tax 0.00 Total Invoice 834.56</p> |



| Invoice Number | Invoice Date | Account Number | Page |
|----------------|--------------|----------------|--------|
| 7-053-84342 | Jul 01, 2020 | | 3 of 4 |

| | | |
|--------------------------------|------------------------------|----------------|
| Ship Date: Jun 22, 2020 | Cust. Ref.: 07183.001 | Ref.#2: |
| Payor: Shipper | Ref.#3: | |

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.00% to this shipment.
 Distance Based Pricing, Zone 2
 Package sent from: 60062 zip code

| | | <u>Sender</u> | <u>Recipient</u> | |
|--------------|--------------------------|---------------------------|--------------------------------|----------------|
| Automation | INET | Margy Bogdanowicz | Jacqueline Martindale | |
| Tracking ID | 770751774327 | 200 W Madison Street | Chicago Title Insurance Compan | |
| Service Type | FedEx Priority Overnight | CHICAGO IL 60606 US | 10 South LaSalle St. | |
| Package Type | FedEx Envelope | | CHICAGO IL 60603 US | |
| Zone | 02 | | | |
| Packages | 1 | | | |
| Rated Weight | N/A | Transportation Charge | | 25.35 |
| Delivered | Jun 23, 2020 09:10 | Discount | | -5.58 |
| Svc Area | A1 | Automation Bonus Discount | | -2.54 |
| Signed by | M.HENRY | Fuel Surcharge | | 0.52 |
| FedEx Use | 000000000/186/_ | Total Charge | USD | \$17.75 |



| | | | |
|--------------------------------------|-------------------------------------|-----------------------|----------------|
| Invoice Number 7-053-84342 | Invoice Date Jul 01, 2020 | Account Number | Page 2 of 4 |
|--------------------------------------|-------------------------------------|-----------------------|----------------|

FedEx Express Shipment Summary By Payor Type

FedEx Express Shipments (Original)

| Payor Type | Shipments | Rated Weight lbs | Transportation Charges | Special Handling Charges | Ret Chg/Tax Credits/Other | Discounts | Total Charges |
|----------------------------|-----------|------------------|------------------------|--------------------------|---------------------------|-----------|---------------|
| Shipper | | | | | | | |
| Recipient | | | | | | | |
| Total FedEx Express | | | | | | | |

TOTAL THIS INVOICE

USD

FedEx Express Shipment Detail By Payor Type (Original)

| | | | |
|--|------------------------------|---------------------------|-----------------------|
| Ship Date: Jun 16, 2020 | Cust. Ref.: 07183.001 | Ref.#2: | |
| Payor: Shipper | Ref.#3: | | |
| Fuel Surcharge - FedEx has applied a fuel surcharge of 2.75% to this shipment. Distance Based Pricing, Zone 2 Package sent from: 60162 zip code Package Delivered to Recipient Address - Release Authorized | | | |
| Automation | INET | Sender | Recipient |
| Tracking ID | 770371412891 | MargyBogdanowicz | MargyBogdanowicz |
| Service Type | FedEx Priority Overnight | 200 W Madison Street | 1501 Central Ave |
| Package Type | FedEx Envelope | CHICAGO IL 60606 US | DEERFIELD IL 60015 US |
| Zone | 02 | | |
| Packages | 1 | Transportation Charge | 25.35 |
| Rated Weight | N/A | Discount | -5.58 |
| Delivered | Jun 17, 2020 09:14 | Automation Bonus Discount | -2.54 |
| Svc Area | A1 | Fuel Surcharge | 0.60 |
| Signed by | see above | Residential Delivery | 4.65 |
| FedEx Use | 000000000/186/02 | Total Charge | USD \$22.48 |

FedEx® Billing Online

FedEx Billing Online allows you to efficiently manage and pay your FedEx invoices online. It's free, easy and secure. FedEx Billing Online helps you streamline your billing process. With all your FedEx shipping information available in one secure online location, you never have to worry about misplacing a paper invoice or sifting through reams of paper to find information for past shipments. Go to fedex.com to sign up today!

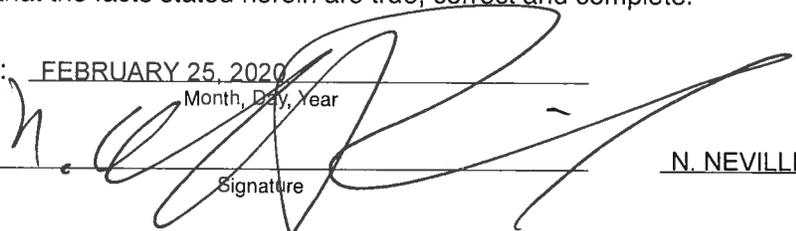
| | | |
|---|---|---|
| Form LP 210 August 2012 | Illinois Uniform Limited Partnership Act Annual Report | FILE # S016328 FILE Prior to: 02/01/2020 |
| Secretary of State Department of Business Services Limited Liability Division 501 S. Second St., Rm. 357 Springfield, IL 62756 217-524-8008 www.cyberdriveillinois.com | Please type or print clearly. <hr/> Filing Fee: \$100 Approved: | This space for use by Secretary of State. |
| Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash. | | |

Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.

- Limited Partnership Name: G & C MUELLER FAMILY LIMITED PARTNERSHIP
- Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:
1020 W. FULLERTON AVE., SUITE G
Street Address (P.O. Box alone is unacceptable.)
ADDISON, IL 60101
City, State, ZIP
- Foreign Alternate Name, if any: _____
- Registered Agent: LOUIS BRANDON LISS
Name
 Registered Office: 200 W. MADISON, SUITE 3000
Street Address (P.O. Box alone is unacceptable.)
CHICAGO IL 60606
City ZIP
- State or Jurisdiction of Organization: ILLINOIS

The Annual Report must be signed by a General Partner. I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 25, 2020
Month, Day, Year


Signature

N. NEVILLE REID, AS RECEIVER
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.
General Partner Name if a corporation or other entity (must be in good standing)

Signatures must be in black ink on an original document.

Form **LP 115**

August 2012

Secretary of State

Department of Business Services
 Limited Liability Division
 501 S. Second St., Rm. 357
 Springfield, IL 62756
 217-524-8008
 www.cyberdriveillinois.com

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.

Illinois
 Uniform Limited Partnership Act
Change of Designated Office or Agent for Service of Process

FILE # S016328

This space for use by Secretary of State.

SUBMIT IN DUPLICATE

Please type or print clearly.

Filing Fee: \$50

Approved:

- Limited Partnership Name: G & C MUELLER FAMILY LIMITED PARTNERSHIP
- Foreign Alternate Name, if any: _____

Instructions for completing items 3 and 4: Section 111 of the Uniform Limited Partnership Act (2001) requires that a designated office be maintained, at which the records of the limited partnership are to be kept. With respect to a domestic limited partnership, the designated office is first established upon filing the Certificate of Limited Partnership. With respect to a foreign limited partnership, the designated office is the principal office. Complete item 3 with the current address of the designated office, and item 4 with the address as changed. If there is no change in the address of the designated office, insert N/A in item 4.

- Street and Mailing Address of current Designated Office at which the records required by Section 111 are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O.Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

- If changed, Street and Mailing Address of new Designated Office at which the records required by Section 111 will be kept:

N/A

Street Address (P.O. Box alone is unacceptable.)

City, State, ZIP

Instructions for completing items 5 and 6: Section 114 of the Uniform Limited Partnership Act (2001) requires that an agent for service of process residing within the State of Illinois be designated and continuously maintained. Complete item 5 with the name and address of the current agent for service of process and item 6 with the agent and address as changed. If there is no change to the agent or address for service of process, insert N/A in item 6.

- Name, Street and Mailing Address of Current Agent for Service of Process:

Agent: GLENN CARL MUELLER

Name

Address: 1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON

City

IL 60101

ZIP

Form LP 115

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

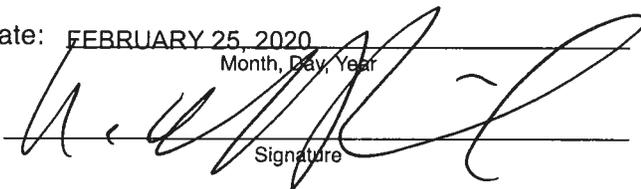
Agent: LOUIS BRANDON LISS
Name

Address: 200 W. MADISON STREET, SUITE 3000
Street Address (P.O. Box alone is unacceptable.)

CHICAGO City IL 60606 ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.
A General Partner must sign this form.

Date: FEBRUARY 25, 2020
Month, Day, Year


Signature

N. NEVILLE REID, AS RECEIVER
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.
General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.
Carbon copy, photocopy or rubber stamp signatures
may only be used on conformed copies.**

Form **LP 210**

August 2012

Illinois
Uniform Limited Partnership Act
Annual Report

FILE # S028316

FILE Prior to: 02/01/2020

Secretary of State

Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 357
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com

This space for use by Secretary of State.

Please type or print clearly.

Filing Fee: \$100

Approved:

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.

Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.

1. Limited Partnership Name: MUELLER PAINTING & DECORATING L.P.

2. Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

3. Foreign Alternate Name, if any: _____

4. Registered Agent: LOUIS BRANDON LISS
Name

Registered Office: 200 W. MADISON, SUITE 3000

Street Address (P.O. Box alone is unacceptable.)

CHICAGO

City

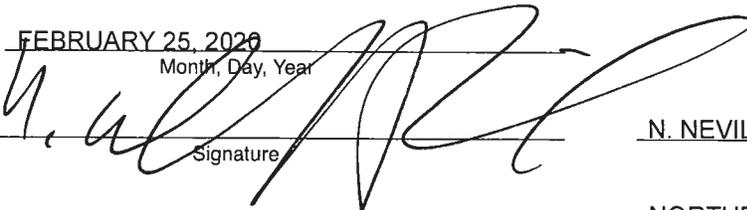
IL 60606

ZIP

5. State or Jurisdiction of Organization: ILLINOIS

The Annual Report must be signed by a General Partner. I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 25, 2020
Month, Day, Year



Signature

N. NEVILLE REID, AS RECEIVER

Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.

General Partner Name if a corporation or other entity
(must be in good standing)

Signatures must be in black ink on an original document.

Form **LP 115**

August 2012

Secretary of State

Department of Business Services
 Limited Liability Division
 501 S. Second St., Rm. 357
 Springfield, IL 62756
 217-524-8008
 www.cyberdriveillinois.com

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.

Illinois
 Uniform Limited Partnership Act
Change of Designated Office or Agent for Service of Process

FILE # S028316

This space for use by Secretary of State.

SUBMIT IN DUPLICATE

Please type or print clearly.

Filing Fee: \$50

Approved:

1. Limited Partnership Name: MUELLER PAINTING & DECORATING, L.P.

2. Foreign Alternate Name, if any: _____

Instructions for completing items 3 and 4: Section 111 of the Uniform Limited Partnership Act (2001) requires that a designated office be maintained, at which the records of the limited partnership are to be kept. With respect to a domestic limited partnership, the designated office is first established upon filing the Certificate of Limited Partnership. With respect to a foreign limited partnership, the designated office is the principal office. Complete item 3 with the current address of the designated office, and item 4 with the address as changed. If there is no change in the address of the designated office, insert N/A in item 4.

3. Street and Mailing Address of current Designated Office at which the records required by Section 111 are kept:

1020 W. FULLERTON AVE., SUITE G

Street Address (P.O.Box alone is unacceptable.)

ADDISON, IL 60101

City, State, ZIP

4. If changed, Street and Mailing Address of new Designated Office at which the records required by Section 111 will be kept:

N/A

Street Address (P.O. Box alone is unacceptable.)

City, State, ZIP

Instructions for completing items 5 and 6: Section 114 of the Uniform Limited Partnership Act (2001) requires that an agent for service of process residing within the State of Illinois be designated and continuously maintained. Complete item 5 with the name and address of the current agent for service of process and item 6 with the agent and address as changed. If there is no change to the agent or address for service of process, insert N/A in item 6.

5. Name, Street and Mailing Address of Current Agent for Service of Process:

Agent: GLENN CARL MUELLER

Name

Address: 1020 W. FULLERTON AVE., SUITE G

Street Address (P.O. Box alone is unacceptable.)

ADDISON

City

IL

60101

ZIP

Form LP 115

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

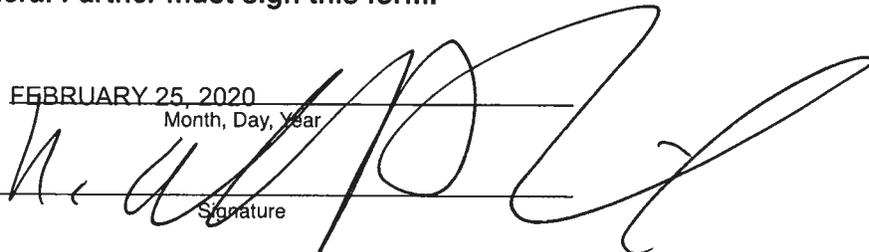
Agent: LOUIS BRANDON LISS
Name

Address: 200 W. MADISON STREET, SUITE 3000
Street Address (P.O. Box alone is unacceptable.)

CHICAGO City IL 60606 ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.
A General Partner must sign this form.

Date: FEBRUARY 25, 2020
Month, Day, Year


Signature

N. NEVILLE REID, AS RECEIVER
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.
General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.
Carbon copy, photocopy or rubber stamp signatures
may only be used on conformed copies.**



| Invoice Number | Invoice Date | Account Number | Page |
|----------------|--------------|----------------|--------|
| 7-072-88906 | Jul 22, 2020 | | 6 of 6 |

Ship Date: Jul 14, 2020 **Cust. Ref.:** 07183.001 **Ref.#2:**
Payor: Shipper **Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.
 Distance Based Pricing, Zone 2
 Package sent from: 60062 zip code

| | | <u>Sender</u> | <u>Recipient</u> | |
|--------------|--------------------------|---------------------------|-----------------------------|----------------|
| Automation | INET | Margy Bogdanowicz | Patricia L. Martinez | |
| Tracking ID | 770954555044 | 200 W Madison Street | Parkway Bank | |
| Service Type | FedEx Priority Overnight | CHICAGO IL 60606 US | 4800 N. Harlem Avenue | |
| Package Type | FedEx Envelope | | HARWOOD HEIGHTS IL 60706 US | |
| Zone | 02 | | | |
| Packages | 1 | | | |
| Rated Weight | N/A | Transportation Charge | | 25.35 |
| Delivered | Jul 15, 2020 09:43 | Discount | | -5.58 |
| Svc Area | A1 | Automation Bonus Discount | | -2.54 |
| Signed by | C.CHRISTIN | Fuel Surcharge | | 0.60 |
| FedEx Use | 00000000/186/_ | Total Charge | USD | \$17.83 |

Ship Date: Jul 17, 2020 **Cust. Ref.:** 07183.001 **Ref.#2:**
Payor: Shipper **Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.
 Distance Based Pricing, Zone 2
 Package sent from: 60162 zip code
 Package Delivered to Recipient Address - Release Authorized

| | | <u>Sender</u> | <u>Recipient</u> | |
|--------------|--------------------------|---------------------------|-----------------------|----------------|
| Automation | INET | Margy Bogdanowicz | Margy Bogdanowicz | |
| Tracking ID | 770954564667 | 200 W Madison Street | 1501 Central Ave | |
| Service Type | FedEx Priority Overnight | CHICAGO IL 60606 US | DEERFIELD IL 60015 US | |
| Package Type | FedEx Envelope | | | |
| Zone | 02 | | | |
| Packages | 1 | Transportation Charge | | 25.35 |
| Rated Weight | N/A | Discount | | -5.58 |
| Delivered | Jul 20, 2020 09:37 | Automation Bonus Discount | | -2.54 |
| Svc Area | A1 | Fuel Surcharge | | 0.77 |
| Signed by | see above | Residential Delivery | | 4.65 |
| FedEx Use | 00000000/186/02 | Total Charge | USD | \$22.65 |



| | | | |
|-----------------------|---------------------|-----------------------|--------|
| Invoice Number | Invoice Date | Account Number | Page |
| 7-072-88906 | Jul 22, 2020 | | 2 of 6 |

FedEx Express Shipment Detail By Payor Type (Original)

| | | |
|--------------------------------|------------------------------|----------------|
| Ship Date: Jul 13, 2020 | Cust. Ref.: 07183.001 | Ref.#2: |
| Payor: Shipper | Ref.#3: | |

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.50% to this shipment.
 Distance Based Pricing, Zone 2
 Package Delivered to Recipient Address - Release Authorized

| | | Sender | Recipient | |
|--------------|--------------------------|---------------------------|-----------------------|----------------|
| Automation | INET | Margy Bogdanowicz | Margy Bogdanowicz | |
| Tracking ID | 770906403899 | 200 W Madison Street | 1501 Central Ave | |
| Service Type | FedEx Priority Overnight | CHICAGO IL 60606 US | DEERFIELD IL 60015 US | |
| Package Type | FedEx Envelope | | | |
| Zone | 02 | | | |
| Packages | 1 | Transportation Charge | | 25.35 |
| Rated Weight | N/A | Discount | | -5.58 |
| Delivered | Jul 14, 2020 10:15 | Automation Bonus Discount | | -2.54 |
| Svc Area | A1 | Fuel Surcharge | | 0.77 |
| Signed by | see above | Residential Delivery | | 4.65 |
| FedEx Use | 00000000/186/02 | Total Charge | USD | \$22.65 |

FedEx® Billing Online

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| | | |
|---|---|---|
| Form LP 210 August 2012 | Illinois Uniform Limited Partnership Act Annual Report | FILE # S027899 FILE Prior to: 03/01/2020 |
| Secretary of State Department of Business Services Limited Liability Division 501 S. Second St., Rm. 357 Springfield, IL 62756 217-524-8008 www.cyberdriveillinois.com | Please type or print clearly. <hr/> Filing Fee: \$100 Approved: | This space for use by Secretary of State. |
| Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash. | | |

Do not make changes on this form. To change the Agent and/or Designated Office, submit Form LP 115 along with the \$50 filing fee. For all other changes, submit LP 202 (Illinois) or LP 902.5 (foreign) along with the \$50 filing fee.

- Limited Partnership Name: RIDGEVIEW GROUP I LIMITED PARTNERSHIP
- Address of Office at which records required by Section 111 (Illinois) or Section 902 (Foreign) are kept:
1020 W. FULLERTON AVE., SUITE G
Street Address (P.O. Box alone is unacceptable.)
ADDISON, IL 60101
City, State, ZIP
- Foreign Alternate Name, if any: _____
- Registered Agent: LOUIS BRANDON LISS
Name
 Registered Office: 200 W. MADISON, SUITE 3000
Street Address (P.O. Box alone is unacceptable.)
CHICAGO IL 60606
City ZIP
- State or Jurisdiction of Organization: ILLINOIS

The Annual Report must be signed by a General Partner. I affirm that any entity serving as a General Partner for this Limited Partnership is in good standing in its home state. The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.

Date: FEBRUARY 10, 2020
Month, Day, Year

Signature

N. NEVILLE REID, AS RECEIVER
Name and Title (type or print)
NORTHRIDGE HOLDINGS, LTD.
General Partner Name if a corporation or other entity (must be in good standing)

Signatures must be in black ink on an original document.

Form **LP 115**

August 2012

Secretary of State
 Department of Business Services
 Limited Liability Division
 501 S. Second St., Rm. 357
 Springfield, IL 62756
 217-524-8008
 www.cyberdriveillinois.com

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void. Please do not send cash.

Illinois
 Uniform Limited Partnership Act
Change of Designated Office or Agent for Service of Process

FILE # C022967

This space for use by Secretary of State.

SUBMIT IN DUPLICATE

Please type or print clearly.

Filing Fee: \$50

Approved:

1. Limited Partnership Name: RIDGEVIEW GROUP I LIMITED PARTNERSHIP

2. Foreign Alternate Name, if any: _____

Instructions for completing items 3 and 4: Section 111 of the Uniform Limited Partnership Act (2001) requires that a designated office be maintained, at which the records of the limited partnership are to be kept. With respect to a domestic limited partnership, the designated office is first established upon filing the Certificate of Limited Partnership. With respect to a foreign limited partnership, the designated office is the principal office. Complete item 3 with the current address of the designated office, and item 4 with the address as changed. If there is no change in the address of the designated office, insert N/A in item 4.

3. Street and Mailing Address of current Designated Office at which the records required by Section 111 are kept:

1020 W. FULLERTON AVE., SUITE G
Street Address (P.O.Box alone is unacceptable.)

ADDISON, IL 60101
City, State, ZIP

4. If changed, Street and Mailing Address of new Designated Office at which the records required by Section 111 will be kept:

N/A
Street Address (P.O. Box alone is unacceptable.)

City, State, ZIP

Instructions for completing items 5 and 6: Section 114 of the Uniform Limited Partnership Act (2001) requires that an agent for service of process residing within the State of Illinois be designated and continuously maintained. Complete item 5 with the name and address of the current agent for service of process and item 6 with the agent and address as changed. If there is no change to the agent or address for service of process, insert N/A in item 6.

5. Name, Street and Mailing Address of Current Agent for Service of Process:

Agent: GLENN CARL MUELLER
Name

Address: 1020 W. FULLERTON AVE., SUITE G
Street Address (P.O. Box alone is unacceptable.)

ADDISON IL 60101
City ZIP

Form LP 115

6. If changed, new Name and/or Street and Mailing Address of Agent for Service of Process:

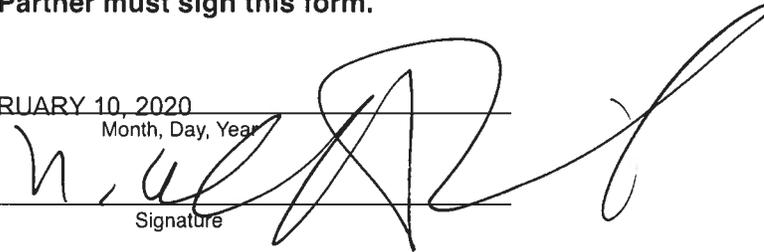
Agent: LOUIS BRANDON LISS
Name

Address: 200 W. MADISON STREET, SUITE 3000
Street Address (P.O. Box alone is unacceptable.)

CHICAGO City IL 60606 ZIP

The undersigned affirms, under penalties of perjury, that the facts stated herein are true, correct and complete.
A General Partner must sign this form.

Date: FEBRUARY 10, 2020
Month, Day, Year


Signature

N. NEVILLE REID, AS RECEIVER
Name and Title (type or print)

NORTHRIDGE HOLDINGS, LTD.
General Partner Name if a corporation or other entity

**Signatures must be in black ink on an original document.
Carbon copy, photocopy or rubber stamp signatures
may only be used on conformed copies.**



| | | | |
|--------------------------------------|-------------------------------------|-----------------------|----------------|
| Invoice Number 7-079-13475 | Invoice Date Jul 29, 2020 | Account Number | Page 3 of 7 |
|--------------------------------------|-------------------------------------|-----------------------|----------------|

Ship Date: Jul 20, 2020 **Cust. Ref.:** 07183.001 **Ref.#2:**
Payor: Shipper **Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.
 Distance Based Pricing, Zone 2
 Package Delivered to Recipient Address - Release Authorized

Automation INET
 Tracking ID 394924539843
 Service Type FedEx Priority Overnight
 Package Type FedEx Envelope
 Zone 02
 Packages 1
 Rated Weight N/A

Sender
 Margy Bogdanowicz
 200 W Madison Street
 CHICAGO IL 60606 US
 Transportation Charge
 Discount

Recipient
 Margy Bogdanowicz
 1501 Central Ave
 DEERFIELD IL 60015 US

25.35
 -5.58

Continued on next page



| Invoice Number | Invoice Date | Account Number | Page |
|----------------|--------------|----------------|--------|
| 7-079-13475 | Jul 29, 2020 | | 4 of 7 |

Tracking ID: 394924539843 continued

Delivered Jul 21, 2020 09:27
 Svc Area A1
 Signed by see above
 FedEx Use 000000000/186/02

| | |
|---------------------------|--------------------|
| Automation Bonus Discount | -2.54 |
| Fuel Surcharge | 0.82 |
| Residential Delivery | 4.65 |
| Total Charge Cust. | USD \$22.70 |



| | | | |
|-----------------------|---------------------|-----------------------|--------|
| Invoice Number | Invoice Date | Account Number | Page |
| 7-079-13475 | Jul 29, 2020 | | 6 of 7 |

Ship Date: Jul 22, 2020 **Cust. Ref.:** 07183.001 **Ref.#2:**
Payor: Shipper **Ref.#3:**

Fuel Surcharge - FedEx has applied a fuel surcharge of 3.75% to this shipment.
Distance Based Pricing, Zone 2
Package sent from: 60062 zip code
Package Delivered to Recipient Address - Release Authorized

| | | | |
|---------------------|--------------------------|---------------------------|--------------------------------|
| Automation | INET | Sender | Recipient |
| Tracking ID | 771066640201 | Margy Bogdanowicz | Jacqueline Martindale |
| Service Type | FedEx Priority Overnight | 200 W Madison Street | Chicago Title Insurance Compan |
| Package Type | FedEx Envelope | CHICAGO IL 60606 US | 10 South LaSalle St. |
| Zone | 02 | | CHICAGO IL 60603 US |
| Packages | 1 | | |
| Rated Weight | N/A | Transportation Charge | 25.35 |
| Delivered | Jul 23, 2020 09:17 | Discount | -5.58 |
| Svc Area | A1 | Automation Bonus Discount | -2.54 |
| Signed by | see above | Fuel Surcharge | 0.65 |
| FedEx Use | 00000000/186/02 | Total Charge | USD \$17.88 |

EXHIBIT K

[KRD Invoice for July 2020 through September 2020]

(see attached)

KRD – Summary of Fees

| Timekeeper Name | Sum of Billed Hours | Sum of Billed Amount |
|------------------------|----------------------------|-----------------------------|
| Lois West | 66.5 | \$18,287.50 |
| Clarissa Marquez | 7.9 | \$790.00 |
| Marzena Bizon | 11.0 | \$1,100.00 |
| Emily Moore | 8.9 | \$890.00 |
| Casee Griffin | 20.3 | \$3,552.50 |
| Grand Total | 114.6 | \$24,620.00 |



ACCOUNTANTS
CONSULTANTS
ADVISORS

KUTCHINS, ROBBINS & DIAMOND, LTD.

1101 Perimeter Drive
Suite 760
Schaumburg, IL 60173-5025
Phone: (847) 240-1040
Fax: (847) 240-1055
www.krdcpas.com
rsandfox@krdcpas.com

Northridge Holdings, Ltd.
c/o Fox wibel Levin & Carroll LLP
200 W. Madison St., Suite 3000
Chicago, IL 60606

Date: 9/30/2020
Due Date: Net 30
Invoice Number: 107263
Client: 166555
Amount Due: \$24,620.00

For Professional Services Rendered as Follows:

All work performed per the attached detail.

Please return this portion with payment.



Client ID: 166555
Northridge Holdings, Ltd.
Invoice #: 107263
Amount Due: \$26,887.90

Credit Card #: _____

Payment Amount: \$ _____ Exp Date: _____ Security Code: _____

Signature: _____

Billing Address (if different from above): _____

Please make checks payable to: KUTCHINS, ROBBINS & DIAMOND, LTD.

Pay online at: www.krdcpas.com/invoice-payment/

Thank you for your prompt payment.

Client: 166555.

Page: 2

| <u>Date</u> | <u>Staff</u> | <u>Hours</u> | <u>Amount</u> | <u>Rate</u> |
|---|---------------------|--------------|---------------|-------------|
| Northridge Holdings, Ltd. | | | | |
| 1065 Other | | | | |
| 09/25/2020 | West, Lois | 0.80 | \$220.00 | \$275.00 |
| Phone conference with N. Reid and T DeLeo re: K-1's issued to investor/ partners and explanation of capital gain reflected on 610 Lincoln return. | | | | |
| 1065 Preparation | | | | |
| 08/04/2020 | Marquez, Clarissa A | 1.00 | \$100.00 | \$100.00 |
| 610 Lincoln - entered partnership information | | | | |
| 08/04/2020 | Bizon, Marzena A | 1.00 | \$100.00 | \$100.00 |
| 106 Surrey - entering partner information into the tax software | | | | |
| 08/04/2020 | Moore, Emily S | 1.00 | \$100.00 | \$100.00 |
| 561 Deere Park Circle LP - initial setup - input partnership information. | | | | |
| 08/05/2020 | Marquez, Clarissa A | 0.90 | \$90.00 | \$100.00 |
| 610 Lincoln - entered partnership information | | | | |
| 08/05/2020 | Marquez, Clarissa A | 1.40 | \$140.00 | \$100.00 |
| cornerstone - entered partnership information and balance sheet | | | | |
| 08/05/2020 | Bizon, Marzena A | 3.00 | \$300.00 | \$100.00 |
| 106 Surrey - Inputting partner information and depreciation schedule into tax software. 5528 Hyde Park - Inputting partner information into tax software | | | | |
| 08/05/2020 | Moore, Emily S | 1.80 | \$180.00 | \$100.00 |
| 561 Deere Park Circle - initial setup - input partnership information. | | | | |
| 08/05/2020 | Moore, Emily S | 0.30 | \$30.00 | \$100.00 |
| 561 Deere Park Circle - initial setup - input partnership information. | | | | |
| 08/05/2020 | Moore, Emily S | 0.30 | \$30.00 | \$100.00 |
| 561 Deere Park Circle - initial setup - input partnership information. | | | | |
| 08/05/2020 | Moore, Emily S | 2.00 | \$200.00 | \$100.00 |
| Arbor Limited Partnership - initial setup - enter partnership information. | | | | |
| 08/06/2020 | Marquez, Clarissa A | 0.80 | \$80.00 | \$100.00 |
| 610 Lincoln - depreciation schedule | | | | |
| 08/06/2020 | Bizon, Marzena A | 2.00 | \$200.00 | \$100.00 |
| Inputting partner and depreciation information into the tax software for 5528 Hyde Park | | | | |
| 08/06/2020 | Moore, Emily S | 0.80 | \$80.00 | \$100.00 |
| Arbor Limited Partnership - initial setup - entered partnership information. | | | | |
| 08/06/2020 | Moore, Emily S | 0.30 | \$30.00 | \$100.00 |
| Arbor Limited Partnership - initial setup - entered partnership information. | | | | |
| 08/06/2020 | Moore, Emily S | 1.30 | \$130.00 | \$100.00 |
| Kings Circle Limited Partnership - initial setup - entered partnership information. | | | | |
| 08/06/2020 | Moore, Emily S | 0.30 | \$30.00 | \$100.00 |
| Kings Circle Limited Partnership - initial setup -entered partnership information. | | | | |

Client: 166555.

Page: 3

| | | | | |
|--|---------------------|------|------------|----------|
| 08/06/2020 | Moore, Emily S | 0.80 | \$80.00 | \$100.00 |
| 561 Deere Park Circle LP - initial set up - entered partnership information. | | | | |
| 08/10/2020 | Marquez, Clarissa A | 0.60 | \$60.00 | \$100.00 |
| Cornerstone - input partner percentages | | | | |
| 08/10/2020 | Marquez, Clarissa A | 0.70 | \$70.00 | \$100.00 |
| Lincoln - input partner percentages | | | | |
| 08/10/2020 | Bizon, Marzena A | 2.00 | \$200.00 | \$100.00 |
| Entering partner and K-1 information for 139 Austin Partnership and 5097 Elston | | | | |
| 08/11/2020 | Marquez, Clarissa A | 1.60 | \$160.00 | \$100.00 |
| Lincoln - input partnership corrections | | | | |
| 08/11/2020 | Marquez, Clarissa A | 0.90 | \$90.00 | \$100.00 |
| Cornerstone - input partnership corrections | | | | |
| 08/11/2020 | Bizon, Marzena A | 2.00 | \$200.00 | \$100.00 |
| Review & Corrections to data entry information for 106 Surrey, 5528 Hyde Park | | | | |
| 08/12/2020 | Bizon, Marzena A | 1.00 | \$100.00 | \$100.00 |
| Review & Corrections 139 Austin & 5097 Elston | | | | |
| 09/10/2020 | West, Lois | 5.00 | \$1,375.00 | \$275.00 |
| Prepare 2019 federal and state partnership tax returns for 610 Lincoln Partnership - forms 1065 and IL-1065 | | | | |
| 09/10/2020 | West, Lois | 2.50 | \$687.50 | \$275.00 |
| Prepare 2019 federal and state partnership tax returns for Timber Lakes Apartments LLC - forms 1065 and IL-1065. | | | | |
| 09/11/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Prepare 2019 tax return for Timber Lake Shared LP - forms 1065 and IL-1065. | | | | |
| 09/11/2020 | West, Lois | 2.50 | \$687.50 | \$275.00 |
| Prepare 2019 tax returns for Timber Lakes Shared Appreciation IL - forms 1065 and IL-1065. | | | | |
| 09/11/2020 | West, Lois | 2.50 | \$687.50 | \$275.00 |
| Prepare 2019 tax returns for Kings Circle Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/11/2020 | West, Lois | 1.00 | \$275.00 | \$275.00 |
| Prepare 2019 tax returns for Hawthorne Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/12/2020 | West, Lois | 3.00 | \$825.00 | \$275.00 |
| Prepare 2019 federal & state tax returns for 106 Surrey Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/12/2020 | West, Lois | 3.50 | \$962.50 | \$275.00 |
| Prepare 2019 federal and state tax returns for 561 Deere Park Circle Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/12/2020 | West, Lois | 1.30 | \$357.50 | \$275.00 |
| Prepare 2019 federal and state tax returns for 5097 Elston Limited Partnership - forms 1065 and IL-1065. | | | | |

Client: 166555.

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| | | | | |
|--|------------|------|------------|----------|
| 09/12/2020 | West, Lois | 1.70 | \$467.50 | \$275.00 |
| Prepare 2019 federal and state tax returns for 5528 Hyde Park Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/12/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Make revisions to Timber Lake Apartments LLC - adjustment to correct insurance expense deduction. | | | | |
| 09/12/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Make revisions to Hawthorne Limited Partnership - adjustment to correct insurance expense deduction. | | | | |
| 09/12/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Make revisions to Kings Circle Limited Partnership - adjustment to correct insurance expense deduction. | | | | |
| 09/12/2020 | West, Lois | 0.80 | \$220.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Cornerstone II Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/12/2020 | West, Lois | 1.20 | \$330.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Midtown Two Unit H-1003 LLC - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 2.00 | \$550.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Cornerstone II Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 0.40 | \$110.00 | \$275.00 |
| Make revisions to 139 Austin Limited Partnership - adjustment to correct insurance expense deduction. | | | | |
| 09/13/2020 | West, Lois | 0.40 | \$110.00 | \$275.00 |
| Make revisions to 149 Mason Trust - adjustment to correct insurance expense deduction. | | | | |
| 09/13/2020 | West, Lois | 3.80 | \$1,045.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Arbor Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 1.20 | \$330.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for 149 Mason Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 0.80 | \$220.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for 3820 25th Avenue LP - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 1.00 | \$275.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Mueller Painting & Decorating LP - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 1.30 | \$357.50 | \$275.00 |
| Prepare 2019 federal and state tax returns for Willow Creek Ventures Limited Partnership - forms 1065 and North Dakota | | | | |
| 09/13/2020 | West, Lois | 1.40 | \$385.00 | \$275.00 |
| Prepare 2019 federal and state tax returns for Azlan Group, LLC - forms 1065 and CA. | | | | |

Client: 166555.

Page: 5

| | | | | |
|---|------------------|------|----------|----------|
| 09/13/2020 | West, Lois | 0.70 | \$192.50 | \$275.00 |
| Prepare 2019 tax returns for Paragon Group Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/13/2020 | West, Lois | 1.00 | \$275.00 | \$275.00 |
| Prepare 2019 tax returns for Ridgeview Group I Limited Partnership - forms 1065 and IL-1065. | | | | |
| 09/14/2020 | West, Lois | 2.00 | \$550.00 | \$275.00 |
| Prepare 2019 federal and state returns for G & C Mueller Family Partnership - forms 1065 and IL-1065. | | | | |
| 1065 Review | | | | |
| 08/10/2020 | Griffin, Casee L | 1.70 | \$297.50 | \$175.00 |
| review of initial set-up of 2019 return 610 Lincoln | | | | |
| 08/10/2020 | Griffin, Casee L | 1.40 | \$245.00 | \$175.00 |
| review of initial set-up of 2019 return 561 Deere Park Circle | | | | |
| 08/10/2020 | Griffin, Casee L | 1.20 | \$210.00 | \$175.00 |
| review of initial set-up of 2019 return 106 Surrey Limited | | | | |
| 08/10/2020 | Griffin, Casee L | 0.90 | \$157.50 | \$175.00 |
| review of initial set-up of 2019 return Cornerstone II Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 1.60 | \$280.00 | \$175.00 |
| review of initial set-up of 2019 return Arbor Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 0.90 | \$157.50 | \$175.00 |
| review of initial set-up of 2019 return Kings Circle Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return 139 Austin Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return 5097 Elston Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 1.20 | \$210.00 | \$175.00 |
| review of initial set-up of 2019 return 5528 Hyde Park Limited Partnership | | | | |
| 08/11/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return Timber Lake Shared Appreciation LP | | | | |
| 08/11/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return Hawthorne Limited Partnership | | | | |
| 08/13/2020 | Griffin, Casee L | 0.90 | \$157.50 | \$175.00 |
| review of initial set-up of 2019 return Timber Lake Shared appreciation | | | | |
| 08/13/2020 | Griffin, Casee L | 0.70 | \$122.50 | \$175.00 |
| review of initial set-up of 2019 return 561 Deere Park Circle | | | | |
| 08/13/2020 | Griffin, Casee L | 0.90 | \$157.50 | \$175.00 |
| review of initial set-up of 2019 return Arbor Limited Partnership | | | | |
| 08/13/2020 | Griffin, Casee L | 0.50 | \$87.50 | \$175.00 |
| review of initial set-up of 2019 return Kings Circle Limited Partnership | | | | |

Client: 166555.

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| | | | | |
|--|------------------|------|------------|----------|
| 08/13/2020 | Griffin, Casee L | 1.20 | \$210.00 | \$175.00 |
| review of initial set-up of 2019 return 5528 Hyde Park Limited Partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 1.00 | \$175.00 | \$175.00 |
| review of initial set-up of 2019 return 106 surrey limited | | | | |
| 08/14/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return 5097 elston limited partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 0.40 | \$70.00 | \$175.00 |
| review of initial set-up of 2019 return 139 Austin limited partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 1.10 | \$192.50 | \$175.00 |
| review of initial set-up of 2019 return 610 Lincoln limited partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 0.90 | \$157.50 | \$175.00 |
| review of initial set-up of 2019 return Cornerstone II Limited Partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 0.60 | \$105.00 | \$175.00 |
| review of initial set-up of 2019 return Hawthorne Limited Partnership | | | | |
| 08/14/2020 | Griffin, Casee L | 1.20 | \$210.00 | \$175.00 |
| review of initial set-up of 2019 return Timber Lake Apartment | | | | |
| 09/14/2020 | West, Lois | 4.00 | \$1,100.00 | \$275.00 |
| Final review - add disclaimer statement to nine entities - upload for electronic filing and send to Receiver for review and approval prior to filing. | | | | |
| 09/14/2020 | West, Lois | 2.50 | \$687.50 | \$275.00 |
| Final review - add disclaimer statement to seven entities and upload for electronic filing. | | | | |
| 09/15/2020 | West, Lois | 5.50 | \$1,512.50 | \$275.00 |
| Final review - add disclaimer to 14 returns and upload to electronic filing - send to Receiver for review and approval prior to filing. | | | | |
| 09/16/2020 | West, Lois | 2.70 | \$742.50 | \$275.00 |
| Send instructions for payment to be made to Receiver for 610 Lincoln, 561 Deere Park and Azlan. Send K-1's to Barbara Decker to mail to partners. Release returns to taxing authorities. | | | | |
| 1120S Preparation | | | | |
| 09/16/2020 | West, Lois | 1.00 | \$275.00 | \$275.00 |
| Set up tax return into system and begin preparation of 2019 returns - forms 1120S and IL-1120-ST. | | | | |
| 09/19/2020 | West, Lois | 1.40 | \$385.00 | \$275.00 |
| Enter K-1 activity from various entities into tax software for preparation of 2019 returns - forms 1120S and IL-1120-ST. | | | | |
| Accounting Services | | | | |
| 07/31/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Review payroll tax returns for the second quarter 2020 for Town Square Management Ltd and Northridge Holdings, Ltd. | | | | |
| Extensions Preparation | | | | |
| 07/02/2020 | West, Lois | 0.70 | \$192.50 | \$275.00 |
| Prepare forms 7004 - request to extend time to file corporate returns for 2019 - for all corporate entities. | | | | |

Client: 166555.
Page: 7

General Consulting

| | | | | |
|--|------------|------|----------|----------|
| 07/06/2020 | West, Lois | 0.40 | \$110.00 | \$275.00 |
| Phone conference with Neville Reid and Eric re: new tax identification number for receivership. | | | | |
| 07/29/2020 | West, Lois | 0.30 | \$82.50 | \$275.00 |
| Phone call with Carol Higgins re: start of preparation of partnership returns for 2019. | | | | |
| 07/30/2020 | West, Lois | 0.30 | \$82.50 | \$275.00 |
| Phone call with Eric Anderson re: obtaining Fein for Settlement Fund account for Northridge Receivership | | | | |
| 08/04/2020 | West, Lois | 0.80 | \$220.00 | \$275.00 |
| Emails with Nermin re: set up of 7 partnership returns for 2017. Copy 2018 federal and Illinois returns and forward to Nermin to assign to staff for set up. | | | | |
| 08/04/2020 | West, Lois | 0.30 | \$82.50 | \$275.00 |
| Phone call with Terry Stein re: audit report results - contact IRS agent to set up time for conference call to discuss clients position. | | | | |
| 08/05/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Phone call with Mike Pazur re: 2019 accounting work to be done. Emails to N. Reid re: using Pazur's services to assist with tax preparation. | | | | |
| 08/20/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Review engagement letter for Pazur - draft additional paragraphs to be reviewed by receiver for inclusion into engagement letter. | | | | |
| 09/08/2020 | West, Lois | 0.40 | \$110.00 | \$275.00 |
| Phone call with Mike Pazur re: engagement letter. Emails with N. Reid re: engagement letter. Sign-off on letter and send to attorney. Provide Mike with list of entities to be prepared. | | | | |

Tax Examinations

| | | | | |
|---|------------|------|----------|----------|
| 08/07/2020 | West, Lois | 0.40 | \$110.00 | \$275.00 |
| Phone call with IRS Agent and Terry Stein re: proposed adjustments to 2017 returns. | | | | |

TAX OTHER Tax Examination

| | | | | |
|--|------------|------|----------|----------|
| 09/09/2020 | West, Lois | 0.50 | \$137.50 | \$275.00 |
| Copy and send agents revised forms 886-A and 5701 for Amberwood, Brookstone, Northridge, Eastridge, Southridge, Unity and Guradian to N. Reid and T. Stein for review. | | | | |

Invoice Total: \$24,620.00

| Statement of Open Accounts Receivable | | | | | |
|--|-------------|----------------------------------|---------------|--------------------|--------------------|
| <u>Invoice</u> | <u>Date</u> | <u>Description</u> | <u>Charge</u> | <u>Credit</u> | <u>Balance</u> |
| | | Balance forward as of 08/31/2020 | | | \$2,267.90 |
| 107263 | 09/30/2020 | Invoice | \$24,620.00 | | <u>\$26,887.90</u> |
| | | | | Current Amount Due | <u>\$26,887.90</u> |

Thank you for your business.

EXHIBIT L

[AlixPartners Invoices for July 2020 through September 2020]

(see attached)

AlixPartners – Summary of Fees

| Timekeeper Name | Sum of Billed Hours | Sum of Billed Amount |
|--|--|-----------------------------|
| Denis O'Connor | 45.1 | \$24,805.00 |
| Vineet Sehgal | 12.5 | \$6,435.00 |
| Thomas Hofner | 95.2 | \$52,360.00 |
| Bill Kingsford | 7.9 | \$4,345.00 |
| Travis Phelan | 1.5 | \$825.00 |
| Jimelle Aquino | 4.7 | \$2,585.00 |
| Robert Losier | 261.3 | \$144,705.00 |
| Cindy Wan | 2.8 | \$1,540.00 |
| Derrick Irving | 69.4 | \$38,170 |
| | | |
| Total (before discount below) | 500.4 | \$270,270.00 |
| | | |
| Discounts to be Applied | | |
| Less Hours for Website & Hotline Setup | 2.8 | |
| Grand Total (after Website & hotline Setup) | 497.6 (x \$550 discounted blended rate) | \$268,730.00 |

AlixPartners

August 17, 2020

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Inv. No.: 2126592
Client: 013182

For Professional Services: July 1, 2020 through July 31, 2020

| Current Charges: | | Hours | Rate | Amount |
|-------------------------------|-----------------------|----------------------|-------------|--------------------------|
| Denis O'Connor | Managing Director | 38.00 | 800.00 | 30,400.00 |
| Vineet Sehgal | Managing Director | 10.80 | 800.00 | 8,640.00 |
| Thomas Hofner | Director | 26.00 | 700.00 | 18,200.00 |
| Bill Kingsford | Director | 7.90 | 700.00 | 5,530.00 |
| Travis Phelan | Director | 1.50 | 700.00 | 1,050.00 |
| Jimelle Aquino | Senior Vice President | 4.70 | 650.00 | 3,055.00 |
| Robert Losier | Senior Vice President | 147.70 | 650.00 | 96,005.00 |
| Cindy Wan | Vice President | 1.30 | 445.00 | 578.50 |
| Derrick Q Irving | Paraprofessional | 18.10 | 315.00 | 5,701.50 |
| Total Hours & Fees | | <u>256.00</u> | | <u>169,160.00</u> |

Please reference the invoice number noted above on any payment remittance. Thank You.

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

| | |
|--|------------------------------|
| Less: Website & Hotline Setup (1.30 hours) | (578.50) |
| Subtotal (254.70 hours) | 168,581.50 |
| Less: Blended Rate Adjustment | (28,496.50) |
| Updated Total (254.70 x \$550) | 140,085.00 |
| Expenses | 163.24 |
| Total Amount Due | <u>USD 140,248.24</u> |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

| Project Code | Description | Amount |
|-----------------------------|--------------------------------------|-------------------|
| 013182.00001 | Asset Analysis and Recovery | 1,055.00 |
| 013182.00004 | Case Administration | 7,091.50 |
| 013182.00005 | Claims Administration and Objections | 20,720.00 |
| 013182.00010 | Data Analysis | 45,740.00 |
| 013182.00011 | Status Reports | 6,135.00 |
| 013182.00013 | Forensic Accounting | 87,840.00 |
| 013182.00016 | Claimant Website Setup | 578.50 |
| Total Fees Incurred | | 169,160.00 |
| Expenses | | Amount |
| Computer Supplies / Support | | 163.24 |
| Total Disbursements | | 163.24 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2126592-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|---|--------------------|
| 07/01/20 | RL | Create list for Barb and Carol to review Quickbook transactions not in Filemaker to determine if any loans were investors or other individuals. | 1.30 |
| 07/31/20 | TJH | Review of Virgilio demand letter and note of sections needing our attention. Follow-up with counsel. | 0.30 |
| Total Hours | | | <u>1.60</u> |

AlixPartners

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Partner
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200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2126592-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Robert Losier | 1.30 | 650.00 | 845.00 |
| Thomas Hofner | 0.30 | 700.00 | 210.00 |
| Total Hours & Fees | 1.60 | | 1,055.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 07/01/20 | DQI | Review docket # 151 to 152. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.60 |
| 07/01/20 | DQI | Respond to questions re: distribution process status with investor P.Chang. | 0.30 |
| 07/01/20 | DQI | Respond to questions re: expected sales process status and expected timelines for overall case with investor E. Chang. | 0.40 |
| 07/02/20 | DQI | Respond to questions re: claims status process and recent court filings with investor J. Morelli and update call log. | 0.40 |
| 07/02/20 | DQI | Respond to questions re: timeline for overall case and tax letter updates with investor G. Long. | 0.40 |
| 07/06/20 | DQI | Respond to questions re: distribution process status, discuss claims process and discussing of the case with both of investor S. Weaver. | 0.50 |
| 07/06/20 | DQI | Respond to questions re: timeline for overall case and distribution process with investor L. Vasquez. | 0.30 |
| 07/06/20 | DQI | Respond to questions re: distribution process stauts, claims process with investor D. Di Pietro and update call log. | 0.40 |
| 07/07/20 | DQI | Respond to questions re: timeline for overall case with investor V. Ahlquist and updated call log. | 0.20 |
| 07/07/20 | DQI | Respond to questions re: property sales process and timeline for overall case with investor J. Crick. | 0.40 |
| 07/07/20 | DQI | Respond to questions re: timeline for overall case and distribution. | 0.40 |
| 07/08/20 | DQI | Respond to questions re: distribution process status and timeline for overall case with investor R. Payne. | 0.40 |
| 07/08/20 | DQI | Review docket # 153 and 154. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.20 |
| 07/09/20 | DQI | Respond to questions re: tax updates with investor M. Perez and updated call log. | 0.20 |

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Invoice # 2126592-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/09/20 | DQI | Respond to questions re: distribution process status and timeline for overall case with investor H. Waddell. | 0.30 |
| 07/09/20 | DQI | Respond to questions re: tax updates and timeline for overall case with investor B. Hoge. | 0.40 |
| 07/09/20 | DQI | Respond to questions re: tax updates and claims process status. | 0.30 |
| 07/13/20 | DQI | Respond to questions re: tax updates and distribution process status with investor K. Thatcher. | 0.40 |
| 07/13/20 | DQI | Respond to questions re: property sales process and distribution process status with investor P. Degginger. | 0.30 |
| 07/13/20 | DQI | Respond to questions re: timeline for overall case with follow up conversation with P. Degginger. | 0.40 |
| 07/13/20 | DQI | Respond to questions re: timeline for overall case and distribution process with investor L. Rushing. | 0.20 |
| 07/13/20 | DQI | Respond to questions re: timeline for overall case, claims process updates and distribution process with investor L. Rushing. | 0.40 |
| 07/13/20 | DQI | Respond to questions re: claims process status and timeline for overall case with investor P. Petroff and updated call log. | 0.30 |
| 07/13/20 | DQI | Respond to questions re: claims process status and timeline for overall case with investor P. Petroff. | 0.40 |
| 07/14/20 | DQI | Respond to questions re: distribution process and timeline for overall case with investor P. Powell and updated call log. | 0.40 |
| 07/14/20 | DQI | Respond to questions re: property sales process and distribution process with investor A. Trifilo's wife Nancy. | 0.40 |
| 07/14/20 | DQI | Respond to questions re: timeline for overall case and distribution process status with investor K. Grebel. | 0.40 |
| 07/14/20 | DQI | Respond to questions re: distribution process status and claims process status with investor C. Lundell. | 0.30 |
| 07/14/20 | DQI | Respond to questions re: timeline for overall case and claims process updates with investor R. Netzer. | 0.40 |
| 07/15/20 | DQI | Respond to questions re: distribution process and timeline | 0.30 |

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 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| | | for overall case with investor S. Chan's son Ray. | |
| 07/15/20 | DQI | Respond to questions re: claims process status, property sales process and distribution process status with investor J. Schact. | 0.40 |
| 07/15/20 | DQI | Respond to questions re: timeline for overall case with investor J. Westergaard. | 0.10 |
| 07/15/20 | DQI | Respond to questions re: distribution process status and claims process status with follow up call with investor J. Westergaard and updated call log. | 0.20 |
| 07/17/20 | DQI | Respond to questions re: timeline for overall case with investor A. Magnus. | 0.20 |
| 07/17/20 | DQI | Respond to questions re: property sales process, distribution process status and claims process status with follow up call with investor A. Magnus. | 0.40 |
| 07/17/20 | DQI | Review docket # 155 - 157. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.20 |
| 07/17/20 | DQI | Respond to questions re: timeline for overall case, distribution process status and property sales process with investor G. Long. | 0.40 |
| 07/21/20 | DO | Review fee application and execute certification. | 0.60 |
| 07/22/20 | DQI | Respond to questions re: timeline for overall case, claims process status and distribution process status with investor R. Chaggaris. | 0.40 |
| 07/22/20 | DQI | Respond to questions re: claims process status and property sales status with investor A. Trifilo. | 0.30 |
| 07/22/20 | DQI | Review docket # 158 to 160. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.40 |
| 07/22/20 | DQI | Respond to questions re: timeline for overall case with investor H. Zimmerman. | 0.20 |
| 07/22/20 | DQI | Respond to questions re: property sales process, distribution process status, claims process status and timeline for overall case with investor A. Rambis. | 0.40 |
| 07/22/20 | DQI | Respond to questions re: claims process updates and | 0.40 |

AlixPartners

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 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|---|--------------|
| | | timeline for overall case with L. Vasquez. | |
| 07/23/20 | DQI | Respond to questions re: claims process status, property sales process and timeline for overall case with investor J. Trifilo. | 0.40 |
| 07/23/20 | DQI | Respond to questions re: timeline for overall case, property sales process and distribution claims process with investor J. Wilkes. | 0.40 |
| 07/24/20 | DQI | Respond to questions re: claims form process and distribution process status with investor P. Tilden. | 0.30 |
| 07/24/20 | DQI | Respond to questions re: timeline for overall case, distribution process status and claims process status with investor R. Harris. | 0.30 |
| 07/24/20 | DQI | Respond to questions re: distribution claims process status, tax process status and claims process status with investor G. Henson. | 0.30 |
| 07/27/20 | TJH | Review Docket 161 and coordinate updates to website. | 0.50 |
| 07/27/20 | DQI | Conversation to email sent by L. Vasquez re: Claims for the case and the publishing of the quarterly report. | 0.30 |
| 07/28/20 | DQI | Voicemail to email sent by A. Walsh re: liquidation of assets | 0.10 |
| 07/29/20 | DQI | Call of previous call with V. Ahlquist re: Change of address for Ms. Ahlquist and updating her on the status of the case. | 0.20 |
| 07/29/20 | DQI | Address updating of all spreadsheets and database for investor V. Ahlquist and produce new Investor mailing list. | 0.20 |
| 07/29/20 | DQI | Review docket # 161. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. Fee app docket entry. | 0.60 |
| 07/30/20 | DQI | Call of a previous hotline message with C. Braverman re: How long will it take for him to get back his investment. | 0.30 |
| 07/31/20 | TJH | Review Docket 164, Receiver's Report, for references to claims work, forensic work, and liquidation plan. | 0.80 |
| Total Hours | | | 20.00 |

AlixPartners

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Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2126592-2

Re: Case Administration
Client/Matter # 013182.00004

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Derrick Q Irving | 18.10 | 315.00 | 5,701.50 |
| Thomas Hofner | 1.30 | 700.00 | 910.00 |
| Denis O'Connor | 0.60 | 800.00 | 480.00 |
| Total Hours & Fees | 20.00 | | 7,091.50 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2126592-3
Re: Claims Administration and Objections
Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/01/20 | DO | Review Motion to Approve Bar Date Procedures and related relief. | 0.60 |
| 07/09/20 | VS | Review analytics driving motions and tying out internal control totals to posted figures in all motions. | 1.60 |
| 07/09/20 | RL | Discuss claims motion and analysis required with T Hofner | 1.00 |
| 07/09/20 | TJH | Discuss claims motion and analysis required with R Losier. | 1.00 |
| 07/09/20 | TJH | Review Motion to Approve Claims Bar Date and incorporate all edits into one document. | 1.10 |
| 07/09/20 | VS | Review Motion to Approve Claims Bar Date and O Connor declaration. | 1.20 |
| 07/13/20 | RL | Update reporting and produce comprehensive noticing list for Active and Inactive Investors. | 2.40 |
| 07/16/20 | RL | Change Exhibit C headers and entries to be more concise and clear, and summarize the journal entries for better presentation, confirm journal entries | 1.70 |
| 07/16/20 | RL | QC numbers and supporting documentation in the declaration with team to confirm accuracy of the Exhibits. | 1.80 |
| 07/16/20 | RL | Change Exhibit A headers and entries to be more concise and clear. | 0.90 |
| 07/16/20 | RL | Change Exhibit B headers and entries to be more concise and clear | 0.80 |
| 07/16/20 | RL | QC numbers in the declaration with team to confirm accuracy of the paragraphs. | 1.40 |
| 07/21/20 | TJH | Review and edit Notice of Initial Determination. | 1.10 |
| 07/21/20 | TJH | Review Claims Bar Date Notice. | 0.60 |
| 07/21/20 | TJH | Review Claims Order Procedure | 0.80 |
| 07/21/20 | DO | Review updated motions for claim submissions and procedures. | 0.30 |
| 07/21/20 | VS | Review of various documents provided by counsel including Motion to Approve Claims Bare Date, Notice of Claims Bar Date, Notice of Initial Determination, Order establishing Bar Date and Declaration | 2.80 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-3

Re: Claims Administration and Objections
 Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|---|--------------|
| 07/21/20 | VS | Finalized review of various documents provided by counsel including Motion to Approve Claims Bare Date, Notice of Claims Bar Date, Notice of Initial Determination, Order establishing Bar Date and Declaration | 1.30 |
| 07/21/20 | TJH | Discuss claim form design and required data elements for claim forms with V Sehgal. | 0.40 |
| 07/21/20 | TJH | Review Declaration and compare stats between our source data to confirm uniformity across documents. | 0.90 |
| 07/21/20 | TJH | Build out claim form, incorporate initial feedback from Fox, and design data elements for merging of final reports. | 1.80 |
| 07/22/20 | TJH | Finalize sample claim form design and inputs. Incorporate follow-up edits from Receiver. | 1.20 |
| 07/22/20 | TJH | Discuss claim form design with N Reid. | 0.30 |
| 07/23/20 | TJH | Audit of Insider FM to QB to bank statement data and our translation log. | 0.70 |
| 07/23/20 | TJH | Review of Insider claims analysis for Mueller family and associates. | 0.80 |
| 07/24/20 | TJH | Discuss claims process, data needs, and Investor statements with R Losier. | 0.70 |
| Total Hours | | | 29.20 |

AlixPartners

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200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2126592-3

Re: Claims Administration and Objections
Client/Matter # 013182.00005

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 10.00 | 650.00 | 6,500.00 |
| Thomas Hofner | 11.40 | 700.00 | 7,980.00 |
| Denis O'Connor | 0.90 | 800.00 | 720.00 |
| Vineet Sehgal | 6.90 | 800.00 | 5,520.00 |
| Total Hours & Fees | 29.20 | | 20,720.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 07/01/20 | RL | Finalize and review Investor claim analysis for Receiver call. Include all potential clawback dollars in analysis. | 1.90 |
| 07/01/20 | TJH | Analyze claimant data and review investor claimant calculations for Inter ledger transfer types. | 1.30 |
| 07/01/20 | TJH | Analyze claimant data and review investor claimant calculations for beneficiary transfer types. | 0.80 |
| 07/01/20 | TJH | Analyze claimant data and review investor claimant calculations for inherited transfer. | 1.20 |
| 07/02/20 | RL | Create cash flow statement for Arbor for period 2007 to 2016 | 1.40 |
| 07/02/20 | RL | Create cash flow statements for Guardian for period 2003 to 2019. | 1.50 |
| 07/02/20 | RL | Create cash flow statements for Brookstone for period 2005 to 2019. | 0.80 |
| 07/02/20 | RL | Create cash flow statements for Westwood for time period 2007 to 2011. | 0.60 |
| 07/02/20 | RL | Create cash flow statements for Unity for period of 2007 to 2019. | 1.10 |
| 07/02/20 | TJH | Analyze current investor statement methodology for anomalies in Filemaker and impact on overall claim calculations scripts. | 1.80 |
| 07/06/20 | VS | Reviewed updated net amount balances. Development of report for counsel. | 1.20 |
| 07/13/20 | RL | Review intercompany calculations used for O'Connor declaration with T Hofner. | 1.30 |
| 07/13/20 | RL | Create analysis on "interest" paid and received on all entities, mainly to confirm that interest was not being charged between intercompanies. | 1.30 |
| 07/13/20 | RL | Research and review the refinancing transaction of Westwood to Timberlake, specifically the entries in 2011 in Westwoods, Timberlake and Northridge's books and records. | 1.90 |
| 07/13/20 | RL | Update documentation to support the declaration and to have the QC team confirm the exhibit numbers. | 1.30 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/13/20 | RL | Update declaration based on additional supporting schedules and queries ran in SQL/Quickbooks for Exhibits A/B/C, including journal entries in Quickbooks. | 1.10 |
| 07/13/20 | TJH | Discuss intercompany calculations and mapping strategy used for all entities in declaration exhibits with R Losier. | 1.30 |
| 07/14/20 | TP | Review of Mr. O'Connor's expert report and confirmation of SQL scripts used in the generation of the metrics contained within Paragraph's 11 thorough 13. | 1.50 |
| 07/20/20 | RL | Review claims tiered groups, create schedule to display waterfall type analysis for claims process | 1.90 |
| 07/21/20 | RL | Review data needed for claim form population and begin query building. | 0.40 |
| 07/21/20 | VS | Discuss with the Receiver and T. Hofner claim form design and required data elements for claim forms. | 0.40 |
| 07/22/20 | RL | Pull transactions for Cash In/Cash Out from Filemaker into tables for review prior to populating NID claims forms. | 1.60 |
| 07/22/20 | RL | Create query to pull claims transactions from the database, and prepare to input into NID Forms. | 1.80 |
| 07/22/20 | RL | Pull data for adjustments to be made within the transactions of the NID claim form. | 1.70 |
| 07/22/20 | RL | Review and confirm transactions including the transfer adjustments on beneficiary, inherited position, and inter-ledger transfer, tie out to the overall claims database. | 1.90 |
| 07/22/20 | RL | Update process of extracting line items from ledgers, including brining in the transfers at a detailed level to be able to populate Claims database. | 1.80 |
| 07/23/20 | RL | Discuss Mueller family accounts and review analytics with T Hofner. | 1.70 |
| 07/23/20 | RL | Create summary schedule for Glen/Dale/Cornelia/Gertrude Mueller on transactions within Filemaker. | 1.30 |
| 07/23/20 | RL | Create individual schedules for Glen/Dale/Cornelia/Gertrude Mueller on transactions within Filemaker. | 1.20 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2126592-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/23/20 | RL | Research on the Muellers as to whom Money Corp is, found related to Dale/Glen. | 1.40 |
| 07/23/20 | RL | Review the "Cash Investments" that Dale/Glen/Gertrude have in their statements, which are mainly journal entries, no cash movement. | 1.70 |
| 07/23/20 | RL | Create schedule for Amberwood in relation to the Muellers, added it to the Mueller Analysis. | 1.00 |
| 07/23/20 | TJH | Discuss Mueller family accounts and review analytics with R Losier. | 1.70 |
| 07/24/20 | TJH | Discuss Mueller analysis and findings with D O'Connor, R Losier, and V Sehgal. | 0.50 |
| 07/24/20 | VS | Discuss Mueller analysis and findings with D O'Connor, R Losier, and T Hofner. | 0.50 |
| 07/24/20 | RL | Create legal list based on request from receivership. | 1.50 |
| 07/24/20 | RL | Create subset of claim transactions to review prior to populating claims forms. | 1.80 |
| 07/24/20 | RL | Export subset of transactions, summarize for analysis, and distribute for team review, prior to populating claims forms. | 1.70 |
| 07/24/20 | RL | Discuss Mueller analysis and findings with D O'Connor, T Hofner, and V Sehgal. | 0.50 |
| 07/24/20 | RL | Discuss claims process, data needs, and Investor statements with T Hofner | 0.70 |
| 07/24/20 | RL | Finalize subset of claims transaction data for simple type transactions to populate claims forms. | 0.50 |
| 07/24/20 | RL | Create an additional subset of transactions, with related parties, complex review, and claims to review manually. | 1.80 |
| 07/24/20 | DO | Conference call with V. Sehgal, T. Hofner and R. Losier regarding Mueller family analysis requested by counsel. | 0.50 |
| 07/27/20 | RL | Create primary insider transactions for demand letters based on request from client | 2.10 |
| 07/27/20 | RL | Update the Mueller analysis with check numbers from Quickbooks to help further support the demand letters based on request from client. | 1.20 |

AlixPartners

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 Partner
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 200 W. Madison Street
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 Chicago, IL 60606

Invoice # 2126592-4

Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|---|---------------------|
| 07/27/20 | RL | Add subset of overriding transactions not in investors statements to further reduce denominator, and to automate these so they are properly handled within the claims forms. | 1.80 |
| 07/27/20 | RL | Update subset of Investors that had overrides in their statements, added to the script for claims database | 1.30 |
| 07/27/20 | TJH | Review claims summary reporting and scripts for construction. | 0.60 |
| 07/27/20 | RL | Locate subset of Investors, approximately 10 investors that had overrides in their statements. This was to further reduce the denominator of approximately \$200k. | 1.90 |
| 07/27/20 | TJH | Review manual adjustment for Investor claims based on various emails and notes received from Carol and Barb over the last 5 months, calculate adjustments of \$300K+ in further reductions. | 1.90 |
| 07/28/20 | RL | Add script to extract tables from SQL into an Access database in order to create the NID forms and automatically populate the claims forms. | 1.80 |
| 07/28/20 | RL | Add beneficiary transfer adjustments into the claims database, so they are properly populated at a detailed level within an Investors Statement. | 1.40 |
| Total Hours | | | <u>68.80</u> |

AlixPartners

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 200 W. Madison Street
 Suite 3000
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Invoice # 2126592-4
 Re: Data Analysis
 Client/Matter # 013182.00010

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 53.60 | 650.00 | 34,840.00 |
| Thomas Hofner | 11.10 | 700.00 | 7,770.00 |
| Travis Phelan | 1.50 | 700.00 | 1,050.00 |
| Denis O'Connor | 0.50 | 800.00 | 400.00 |
| Vineet Sehgal | 2.10 | 800.00 | 1,680.00 |
| Total Hours & Fees | 68.80 | | 45,740.00 |

AlixPartners

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Invoice # 2126592-5

Re: Status Reports
 Client/Matter # 013182.00011

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|-------------|
| 07/02/20 | VS | AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics. | 0.70 |
| 07/02/20 | DO | AP and Fox team discussion of assets, cash flows and other forensic accounting topics. | 0.70 |
| 07/02/20 | RL | AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics. | 0.70 |
| 07/02/20 | TJH | AP team and Fox team discuss pooling of assets, cash flows, and other forensic accounting topics. | 0.70 |
| 07/10/20 | RL | Weekly status update with Receiver and counsel to review Motion and Declaration. | 0.60 |
| 07/10/20 | VS | Call with counsel and team | 0.60 |
| 07/10/20 | RL | Conference calls with D. O'Connor regarding declaration and tax follow up questions. Review Amberwood supporting loan schedules and follow up with C. Higgins. | 0.80 |
| 07/10/20 | DO | Weekly status update with Receiver and counsel to review Motion and Declaration. | 0.60 |
| 07/24/20 | DO | Fox team and AP team discussion of motion, claim forms and claims analysis. | 0.70 |
| 07/24/20 | VS | Fox team and AP team discussion of motion, claim forms and claims analysis. | 0.50 |
| 07/24/20 | TJH | Fox team and AP team discuss Mueller analysis and claims procedure data requirements. | 0.70 |
| 07/31/20 | DO | Weekly call with the Receivers team and Alix colleagues to update status of claims determinations; demand letters and receivers report | 0.50 |
| 07/31/20 | TJH | Update call with Fox team and D O'Connor to discuss noticing procedures, claim timelines, Mueller analysis, and a vendor master. | 0.50 |
| Total Hours | | | 8.30 |

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Invoice # 2126592-5

Re: Status Reports
Client/Matter # 013182.00011

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|-------------|--------|-----------------|
| Robert Losier | 2.10 | 650.00 | 1,365.00 |
| Thomas Hofner | 1.90 | 700.00 | 1,330.00 |
| Denis O'Connor | 2.50 | 800.00 | 2,000.00 |
| Vineet Sehgal | 1.80 | 800.00 | 1,440.00 |
| Total Hours & Fees | 8.30 | | 6,135.00 |

AlixPartners

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Invoice # 2126592-6
 Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/01/20 | RL | Create cash flow statements for Eastridge for period of 2008 to 2019. | 1.90 |
| 07/01/20 | RL | Create example exhibits for O'Connor declaration for Cash Flow Statemt for Northridge. | 0.50 |
| 07/01/20 | JA | Analysis of Eastridge cash flow statements in support of O'Connor declaration. | 2.60 |
| 07/01/20 | JA | Analysis of Unity cash flow statements in support of O'Connor declaration. | 2.10 |
| 07/01/20 | RL | Create cash flow statements for Arbor for period 2007 to 2011. | 0.90 |
| 07/01/20 | RL | Consolidate cash flow statements from 1994 to 2019 for Northridge. | 1.70 |
| 07/01/20 | DO | Review Unity and Eastridge's cash flows and affiliate transfers, map our presentation of transactions. | 0.70 |
| 07/01/20 | DO | Review Motion to Approve Bar Date and analyze need for pooling of assets analysis. | 0.80 |
| 07/01/20 | DO | Review Northridge and Amberwood details. | 0.70 |
| 07/02/20 | DO | Review Pooling of assets analysis, edit and approve for release to counsel. | 0.80 |
| 07/02/20 | DO | Review Eastridge and Unity cash flow/affiliate transfers and approve for release to counsel. | 0.90 |
| 07/06/20 | RL | Update affidavit to add forensic investigation regarding reconciling transactions with Quickbooks and Filemaker. | 0.70 |
| 07/06/20 | RL | Research and review Certificate Notes to Unity, Guardian, and Southridge, to add summary of Exhibit A. | 1.70 |
| 07/06/20 | RL | Update affidavit to add the related party transactions between Northridge and the other receivership entities to get full counts. | 1.10 |
| 07/06/20 | RL | Update affidavit to add the related party transactions between Amberwood and the other receivership entities to get full counts. | 0.80 |
| 07/06/20 | RL | Create Exhibit A 'Funds from Investors to Note Investment Entities' summary schedule. | 0.80 |
| 07/06/20 | DO | Conference call with R. Losier to review data and | 0.60 |

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Invoice # 2126592-6

Re: Forensic Accounting
Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | presentation of pooling assets and related matters for affidavit. | |
| 07/06/20 | DO | Prepare outline of data needs and analytics for affidavit on pooling assets for motion on distribution methodology and claims. | 1.10 |
| 07/06/20 | DO | Update affidavit for professional qualifications and edit commingling/pooling assertions. | 0.50 |
| 07/06/20 | DO | Review cash flows of investment companies for affidavit. | 0.50 |
| 07/06/20 | RL | Conference call with D O'Connor to review data and presentation of pooling assets and related matters for affidavit. | 0.60 |
| 07/06/20 | RL | Review specific transactions between Unity, Guardian, and Southridge to Northridges Books and Records for possible commingling. | 0.90 |
| 07/06/20 | RL | Review and research the Note Investment Entities (Brookstone and Eastridge) transferring funds to Northridge. | 1.70 |
| 07/06/20 | RL | Create Exhibit A second part of schedule for "Northridge - Cash In" and "Northridge - Cash Out. | 1.30 |
| 07/07/20 | RL | Research and record transactions of Mueller Painting, locating the cash movement between receivership entities and vendors, add to declaration. | 1.70 |
| 07/07/20 | RL | Research and review initial purchase transactions of Deere Park Circle Apartments, locating specific cash transactions to support exhibits. | 1.20 |
| 07/07/20 | RL | Research and review Northridges books and records for the intercompany transactions regarding the purchase of Westwood and Deere Park Apartments. | 1.10 |
| 07/07/20 | DO | Conference call with R. Losier regarding affidavit support. | 0.20 |
| 07/07/20 | DO | Review support for affidavit Northridge and others receivership entities selling limited partnership interests. | 0.70 |
| 07/07/20 | DO | Review certificates of deposits details for Investment Companies and map to pooling of assets files. | 0.60 |
| 07/07/20 | DO | Review intercompany and pooling of assets schedules and analyses from Quickbooks. | 0.80 |

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Invoice # 2126592-6

Re: Forensic Accounting
Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/07/20 | DO | Review interest expense schedules and mapping between Northridge entities - investment companies vs. transfers to Northridge entities. | 0.50 |
| 07/07/20 | DO | Trace sample of Northridge entities through Quickbook schedules for affidavit support. | 0.60 |
| 07/07/20 | RL | Confirm receivership entities, locating several more not in the declaration to discuss with receiver. | 0.70 |
| 07/07/20 | RL | Update declaration regarding Mueller Painting cash going out and cash coming in to show movement of cash within this entity. | 1.40 |
| 07/07/20 | RL | Research and review initial purchase transactions of Westwood/Timberlake, locating specific cash transactions to support exhibits. | 1.70 |
| 07/07/20 | RL | Research and review refinancing of Westwood to Timberlake, locating specific cash transactions to support exhibits. | 0.70 |
| 07/07/20 | RL | Conference call with D. O'Connor regarding affidavit support. | 0.20 |
| 07/08/20 | RL | Create Exhibit B, cash transactions for the Westwood/Timberlake purchase, including locating actual cash transaction. | 1.50 |
| 07/08/20 | RL | Confirm on Northridges books and records the cash transaction, tracing through Quickbooks. | 0.90 |
| 07/08/20 | RL | Confirm with the Northridge books and records on the refinancing of Westwood/Timberlake purchase, tracing through Quickbooks general ledgers of Westwood/Timberlake/Northridge. | 1.40 |
| 07/08/20 | RL | Conference call with D. O'Connor to review edits, additions and exhibits for the affidavit. | 0.60 |
| 07/08/20 | RL | Conference call with D. O'Connor to review edits, additions and exhibits for the affidavit. | 0.80 |
| 07/08/20 | DO | Conference call with R. Losier to review edits, additions and exhibits for the affidavit. | 0.80 |
| 07/08/20 | DO | Review and edit draft affidavit for Motion on Claims. | 0.80 |
| 07/08/20 | DO | Review and edit updated draft affidavit and exhibits for | 0.70 |

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Invoice # 2126592-6
Re: Forensic Accounting
Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | Motion on Claims. | |
| 07/08/20 | DO | Conference call with R. Losier to review edits, additions and exhibits for the affidavit. | 0.60 |
| 07/08/20 | DO | Review supporting cash flow schedules for Timberlake and Deer Park for tie-ins to affidavit. | 0.40 |
| 07/08/20 | RL | Conference call with Denis O'Connor to review edits, additions and exhibits for the affidavit | 0.80 |
| 07/08/20 | RL | Create Exhibit C, purchase of Deere Park Apartments, including locating actual cash transactions. | 1.40 |
| 07/08/20 | RL | Confirm with the Northridge books and records on the purchasing of Deere Park Apartments within Quickbooks general ledgers of Deere Park and Northridge. | 1.70 |
| 07/08/20 | RL | Update declaration to include footnoted sub schedules in Exhibit B and Exhibit C. | 1.10 |
| 07/09/20 | RL | Update declaration language in paragraph 24 a/d to reflect numbers recorded in Quickbooks. | 1.20 |
| 07/09/20 | RL | Run queries on Mueller Painting cash inflows from all entities, create list to confirm in Quickbooks general ledgers of those entities of Northridge/Eastridge/Unity/Guardian/Southridge. | 1.70 |
| 07/09/20 | RL | Update declaration regarding the commingling of Mueller Painting transactions. | 0.80 |
| 07/09/20 | DO | Conference calls with Rob Losier re: declaration content, tie-down of facts and figures and exhibits. | 1.80 |
| 07/09/20 | DO | Review various draft declarations, update, check/tie out data and exhibits to supporting QuickBooks schedules. | 3.60 |
| 07/09/20 | DO | Review claims procedures motion. | 0.20 |
| 07/09/20 | RL | Update declaration language in paragraph 17 to 20 for intercompany transactions that did not have any form of interest involved. | 1.10 |
| 07/09/20 | RL | Create cash flow statements for Mueller Painting for period 1996 to 2019. | 1.70 |
| 07/09/20 | RL | Review Mueller Painting Vendor list on amounts being distributed. | 1.10 |

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Invoice # 2126592-6
Re: Forensic Accounting
Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 07/09/20 | RL | Conference calls with D. O'Connor re: declaration content, tie-down of facts and figures and exhibits. | 1.80 |
| 07/10/20 | RL | Meet, discuss, plan, and execute steps to QC the numbers to the declaration. | 1.30 |
| 07/10/20 | RL | Update declaration paragraph 23 a/c, confirming dollar amounts and transactions, rewording the paragraphs for the Exhibits. | 1.60 |
| 07/10/20 | RL | Review balance sheet of Northridge and Amberwood to understand the inter company funds flowing in between the two entities. | 1.70 |
| 07/10/20 | RL | Confirm transactions between Northridge and Amberwood to reflect checks and deposits transferring between the two entities. | 1.30 |
| 07/10/20 | RL | Update declaration footnotes in Exhibit B/C to reflect entries recorded in Quickbooks. | 0.90 |
| 07/10/20 | RL | Create schedule of cash movement between Northridge and Amberwood. | 0.80 |
| 07/10/20 | RL | Update declaration to add ownership of Mueller Painting and showing the money going out was for 'performed maintenance and capital improvements. | 1.20 |
| 07/10/20 | DO | Conference calls with R. Losier regarding declaration and tax follow up questions. Review Amberwood supporting loan schedules and follow up with C. Higgins. | 0.80 |
| 07/10/20 | DO | Prepare open issues/questions for C. Higgins. | 0.70 |
| 07/10/20 | DO | Review Mueller painting cash flows/intercompany to follow up on declaration. | 0.40 |
| 07/10/20 | DO | Review supporting schedules for declaration and review additional affirmations requested by counsel. | 1.30 |
| 07/13/20 | DO | Conference calls with R. Losier regarding updates to declaration and quality control checks. | 1.10 |
| 07/13/20 | DO | Conference call with W. Kingsford regarding quality control checks of declaration. | 0.40 |
| 07/13/20 | DO | Review Mueller Painting, Northridge and Timber Lake intercompany transfers and operations/profitability of Mueller Painting. | 0.70 |

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Invoice # 2126592-6

Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/13/20 | BK | QC and analyze the second portion of Exhibit A totals and details. | 2.60 |
| 07/13/20 | RL | Conference calls with D. O'Connor regarding updates to declaration and quality control checks. | 1.10 |
| 07/14/20 | RL | Find specific cash transaction in Northridges books and records to support the declaration on the refinancing. | 1.30 |
| 07/14/20 | RL | Confirm within Westwood and Timberlakes books and records the cash transaction that originated on Northridges books and records. | 1.40 |
| 07/14/20 | RL | Discover transfer of funds between Westwood/Southridge/Unity/Guardian/Amberwood to show commingling of funds, traced cash from starting point to ending point through these entities. | 1.70 |
| 07/14/20 | RL | Create new paragraph 21 in declaration displaying the commingling of funds between Westwood/Southridge/Unity/Guardian/Amberwood. | 1.30 |
| 07/14/20 | RL | Review interest expense analysis and follow up with D. O'Connor. | 0.40 |
| 07/14/20 | BK | Completed QC of the bottom two portions of Exhibit A, including the testing of the cash flow statements by tracing to the balance sheets. | 2.50 |
| 07/14/20 | BK | Initiated the QC of the top portion of Exhibit A. | 2.50 |
| 07/14/20 | DO | Call with W. Kingsford regarding quality control results for Exhibits A's Northridge "cash in" and "cash out". | 0.40 |
| 07/14/20 | DO | Review updated declaration. | 0.60 |
| 07/14/20 | DO | Review Refinancing of Westwood/Timberlake transaction detail. | 0.20 |
| 07/14/20 | DO | Review refinancing support and edit declaration to add Westwood refinancing and related party transfers. | 0.60 |
| 07/14/20 | DO | Review interest expense analysis and follow up with Rob Losier. | 0.40 |
| 07/14/20 | DO | Conference calls with R. Losier to review refinancing of Westwood/Timberlake and subsequent Northridge distributions to Southridge, Unity and Guardian and then distributions to investors. | 0.30 |

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Invoice # 2126592-6

Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 07/14/20 | RL | Conference call with D O'Connor regarding refinance transaction | 0.30 |
| 07/14/20 | RL | Research additional data points for Exhibits A/B/C of declaration. | 0.50 |
| 07/14/20 | RL | Review books and records of Westwood/Southridge/Unity/Guardian/Amberwood to support paragraph related to commingling. | 0.70 |
| 07/14/20 | RL | Update schedule with all the journal entries transferring into Timberlake, displaying the refinancing and transfer of assets into Timberlake from Westwood. | 0.90 |
| 07/14/20 | RL | Update declaration paragraphs 22 through 25 with supporting numbers to the Exhibits. | 1.10 |
| 07/15/20 | RL | Generate general ledgers and journal entries for any entity involving a purchase of real estate to confirm all purchases. | 1.60 |
| 07/15/20 | RL | Update Exhibit B/C. | 0.30 |
| 07/15/20 | RL | Update Exhibit C confirming journal entries within Deere Park books and records, non cash items, then summarize in the schedule. | 1.60 |
| 07/15/20 | DO | Review edits and supporting analysis for Exhibits A-C. | 0.30 |
| 07/15/20 | DO | Review updated declaration and exhibits. | 0.70 |
| 07/15/20 | DO | Conference call with W. Kingsford regarding declaration Exhibits B & C. | 0.30 |
| 07/15/20 | RL | Update Exhibit B confirming journal entries within Westwood's books and records, non cash items, then summarize in the schedule. | 1.60 |
| 07/15/20 | BK | Conference call with D. O'Connor regarding declaration Exhibits B & C. | 0.30 |
| 07/16/20 | RL | QC numbers, language, and Exhibits in the declaration with team. | 1.50 |
| 07/16/20 | DO | Review supporting analysis and updated declaration documents. | 1.40 |
| 07/17/20 | DO | Conference calls with R. Losier and W. Kingsford and review/edit declaration. | 1.10 |

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Invoice # 2126592-6

Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|---------------|
| 07/17/20 | RL | Conference calls with D. O'Connor and W. Kingsford and review/edit declaration. | 1.10 |
| 07/20/20 | RL | Update paragraph 8 and 9 language based on additional findings. | 0.70 |
| 07/20/20 | RL | Review Quickbooks and Filemaker transactions related to property to value transactions. | 1.20 |
| 07/20/20 | RL | Review and create bullet points to send to employees at Northridge, laying out specific questions needing attention. | 1.30 |
| 07/20/20 | RL | Update Exhibit A, B and C based on receiverships comments. | 1.40 |
| 07/20/20 | DO | Review and cross check declaration. | 0.30 |
| 07/20/20 | RL | QC Exhibit A/B/C numbers with team confirming accuracy. | 1.20 |
| 07/20/20 | RL | Update headers in Exhibit A and B. | 0.50 |
| 07/21/20 | RL | Update declaration based on review with team and our comments. | 0.60 |
| 07/21/20 | RL | Review updated declaration language and exhibits based on comments from receivership. | 1.60 |
| 07/21/20 | RL | Confirm numbers within the paragraphs of the declaration, create additional QC reviews on the Exhibits. | 1.60 |
| 07/21/20 | DO | Review updated declaration, discussions with R. Losier and counsel. | 1.40 |
| 07/21/20 | RL | Review updated declaration from client compared to our comments. | 0.50 |
| 07/22/20 | DO | Review and edit declarations and exhibits and forward changes to R. Losier. | 0.40 |
| 07/27/20 | DO | Review and comment on final declaration and exhibits. | 0.40 |
| 07/27/20 | DO | Review Third Receiver Report. | 0.20 |
| 07/27/20 | DO | Follow up on Third Receiver Report and questions. | 0.10 |
| 07/27/20 | DO | Review Receiver's updated Plan of Liquidation. | 0.10 |
| Total Hours | | | 126.80 |

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Invoice # 2126592-6

Re: Forensic Accounting
Client/Matter # 013182.00013

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|---------------|-------------|------------------|
| Jimelle Aquino | 4.70 | 650.00 | 3,055.00 |
| Robert Losier | 80.70 | 650.00 | 52,455.00 |
| Bill Kingsford | 7.90 | 700.00 | 5,530.00 |
| Denis O'Connor | 33.50 | 800.00 | 26,800.00 |
| Total Hours & Fees | 126.80 | | 87,840.00 |

AlixPartners

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Invoice # 2126592-7

Re: Claimant Website Setup
Client/Matter # 013182.00016

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|---|--------------|
| 07/29/20 | CW | Upload embedded documents to Key Documents page on website; Validate download across IE, Chrome, and FireFox. | 1.30 |
| Total Hours | | | 1.30 |

AlixPartners

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Invoice # 2126592-7

Re: Claimant Website Setup
Client/Matter # 013182.00016

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|---------------|
| Cindy Wan | 1.30 | 445.00 | 578.50 |
| Total Hours & Fees | 1.30 | | 578.50 |

AlixPartners

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Invoice # 2126592-8

Re: Northridge Expenses
Client/Matter # 013182.00017

| Date | Disbursement Description | Amount |
|-------------|--|----------------------|
| 07/31/20 | Computer Supplies / Support - - QuickBooks License Fee | 163.24 |
| | Total Disbursements | <u>163.24</u> |

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Invoice # 2126592-8
Re: Northridge Expenses
Client/Matter # 013182.00017

Disbursement Recap:

| Description | Amount |
|-----------------------------|---------------|
| Computer Supplies / Support | 163.24 |
| Total Disbursements | 163.24 |

AlixPartners

September 10, 2020

N. Neville Reid
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Inv. No.: 2127281
 Client: 013182

For Professional Services: August 1, 2020 through August 31, 2020

| Current Charges: | | Hours | Rate | Amount |
|---------------------------------------|-----------------------|----------------------|-------------|-----------------------------|
| Denis O'Connor | Managing Director | 5.30 | 800.00 | 4,240.00 |
| Vineet Sehgal | Managing Director | 0.60 | 800.00 | 480.00 |
| Thomas Hofner | Director | 23.20 | 700.00 | 16,240.00 |
| Robert Losier | Senior Vice President | 83.00 | 650.00 | 53,950.00 |
| Derrick Q Irving | Paraprofessional | 33.70 | 315.00 | 10,615.50 |
| Total Hours & Fees | | <u>145.80</u> | | 85,525.50 |
| Less: Blended Rate Adjustment | | | | (5,335.50) |
| Updated Total (145.80 x \$550) | | | | 80,190.00 |
| Expenses | | | | <u>163.24</u> |
| Total Amount Due | | | | <u>USD 80,353.24</u> |

Please reference the invoice number noted above on any payment remittance. Thank You.

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| Project Code | Description | Amount |
|----------------------------|--------------------------------------|------------------|
| 013182.00001 | Asset Analysis and Recovery | 1,470.00 |
| 013182.00004 | Case Administration | 16,005.50 |
| 013182.00005 | Claims Administration and Objections | 10,290.00 |
| 013182.00010 | Data Analysis | 48,620.00 |
| 013182.00011 | Status Reports | 2,830.00 |
| 013182.00013 | Forensic Accounting | 6,310.00 |
| Total Fees Incurred | | 85,525.50 |
| Expenses | | Amount |
| | Computer Supplies / Support | 163.24 |
| Total Disbursements | | 163.24 |

AlixPartners

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Invoice # 2127281-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|--------------|
| 08/04/20 | TJH | Research Virgilio loan data for counsel. Review loan information and confirm inclusion of data in a Virgilio investor statements. Work and confirm data link between QB/FM/Bank statements. Draft response and report back to counsel. | 0.80 |
| 08/13/20 | TJH | Review inactive net winner calculations and research potential family links to active investors when there is no transfers between parties. | 0.70 |
| 08/18/20 | TJH | Review analysis on Willow Creek and payments made to Mueller's through Amberwood accounts. | 0.60 |
| Total Hours | | | 2.10 |

AlixPartners

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Invoice # 2127281-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Thomas Hofner | 2.10 | 700.00 | 1,470.00 |
| Total Hours & Fees | 2.10 | | 1,470.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2127281-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/03/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/03/20 | DQI | Returned call from hotline submission with B. Ayers regarding distributions, what properties that have sold, he wanted to know where funds from previous sales are & what plans do the receivership have to give investors back funds. | 0.70 |
| 08/03/20 | DQI | Returned call for C. Krygsheld and left a voicemail acknowledging his call and let him know I'd call him back. I couldn't understand his message. | 0.10 |
| 08/03/20 | DQI | Returned call from email submission from L. Vasquez regarding email updates she signed up for and answered her questions regarding the quarterly report. | 0.20 |
| 08/03/20 | DQI | Returned call from email submission and left a voicemail for R. McAllister (financial advisor for Toni Lewis) about acknowledgment of his email submission. I | 0.10 |
| 08/03/20 | DQI | Received call back from Mr. McAllister and got clarification of his role (Financial Adviser) with Ms. Lewis. Answered his question on a timetable of case possibly being done & if any distributions will be done anytime soon. | 0.20 |
| 08/03/20 | DQI | Returned call from email submission from B. Deitterick regarding investment payment to her and pointed her to the website and the quarterly report information. | 0.30 |
| 08/03/20 | DQI | Updating log call log information with notes from talks with investors. | 0.30 |
| 08/03/20 | TJH | Review Receiver's 3rd Quarterly Update report and flag references to current work-streams for our team and make quick talking point list for hotline communications. | 0.70 |
| 08/03/20 | TJH | Review and update website for new case filings particularly the reference to new Receivers Report. | 0.40 |
| 08/03/20 | TJH | Review procedures for push notification to email recipients and coordinate update of email note and refine current procedures. | 0.30 |
| 08/03/20 | TJH | Review correspondence from Receiver regarding investor communication. Review docket # 161 and send out | 0.40 |

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Invoice # 2127281-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| | | correspondence to investor inquiry. | |
| 08/04/20 | TJH | Review investor correspondence submitted via website and forward to counsel for follow-up. | 0.10 |
| 08/04/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/04/20 | DQI | Returned call from T. Wilder and left a voicemail regarding when claims can be submitted. | 0.10 |
| 08/04/20 | DQI | Returned call to C. Krygsheld and left voicemail regarding if Timberlake property was being marketed. | 0.10 |
| 08/04/20 | DQI | Updating log call log information with notes from talks with investors. | 0.10 |
| 08/05/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/05/20 | DQI | Return call of hotline message from J. Farley. Left a voicemail acknowledging his call and let him know I call again. | 0.10 |
| 08/05/20 | DQI | Conversation with J. Farley after he returned my voicemail call. In conversation, gave out website information and information on the quarterly report. | 0.20 |
| 08/05/20 | DQI | Received call from J. Hoddinott and got him updated on the claims form process and how to sign up for email updates. Also directed him to the quarterly report., | 0.40 |
| 08/05/20 | DQI | Returned call to C. Krygsheld regarding value and benefits rents collected at properties as a part of resolution or towards his investment into particular property. | 0.40 |
| 08/05/20 | DQI | Returned call from email submission from R. Lillis re: approximate date for the return of his investment. | 0.10 |
| 08/05/20 | TJH | Review investor inquiry from website and forward to counsel for follow-up. | 0.10 |
| 08/05/20 | TJH | Refine talking points from Receiver's report for Investor hotline and Investor website questions. Draft points and deliver to team. | 0.40 |
| 08/05/20 | TJH | Review correspondence from counsel on new investor addresses and discuss with team on proper updates for | 0.20 |

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Invoice # 2127281-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | people with multiple addresses. | |
| 08/05/20 | DQI | Updating log call log information with notes from talks with investors. | 0.20 |
| 08/05/20 | DQI | Updating court docket information and downloading documents from the PACER website. | 0.80 |
| 08/06/20 | DQI | Return call from hotline message from J. Garb re: voicemail message getting Mr. Garb an update on the status of the case. | 0.10 |
| 08/06/20 | DQI | Updating log call log information with notes from talks with investors. | 0.10 |
| 08/06/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/07/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/07/20 | DQI | Follow-up call to J. Garb re: the quarterly report information and getting him signed with email updates. | 0.30 |
| 08/07/20 | DQI | Call to R. Rech from email request submitted re: Mrs. Rech wanting to withdraw her funds from Northridge account. | 0.30 |
| 08/07/20 | DQI | Updating log call log information with notes from talks with investors. | 0.10 |
| 08/10/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/11/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/11/20 | TJH | Set-up review material for admin to review Investor statements. Build quick review platform for audit purposes. | 0.70 |
| 08/11/20 | DQI | Left voicemail for investor M. Chiluk regarding her call to Northridge hotline. | 0.10 |
| 08/11/20 | DQI | Follow-up call for investor M. Chiluk regarding her call to Northridge hotline. | 0.10 |
| 08/11/20 | DQI | Left voicemail for investor A. Brouk's son (Scott Etters) regarding his call to Northridge hotline. | 0.10 |

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 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/11/20 | DQI | Discuss timeline for overall case and distribution process with investor A. Brouk's son (Scott Etters) and updated call log. | 0.40 |
| 08/12/20 | DQI | Left voicemail for investor J. Lewitke reagrding IRA services. | 0.10 |
| 08/12/20 | DQI | Discusse IRA services fee matter with investor J. Lewitke and updated call log. | 0.40 |
| 08/12/20 | TJH | Research investor inquiry regarding IRA Services and payment of maintenance fees. Craft note and forward to team regarding proper response to investor. | 0.20 |
| 08/12/20 | DQI | Review docket # 166 to 167. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.50 |
| 08/12/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/13/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/13/20 | DQI | Discuss timeline for overall case, claims process and distribution process status with investor M. Chiluk and updated call log. | 0.40 |
| 08/14/20 | DQI | Review of Docket 169 document regarding claims process in lieu of discussions with investors. | 1.10 |
| 08/14/20 | DQI | Left voicemail for investor K. Schmitt discussing documents she hadn't received. | 0.10 |
| 08/14/20 | DQI | Discuss claims process status, property sales process and distribution process status with investor R. Engle and updated call log. | 0.80 |
| 08/14/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/14/20 | TJH | Correspond with Fox team regarding website update for Docket 169. Review website for proper link and visual for investors on Home Page of website. | 0.20 |
| 08/14/20 | TJH | Coordinate and QC website updates with developers for Docket 169. Review development version of website to verify proper linking, location of hyperlinks, and language | 0.40 |

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Invoice # 2127281-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | for updates. | |
| 08/17/20 | TJH | Review email from B Decker and research link between investors and make sure new beneficiary is tracked. | 0.40 |
| 08/17/20 | TJH | Prepare for call with SEC, review Insider claim calculations, update statistics on our matching process between FileMaker to QuickBook to Bank Statements, and review of Money Corp documents for discussion on Muellers. | 1.20 |
| 08/17/20 | TJH | Correspond with counsel regarding message from New Jersey AG Office Bureau of Securities. | 0.10 |
| 08/17/20 | TJH | Review QC work done by admin for Investor statements and follow-up on open topics. | 0.50 |
| 08/17/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/17/20 | DQI | Discussed with investor A.Trifilo about the overall status of the case and the billing process. | 0.40 |
| 08/17/20 | DQI | Left a voicemail for investor M. Glyzewski regarding Docket 169 packet he received. | 0.10 |
| 08/17/20 | DQI | Left a voicemail for investor J. Moore regarding his question regarding the claims process. | 0.10 |
| 08/17/20 | DQI | Discuss with investor D. East regarding the claims process and gave her instructions on how to sign up for email updates. | 0.40 |
| 08/17/20 | DQI | Discuss claims process status, property sales status and got signed up for email updates. | 0.40 |
| 08/17/20 | DQI | Discuss claims process status and distribution process status with investor S. Postier. | 0.30 |
| 08/17/20 | DQI | Discuss claims process status, property sales status and distribution process status with investor J. Hajik and updated call log. | 0.60 |
| 08/18/20 | DQI | Updated Northridge Access database with new addresses for investors. | 1.00 |
| 08/18/20 | DQI | Guided investor R. Stefan through the process of signing up for email updates. | 0.10 |

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| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 08/18/20 | DQI | Left voicemail for investor L. Hoge regarding email updates. | 0.10 |
| 08/18/20 | DQI | Discuss overall status of the case and guided investor L. Hoge on how to sign up for email updates. Updated call log. | 0.30 |
| 08/18/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/18/20 | TJH | Prep work and review for call with SEC and Fox. | 0.50 |
| 08/18/20 | TJH | Review investor correspondence through website and advise team on proper responses. | 0.20 |
| 08/18/20 | TJH | Review correspondence from B Decker and draft email response regarding investor statements and pushing updated passwords. | 0.30 |
| 08/18/20 | TJH | Review of QC work being performed on investor statements. Draft email and send follow-up instructions. | 0.40 |
| 08/19/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/19/20 | DQI | Discuss claims process status, his investor classification and guided investor E. O'Donnell on the sign up for email updates. | 0.30 |
| 08/19/20 | DQI | Discuss claims status process, docket 169 packet and guided investor R. Engle on the signing up for email updates. | 0.40 |
| 08/19/20 | DQI | Discuss claims process status and docket 169 packet with investor J. Garb. | 0.30 |
| 08/19/20 | DQI | Left voicemail for investor E. Kachold acknowledging his earlier call. Updated call log. | 0.20 |
| 08/20/20 | DQI | Discuss docket 169 packet, verified accounts and the claims process status with investor E. Kachold. | 0.50 |
| 08/20/20 | DQI | Discuss claims process status and docket 169 [packet with investor P. Tilden. | 0.30 |
| 08/20/20 | DQI | Left voicemail for investor J. Wilkes acknowledging his call. | 0.10 |
| 08/20/20 | DQI | Left voicemail for investor V. Ahlquist acknowledging her | 0.10 |

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Invoice # 2127281-2
Re: Case Administration
Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| | | call. | |
| 08/20/20 | DQI | Discuss claims process status, verified investor's summer home address and docket 169 packet with investor B. Engquist. | 0.30 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor W. Oakes. | 0.20 |
| 08/20/20 | DQI | Discuss docket 169 packet and got address updated for investor V. Ahlquist. | 0.30 |
| 08/20/20 | DQI | Discuss docket 169 packet and guided investor L. Vasquez with signing up for email updates. | 0.20 |
| 08/20/20 | DQI | Discuss Northridge investment account with investor J. Hajik. Investor was concerned with how many accounts were being shown on her docket 169 packets she received. | 0.90 |
| 08/20/20 | DQI | Left voicemail for investor S. Degginger acknowledging her call. | 0.10 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor J. Wilkes. | 0.20 |
| 08/20/20 | DQI | Discuss docket 169 packet and IRA Services quarterly charge with investor J. Lewitke. | 0.30 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor D. Lardner. | 0.30 |
| 08/20/20 | DQI | Discuss overall status of the case and the claims process status with investor V. Rosinski. | 0.20 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor G. Henson's son John. | 0.30 |
| 08/20/20 | DQI | Left voicemail for investor J. Westergaard acknowledging his call. | 0.10 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor R. Whitfield. | 0.30 |
| 08/20/20 | DQI | Discuss docket 169 packet with investor E. Schok. | 0.50 |
| 08/20/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/21/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/21/20 | DQI | Review docket # 168 to 173. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 1.10 |

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Invoice # 2127281-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/21/20 | DQI | Discuss docket 169 packet with investor S. Degginger. | 0.20 |
| 08/21/20 | DQI | Left voicemail for investor R. Krol acknowledging his call. | 0.10 |
| 08/21/20 | DQI | Left voicemail for investor D. Opielinski acknowledging his call. | 0.10 |
| 08/21/20 | DQI | Discuss claims process status and Northridge account mixup cleared up with investor J. Hajik. | 0.30 |
| 08/21/20 | DQI | Discuss docket 169 packet with investor J. Westergaard. | 0.20 |
| 08/21/20 | DQI | Discuss docket 169 packet with investor E. Kubis's attorney J. Zavislak. | 0.30 |
| 08/21/20 | DQI | Discuss docket 169 packet with investor D. Opielinski. | 0.40 |
| 08/21/20 | DQI | Discuss docket 169 packet with investor C. Krygsheld. | 0.40 |
| 08/21/20 | DQI | Left voicemail for investor T. Machalek acknowledging his call. | 0.10 |
| 08/21/20 | DQI | Discuss docket 169 packet B. McCormack. | 0.20 |
| 08/21/20 | DQI | Discuss docket 169 packet with investor T. Machalek. | 0.40 |
| 08/24/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 08/24/20 | DQI | Updated Northridge Access database with new addresses for investors. | 0.70 |
| 08/24/20 | DQI | Discuss docket 169 packet with investor J. Hoddinott. | 0.40 |
| 08/24/20 | DQI | Discuss RMD's and sent tax letter to investor J. Hajik. | 0.50 |
| 08/24/20 | DQI | Left voicemail for investor D. Cerulli acknowledging her call. | 0.10 |
| 08/24/20 | DQI | Left voicemail for investor D. Howley acknowledging his call. | 0.10 |
| 08/24/20 | DQI | Left voicemail for investor C. Guyon acknowledging her call. | 0.10 |
| 08/24/20 | DQI | Discuss docket 169 packet with investor R. Testa. | 0.40 |
| 08/24/20 | DQI | Discuss address change information and docket 169 packet with investor S. Parvin. | 0.70 |
| 08/24/20 | DQI | Follow-up call to discuss docket 169 packet with investor D. Howley. Updated call log as well. | 0.40 |

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Re: Case Administration
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| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|--------------|
| 08/24/20 | DQI | Left voicemail for investor K. Thatcher acknowledging her call. | 0.10 |
| 08/25/20 | DQI | Left voicemail for investor L. Zhang acknowledging his call. | 0.10 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor D. Cerulli. | 0.20 |
| 08/25/20 | DQI | Discuss docket 169 packet and claims process status with investor N. Guyon. | 0.60 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor L. Menconi. | 0.30 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor R. Romito's daughter Donna. | 0.20 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor R. Marvil. | 0.20 |
| 08/25/20 | DQI | Left voicemail for investor R. Marvil acknowledging his call. | 0.10 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor K. Schmitt. | 0.30 |
| 08/25/20 | DQI | Discuss docket 169 packet and address update with investor L. Brown. | 0.30 |
| 08/25/20 | DQI | Left voicemail for investor M. Riello acknowledging his call. | 0.10 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor B. Firenze. | 0.30 |
| 08/25/20 | DQI | Discuss docket 169 packet with investor K. Thatcher. | 0.20 |
| 08/25/20 | DQI | Left voicemail for investor T. Codak acknowledging his call. | 0.10 |
| 08/25/20 | DQI | Updated Northridge Access database with new addresses for investors. | 0.40 |
| 08/25/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| Total Hours | | | 41.40 |

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Invoice # 2127281-2

Re: Case Administration
Client/Matter # 013182.00004

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Derrick Q Irving | 33.70 | 315.00 | 10,615.50 |
| Thomas Hofner | 7.70 | 700.00 | 5,390.00 |
| Total Hours & Fees | 41.40 | | 16,005.50 |

AlixPartners

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Invoice # 2127281-3
 Re: Claims Administration and Objections
 Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/04/20 | TJH | Pull vendor master history, review and analyze for correspondence to counsel on counts of potential vendors by year. Research QB for vendor ID number to coordinate matching of disparate sets of data. Draft response to counsel including potential next steps. | 1.10 |
| 08/04/20 | TJH | Review investor statement details and final delivery of scripts for calculating adjustments to investor accounts. Run comparison between Investor statement balances v. Cash In/Cash Out v. After transfer adjustments. | 1.10 |
| 08/05/20 | TJH | Detail transaction review of Investors classified as Complex - Family review. Entails reviewing the relationships between family members and tracing various transfer types and reconciling the new allowed transfer amounts. Review families with last names between A - G. | 1.70 |
| 08/10/20 | TJH | Review proposed Notice of Initial Determination detail statement and review queries behind report building. | 0.40 |
| 08/10/20 | TJH | Review of complex family related Investor statements and trace logic of transfer reductions from Inactive accounts to active accounts. | 1.60 |
| 08/10/20 | TJH | Review of Investor statement data versus last known statement received by Investor. | 1.10 |
| 08/11/20 | TJH | Review of Investor statement data versus last known statement received by Investor to identify source of miscellaneous investments missing from data. | 1.40 |
| 08/12/20 | TJH | Discuss claims and noticing process with R Losier and Fox team. | 0.60 |
| 08/12/20 | TJH | Review Notice of Initial Determination motions, review proposed claim forms, and prepare for call with counsel to discuss process for claims reconciliation and noticing. | 0.80 |
| 08/12/20 | RL | Discuss claims and noticing process with T Hofner and Fox team. | 0.60 |
| 08/13/20 | TJH | Review of investor statements to look for additional suppressed transactions. | 1.10 |
| 08/14/20 | TJH | Review investor statement results and QC work done by | 0.70 |

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Invoice # 2127281-3

Re: Claims Administration and Objections
Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|--------------|
| | | admin staff in our review of statements. | |
| 08/17/20 | RL | Discussion with T. Hofner and V. Sehgal in preparation for SEC meeting | 0.60 |
| 08/17/20 | VS | Discussion with T. Hofner and R. Losier in preparation for SEC meeting | 0.60 |
| 08/20/20 | RL | Call with client on demand letters, John Crick | 0.30 |
| 08/20/20 | RL | Call with client on demand letters for Jim Novak | 0.20 |
| 08/20/20 | RL | Call with client on demand letters for Jim Novak | 0.30 |
| 08/21/20 | RL | Call with client on demand letters, Thompson, Henri Jeanrenaud, Schmitt, Kachold, Kinne, Dantin, | 0.60 |
| Total Hours | | | 14.80 |

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Invoice # 2127281-3

Re: Claims Administration and Objections
Client/Matter # 013182.00005

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 2.60 | 650.00 | 1,690.00 |
| Thomas Hofner | 11.60 | 700.00 | 8,120.00 |
| Vineet Sehgal | 0.60 | 800.00 | 480.00 |
| Total Hours & Fees | 14.80 | | 10,290.00 |

AlixPartners

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Invoice # 2127281-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/04/20 | RL | QC the claims summary database with all transactions including the adjustments. Formatting pages to show running balances and such. | 2.60 |
| 08/04/20 | RL | Review demand letter, add data points and summary schedules for clients review. | 0.90 |
| 08/04/20 | RL | Retrieve data from claims database for summary schedule of reductions to the denominator. | 2.20 |
| 08/04/20 | RL | Tie out transfer adjustments to summary claims schedule | 2.30 |
| 08/05/20 | RL | Update summary claims waterfall schedule with all transfer adjustments. | 2.10 |
| 08/05/20 | RL | Create detailed transactions for all records and investors. Preparing for reporting to include in the NID. | 2.50 |
| 08/05/20 | RL | Layer in the Beneficiary, Inherited Position, Inter-Ledger Transfers, and Manual Review adjustments into the detailed transactions. | 1.20 |
| 08/05/20 | RL | Update detailed transactions for all records and investors. Adding running totals. | 1.50 |
| 08/06/20 | RL | Create actual detailed report with transactional information for each Investor. | 2.10 |
| 08/06/20 | RL | Review, research and report back to the findings of cash going out from Amberwood. Review Cash Flow statements. | 1.10 |
| 08/07/20 | RL | Create report in claims database to send to Investors with ID, name, and list of transactions | 2.60 |
| 08/07/20 | RL | Update report with running balances of Deposit and Withdrawal amounts. | 2.40 |
| 08/10/20 | RL | Update bible of transactions for the claims database, adding the adjustments into their own tab in order to properly QC the model. | 1.30 |
| 08/11/20 | RL | Review specific transactions from "Certificate Investor" vs. "limited Partner" investor. Specifically Investor Aljancic, which has a Loan to/from and Certificate. | 1.10 |
| 08/11/20 | RL | Review three demand letters, compare to data points and confirm amounts. | 0.70 |

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Invoice # 2127281-4

Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 08/11/20 | RL | Review transactions in Quickbooks and Filemaker, between Indian Knoll, Money Corp and Guardian, to confirm that a relationship exists. Found deposits and wires transferring between Guardian and Indian Knoll, Money Corp. | 1.20 |
| 08/11/20 | RL | Review statements for missing transactions on the newly created statements from Barb. Items such as "Stock & Options". | 0.80 |
| 08/12/20 | RL | Calls and emails with counsel regarding the Muellers demand letters. | 0.50 |
| 08/12/20 | RL | Create email, sent to Barb regarding the discrepancy we are seeing in the recent September 12, 2019 statements she ran for us. Listed specific examples and transactions for her review. | 1.30 |
| 08/12/20 | RL | Review Claims transactions, segregating out the suppressed transactions, comparing to Investors Statements | 1.30 |
| 08/12/20 | RL | Trace missing suppressed transactions for one Investor through Quickbooks and Bank Statements. Proving out existence of cash being deposited, but not recorded on the Investors Statements | 1.20 |
| 08/13/20 | RL | Call with Barb regarding the suppressed transactions, investigate the Stock & Options, as well as Interest Reduction transactions. Discussed Blue Diamon/Indian Knoll and MoneyCorp. transactions. | 0.70 |
| 08/13/20 | RL | Extract list of Net Winners, Inactive Investors. Add Max Date to get a sense of how far back we can go on the demand letters. | 1.40 |
| 08/13/20 | RL | Add to the list of Net Winners, Inactive, the Investors that had a Transfer coming out into an Active investor. | 1.10 |
| 08/14/20 | RL | Finalize the list of Net Winners, Inactive Investors. Prepare for call with client, discuss next steps. | 1.10 |
| 08/17/20 | RL | Research and review transactions on Certificate Note holders and Limited Partnership holders. Items taken from QB General Ledger, tracing them through the investments to see if any pattern links these two types of | 1.70 |

AlixPartners

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 Partner
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 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2127281-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | transactions. | |
| 08/17/20 | RL | Review transactions related to Donald and Joyce Dempewolf regarding Provident as the Custodian and money flowing between Unity and Provident. | 1.30 |
| 08/17/20 | RL | Research Certificate Notes transactions to confirm that they were being used in Limited Partnerships as well. See Poling Chang Analysis for confirmation. | 1.20 |
| 08/17/20 | RL | Research on law firm based on request from client. They wanted to confirm if any payments were made to Gair. | 0.70 |
| 08/17/20 | RL | Finalize bullet points regarding SEC call, including stats on the recon, and next steps. | 1.10 |
| 08/18/20 | RL | Review additional data points on Certificate Notes, Loans to/from: , and Individual Loans to/from, confirming that cash went into Limited Partnerships regardless of how the money came into the receivership entities. | 1.10 |
| 08/18/20 | RL | Review Mueller checks in their demand schedule to confirm where these are coming and going. Tracing funds within Quickbooks to Filemaker, locating possible additional amounts to add to the demand letter. | 1.10 |
| 08/18/20 | RL | Trace Willow Creek to actual cashed checks signed by Dale Mueller. Confirmed based on SEC and client's request to confirm this. | 1.50 |
| 08/18/20 | RL | Locate cashed checks endorsed by Willow Creek and Dale Mueller. Traced these cashed checks back to Quickbooks and Filemaker to analyze if they are already in the Investor statements. | 1.30 |
| 08/18/20 | RL | Research and retrieve all checks written to Dale within FileMaker, comparing to Quickbooks in order to see if there were any other outstanding checks to Dale that is not in Filemaker Investor Statement. | 1.40 |
| 08/19/20 | RL | Research and trace money/funds going from Guardian to Northridge, then from Northridge to Westwood. | 1.70 |
| 08/19/20 | RL | Research and trace money/funds going from Westwood to Mueller Painting, resulting in additional items to add to the Demand letters. This was discussed on a call with the SEC | 1.30 |

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Invoice # 2127281-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/19/20 | RL | Review missing transactions in detail to confirm that checks were written out of Quickbooks and sent to one of the Muellers. This was from 1996 through 2019. | 1.50 |
| 08/19/20 | RL | Quick search on Roth IRAs and if any exist. | 0.30 |
| 08/19/20 | RL | Create schedule of Amberwoods Deposits and Checks in Quickbooks to answer the SEC question, where the money went once it arrived in Amberwood. | 1.60 |
| 08/20/20 | RL | Research and review transactions in Quickbooks for the Muellers that were not in FileMaker. To add to the demand letters. | 1.50 |
| 08/20/20 | RL | Create Exhibits for missing transactions in Filemaker that are in Quickbooks. Possible additional monies to demand. | 1.60 |
| 08/21/20 | RL | Create model to pull finder transactions only that tie out to the finders schedule in order to create a Schedule 1 | 1.60 |
| 08/21/20 | RL | Create the report called Schedule 1, format, import data fields, review for consistency. | 1.50 |
| 08/24/20 | RL | Finalize Schedule 1a, based on call from client. Preparing reports for their review. | 1.10 |
| 08/24/20 | RL | Create and prepare Schedule 1b, Investors Statement to include with the Finders Demand letter. | 1.50 |
| 08/24/20 | RL | Update Claims Analysis to break out Cash In/Cash Out, add Stock and Options summary, add FinderID numbers. | 0.90 |
| 08/24/20 | RL | Reconcile Bank Statements to the Quickbook Transactions in our Schedule of Finders Fees | 1.30 |
| 08/25/20 | RL | Print and package Schedule 1 for the Finders demand letters, QC and send to client. | 1.10 |
| 08/25/20 | RL | Import Schedule 2 activity for the deman letters related to the Finders. Tie out schedules to the imported data. | 1.50 |
| 08/25/20 | RL | Finalize finders Schedule 2, claim details, QC, tied out to Claims Analysis. | 1.40 |
| 08/25/20 | RL | Review and deeper dive into Ron Delegge Investor statement based on request from client regarding payments being transferred and not actually made to him. Sent client analysis. | 1.20 |

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Invoice # 2127281-4

Re: Data Analysis
Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|---------------------|
| 08/25/20 | RL | Print package Schedule 1, Schedule 2, and Family Group Schedule 2. Review with client, added additional schedules based on requests from client. | 1.70 |
| 08/26/20 | RL | Print package Schedule 1, Schedule 2, and Family Group Schedule 2. For additional requests from client. | 0.90 |
| Total Hours | | | <u>74.80</u> |

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Invoice # 2127281-4

Re: Data Analysis
Client/Matter # 013182.00010

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 74.80 | 650.00 | 48,620.00 |
| Total Hours & Fees | 74.80 | | 48,620.00 |

AlixPartners

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 Chicago, IL 60606

Invoice # 2127281-5

Re: Status Reports
 Client/Matter # 013182.00011

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|-------------|
| 08/07/20 | TJH | Discuss case updates and claims strategy with V Sehgal and Fox team. | 0.50 |
| 08/14/20 | TJH | Status update call with Fox team and AP team to discuss Inactive Net Winners, stock investments with Mueller, and prep for call with SEC. | 0.50 |
| 08/14/20 | DO | Weekly update on claim "inactive net-winners"; investors in equities/"pennystocks" and related claim determination issues with receiver, Fox and Alix teams. | 0.50 |
| 08/18/20 | RL | Status call update with N Reid, R Schultz, SEC team, T Hofner, and V Sehgal. Discuss Mueller demand letters and analysis on Mueller transactions. Respond to various questions from SEC related to Intercompany transfers. | 0.80 |
| 08/18/20 | TJH | Status call update with N Reid, R Schultz, SEC team, R Losier, and V Sehgal. Discuss Mueller demand letters and analysis on Mueller transactions. Respond to various questions from SEC related to Intercompany transfers. | 0.80 |
| 08/21/20 | RL | Discuss Mueller Analysis, finder demand letters, and overall status of case with Alix team and Fox team. | 0.60 |
| 08/28/20 | RL | Discuss claims resolution process, upcoming court deadlines, and other topics with Fox team and AP team. | 0.40 |
| Total Hours | | | 4.10 |

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Invoice # 2127281-5

Re: Status Reports
Client/Matter # 013182.00011

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Robert Losier | 1.80 | 650.00 | 1,170.00 |
| Thomas Hofner | 1.80 | 700.00 | 1,260.00 |
| Denis O'Connor | 0.50 | 800.00 | 400.00 |
| Total Hours & Fees | 4.10 | | 2,830.00 |

AlixPartners

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Invoice # 2127281-6

Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 08/04/20 | DO | Review and edit email to counsel regarding use of para-professionals for claims management. | 0.10 |
| 08/04/20 | DO | Review weekly project schedule, court filings referred to customer (Mr. Magnus) and update forensic workstreams status. | 0.10 |
| 08/05/20 | DO | Review investor (Alexander Magnus) communication regarding public records and Timber Lake. | 0.10 |
| 08/05/20 | DO | Review SEC comments on Declaration and map to supporting schedules. | 0.40 |
| 08/05/20 | DO | Conference call with R. Losier regarding SEC comments on Declaration and trace variance to QuickBooks. | 0.70 |
| 08/05/20 | RL | Conference calls with D O'Connor regarding SEC comments on declaration + trace variances to Quick Books | 0.70 |
| 08/06/20 | RL | Conference call with D O'Connor regarding SEC comments, changes to Declaration and related matters. | 0.90 |
| 08/06/20 | RL | Update declaration based on SEC's comments. | 0.90 |
| 08/06/20 | DO | Prepare note to counsel outlining responses to SEC comments and declaration updates. | 0.60 |
| 08/06/20 | DO | Conference call with R. Losier regarding SEC comments, changes to Declaration and related matters. | 0.90 |
| 08/06/20 | RL | Updated declaration based on SEC comments. Format Exhibits, bring them into the document. | 0.50 |
| 08/07/20 | DO | Review forensic report for declaration after adjusting for SEC's comments. | 0.80 |
| 08/10/20 | DO | Review counsels questions on affidavit prepare responses and discuss with R. Losier. | 0.30 |
| 08/10/20 | DO | Review draft demand letters. | 0.10 |
| 08/10/20 | RL | Confirm data points in updated declaration | 0.50 |
| 08/10/20 | RL | Review counsels questions on affidavit prepare responses and discuss with D. O'Connor. | 0.30 |
| 08/11/20 | DO | Review draft claw back letter for C. Mueller. | 0.10 |
| 08/12/20 | DO | Review financial data in updated G. Mueller clawback | 0.10 |

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Invoice # 2127281-6

Re: Forensic Accounting
Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|--------------------|
| | | letter and agree to financial excel data. | |
| 08/12/20 | DO | Review demand letter supporting schedules and discuss with team. | 0.20 |
| 08/28/20 | DO | Prepare Mueller Painting update for discussion with counsel. | 0.30 |
| Total Hours | | | <u>8.60</u> |

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Invoice # 2127281-6

Re: Forensic Accounting
Client/Matter # 013182.00013

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Robert Losier | 3.80 | 650.00 | 2,470.00 |
| Denis O'Connor | 4.80 | 800.00 | 3,840.00 |
| Total Hours & Fees | 8.60 | | 6,310.00 |

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Invoice # 2127281-7

Re: Northridge Expenses
Client/Matter # 013182.00017

| Date | Disbursement Description | Amount |
|-------------|--|----------------------|
| 08/31/20 | Computer Supplies / Support - - QuickBooks License Fee | 163.24 |
| | Total Disbursements | <u>163.24</u> |

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Invoice # 2127281-7
Re: Northridge Expenses
Client/Matter # 013182.00017

Disbursement Recap:

| Description | Amount |
|-----------------------------|---------------|
| Computer Supplies / Support | 163.24 |
| Total Disbursements | 163.24 |

AlixPartners

October 13, 2020

N. Neville Reid
 Partner
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 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Inv. No.: 2128382
 Client: 013182

For Professional Services: August 1, 2020 through September 30, 2020

| Current Charges: | | Hours | Rate | Amount |
|--|-----------------------|---------------------|-------------|-----------------------------|
| Denis O'Connor | Managing Director | 1.80 | 800.00 | 1,440.00 |
| Vineet Sehgal | Managing Director | 1.10 | 800.00 | 880.00 |
| Thomas Hofner | Director | 46.00 | 700.00 | 32,200.00 |
| Robert Losier | Senior Vice President | 30.60 | 650.00 | 19,890.00 |
| Cindy Wan | Vice President | 1.50 | 445.00 | 667.50 |
| Derrick Q Irving | Paraprofessional | 17.60 | 315.00 | 5,544.00 |
| Total Hours & Fees | | <u>98.60</u> | | <u>60,621.50</u> |
| Less: Website & Hotline Setup (1.50 hours) | | | | (667.50) |
| Subtotal (97.10 hours) | | | | 59,954.00 |
| Less: Blended Rate Adjustment | | | | (6,549.00) |
| Updated Total (97.10 x \$550) | | | | 53,405.00 |
| Expenses | | | | <u>163.24</u> |
| Total Amount Due | | | | <u>USD 53,568.24</u> |

Please reference the invoice number noted above on any payment remittance. Thank You.

AlixPartners

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Partner
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200 W. Madison Street
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Chicago, IL 60606

| Project Code | Description | Amount |
|-----------------------------|--------------------------------------|------------------|
| 013182.00001 | Asset Analysis and Recovery | 2,100.00 |
| 013182.00004 | Case Administration | 11,734.00 |
| 013182.00005 | Claims Administration and Objections | 26,985.00 |
| 013182.00010 | Data Analysis | 10,465.00 |
| 013182.00011 | Status Reports | 4,995.00 |
| 013182.00013 | Forensic Accounting | 3,675.00 |
| 013182.00016 | Claimant Website Setup | 667.50 |
| Total Fees Incurred | | 60,621.50 |
| Expenses | | Amount |
| Computer Supplies / Support | | 163.24 |
| Total Disbursements | | 163.24 |

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200 W. Madison Street
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Chicago, IL 60606

Invoice # 2128382-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|--------------|
| 09/02/20 | TJH | Research and prepare report for counsel regarding specific finder per request from counsel. Include as much granular data as possible for each transaction including who the original investor was, their contact information, amount paid to finder per transaction, etc. | 1.90 |
| 09/11/20 | TJH | Research finder information for counsel, prepare backup for payments, and draft email to counsel with our position on payments. | 0.70 |
| 09/16/20 | TJH | Pull detail, format report, and deliver to counsel regarding finder fee activity. | 0.40 |
| Total Hours | | | 3.00 |

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Invoice # 2128382-1

Re: Asset Analysis and Recovery
Client/Matter # 013182.00001

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Thomas Hofner | 3.00 | 700.00 | 2,100.00 |
| Total Hours & Fees | 3.00 | | 2,100.00 |

AlixPartners

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 Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 08/19/20 | TJH | Review correspondence from E Kachold and follow-up with counsel on documents mailed out to investors. | 0.20 |
| 08/19/20 | TJH | Review correspondence from investor regarding claim status, research proposed claim amounts, and coordinate follow-up message to investor. | 0.20 |
| 08/19/20 | TJH | Review work performed in investor statement review and discuss with team. | 0.50 |
| 08/20/20 | TJH | Follow-up on investor inquiry from E Kachold. | 0.10 |
| 08/20/20 | TJH | Review and forward correspondence from tenant to counsel. | 0.10 |
| 08/20/20 | TJH | Research investor family Hajik and follow-up regarding investment types, amounts, and their preliminary status. | 0.40 |
| 08/20/20 | TJH | Review QC work of team on investor statements and follow-up to all open questions. | 0.60 |
| 08/21/20 | TJH | Research and follow-up on investor inquiry from website. Research actual amount of investors potential claim for further discussion. | 0.20 |
| 08/21/20 | TJH | Research performed for Fox team to confirm dates of various analytics completed related to Finders and Cash In/Cash out analysis. | 0.30 |
| 08/25/20 | TJH | Review incoming calls and follow-up with team on direction of calls and necessary responses. | 0.30 |
| 08/25/20 | TJH | Prepare email and send to counsel reviewing prior week and upcoming tasks for this week. | 0.30 |
| 08/31/20 | TJH | Review correspondence from investor related to submission to court and follow-up with counsel regarding investor inquiry. | 0.20 |
| 09/01/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/01/20 | DQI | Discuss docket 169 packet with investor J. Hoddinott. | 0.20 |
| 09/01/20 | DQI | Discuss docket 169 packet with investor J. Shah. | 0.30 |
| 09/01/20 | DQI | Discuss docket 169 packet and the claims process status with investor J. Starets. | 0.30 |
| 09/01/20 | DQI | Discuss docket 169 packet with investor E. Chang. | 0.20 |

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Invoice # 2128382-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 09/01/20 | DQI | Discuss docket 169 packet with investor J. Laurino. | 0.20 |
| 09/01/20 | DQI | Discuss docket 169 packet with investor D. Di Pietro. | 0.20 |
| 09/01/20 | DQI | Discuss docket 169 packet and overall status of the case with investor L. Hoge | 0.40 |
| 09/01/20 | DQI | Left voicemail for investor J. Aljancic acknowledging his call. | 0.10 |
| 09/01/20 | DQI | Left voicemail for investor K. Armbruster acknowledging her call. Also, updated the call log | 0.20 |
| 09/01/20 | TJH | Research and draft email to ND Securities department regarding website inquiry and important documents inquiry. | 0.30 |
| 09/01/20 | TJH | Review of letter sent to the court regarding objection to the case proceedings. | 0.20 |
| 09/01/20 | TJH | Draft and send email to counsel regarding open items and key take aways from call with SEC. Provide details and immediate answers to questions regarding payments made to the Mueller's. | 0.40 |
| 09/01/20 | RL | Return calls to six different Investors that left voicemail | 0.80 |
| 09/02/20 | DQI | Left voicemail for investor T. Codak acknowledging his call. | 0.10 |
| 09/02/20 | DQI | Discuss docket 169 packet with investor T. Canterbury. | 0.60 |
| 09/02/20 | DQI | Discuss docket 169 packet with investor J. Aljancic. | 0.20 |
| 09/02/20 | DQI | Discuss docket 169 packet with investor R. Just. Updated the call log for entire day. | 0.40 |
| 09/02/20 | DQI | Review docket # 174 to 176. Review for relevant Investor updates to case that can be passed to Investors during hotline responses. | 0.60 |
| 09/02/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/03/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/03/20 | DQI | Discuss docket 169 packet with investor E. O'Donnell. | 0.30 |
| 09/03/20 | DQI | Discuss docket 169 packet with investor T. Codak. Also, updated the call log. | 0.30 |

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Invoice # 2128382-2
 Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 09/04/20 | DQI | Discuss docket 169 packet with investor K. Radde. Also, updated the call log. | 0.30 |
| 09/04/20 | DQI | Discuss docket 169 packet with investor M. Stone. | 0.20 |
| 09/04/20 | DQI | Discuss docket 169 packet with investor K. Thatcher. | 0.40 |
| 09/04/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/08/20 | DQI | Discuss docket 169 packet with investor M. Stone. | 0.30 |
| 09/08/20 | DQI | Discuss docket 169 packet with investor A. Kurasz and getting his address information. Also, updated the call log. | 0.40 |
| 09/08/20 | DQI | Updated Northridge Access database with new addresses for investors. | 0.40 |
| 09/08/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/09/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/09/20 | DQI | Discuss overall status of case and getting address information with investor B. Neuberger. Also, updated the call log. | 0.40 |
| 09/09/20 | DQI | Discuss docket 169 packet with investor G. Godfrey. | 0.20 |
| 09/09/20 | DQI | Discuss docket 169 packet with investor S. Lettiere. | 0.20 |
| 09/09/20 | DQI | Discuss docket 169 packet with investor B. Engquist. | 0.30 |
| 09/09/20 | DQI | Discuss finder letter she received with investor C. Hokenson. | 0.40 |
| 09/09/20 | DQI | Left voicemail for investor D. Costello acknowledging her call. | 0.10 |
| 09/09/20 | DQI | Left voicemail for investor S. Dwyer acknowledging his call. | 0.10 |
| 09/10/20 | DQI | Discuss docket 169 packet with investor S. Pollack. Also updated the call log. | 0.30 |
| 09/10/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/10/20 | DQI | Updated Northridge Access database with new addresses | 0.50 |

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Invoice # 2128382-2

Re: Case Administration
 Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| | | for investors. | |
| 09/11/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/11/20 | TJH | Draft update and deliver to counsel with invoice and updated tracker for their review. | 0.30 |
| 09/11/20 | TJH | Review correspondence from investor, research claim amount and claim status, and draft email to counsel for their follow-up. | 0.30 |
| 09/14/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/14/20 | DQI | Discuss the distribution process with investor T. Lewis. Also updated the call log. | 0.20 |
| 09/14/20 | DQI | Gave website information to investor C. Kent. | 0.10 |
| 09/15/20 | DQI | Discuss docket 169 packet and claims process status with investor A. Kramer. Also updated the call log. | 0.40 |
| 09/15/20 | DQI | Discuss K1 information with investor J. Aljancic. | 0.30 |
| 09/15/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/16/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/17/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/18/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/18/20 | TJH | Prepare NID for easy claim review, draft email and update counsel on status of case. Prepare analysis for not matched transactions. | 1.10 |
| 09/21/20 | TJH | Multiple conversations with the receiver regarding claims objections. | 0.50 |
| 09/21/20 | DQI | Discuss K1 information with investor K. Rosinski. | 0.20 |
| 09/21/20 | DQI | Discuss K1 information with investor B. Hoge. Also updated the call log. | 0.30 |
| 09/21/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |

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Invoice # 2128382-2
Re: Case Administration
Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| 09/22/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/23/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/23/20 | TJH | Review recent docket filings and coordinate update to website with team. Disseminate summary of filings to team. | 1.10 |
| 09/24/20 | TJH | Review website updates and coordinate with team. | 0.50 |
| 09/24/20 | DQI | Discuss claims process status with investor M. Glyzewski. Also updated the call log. | 0.30 |
| 09/24/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/24/20 | DQI | Discuss K1 information with investor K. Schmitt. | 0.20 |
| 09/25/20 | DQI | Checking the hotline voicemail for messages. Hotline voicemail checked four times daily. | 0.20 |
| 09/25/20 | DQI | Discuss K1 information with investor N. Radde. | 0.20 |
| 09/25/20 | DQI | Discuss bar date and Timberlake property sale with investor D. Opielinski. | 0.30 |
| 09/25/20 | DQI | Discuss claims process status and Timberlake property sale with investor R. Payne. | 0.30 |
| 09/25/20 | DQI | Discuss docket 169 packet with investor P. Carney(Eric Roman). Also updated the call log. | 0.30 |
| 09/25/20 | DQI | Left voicemail for investor C. Geyer acknowledging her call. | 0.10 |
| 09/29/20 | DQI | Left voicemail for investor S. Geyer acknowledging her call. | 0.10 |
| 09/29/20 | DQI | Discuss docket 169 packet and claims process status with investor O. Ngowabunpat. Also updated the call log. | 0.40 |
| 09/29/20 | DQI | Discuss docket 169 packet and claims process status with investor D. Perkins. Update investor address. | 0.30 |
| 09/30/20 | DQI | Discuss status of overall case with investor E. Chang. | 0.20 |
| 09/30/20 | DQI | Discuss status of overall case with investor S. Geyer. | 0.20 |
| 09/30/20 | DQI | Discuss docket 169 packet and claims process status with | 0.40 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration
Client/Matter # 013182.00004

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|---|---------------------|
| 09/30/20 | DQI | investor S. Fultz. Also updated the call log. Left voicemail for investor A. Brown (George Bailly - Atty) acknowledging his call. | 0.10 |
| Total Hours | | | <u>26.50</u> |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-2

Re: Case Administration
Client/Matter # 013182.00004

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Derrick Q Irving | 17.60 | 315.00 | 5,544.00 |
| Robert Losier | 0.80 | 650.00 | 520.00 |
| Thomas Hofner | 8.10 | 700.00 | 5,670.00 |
| Total Hours & Fees | 26.50 | | 11,734.00 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-3
Re: Claims Administration and Objections
Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|----------|------------|---|-------|
| 08/20/20 | TJH | Review Mueller family analysis and produce individual reports for counsel. | 0.40 |
| 08/21/20 | TJH | Correspond with counsel and provide latest claims analysis for all investors. | 0.10 |
| 08/21/20 | TJH | Review of Finder demand letters and associated claims for related parties. Update counsel on accuracy of letters and demand amounts. | 0.90 |
| 08/25/20 | TJH | Review materials for DeLegge claims and account history. Trace deposits and withdrawals going back 20 years. Pull together detail and draft correspondence to Fox. | 1.20 |
| 09/01/20 | TJH | Update claims database and claims detail to account for various claim set-offs and settlements received from Counsel. | 0.30 |
| 09/01/20 | TJH | Research findings from SEC call, review payments made to Mueller's, confirm already sent to counsel. Follow-up with team after verification of rough numbers for total payments made to each Mueller. | 1.40 |
| 09/02/20 | TJH | Prepare raw data sets of payments made to Mueller's and send to counsel. | 0.30 |
| 09/08/20 | TJH | Prepare email and send to counsel along with Vendor information, perform some basic data clean-up for everyone, and deliver to counsel with employee notice data. | 0.80 |
| 09/09/20 | TJH | Prepare email and prepare individual cash ledgers for multiple investors per request from counsel. | 1.00 |
| 09/11/20 | TJH | Discuss building of vendor master and provide advice and tips to counsel. | 0.40 |
| 09/15/20 | TJH | Research family of claims for counsel to help prepare them for call with investor. | 1.10 |
| 09/15/20 | RL | Update and print three specific requested NID's for client. These were for the Loan Demand Letters. | 1.10 |
| 09/15/20 | RL | Review of specific investor Stefan on Cash Investments that were transferred over from his inactive account over to his active account. | 0.80 |
| 09/16/20 | TJH | Review claims model and update to include missing QB | 1.20 |

AlixPartners

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200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-3
Re: Claims Administration and Objections
Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|----------|------------|--|-------|
| | | data traits and update to include missing FM data traits. | |
| 09/16/20 | TJH | Research and discuss investor transactions not found matched between FM and QB and report to counsel. | 1.10 |
| 09/16/20 | TJH | Review internal note and memo fields and integrate into table format and append to claims model for research purposes. | 0.80 |
| 09/17/20 | TJH | Review FM and QB for column mapping and identify notes and memo fields. Review correspondence from company to confirm methodology. | 2.00 |
| 09/17/20 | RL | Update NID for proper formatting, and title. Printed "easy" pdf's into subfolder. Separated pages. | 1.30 |
| 09/17/20 | RL | Review Stefan missing deposits and withdrawals, multiple emails to Barb regarding specific transactions. | 1.20 |
| 09/17/20 | RL | Create "Notes" field table from all tables for quick review when researching Investors statements. | 0.70 |
| 09/18/20 | TJH | Review detail for FM transactions not found in QB transactions. Look for patterns in transactions and review memo and note fields for clues. | 1.10 |
| 09/18/20 | TJH | Update format and presentation of Claims Summary model. Update detail level model and review code. | 0.90 |
| 09/21/20 | TJH | Produce and review new claims summary for counsel. Coordinate updates to matrix and distribute to counsel. | 1.10 |
| 09/21/20 | TJH | Draft email regarding our opinion of Ponzi scheme and cost of deciphering scheme. | 0.70 |
| 09/21/20 | TJH | Research Stefan family of claims and start review of transaction of all and analyze transaction for possible duplicates and errors in entry. | 1.40 |
| 09/24/20 | TJH | Update weekly tracker, update claims summary, coordinate updates to detail and code for reporting. | 1.30 |
| 09/25/20 | TJH | Review investor claim detail and trace incorrect FM/QB matches for follow-up with company. | 1.50 |
| 09/25/20 | TJH | Update high risk analysis and claims summary and draft update to team and counsel. | 1.10 |
| 09/28/20 | TJH | Frasz family trust analysis and draft detail for counsel. | 1.10 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections
 Client/Matter # 013182.00005

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|--|---------------------|
| 09/28/20 | TJH | Isolate and review next round of demands, review at summary level, and coordinate production of demands. | 1.80 |
| 09/28/20 | TJH | Review claims summary and update to include new claims status, sent demands, settled matters, and revised claims amounts. | 1.70 |
| 09/29/20 | TJH | Review Lictig family of claims and coordinate response to counsel. | 0.90 |
| 09/30/20 | TJH | Produce and review next batch of notices, update claims summary and coordinate claims detail update with team. Distribute update to counsel. | 2.50 |
| 09/30/20 | RL | Review and print NID's for requested Entities from the client. | 1.30 |
| 09/30/20 | RL | Review and print NID's for requested Entities from the client. | 1.20 |
| 09/30/20 | RL | Review and print NID's for requested Entities from the client. | 1.50 |
| Total Hours | | | <u>39.20</u> |

AlixPartners

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200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-3

Re: Claims Administration and Objections
Client/Matter # 013182.00005

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 9.10 | 650.00 | 5,915.00 |
| Thomas Hofner | 30.10 | 700.00 | 21,070.00 |
| Total Hours & Fees | 39.20 | | 26,985.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2128382-4
 Re: Data Analysis
 Client/Matter # 013182.00010

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|--------------|
| 08/01/20 | RL | Finalize Schedule 1a for client's review. | 1.10 |
| 08/01/20 | RL | Create and prepare Schedule 1b for the demand letters going to Finders. | 1.50 |
| 09/01/20 | RL | Set up Tracking mechanism within SQL to track letters to Finders, Net Winners, and NID's going out. | 1.40 |
| 09/16/20 | RL | Update to Review and followup in code for Stefan's Cash Investments | 1.30 |
| 09/17/20 | RL | Finalize and export Finders Fee details from SQL into Excel. | 0.90 |
| 09/18/20 | RL | Update specific ten investors NID's to change from "easy" to "complex-review". Update SQL, and updated in Access. | 0.80 |
| 09/21/20 | RL | Update claims model to reflect investor from "eash" to "Complex", also updated Access db, and review cleared checks for specific Finder to make sure payments were not voided. | 1.30 |
| 09/22/20 | RL | Review missing deposits in QB that were recorded in FM, to confirm if they exist and to leave them on Investors statements. | 2.10 |
| 09/24/20 | RL | Update Batch folder to reflect all batches sent to FOX. Program this change into the Summary Tab | 1.80 |
| 09/24/20 | RL | Update scripts for Batches sent to FOX, and manual adjustments to be included in the detail tab of Claims Model | 1.70 |
| 09/24/20 | RL | Reviewed transactions for Cash Investments and Withdrawals that do not match Quickbooks, confirming their existence. | 1.10 |
| 09/29/20 | RL | Review Investor claims per clients request with T Hofner. | 1.10 |
| Total Hours | | | 16.10 |

AlixPartners

N. Neville Reid
Partner
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200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-4
Re: Data Analysis
Client/Matter # 013182.00010

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|------------------|
| Robert Losier | 16.10 | 650.00 | 10,465.00 |
| Total Hours & Fees | 16.10 | | 10,465.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2128382-5

Re: Status Reports
 Client/Matter # 013182.00011

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|--|---------------------------|
| 08/21/20 | TJH | Discuss Mueller Analysis, finder demand letters, and overall status of case with Alix team and Fox team. | 0.60 |
| 08/21/20 | TJH | Draft email and send weekly update to Fox team. | 0.20 |
| 08/28/20 | TJH | Discuss claims resolution process, upcoming court deadlines, and other topics with Fox team and AP team. | 0.40 |
| 09/01/20 | RL | Call with SEC and Fox team regarding Mueller demand letters and analysis regarding potential claims against Mueller's. | 0.80 |
| 09/01/20 | TJH | Call with SEC and Fox team regarding Mueller demand letters and analysis regarding potential claims against Mueller's. | 0.80 |
| 09/08/20 | TJH | Discuss claims resolution and noticing process with V Sehgal and Fox team. | 0.50 |
| 09/08/20 | VS | Discuss claims resolution and noticing process with T Hofner and Fox team. | 0.50 |
| 09/16/20 | TJH | Discuss claims process, noticing process, and open items with Fox team and AP team. | 0.60 |
| 09/16/20 | VS | Discuss claims process, noticing process, and open items with Fox team and AP team. | 0.60 |
| 09/18/20 | TJH | Discuss case status and claims reconciliation process for complex investor accounts with AP team and Fox team. | 0.50 |
| 09/18/20 | RL | Discuss case status and claims reconciliation process for complex investor accounts with AP team and Fox team. | 0.50 |
| 09/25/20 | TJH | Discuss investor claim analysis, update on court procedures, and claims process discussion with Fox team and AP team. | 0.50 |
| 09/25/20 | DO | Discussion with Fox and Alix team regarding claims analysis, update on court procedures and claim procedures. | 0.50 |
| Total Hours | | | <u><u>7.00</u></u> |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-5

Re: Status Reports
Client/Matter # 013182.00011

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Robert Losier | 1.30 | 650.00 | 845.00 |
| Thomas Hofner | 4.10 | 700.00 | 2,870.00 |
| Denis O'Connor | 0.50 | 800.00 | 400.00 |
| Vineet Sehgal | 1.10 | 800.00 | 880.00 |
| Total Hours & Fees | 7.00 | | 4,995.00 |

AlixPartners

N. Neville Reid
 Partner
 Fox, Swibel, Levin & Carroll, LLP
 200 W. Madison Street
 Suite 3000
 Chicago, IL 60606

Invoice # 2128382-6
 Re: Forensic Accounting
 Client/Matter # 013182.00013

| Date | Consultant | Description of Services | Hours |
|--------------------|------------|---|--------------------|
| 09/21/20 | DO | Review Declaration and ponzi red flag papers to prepare for conference call with Alix team in response to Receiver's request for assistance with Stefan's claims. | 0.40 |
| 09/21/20 | DO | Conference call to discuss ponzi scheme activity and timeline, intercompany accounts and requests from Receiver with R. Losier and T. Hofner. | 0.70 |
| 09/21/20 | RL | Discuss timeline of Ponzi scheme, intercompany receivables, and other requests of Receiver with D O'Connor and T Hofner. | 0.70 |
| 09/21/20 | TJH | Discuss timeline of Ponzi scheme, intercompany receivables, and other requests of Receiver with D O'Connor and R Losier. | 0.70 |
| 09/23/20 | RL | Review of Finder Covenant Christian Schools/Philp Lundquist, bank statements and additional data points from FileMaker and Quickbooks on request from Ryan. | 1.50 |
| 09/23/20 | RL | Create Batch Sent table to track batches sent to client. Updated Batch Sent table to reflect the Finder Demand Letters. | 1.10 |
| 09/25/20 | DO | Review Receiver's response to objections (sections referring to Alix's work) and court request to sell Timberlake. | 0.20 |
| Total Hours | | | <u>5.30</u> |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-6

Re: Forensic Accounting
Client/Matter # 013182.00013

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|-----------------|
| Robert Losier | 3.30 | 650.00 | 2,145.00 |
| Thomas Hofner | 0.70 | 700.00 | 490.00 |
| Denis O'Connor | 1.30 | 800.00 | 1,040.00 |
| Total Hours & Fees | 5.30 | | 3,675.00 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-7

Re: Claimant Website Setup
Client/Matter # 013182.00016

| Date | Consultant | Description of Services | Hours |
|--------------------|-------------------|---|--------------|
| 09/24/20 | CW | Update website narrative and embed new PDF documents to Key Documents page and home page; Validate download across FireFox, IE, and Chrome. | 1.50 |
| Total Hours | | | 1.50 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-7

Re: Claimant Website Setup
Client/Matter # 013182.00016

Fee Recap:

| Consultant | Hours | Rate | Amount |
|-------------------------------|--------------|-------------|---------------|
| Cindy Wan | 1.50 | 445.00 | 667.50 |
| Total Hours & Fees | 1.50 | | 667.50 |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-8

Re: Northridge Expenses
Client/Matter # 013182.00017

| Date | Disbursement Description | Amount |
|-------------|--|----------------------|
| 09/30/20 | Computer Supplies / Support - - QuickBooks License Fee | 163.24 |
| | Total Disbursements | <u>163.24</u> |

AlixPartners

N. Neville Reid
Partner
Fox, Swibel, Levin & Carroll, LLP
200 W. Madison Street
Suite 3000
Chicago, IL 60606

Invoice # 2128382-8

Re: Northridge Expenses
Client/Matter # 013182.00017

Disbursement Recap:

| Description | Amount |
|-----------------------------|---------------|
| Computer Supplies / Support | 163.24 |
| Total Disbursements | 163.24 |

EXHIBIT M

[Dickler Kahn Invoices for July 2020 through September 2020]

(see attached)

DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

SUITE 420

85 WEST ALGONQUIN ROAD

ARLINGTON HEIGHTS, ILLINOIS 60005-4424

TELEPHONE (847) 593-5595
FAX (847) 593-5632
WEBSITE: www.dicklerlaw.com

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

ATTN: RYAN SCHULTZ

Page: 1
08/01/2020
ACCOUNT NO: 220027M

| PREVIOUS BALANCE | FEES | COSTS | ADVANCES | PAYMENTS | BALANCE DUE |
|--|--------|-------|----------|----------|-------------------|
| 220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg) | | | | | |
| 960.00 | 210.00 | 0.00 | 0.00 | 0.00 | <u>\$1,170.00</u> |

*This is attorney/client privileged communication
It is a report on services rendered and a statement*

DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

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ARLINGTON HEIGHTS, ILLINOIS 60005-4424

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

Page: 1
08/01/2020
ACCOUNT NO: 220027-0002M
INVOICE NO: 3

ATTN: RYAN SCHULTZ

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

CURRENT INVOICE

| | | | HOURS | |
|---------------------------------------|-----|--|-------|-------------------|
| 07/11/2020 | JAS | Review e-mail from S. Schellenback and request to review assignment; review assignment of developer's operational rights for closing of sale of remaining units. | 0.50 | 150.00 |
| 07/15/2020 | JAS | Prepare e-mail to S. Shellenback that assignment document looks good to me and no changes. | 0.20 | 60.00 |
| | | | 0.70 | 210.00 |
| CURRENT FEES BILLED SUB-TOTAL | | | 0.70 | 210.00 |
| TOTAL CURRENT BILLED & DUE | | | | 210.00 |
| PREVIOUS BALANCE | | | | \$960.00 |
| BALANCE DUE | | | | <u>\$1,170.00</u> |

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

Page: 1
09/01/2020

ACCOUNT NO: 220027M

ATTN: RYAN SCHULTZ

| PREVIOUS BALANCE | FEES | COSTS | ADVANCES | PAYMENTS | BALANCE DUE |
|---|---------------|-------------|-------------|-------------|-------------------|
| 220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg) | | | | | |
| 1,170.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$1,170.00 |
| 220027-0003 480-486 Lakeshore - Palatine Development CV (Reg) | | | | | |
| 0.00 | 300.00 | 0.00 | 0.00 | 0.00 | \$300.00 |
| <u>1,170.00</u> | <u>300.00</u> | <u>0.00</u> | <u>0.00</u> | <u>0.00</u> | <u>\$1,470.00</u> |

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ATTORNEYS AND COUNSELORS

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

ATTN: RYAN SCHULTZ

Page: 1

09/01/2020

ACCOUNT NO: 220027-0002M

INVOICE NO: 4

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

CURRENT INVOICE

| | |
|------------------|-------------------|
| PREVIOUS BALANCE | \$1,170.00 |
| BALANCE DUE | <u>\$1,170.00</u> |

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DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

SUITE 420

85 WEST ALGONQUIN ROAD

ARLINGTON HEIGHTS, ILLINOIS 60005-4424

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N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
 200 W. MADISON ST., SUITE #3000
 CHICAGO IL 60606

Page: 1
 09/01/2020
 ACCOUNT NO: 220027-0003M
 INVOICE NO: 1

ATTN: RYAN SCHULTZ

480-486 Lakeshore - Palatine Development CV (Reg)

CURRENT INVOICE

| | | | HOURS | |
|------------|-----|---|-------|-----------------|
| 08/04/2020 | JAS | Review e-mail from S. Shellenback regarding Lake Shore property and issuing disclosure for closing; respond and explain that 22.1 of Condo Act does not apply, and 1-35(d) of CICA would apply if the small association is not incorporated as not for profit; we will set up call to discuss turnover. | 0.50 | 150.00 |
| 08/14/2020 | JAS | Review and respond to e-mail from S. Shellenback scheduling conference call; download declaration documents. | 0.20 | 60.00 |
| 08/17/2020 | JAS | Telephone conference call with S. Shellenback and procedures for turnover to unit owner. | 0.30 | 90.00 |
| | | | 1.00 | 300.00 |
| | | CURRENT FEES BILLED SUB-TOTAL | 1.00 | 300.00 |
| | | TOTAL CURRENT BILLED & DUE | | 300.00 |
| | | BALANCE DUE | | <u>\$300.00</u> |

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ATTORNEYS AND COUNSELORS

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ARLINGTON HEIGHTS, ILLINOIS 60005-4424

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Page: 1

10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
 200 W. MADISON ST., SUITE #3000
 CHICAGO IL 60606

ACCOUNT NO:

220027M

ATTN: RYAN SCHULTZ

| PREVIOUS BALANCE | FEES | COSTS | ADVANCES | PAYMENTS | BALANCE DUE |
|--|---------------|-------------|-------------|-------------|-------------------|
| 220027-0002 106 Surrey Dr. - Glen Ellyn Development CV (Reg) 1,170.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$1,170.00 |
| 220027-0003 480-486 Lakeshore - Palatine Development CV (Reg) 300.00 | 150.00 | 0.00 | 0.00 | 0.00 | \$450.00 |
| 220027-0004 441-447 Lakeshore - Palatine Development CV (Reg) 0.00 | 150.00 | 0.00 | 0.00 | 0.00 | \$150.00 |
| <u>1,470.00</u> | <u>300.00</u> | <u>0.00</u> | <u>0.00</u> | <u>0.00</u> | <u>\$1,770.00</u> |

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DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

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FAX (847) 593-5632
WEBSITE: www.dicklerlaw.com

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

ATTN: RYAN SCHULTZ

Page: 1

10/01/2020

ACCOUNT NO: 220027-0002M

INVOICE NO: 5

106 Surrey Dr. - Glen Ellyn Development CV (Reg)

CURRENT INVOICE

PREVIOUS BALANCE \$1,170.00

BALANCE DUE \$1,170.00

***This is attorney/client privileged communication
It is a report on services rendered and a statement***

DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

SUITE 420

85 WEST ALGONQUIN ROAD

ARLINGTON HEIGHTS, ILLINOIS 60005-4424

TELEPHONE (847) 593-5595
FAX (847) 593-5632
WEBSITE: www.dicklerlaw.com

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

Page: 1

10/01/2020

ACCOUNT NO: 220027-0003M

INVOICE NO: 2

ATTN: RYAN SCHULTZ

480-486 Lakeshore - Palatine Development CV (Reg)

CURRENT INVOICE

| | | | HOURS | |
|------------|-----|--|-------|-----------------|
| 09/15/2020 | JAS | Prepare and send e-mail to client with outline of proceeding with turnover meeting and conducting first election and comments since only five units and holding virtual meeting. | 0.50 | 150.00 |
| | | | 0.50 | 150.00 |
| | | CURRENT FEES BILLED SUB-TOTAL | 0.50 | 150.00 |
| | | TOTAL CURRENT BILLED & DUE | | 150.00 |
| | | PREVIOUS BALANCE | | \$300.00 |
| | | BALANCE DUE | | <u>\$450.00</u> |

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DICKLER, KAHN, SLOWIKOWSKI & ZAVELL, LTD.

ATTORNEYS AND COUNSELORS

SUITE 420

85 WEST ALGONQUIN ROAD

ARLINGTON HEIGHTS, ILLINOIS 60005-4424

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Page: 1

10/01/2020

N. NEVILLE REID as RECEIVER for NORTHRIDGE HOLDING
200 W. MADISON ST., SUITE #3000
CHICAGO IL 60606

ACCOUNT NO: 220027-0004M

INVOICE NO: 1

ATTN: RYAN SCHULTZ

441-447 Lakeshore - Palatine Development CV (Reg)

CURRENT INVOICE

| | | | HOURS | |
|------------|-----|--|-------|-----------------|
| 09/15/2020 | JAS | Prepare and send e-mail to client with outline of proceeding with turnover meeting and conducting first election and comments since only five units and holding virtual meeting. | 0.50 | 150.00 |
| | | | 0.50 | 150.00 |
| | | CURRENT FEES BILLED SUB-TOTAL | 0.50 | 150.00 |
| | | TOTAL CURRENT BILLED & DUE | | 150.00 |
| | | BALANCE DUE | | <u>\$150.00</u> |

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EXHIBIT N

[ALAP Invoice for July 2020 through September 2020]

(see attached)

ALAP Limited

Michael A. Pazur, CPA
 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
Invoice Date: 9/30/2020
Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|----------|
| 8/28/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (22 Minutes) and Carol Higgins (20 Minutes) for engagement planning | 0.75 | 200.00 | 150.00 |
| 9/05/2020 – Northridge Holdings Ltd – Telephone coordination with Carol Higgins (27 Minutes) for engagement planning and document preparation | 0.50 | 200.00 | 100.00 |
| 9/08/2020 – 610 Lincoln LP – Calculate the gain on the sale of the property and calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker | 2.50 | 200.00 | 500.00 |
| 9/09/2020 – 610 Lincoln LP – Re-balance complete capital spreadsheet for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate final depreciation/amortization for 2019, close-out balance sheet accounts that have zero balances after sale, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, and prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 | 5.70 | 200.00 | 1,140.00 |
| 9/09/2020 – 561 Deere park Circle LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, and re-balance complete capital spreadsheet for 2019 | 3.25 | 200.00 | 650.00 |

Please make check payable to ALAP Limited
 Thank you

Total

ALAP Limited

Michael A. Pazur, CPA
 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
Invoice Date: 9/30/2020
Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/10/2020 – Timber Lake Shared Appreciation LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.00 | 200.00 | 200.00 |
| 9/10/2020 – Timber Lake Shared Appreciation IL LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.00 | 200.00 | 200.00 |
| 9/10/2020 – 610 Lincoln LP – Final adjustments for receivership escrow from closing proceeds and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.50 | 200.00 | 100.00 |
| 9/10/2020 – 561 Deere park Circle LP – Reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 3.50 | 200.00 | 700.00 |

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 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
Invoice Date: 9/30/2020
Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/10/2020 – Timber Lake Apartments LLC – Reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 2.75 | 200.00 | 550.00 |
| 9/10/2020 – Arbor LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.75 | 200.00 | 350.00 |
| 9/10/2020 – Kings Circle LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.25 | 200.00 | 250.00 |

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Due Date: 10/30/2020

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Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|--|-----------|--------|--------|
| 9/10/2020 – Hawthorne LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, re-balance complete capital spreadsheet for 2019, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.50 | 200.00 | 100.00 |
| 9/10/2020 – 106 Surrey LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, reconcile mortgages and escrows balances per lender documents provided by Carol Higgins, calculate the income on the sale of unit, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins | 1.75 | 200.00 | 350.00 |
| 9/11/2020 – 106 Surrey LP – Review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 2.75 | 200.00 | 550.00 |
| 9/11/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (22 Minutes) and Carol Higgins (12 Minutes) | 0.55 | 200.00 | 110.00 |
| 9/11/2020 – Willow Creek Ventures LP – Telephone coordination with Dale Mueller (28 Minutes) | 0.50 | 200.00 | 100.00 |

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Due Date: 10/30/2020

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Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/11/2020 – Midtown Two unit H-1003 LLC – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Florida F-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and F-1065 to Lois West | 0.65 | 200.00 | 130.00 |
| 9/11/2020 – Cornerstone II LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 2.40 | 200.00 | 480.00 |
| 9/11/2020 – 5097 Elston LP – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.20 | 200.00 | 240.00 |

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Invoice

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Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/11/2020 – Azlan LLC – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065, California 568, and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065, California 568, and Illinois IL-1065 to Lois West | 1.85 | 200.00 | 370.00 |
| 9/11/2020 – 5528 Hyde Park LP – Calculate original share values of 2019 limited partnership shares sold and purchased per calculations provided by Barbara Decker, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, re-balance complete capital spreadsheet for 2019, reconcile mortgage and escrow balances per lender documents provided by Carol Higgins, calculate the income on the sale of two condo units, calculate final depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 4.40 | 200.00 | 880.00 |
| 9/11/2020 – 139 Austin LP – Prepare 2019 proposed adjusting journal entries for Carol Higgins | 0.80 | 200.00 | 160.00 |

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Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/11/2020 – 149 Mason Trust – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019 | 0.50 | 200.00 | 100.00 |
| 9/12/2020 – G&C Mueller Family LP – Prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.65 | 200.00 | 330.00 |
| 9/12/2020 – 139 Austin LP – Review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.25 | 200.00 | 50.00 |
| 9/12/2020 – 149 Mason Trust – Prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.85 | 200.00 | 170.00 |

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 3511 Elsie Lane
 Hoffman Estates, IL 60192

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Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/12/2020 – 149 Mason LP – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.40 | 200.00 | 80.00 |
| 9/12/2020 – 3820 25th Avenue LP – Recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 1.15 | 200.00 | 230.00 |
| 9/12/2020 – Paragon Group LP – Coordinate work papers and account verification with Carol Higgins via Glenn Mueller | 0.25 | 200.00 | 50.00 |
| 9/12/2020 – Ridgeview - Group I LP – Call with Lois West on approach to prior preparations and coordinate work papers and account verification with Carol Higgins via Glenn Mueller | 1.00 | 200.00 | 200.00 |
| 9/12/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (20 Minutes) for status updates | 0.35 | 200.00 | 70.00 |

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 3511 Elsie Lane
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Due Date: 10/30/2020

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Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|--|-----------|--------|--------|
| 9/12/2020 – Mueller Painting & Decorating LP – Call with Barbara Decker to coordinate on 2018 tax return, recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 2.00 | 200.00 | 400.00 |
| 9/12/2020 – Willow Creek Ventures LP – Prepare "For Illustration Purposes Only" version of federal 1065 and North Dakota ND 58 and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and North Dakota ND 58 to Lois West | 1.15 | 200.00 | 230.00 |
| 9/12/2020 – 561 Deere Park Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Northridge Holdings insurance accrual miscalculation by Carol Higgins and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.15 | 200.00 | 30.00 |
| 9/12/2020 – Timber Lake Apartments LLC – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Northridge Holdings insurance accrual miscalculation by Carol Higgins and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.65 | 200.00 | 130.00 |

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ALAP Limited

Michael A. Pazur, CPA
 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
Invoice Date: 9/30/2020
Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|--|--------------|--------|--------|
| 9/12/2020 – Arbor LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.35 | 200.00 | 70.00 |
| 9/12/2020 – Kings Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.30 | 200.00 | 60.00 |
| 9/12/2020 – Hawthorne LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.25 | 200.00 | 50.00 |
| 9/12/2020 – 139 Austin LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of additional settlement amount paid from Northridge Holdings on seller financed 2016 sale and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.50 | 200.00 | 100.00 |
| Please make check payable to ALAP Limited Thank you | Total | | |

ALAP Limited

Michael A. Pazur, CPA
 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
Invoice Date: 9/30/2020
Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|--|-----------|--------|--------|
| 9/12/2020 – 149 Mason Trust – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of additional settlement amount paid from Northridge Holdings on seller financed 2016 sale and provide 2019 revised work papers, revised trial balance, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.25 | 200.00 | 50.00 |
| 9/12/2020 – 149 Mason LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of 149 Mason Trust Schedule K-1 and provide 2019 revised work papers, revised notes, revised trial balance, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.10 | 200.00 | 20.00 |
| 9/12/2020 – 3820 25th Avenue LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of 149 Mason Trust Schedule K-1 and provide 2019 revised work papers, revised notes, revised trial balance, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.10 | 200.00 | 20.00 |
| 9/12/2020 – Northridge Holdings Ltd – Review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, calculate depreciation/amortization for 2019, prepare 2019 proposed adjusting journal entries for Carol Higgins | 2.25 | 200.00 | 450.00 |

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 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

Invoice #: 2009039
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Due Date: 10/30/2020

Bill To:

Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/13/2020 – Northridge Holdings Ltd – Did not attempt to recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1120S and Illinois IL-1120-ST with no Schedule L (balance sheet) based on unreliability of predecessor tax preparer, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1120S and Illinois IL-1120-ST to Lois West | 2.25 | 200.00 | 450.00 |
| 9/13/2020 – Amberwood Holdings Ltd – Did not attempt to recreate 2018 adjustments previously not recorded by predecessor tax preparer to establish starting numbers for 2019, review proposed inter-company accruals and allocations provided by Carol Higgins for accuracy and reasonableness historically, prepare 2019 proposed adjusting journal entries for Carol Higgins review and adjust trial balance after adjustment by Carol Higgins, prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 with no Schedule L (balance sheet) based on unreliability of predecessor tax preparer, and provide 2019 work papers, trial balance, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 3.15 | 200.00 | 630.00 |
| 9/13/2020 – Paragon Group LP – Prepare "For Illustration Purposes Only" version of federal 1065 with no Schedule L (balance sheet) and Illinois IL-1065 and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.50 | 200.00 | 100.00 |

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Michael A. Pazur, CPA
 3511 Elsie Lane
 Hoffman Estates, IL 60192

Invoice

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Northridge Holdings, Ltd - Receivership
 1020 W Fullerton Avenue
 Suite G
 Addison, IL 60101

| Description | Hours/Qty | Rate | Amount |
|---|-----------|--------|--------|
| 9/13/2020 – Ridgeview - Group I LP – Prepare "For Illustration Purposes Only" version of federal 1065 with no Schedule L (balance sheet) and Illinois IL-1065 and provide 2019 work papers, notes, and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.30 | 200.00 | 60.00 |
| 9/13/2020 – The Samaritan Film LP – Prepare "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 (final return) and provide 2019 notes and "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.70 | 200.00 | 140.00 |
| 9/14/2020 – G&C Mueller Family LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after revision of Timber Lake Apartments LLC Schedule K-1 and provide 2019 revised work papers, revised notes, and revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.35 | 200.00 | 70.00 |
| 9/24/2020 – Northridge Holdings Ltd – Telephone coordination with Lois West (31 Minutes) for coordination of Barbara Decker proposed Schedule K-1 corrections and scheduling of remainder of 2019 engagement | 0.50 | 200.00 | 100.00 |
| 9/24/2020 – Arbor LP – Call with Barbara Decker regarding correction of partner Schedule K-1, research proposed discrepancy, and found no correction was required | 1.00 | 200.00 | 200.00 |

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| Description | Hours/Qty | Rate | Amount |
|--|--------------|--------|--------------------|
| 9/24/2020 – 561 Deere Park Circle LP – Prepare revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 after correction of Jose Velazquez position purchased by Amberwood Holdings and provide 2019 revised "For Illustration Purposes Only" version of federal 1065 and Illinois IL-1065 to Lois West | 0.35 | 200.00 | 70.00 |
| Please make check payable to ALAP Limited Thank you | Total | | \$13,070.00 |

Exhibit O

[Proposed Order]

(see attached)

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

| | | |
|------------------------------------|---|-------------------------------|
| <hr/> | |) |
| UNITED STATES SECURITIES |) | |
| AND EXCHANGE COMMISSION, |) | Civil Action No. 19-cv-05957 |
| |) | |
| Plaintiff, |) | |
| v. |) | Hon. John Z. Lee |
| |) | |
| NORTHRIDGE HOLDINGS, LTD., ET AL., |) | |
| |) | Magistrate Judge Susan E. Cox |
| Defendants. |) | |
| <hr/> | |) |

ORDER (A) APPROVING RECEIVER’S FIFTH INTERIM FEE APPLICATION FOR PAYMENT OF FEES AND EXPENSES OF COUNSEL FOR THE RECEIVER; AND (B) APPROVING RECEIVER’S FOURTH INTERIM FEE APPLICATION FOR FEES AND EXPENSES OF RECEIVER’S NON-FOX SWIBEL PROFESSIONALS

N. Neville Reid, as the receiver (“Receiver”) for the Estate of Defendant Northridge Holdings, Ltd. and its related entities and affiliates as more particularly set forth in the Receivership Order, having filed the *Receiver’s Combined (A) Fifth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Counsel for the Receiver; and (B) Fourth Interim Fee Application and Motion for Court Approval of Payment of Fees and Expenses of Receiver’s Non-Fox Swibel Professionals* (the “Application”), and the Court, having conducted a hearing on the Application, and for good cause shown, IT IS HEREBY ORDERED THAT:

The Receiver’s Application is granted. Further:

- a. the fees and expenses of counsel for the Receiver at Fox Swibel Levin & Carroll, LLP are reasonable and necessary to the Receivership;

b. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to counsel for the Receiver from the Receivership Estate;

c. the fees of KRD as accountant for the Receiver are reasonable and necessary to the Receivership;

d. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to KRD from the Receivership Estate;

e. the fees and expenses of AlixPartners as forensic accountant for the Receiver are reasonable and necessary to the Receivership;

f. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to AlixPartners from the Receivership Estate.

g. the fees and expenses of Dickler Kahn as counsel for the Receiver are reasonable and necessary to the Receivership;

h. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to Dickler Kahn from the Receivership Estate;

i. the fees and expenses of ALAP as accountant for the Receiver are reasonable and necessary to the Receivership; and

j. the Receiver is authorized, but not directed, to immediately pay the fees and expenses more particularly set forth in the Application to ALAP from the Receivership Estate.

Hon. John Z. Lee

UNITED STATES DISTRICT JUDGE

Entered: