

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

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**UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**NORTHRIDGE HOLDINGS, LTD., ET AL.,**

**Defendants.**

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**Civil Action No. 19-cv-05957**

**Hon. John Z. Lee**

**Magistrate Judge Susan E. Cox**

**CERTIFICATE OF SERVICE**

I hereby certify that on January 30, 2020, I electronically filed the Receiver's Report for the Period Ending December 31, 2019 with the Clerk of the United States District Court for the Northern District of Illinois, using the CM/ECF system. I further certify that I served the financial institutions or interested parties as identified and set forth on the attached Service List via U.S. Mail on January 30, 2020.

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*SEC v. Northridge Holdings, Ltd., et al.*  
**Case No. 19-cv-05957**

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